

Confirmation of Verification of Identity

Corporate and other non-personal entity Introduction by an FCA regulated firm

1 Details of customer (see explanatory notes on next page)

Full name of customer

Type of entity (corporate, trust, etc)

Location of business (full operating address)

Postcode

Registered office in country of incorporation

Registered number, if any (or appropriate)

Relevant company registry or regulated market listing authority

Names and dates of birth (if known) of directors (or equivalent)

Names and dates of birth (if known) of principal beneficial owners (over 25%)

2 Confirmation

I/we confirm that:

- (a) the information in section 1 above was obtained by me/us in relation to the customer;
- (b) the evidence I/we have obtained to verify the identity of the customer:
 - meets the standard evidence set out within the guidance for the UK Financial Sector issued by JMLSG; or
 - exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation).

Signed

X

Name

Position

Date

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3 Details of introducing firm (or sole trader)

Full name of regulated firm (or sole trader)

FCA Reference number

Explanatory notes

- (1) 'Relevant company registry' includes other registers, such as those maintained by charity commissions (or equivalent) or chambers of commerce.
- (2) This form cannot be used to verify the identity of any customer that falls into one of the following categories:
 - those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
 - those whose identity has not been verified by virtue of the application of a permitted exemption under the Money Laundering Regulations; or
 - those whose identity has been verified using the source of funds as evidence.
- (3) This confirmation must carry an original signature, or electronic equivalent.