

# CITY BAR CENTER FOR CLE

## **AFTER THE DUST SETTLES: THE CHANGING REGULATORY LANDSCAPE FOR PRIVATE INVESTMENT FUNDS & THEIR MANAGERS**

Tuesday, June 29, 2010 / 6:00 p.m. to 9:00 p.m.

This program will examine the legislative, regulatory and other developments over the last twelve months and how these developments have affected private investment funds and their managers. In particular, it will focus on compliance challenges faced by chief compliance officers of, and legal counsel to, private equity funds and hedge funds.

### **Program Chair**

**Yukako Kawata**  
Davis Polk & Wardwell LLP

### **Faculty**

**John R. O'Neil**  
Kirkland & Ellis LLP

**Robert A. Saperstein**  
Chief Compliance Officer and Associate General Counsel  
Caxton Associates LLP

**Glenn R. Sarno**  
Simpson Thacher & Bartlett LLP

**Timothy A. Spangler**  
Kaye Scholer LLP

**Sponsoring Association Committee:**  
Private Funds, Yukako Kuwata, Chair

## **Agenda**

- 6:00 - 6:15 **Introduction and Overview**  
*Yukako Kawata*
- 6:15 - 7:20 **Financial Reform Efforts: Impact on Private Funds and Private Fund Managers**  
*Yukako Kawata, John R. O'Neil & Robert A. Saperstein*
- 7:20 - 7:30 **Break**
- 7:30 - 8:00 **EU Directive on Alternative Investment Fund Managers: What It Is and Where It Stands**  
*Timothy Spangler*
- 8:00 - 8:45 **GPs vs. LPs: Institutional Limited Partners Association's Private Equity Principles and Fundraising Generally**  
*Glenn R. Sarno*
- 8:45 - 9:00 **Crystal Ball Gazing: What's Next?**  
*Panel*



**New York & California Credit:** 3.0 credits in professional practice.  
This live program provides transitional/non-transitional credit to all attorneys.

**Illinois Credit:** 2.5 general MCLE credits.

# AFTER THE DUST SETTLES: THE CHANGING REGULATORY LANDSCAPE FOR PRIVATE INVESTMENT FUNDS & THEIR MANAGERS

Tuesday, June 29, 2010 / 6:00 p.m. to 9:00 p.m.

**Live Program (includes materials):**

\$245 Member     \$355 Nonmember

**Walk-in Registration (includes materials):**

\$270 Member     \$380 Nonmember

**CDs (includes materials):**

\$335 \$270 Member     \$405 \$340 Nonmember

**DVDs (includes materials):**

\$445 \$345 Member     \$535 \$435 Nonmember

**Materials Only (no CLE credit):**

\$105 Member     \$135 Nonmember

**Can't make the program? Save up to \$65 off of CDs and \$100 off of DVDs.**

*Becoming a member of the City Bar will save you up to 33% on CLE! Visit us at [www.nycbar.org](http://www.nycbar.org), or call Membership at 212.382.6665. Use code "CLE" to get your special discount on membership.*

SOURCE CODE: EFL-INV-062910

Please check if you are interested in receiving California and/or Illinois CLE credit.

California     Illinois

Name \_\_\_\_\_

Firm \_\_\_\_\_

Address \_\_\_\_\_ Floor/Suite \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_ E-mail \_\_\_\_\_

Phone \_\_\_\_\_ Fax \_\_\_\_\_

Please specify your area of practice \_\_\_\_\_

Enclosed is my check for \_\_\_\_\_, payable to the Association of the Bar.

AMEX Card Number \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_ Exp. Date \_\_\_\_\_

Visa  MC Card Number \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_ Exp. Date \_\_\_\_\_

Amount to charge \_\_\_\_\_ Signature \_\_\_\_\_

How did you hear about this program? Please check one:

Email     Web     Mail     Other (please specify) \_\_\_\_\_

## Registration Information

**Program Location:**

All programs & video replays are held at:

*New York City Bar*

42 West 44th Street, New York, NY 10036

**Registration:** Call 212.382.6663, fax 212.869.4451, mail registration form with payment to the City Bar Center for CLE, 42 West 44th Street, New York, NY 10036, or register online at [www.nycbar.org](http://www.nycbar.org).

*Advance registration is advised. An additional fee of \$25 will be charged for "walk-in" registrations—those received later than 3:00 p.m. one business day prior to the program.*

*Please allow 3–5 weeks for delivery of CDs, DVDs and course materials. International orders will be sent by traceable means, and an additional charge for shipping will be named by the recipient.*

**Scholarships & Discounts:** Financial scholarships are available; please call 212.382.6663 for an application. All applications must be submitted three weeks prior to the program. *50% discounts off of member and nonmember prices are available for government and public interest attorneys, students, and academics.* A 20% discount off of member and nonmember prices is available for firms and corporations that register four or more people for a combination of programs or video replays.

*\*Non-legal professionals receive the member rate.*

**Cancellations & Refunds:** For live programs and video replays, refunds and program credits are available provided cancellation is made in writing and received by the City Bar Center prior to the program. A \$45 administrative fee will be charged for all refunds. The cancellation fee will be deducted directly from the refund. For program credits no administrative fee will be charged. Program credits must be used within one year of the original program date. Cancellations must be in writing and faxed to the City Bar Center at 212.869.4451. Refunds and program credits are not available for the purchase of CDs, DVDs, course materials, or online programs.

**CLE Certificates:** Certificates for attending a program are given out and signed by a CLE staff member at the end of the program. You are responsible for keeping a copy of the CLE certificate for your own records. An administrative fee of \$15 will be charged for replacement CLE certificates.

Illinois ethics credits cannot be awarded until the MCLE Board of Illinois approves an ethics component per individual program. For programs that provide ethics credit, *Illinois ethics credits are pending.*

**All information is subject to change.**

All programs and products are sponsored by the CLE Committee, Lisa M. Stenson Desamours, Chair.

**NEW YORK  
CITY BAR**