

APPROVAL FOR SHORT-TERM OPEN ACCESS
(to be issued by SLDC)

Nodal SLDC Approval No.	/ (R-0)	Date
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< 'R-O' states original approval with revision no. - zero >

1 Customer Application No.	<as provided by customer on FORMAT-ST1>	Date
2 Period of Transaction	< 3 months and more / less than 3 months / day-ahead >	
3 Nature of Customer*	< seller/buyer/captive user/trader(on behalf of seller/buyer/captive user) >	

<* In terms of power transfer>

4 Customer Name	
5 Registration Code	Valid up to

6 Details of Transaction Party's to Grid		
	Injecting Entity	Drawee Entity
Name of Entity		
Status of Entity*		
Utility in which it is embedded		

< * In terms of ownership- State Utility/ CPP/IPP/ ISGS/Discom/Consumer/specify, if any other >

7 Details of Injecting/Drawee Connectivity with intra-State System			
		Injecting Entity	Drawee Entity
Name of Sub-station	Transmission		
	Distribution		
Voltage level	Transmission		
	Distribution		
Name of Licensee (Owner of S/S)			
Intervening intra-State Licensee			
Intervening inter-State Licensee			

< Distribution license, if required, may treat interface periphery as its connectivity points >

8 Open Access Approved for (Period from date _____ to date _____)						Revision No.	0
Month	Date		Hours		Capacity (MW)		MWh
	From	To	From	To	Applied	Allotted	
						Total MWh	

9 Details of Bidding < only in case of Bidding >						
Details of Intra-State System	Date		Hours		Applicable Rate (Rs./kWh)	
	From	To	From	To		
	Transmission System					
Distribution System						

10. The approved transaction shall be incorporated in day-ahead scheduling in accordance with the provisions of intra-State ABT. < only in case of approval >

11. Please submit following to SLDC with details on format [FORMAT-ST5] in the manner as specified in procedure: < only in case of approval >

(a) SLDC Fee Amount Rs. _____ Due Date: _____
 (b) Security Amount Rs. _____ Due Date: _____

12. The approval is subject to provisions of UPERC (Terms and Conditions for Open Access) Regulations read with “Procedure for Short-Term Open Access” and any other relevant regulation/order/code as amended and applicable from time to time. *< only in case of approval >*

13. No approval is being granted on account of *<only in case of rejection>*

< SLDC shall convey specific reasons if open access is denied and may also enclose supporting documents to support the same duly signed on each page >

Place
Date

Signature (with stamp)
Name & Designation

Enclosures

- (1) Schedule of payments *< only in case of approval >*
- (2) If any other

Copy to along with enclosures

- (1) Customer
- (2) Chief Engineer (Transmission), U.P. Power Transmission Corporation Ltd., State Transmission Utility.
- (3) Managing Director of transmission licensee involved in transaction.
- (4) Managing Director of distribution licensee involved in transaction or as per clause 14.8 of procedure.
- (5) Officer in charge of transmission substation involved in transaction.
- (6) Officer in charge of distribution substation involved in transaction.
- (7) Any other concerned.

ENCLOSURE (FORMAT- ST2)

SCHEDULE OF PAYMENTS

(to be enclosed for each month by SLDC along with FOMAT-ST2)

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<* In terms of power transfer>

4 Customer Name	
5 Registration Code	Valid up to

6 Tentative* Monthly Payment Schedule for Short-Term Open Access Charges	Month
(Period: date ____ to date ____)	
Payment Chargeable for	Rate (Rs./kWh)
	MWh
	Total (Rs.)
(1) Intra-State Network	
(a) Transmission Charges	
.....concerned Transmission Licensee	
..Intervening intra-State Licensee(if any)	
(b) Wheeling Charges	
.....concerned Distribution Licensee	
..Intervening intra-State Licensee(if any)	
(c) Surcharge	
.....concerned Distribution Licensee	
(d) Additional Surcharge	
.....concerned Distribution Licensee	
(e) SLDC Charges	
.....SLDC	
(2) Inter-State Network	
Transmission Charges	
..Intervening inter-State Licensee(if any)	
Total Monthly Payment Amount (Rs.)	

Place
Date

Signature (with stamp)
Name & Designation

* Tentative on the basis of MWh mentioned in application which may vary on actual operation.