



**STREAMLINED PROCEDURE FOR APPLICATION FOR MODIFICATION OF
IPRU (INS) 9.17, 9.19, 9.20 AND 9.20A**

QUESTIONNAIRE

Please use this questionnaire instead of a waiver application form if your firm wishes to apply for a modification of the above rules under the streamlined procedure.

A. Firm details

1. Firm name:
2. FRN:
3. Firm address:
4. Contact name and details for this modification

B. Is your firm's case appropriate for the procedure?

6. Is your firm in run-off? Yes No
7. Has your firm had its permission to effect contracts of insurance removed by the PRA (or previously the FSA) or is such an application currently being processed? Yes No
8. Does your firm have business of less than £100 million to report for each reporting category? Yes No

If you have answered 'Yes' to all three questions in section B, then contact your supervisor to take advantage of this modification. If you have answered 'No' to any of the questions then you are unable to take advantage of this streamlined procedure and should instead submit the full waiver application form.

C. Information from PRA supervisory contact:

9. Name of PRA supervisory contact: _____
10. Have you contacted your PRA supervisor? Yes No
11. Please state for which rules your firm requires a modification:
 9.17, 9.20 and 9.20A 9.19, 9.20 and 9.20A 9.17, 9.19, 9.20 and 9.20A

Please attach correspondence from your PRA supervisor, which will provide reasons why you may take advantage of this modification and confirm the relevant rules to be modified for your firm.

NB: Your application will not be processed if this correspondence is not attached.



D. Statutory Tests

12. What would be the costs of compliance with the rules listed above per year? (*Please provide a quantitative indication of the costs of producing the relevant forms. We do not require a fully calculated estimate of the costs of compliance.*)

£ _____

13. Please provide us with a brief description of the nature of your firm's business in the box below:

14. Please explain why you consider that granting of the waiver would not adversely affect the advancement of any of the regulator's objectives:

- a) Promoting the safety & soundness of firms; and
- b) Contributing to the securing of an appropriate degree of protection for those who are or may become policy holders.

Please return this questionnaire and attachments to:

PRA-Waivers@bankofengland.co.uk; or

Authorisations, PRA, 20 Moorgate, London EC2R 6DA