

**PERSONAL FINANCIAL STATEMENT
CERTIFICATION AND AUTHORIZATION**

NAME--Applicant		NAME--Spouse	
STREET ADDRESS		STREET ADDRESS	
CITY, STATE, ZIP CODE		CITY, STATE, ZIP CODE	
SOCIAL SECURITY NO.	DATE OF BIRTH	SOCIAL SECURITY NO.	DATE OF BIRTH

- Attached is a statement of ☐ my individual financial condition ☐ our joint financial condition ☐ trust financial condition only as of _____.
- I/We ☐ own ☐ do not own a business that has applied for credit from Union Bank of California, N.A.
If so, name of the business and ownership percentage is as follows: _____.
- I/We ☐ have ☐ have not established a trust.
- This statement ☐ does ☐ does not include assets held in a trust.

GENERAL PERSONAL INFORMATION

If the information in the attached financial statement applies both to you and your spouse, these questions apply to both of you. If additional space is needed for explanation, please explain below.

1. Are any assets pledged or debts secured except as shown? If yes please explain.	<input type="checkbox"/> Yes	<input type="checkbox"/> No
2. If any assets are owned by a trust, is a copy of the trust agreement available?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
3. Have you obtained credit under other names or with other individuals? If yes, provide names and social security numbers: _____	<input type="checkbox"/> Yes	<input type="checkbox"/> No
4. Have you ever declared bankruptcy or had a judgement against you? If yes, please explain.	<input type="checkbox"/> Yes	<input type="checkbox"/> No
5. Are you an endorser, guarantor, or co-maker for any obligations (including leases)? If yes, please explain below.	<input type="checkbox"/> Yes	<input type="checkbox"/> No
6. Are you party to any claims or suits? If yes, please explain.	<input type="checkbox"/> Yes	<input type="checkbox"/> No
7. Have you been audited by the IRS in the past three years?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
8. If yes, has the tax return been finalized with all issues settled? If no, please explain.	<input type="checkbox"/> Yes	<input type="checkbox"/> No
9. Are you a director, executive officer, or principal shareholder of any of the following:		
a. An insured bank or financial institution which makes commercial loans and accepts deposits?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
b. The Bank of Tokyo-Mitsubishi Bank, Ltd.; UnionBanCal Corporation; or any of their subsidiaries?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
c. Any company controlled by any of the above? If yes, please explain.	<input type="checkbox"/> Yes	<input type="checkbox"/> No
10. Are you leasing any real or personal property? If yes, please explain.	<input type="checkbox"/> Yes	<input type="checkbox"/> No
11. Do you have any pending credit applications at any other financial institutions? If yes, please explain.	<input type="checkbox"/> Yes	<input type="checkbox"/> No
12. Have you ever been convicted of a felony? If yes, please explain.	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Representations and Warranties: Whether married, unmarried or separated, you may request individual credit by applying alone. If you intend to rely on your spouse's future earnings to qualify for this credit, please apply for joint credit. Persons married to each other may request joint credit by applying together in one application. Persons not married to each other may request joint credit by completing separate applications and submitting their applications together.

The attached financial statement is provided to Union Bank of California, N.A. ("Bank") for its review of my/our creditworthiness, and Bank can rely on its contents. As used herein, "my/our/I/we" means and refers to each, the business applicant and the principals/guarantors. I/We hereby warrant to Bank that this financial statement is complete and correct as of the date prepared and fairly represents my/our financial condition and that I/we will promptly inform the Bank of any material changes in the information provided, including transfer of any assets into a trust. I/We authorize you to make whatever inquiries about me/us you deem necessary and appropriate for the purpose of evaluating my/our credit and reverifying my/our credit from time to time, including obtaining credit bureau reports (including personal credit reports) and contacting my/our employer(s). I/We hereby authorize Bank to disclose any information regarding our financial condition, including but not limited to, all financial statements, and other information concerning our creditworthiness, credit record, and credit standing, to any of our actual or proposed guarantors, sureties, or to other credit reporters, or creditors, and to the Bank's agents and subsidiaries at any time prior to, during or following the terms of the account. I/We hereby agree that funds drawn on the credit facilities will only be used for business purposes of the borrowing entity.

SIGNATURES

Spouse's signature required only if you and your spouse are or will be jointly obligated on credit extended by Union Bank of California, N.A.

X SIGNATURE--Applicant	DATE	X SIGNATURE--Spouse	DATE
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EXPLANATION(S):