



# Eighth Progress Report on SMEs' participation in FP7

2007-2011



Research and  
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# **Eighth Progress Report on SMEs' participation in FP7**

2007-2011

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## Executive Summary

The aim of the SME Progress Reports is to monitor the participation of SMEs in the Cooperation Programme within the Seventh Research Framework Programme (FP7). The report analyses the SME participation in Grant Agreements signed by end December 2011.

The Commission is paying special attention to the funding for SMEs under the Cooperation Programme, following the target in the Decision establishing FP7<sup>1</sup>. The aim is to ensure that at least 15% of the funding of the programme goes to SMEs.

Focusing on the SME participation in the Thematic Priorities, 2,407 M€, or **15.3%** of the used Cooperation budget, is going to SMEs. (Graph 1)

In 73% of the Grant Agreements at least one SME is involved. 10% of the projects is coordinated by an SME.

For the SME participations in Thematic Research Projects so far, the average EU contribution is 270,000€.

In the whole FP7 programme, including Research for the Benefit of SMEs and the Marie-Curie Actions, 13,800 of almost 79,000 of the participations are SMEs, receiving an average EU contribution of 250,000€. The SME participations represent 9,400 individual SMEs out of the 20,100 individual organisations participating in FP7.

So far, the Cooperation Programme has spent in total 50.1% of the budget, amounting 15,750 M€ within the Thematic Priorities. Given the SME targeted elements in the Work Programmes 2012, the budgetary share of SMEs is forecasted to grow from the current 15.3 % to around 16 % of the Cooperation Programme by 2013. This represents 5.2 billion €. For the five Specific Programmes of FP7, an extrapolation exercise forecasts the total budget going to SMEs in FP7 to amount to 7 billion €, benefiting 17,000 SMEs in ca. 28,400 SME participations. This estimation is based on the average multiple participations by SMEs in FP7. The recurrence rate, being the average number of projects in which an organisation is involved, has increased to an average of 1.6 Grant Agreements per SME - demonstrating a positive trend in the interest of SMEs in FP7 - and might increase further.

During the last months of 2011, there has been a significant increase in the budget share going to SMEs (Graphs 2 and 3). The SME strengthening measures in the Work Programmes 2011, in particular the ones of the Thematic Priorities HEALTH and KBBE, appear to be effective.

As a specific feature, this report provides a more detailed analysis of the activity sectors of participating SMEs with a comparison among the relevant Specific Programmes. This analysis shows the different nature of the Specific Programmes: R&D performing SMEs have a high share (30%) in the Thematic Priorities of the Cooperation Programme, while in the programme 'Research for the benefit of SMEs', the manufacturing sector is represented in more than 40% of the participations. In the People Programme, namely the Marie-Curie actions 'Industry Academia Partnerships and Pathways' and 'Initial Training Networks', the R&D performing SMEs represent more than 40% of the participations.

This report also looks into the participation of SMEs of Third Countries in FP7. All in all, there are almost 1,200 SME participations of Third Countries in FP7, which corresponds to almost 10% of all the SME participations.

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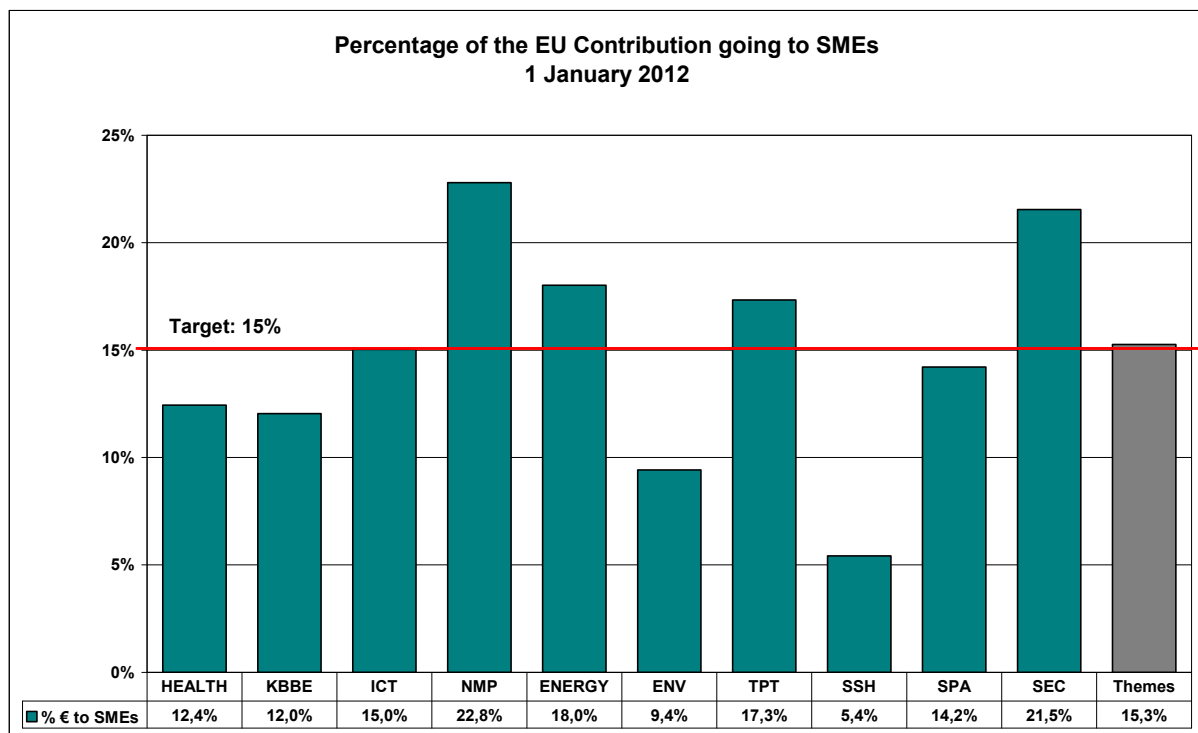
<sup>1</sup> "Decision no 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for Research, Technological Development and Demonstration activities (2007-2013)" – Annex1, I. Cooperation

The report analyses the participation of SMEs in each of the ten Thematic Priorities within the Cooperation Programme of FP7. The Themes are listed with their acronyms and description in Table 1.

**Table 1: The ten Cooperation Programme Themes in FP7: Acronym and description**

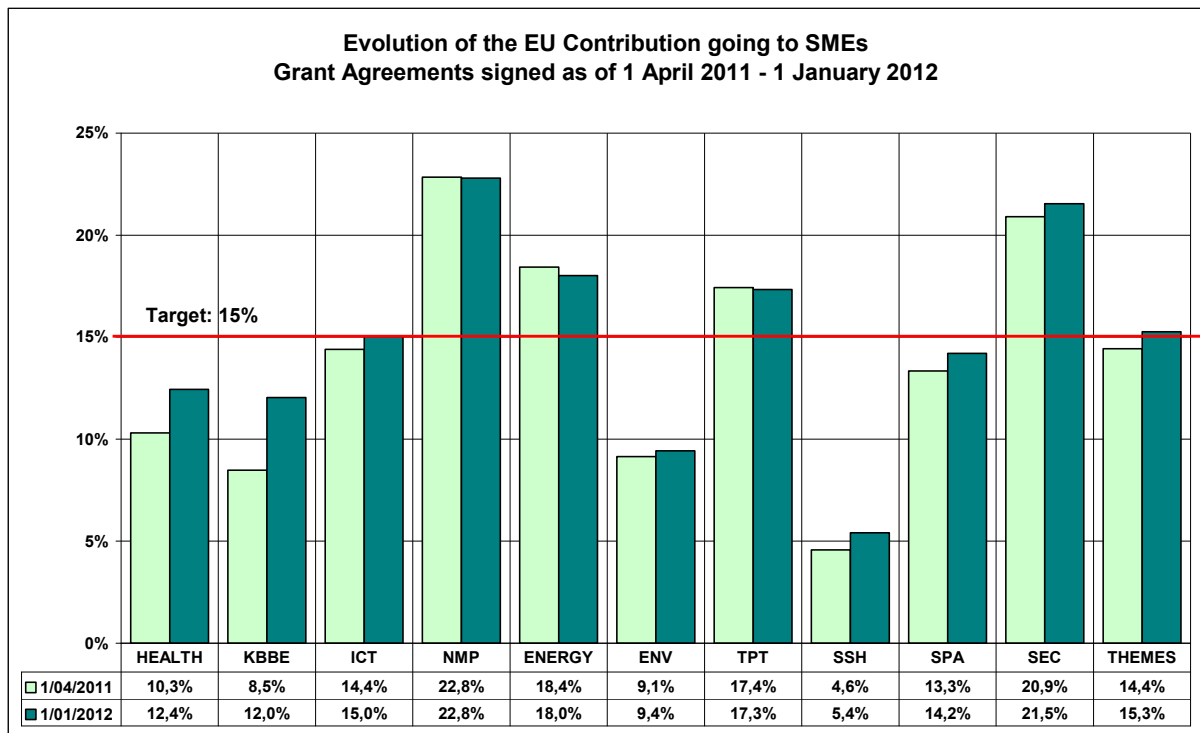
Theme acronym	Theme description
HEALTH	Health Research
KBBE	Food, agriculture, fisheries and biotechnology
ICT	Information and communication technologies
NMP	Nanosciences, nanotechnologies, materials and production technologies
ENERGY	Energy Research
ENV	Environment Research (including climate change)
TPT	Transport Research (including aeronautics)
SSH	Socio-economic Sciences and Humanities Research
SPA	Space Research
SEC	Security Research

***EU Contribution going to SMEs, per Theme, versus the 15% target  
Grant agreements signed during the years 2007 to 2011***

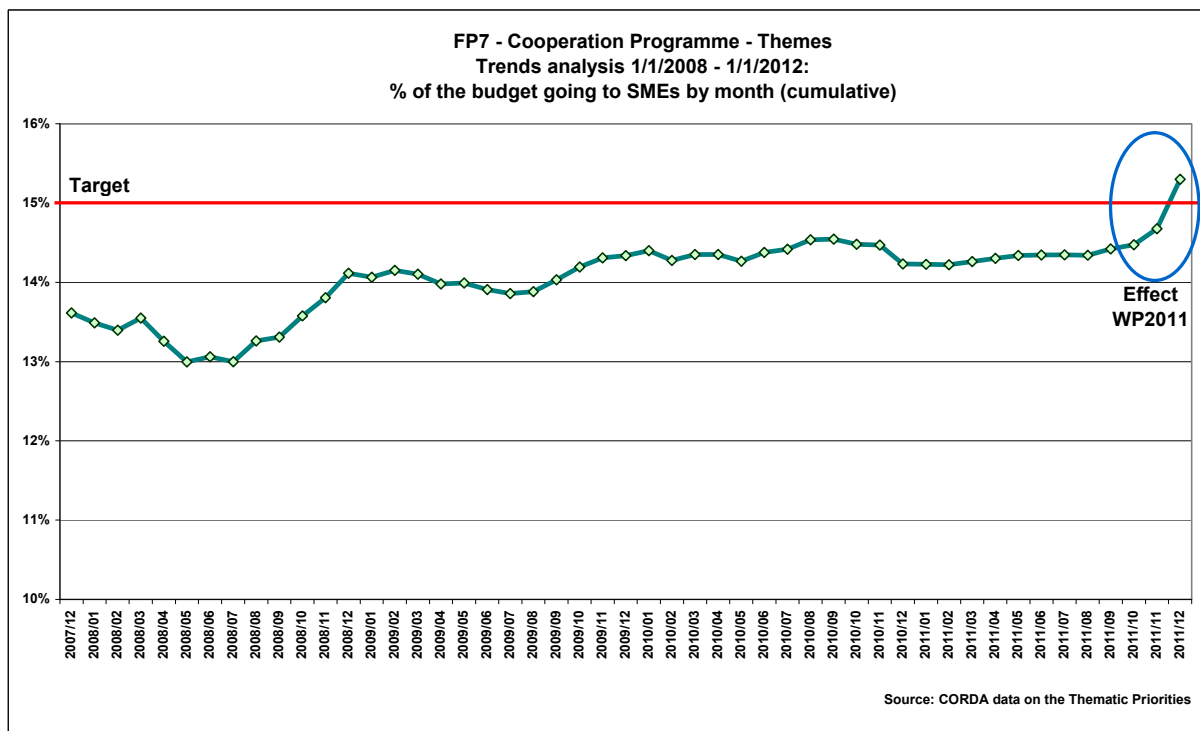


**Graph 1: The share of the EU Contribution going to SMEs, for each Theme within the Cooperation Programme (2007-2011)**

## Evolution of the SME participation in the Thematic Priorities



**Graph 2: The evolution of the share of the EU Contribution going to SMEs, for each Theme within the Cooperation Programme, since the previous report**



**Graph 3: Trends analysis**  
Evolution of the percentage of the budget going to SMEs over 48 months (cumulative).  
Period: January 2008 – December 2012



## ***The effect of the SME targeted measures in WP 2011 is visible***

The main justification for the overall increase of the EU contribution in the Thematic Priorities are the efforts done by the Thematic Priorities to include SME targeted measures.

The 2011 Work Programmes (WPs) covered a range of new SME friendly issues for most of the Themes . These include, for instance, SME specific topics, SME specific calls and earmarked budgets for SMEs.

As of the 1<sup>st</sup> of January 2012, 620 Grant Agreements (GAs) are signed based on calls published in the 2011 Workprogrammes.

These represent

- 20.1% SMEs participations (versus 17% overall)
- 18.7% of the EU contribution goes to SMEs

This is a clear improvement compared to the GAs signed under 2007-2010 WPs, for which the results were

- 16.7% SMEs participations
- 14.4% of the EU contribution went to SMEs

# Chapter 1. Key Figures on the SME participation in FP7

## Overall overview FP7

The Seventh Framework Programme has five Specific Programmes. The table below summarizes overall and SME related key figures for each of these Specific Programmes.

**Table 2: Key figures for the SME participation in the five Specific Programmes of the Seventh Framework Programme for Research (in Grant Agreements signed by 1 January 2012)**

Specific Program Description	Signed GAs	Nr of SMEs Participations	Number of all Participations	Nr of Individual Participants	EU Contribution Going to SMEs	EU Contribution to all	Percentage of the budget going to SMEs
SP1-Cooperation (°)	4,700	9,220	51,833	15,128	2,477,073,659	16,294,380,911	15.2% (°)
<i>Thematic Priorities</i>	<b>4,441</b>	<b>8,885</b>	<b>50,530</b>	<b>14,826</b>	<b>2,406,993,953</b>	<b>15,757,255,689</b>	<b>15.3%</b>
<i>Other</i>	259	335	1,303	302	70,079,706	537,125,222	13.0%
SP2-Ideas	2,250	10	2,535	526	9,312,125	3,595,507,727	0.3%
SP3-People	5,929	684	10,711	2576	166,624,561	2,397,302,677	7.0%
SP4-Capacities	1,296	3,825	12,367	6,190	774,126,381	2,499,300,117	31.0%
SP5-Euratom	102	88	1,376	443	13,667,082	243,187,920	5.6%
<b>FP7-OVERALL</b>	<b>14,277</b>	<b>13,827</b>	<b>78,822</b>	<b>20,087 (°°)</b>	<b>3,440,803,807</b>	<b>25,029,679,351</b>	<b>13.7%</b>

Source: *CORDA. Data about Grant Agreements signed before the 1<sup>st</sup> of January 2012 (extracted 9 January 2012)*

(°) Includes besides the Thematic Priorities also the grants to ESA, the Joint Technology Initiatives (JTIs) and the General Activities such as the CORDIS services, the horizontal ERA-NET scheme, Research organisations in the EU, Strengthened coordination with EUREKA, Scientific and technological cooperation activities carried out in COST, the European Metrology Research Programme.

(°°) 20,087 organisations (individual) participate in FP7 (over the five Specific Programmes): The sum of the individual participations in each of the Specific Programmes is 24,863, but around 4,800 organisations participate in more than one Specific programme.

### Note:

This Report on the SME Participation in FP7, focuses mainly on the Thematic Priorities, i.e. the Cooperation Programme, excluding the grant to ESA, the Joint Technology Initiatives (JTIs) and the General Activities such as the CORDIS services, the horizontal ERA-NET scheme, Research organisations in the EU, Strengthened coordination with EUREKA, Scientific and technological cooperation activities carried out in COST, the European Metrology Research Programme.

The participation of SMEs in the Joint Technology Initiatives (JTI's) were analysed in the previous SME Progress Report, published in June 2011.

## Chapter 2. SME Participation in the Cooperation Programme (Thematic Priorities)

### Core data per Theme

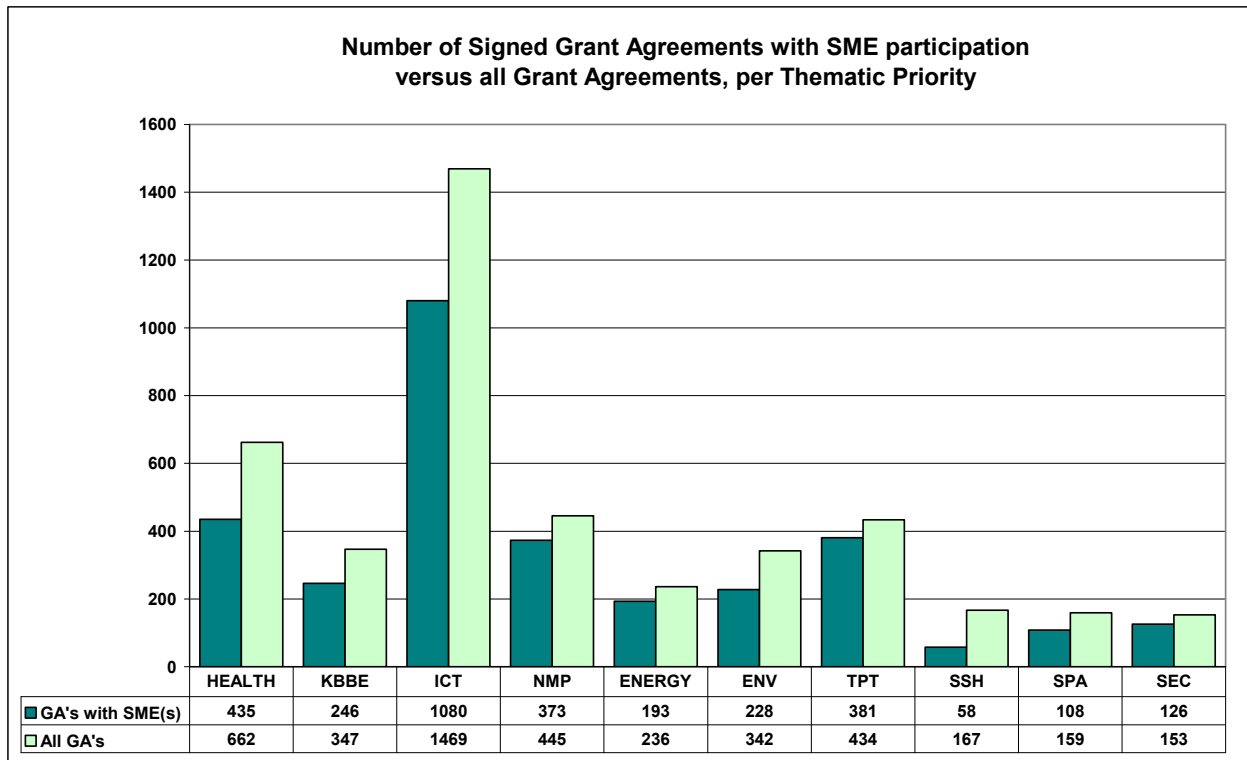
Table 3: Key data on SME participation in Grant Agreements for the ten Thematic Priorities

Theme	Participation				EU contribution						
	Signed GAS	SMEs Participations	Number of all Participations	% of SME Participations	EU Contribution going to SMEs	EU Contribution to all	Average EU Contribution per SME participation	Average EU Contribution per participant	% of EU Contribution going to SMEs	Average Size of Consortia	Average Nr of SMEs
HEALTH	662	998	7,469	13.4%	376,515,877	3,009,175,660	377,270	402,889	12.5%	11.3	1.5
KBBE	347	800	4,906	16.3%	133,754,839	1,110,692,262	167,194	226,395	12.0%	14.1	2.3
ICT	1,469	2,555	14,226	18.0%	748,586,547	4,979,661,152	292,989	350,039	15.0%	9.7	1.7
NMP	445	1,509	5,554	27.2%	398,708,526	1,748,887,211	264,220	314,888	22.8%	12.5	3.4
ENERGY	236	496	2,644	18.8%	175,441,936	973,995,510	353,714	368,380	18.0%	11.2	2.1
ENV	342	566	4,793	11.8%	100,125,971	1,062,269,441	176,901	221,629	9.4%	14.0	1.7
TPT	434	1,208	5,793	20.9%	267,268,029	1,540,750,528	221,248	265,968	17.3%	13.3	2.8
SSH	167	90	1,639	5.5%	16,445,090	303,778,505	182,723	185,344	5.4%	9.8	0.5
SPA	159	286	1,692	16.9%	60,690,116	427,110,698	212,203	252,429	14.2%	10.6	1.8
SEC	153	377	1,814	20.8%	129,457,021	600,934,722	343,387	331,276	21.5%	11.9	2.5
THEMES	4,414	8,885	50,530	17.6%	2,406,993,953	15,757,255,689	270,905	311,840	15.3%	11.4	2.0

See note page 4

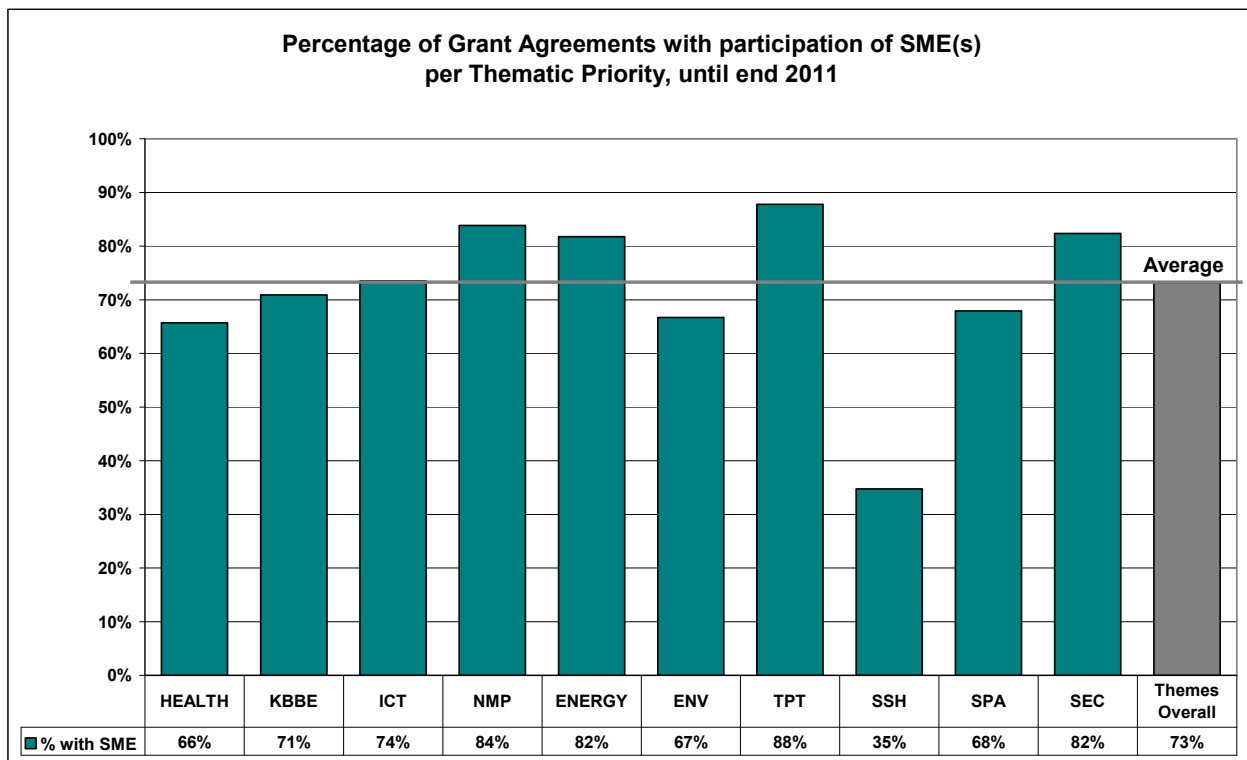
## Number of signed Grant Agreements per Theme

Number of all signed Grant Agreements (GA's) and of GA's with at least one SME participation, per Theme



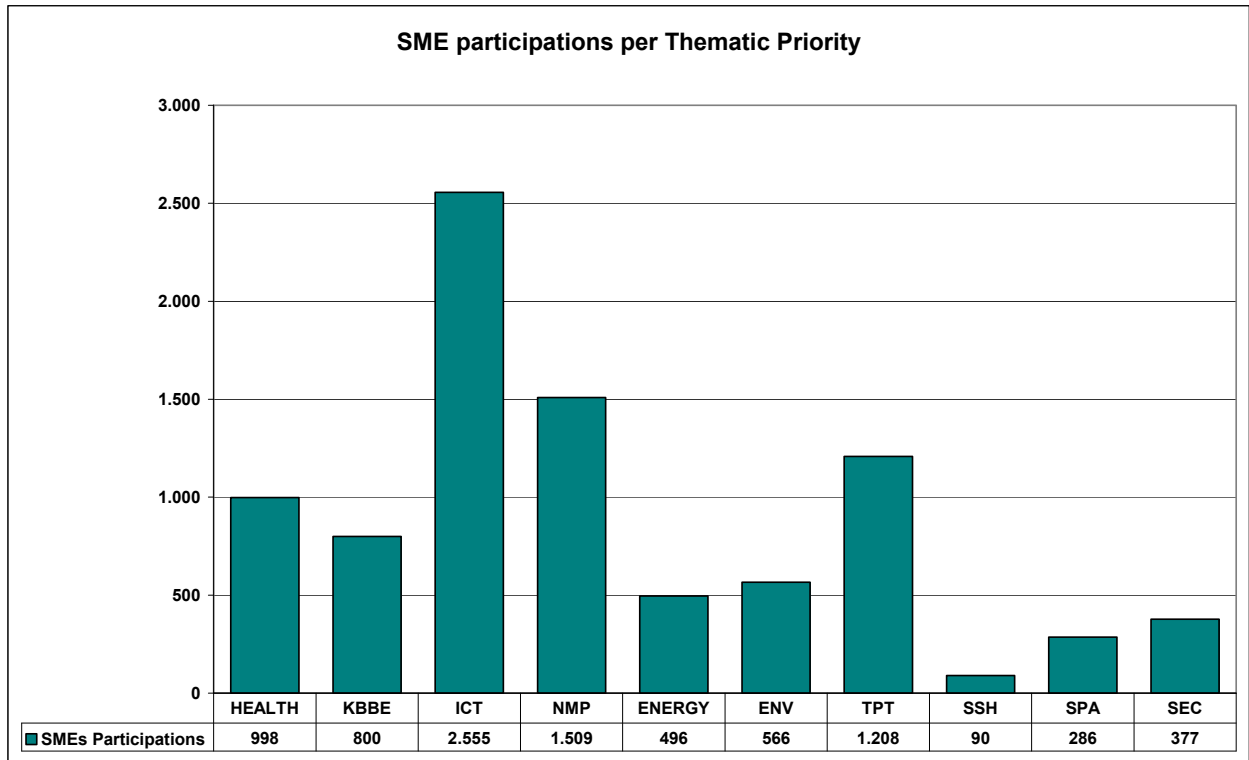
Graph 4: FP7-Cooperation Signed Grant Agreements per Theme, 2007-2011  
Grant Agreements with SME participation versus all Grant Agreements

## Percentage of Grant Agreements with at least one SME, per Theme



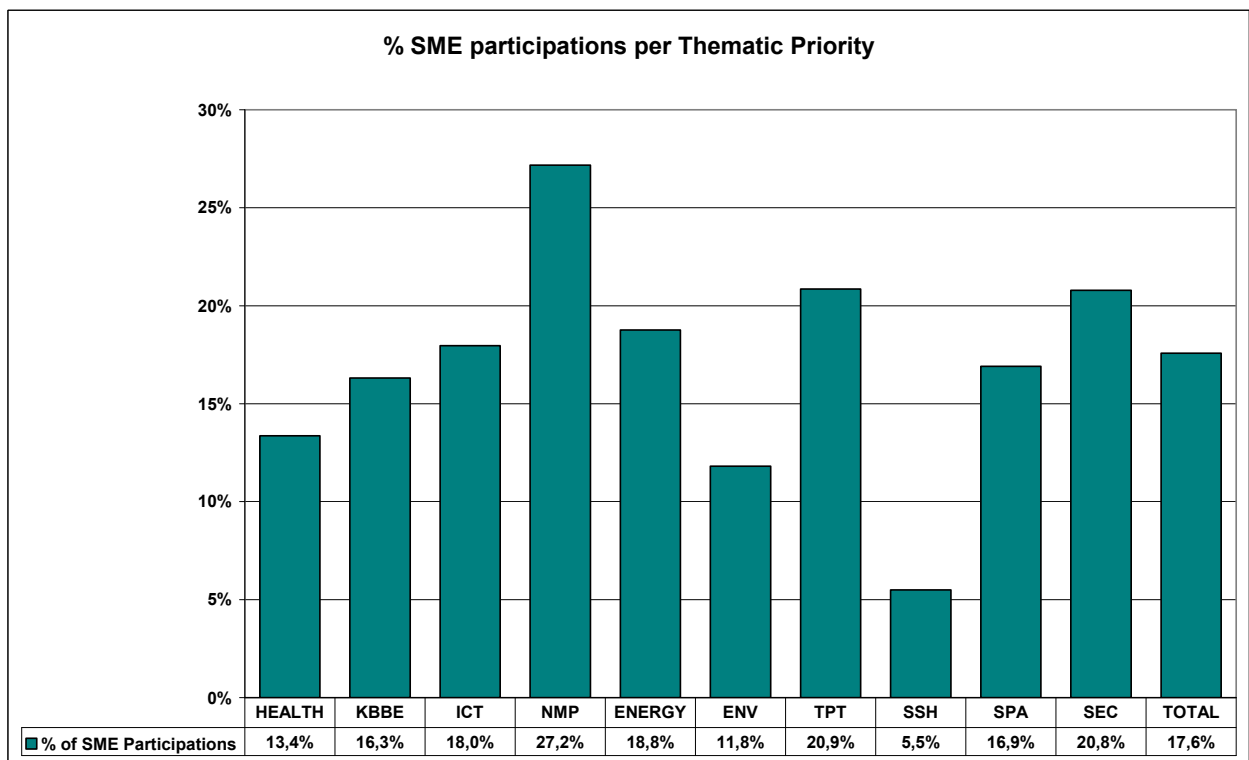
Graph 5: FP7-Cooperation Signed Grant Agreements per Theme, 2007-2011  
Percentage of Grant Agreements with at least one SME

## SME Participations per Theme



Graph 6: SME participations per Theme (1 January 2012)

## SME Participations per Theme in Percentage



Graph 7: Participations per Theme – SMEs versus all – in percentage (1 January 2012)

## Recurrence rate of SMEs in different projects

The recurrence rate of an organisation is the average number of projects in which an organisation participates.

### Recurrence within the Thematic Programmes (47,000 participations)

The Thematic Programmes have about

- 50,500 participations
- 14,800 organisations (individual participants)
- 8,900 SME participations
- 5,700 individual SMEs

#### SMEs

SMEs often participate in more than one research project. On average, within the same Theme, an SME participates in 1.4 projects.

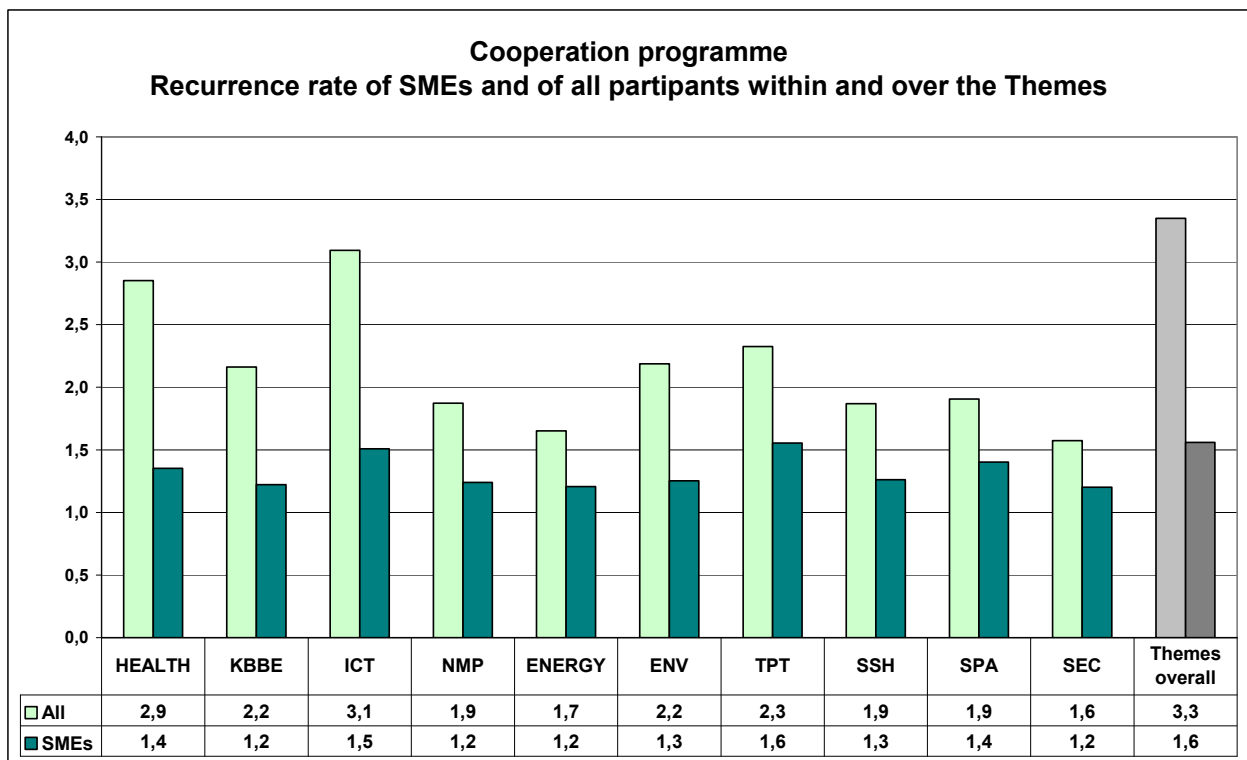
There are also SMEs which participate in projects of different Themes.

Overall, the global 'recurrence rate' of SMEs in the Cooperation Programme (over the ten Thematic Priorities) is 1.6. This means that, on average, an SME participates in 1.6 projects.

#### All organisations

On average an organisation involved in the Cooperation Programme participates in 2.3 projects.

Organisations regularly are active in more than one Thematic Priority (e.g. universities), by which on average a participating organisation is involved 3.3 Grant Agreements within the Cooperation programme.



**Graph 8: Recurrence rate of SMEs and all participants within and over the Themes (Cooperation Programme)**

**Recurrence within all Specific Programmes of FP7 (78,800 participations)**

The five Specific Programmes have about

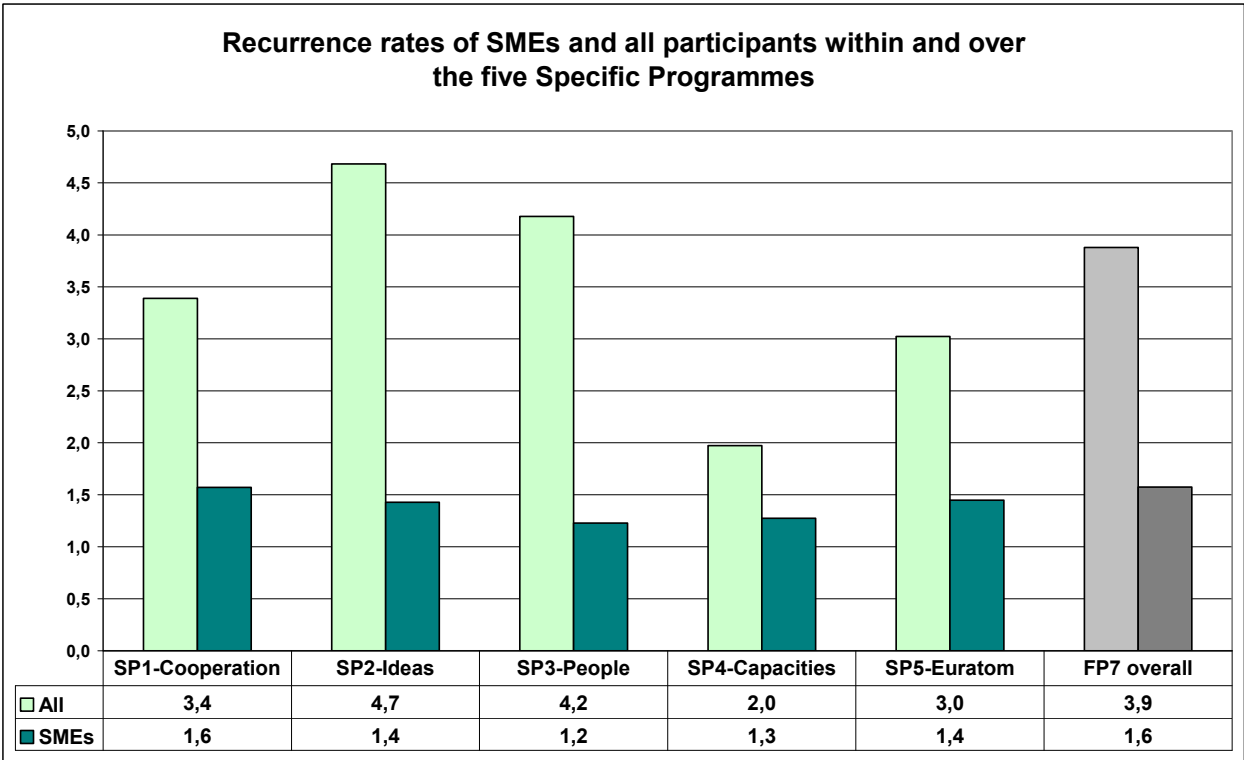
- 78,800 participations
- 20,100 organisations (individual participants)
- 13,800 SME participations
- 9,400 individual SMEs

**SMEs**

On average, SMEs involved in FP7 have a participation in 1.6 Grant Agreements.

**All organisations**

Over the whole of FP7, an organisation participates on average in 3.9 Grant Agreements.



**Graph 9: Recurrence rate of SMEs and all participants within and over the FP7 Specific Programmes**

## **SMEs use 9% of the budget for demonstration activities**

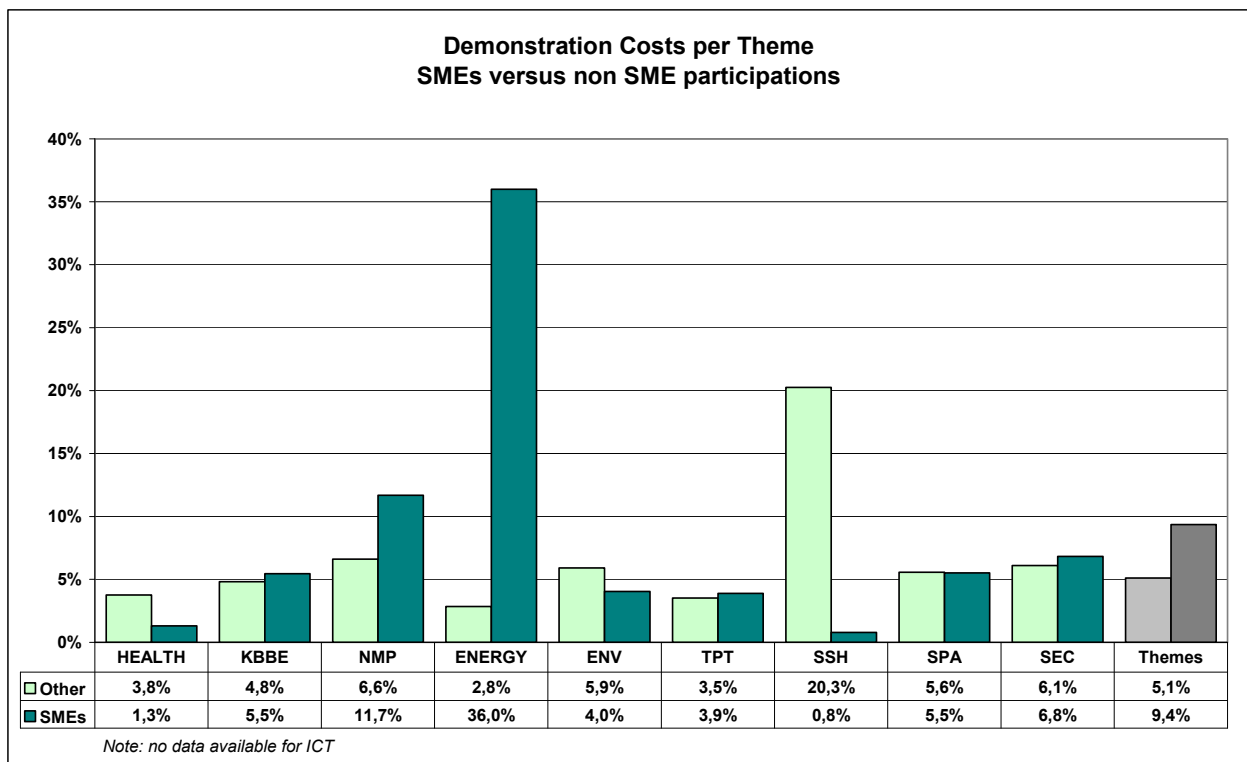
Demonstration activities within Thematic research projects are designed to prove the viability of new technologies that offer a potential economic advantage, but cannot be commercialised directly (e.g. testing of product-like prototypes).

An indication of demonstration activities is given by the demonstration costs in the Grant Agreements.

For the projects signed so far, there is a significant higher number of demonstration activities performed by the SME partners than other participants.

9.4% of the SME costs are allocated to demonstration activities, while this is only 5.1% for participants which are not SMEs. Especially in ENERGY and NMP there is a high share of demonstration costs (see Graph 10).

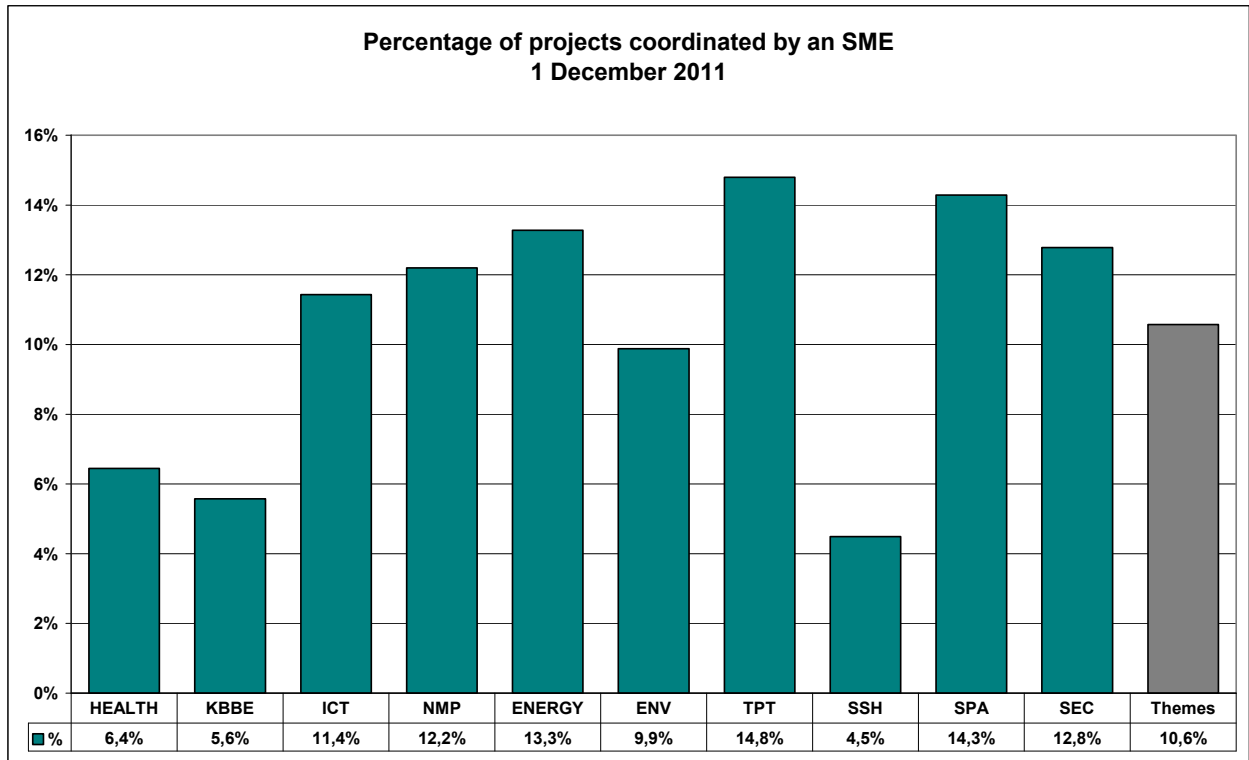
The overall expenditure for demonstration activities in the Thematic Priorities of the Cooperation Programme is 6.1%.



**Graph 10: Share of demonstration activities' cost per Theme – SMEs versus non SMEs**

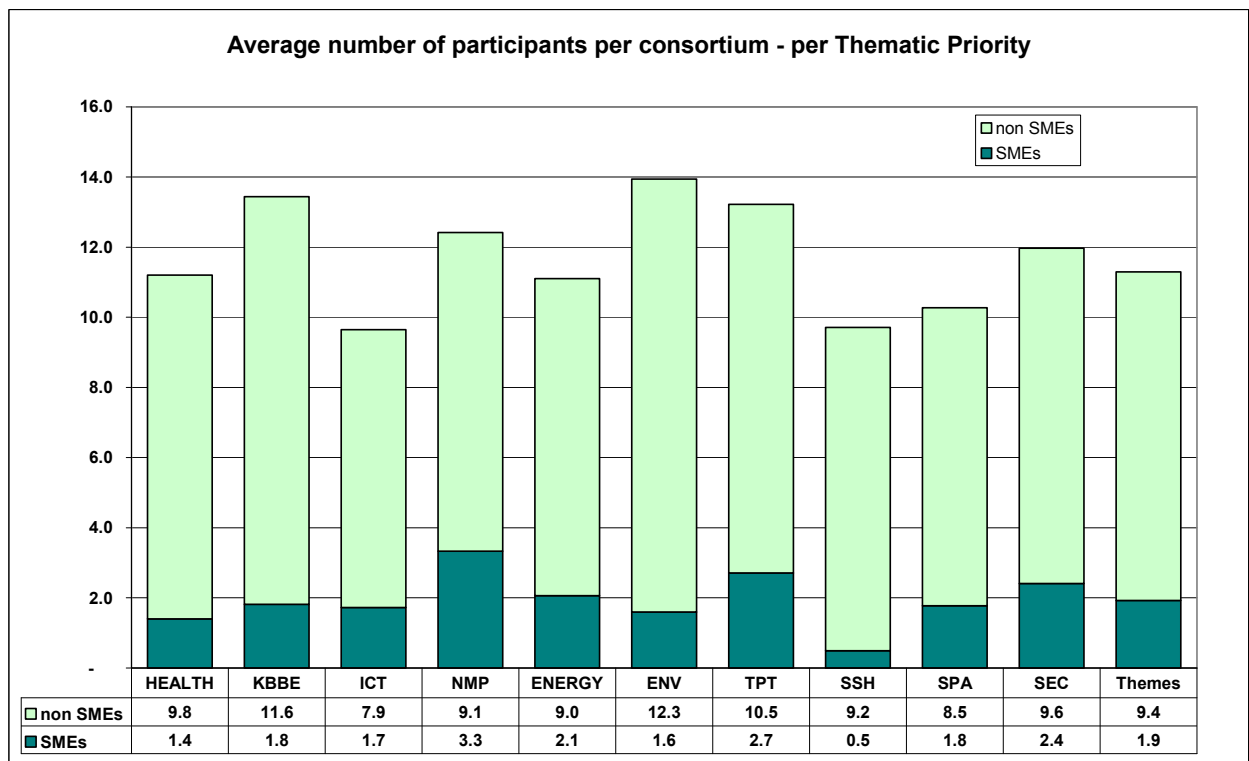


**10% of the FP7 projects are coordinated by an SME**



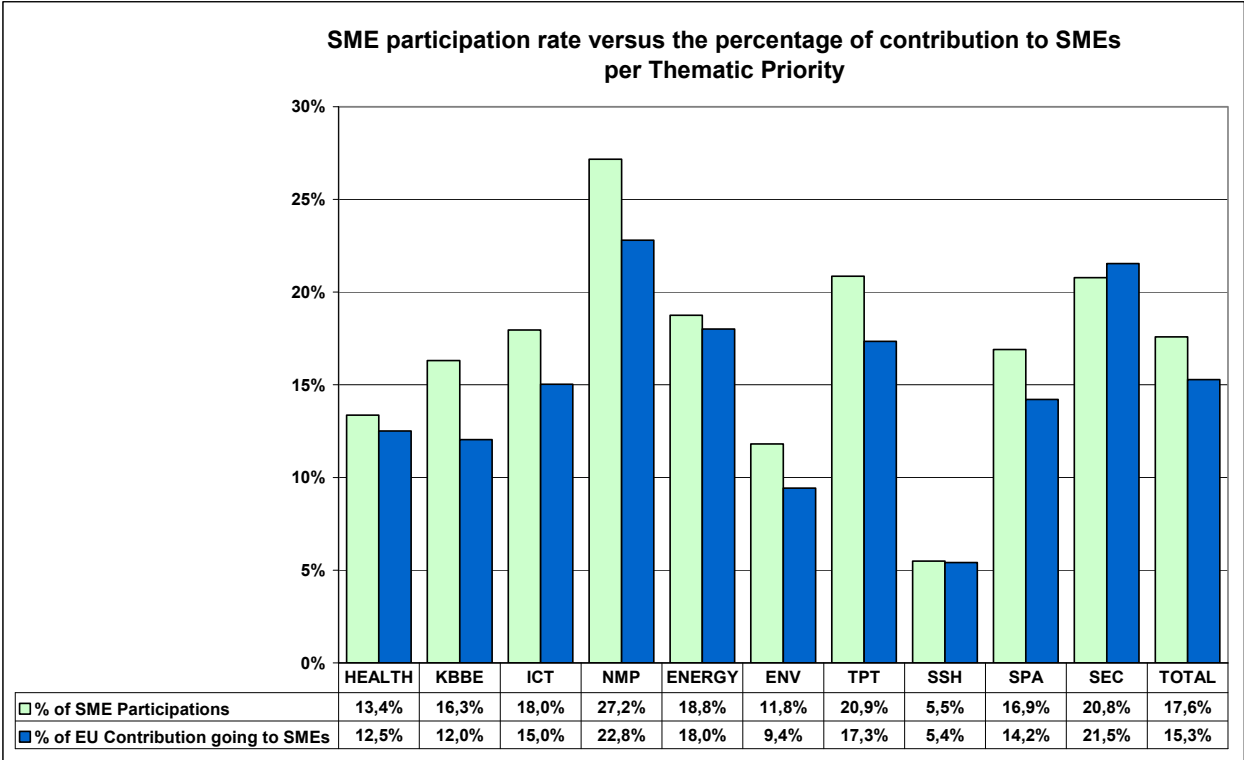
**Graph 11: SME Coordinators per Theme in percentage of the projects**

**Consortia in average have 11 participants, including 2 SMEs**



**Graph 12: Average number of participants per project per Theme – SMEs versus all**

# Comparison of the SME participation rate and the EU contribution rate to SMEs

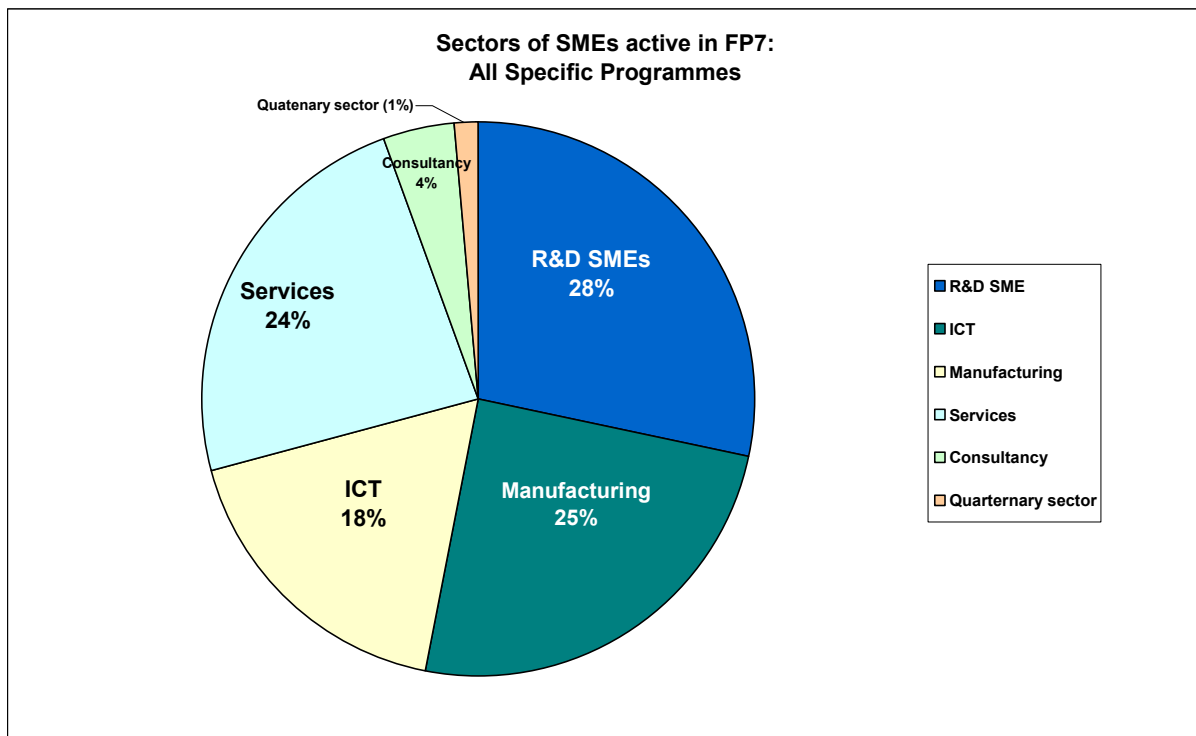


Graph 13: SME Participation rate versus EU Contribution rate to SMEs (1 January 2012)

### Chapter 3. Profile of the participating SMEs

For almost 7,000 SME participations in FP7 a NACE code is available. The 51 NACE codes used were grouped in six main sectors of activity:

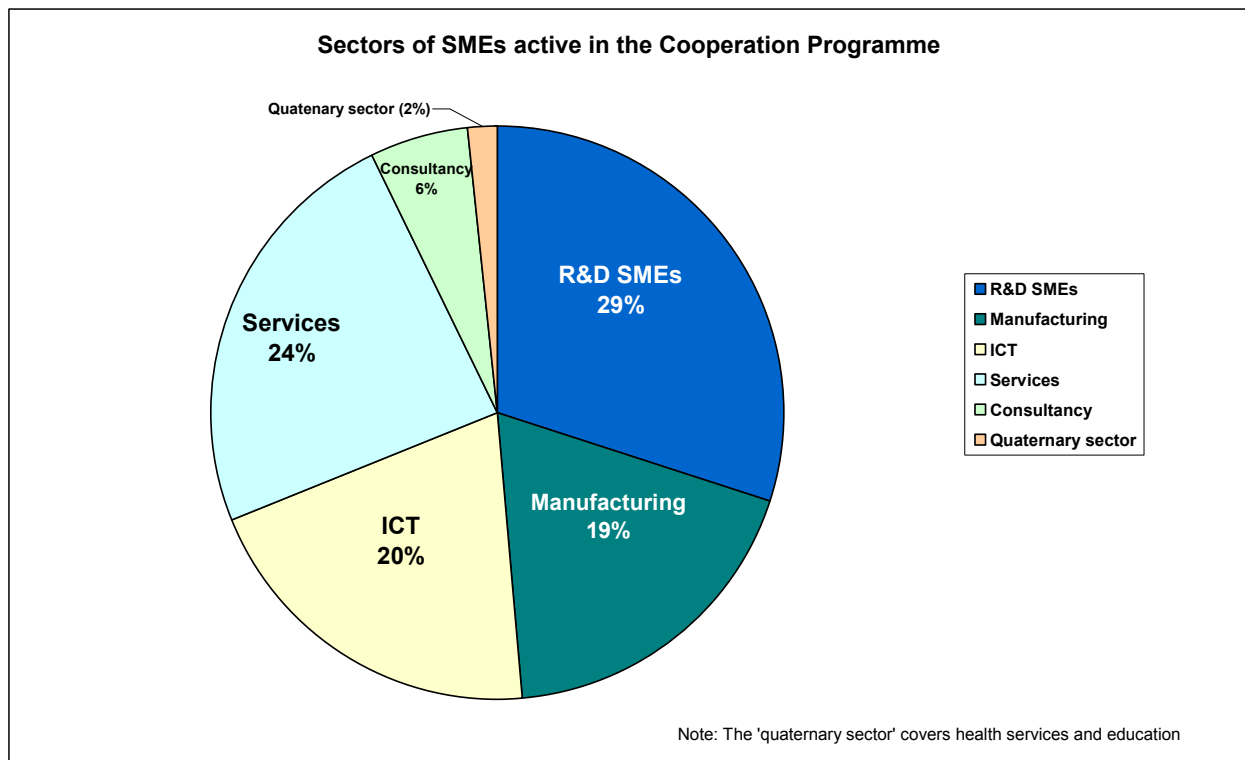
1. **R&D SMEs:** the SMEs with codes 73.1 or 73.2 (meaning 'Research and experimental development on ...')
2. **Manufacturing sector**
3. **ICT sector:** computer and related activities, including telecommunication
4. **Service sector,** covering all service activities except consultancy services. This sector covers wholesale and retail trade; repair of motor vehicles, motorcycles, ships and personal and household goods; electricity, gas and water supply; transport, storage and communication, real estate and renting activities.
5. **Consultancy SMEs**
6. **Quaternary sector:** covers education and health service SMEs



Graph 14: Sectors of SMEs represented in the FP7 (all Specific Programmes)

## Focus on the SME activity profiles within the Cooperation Programme

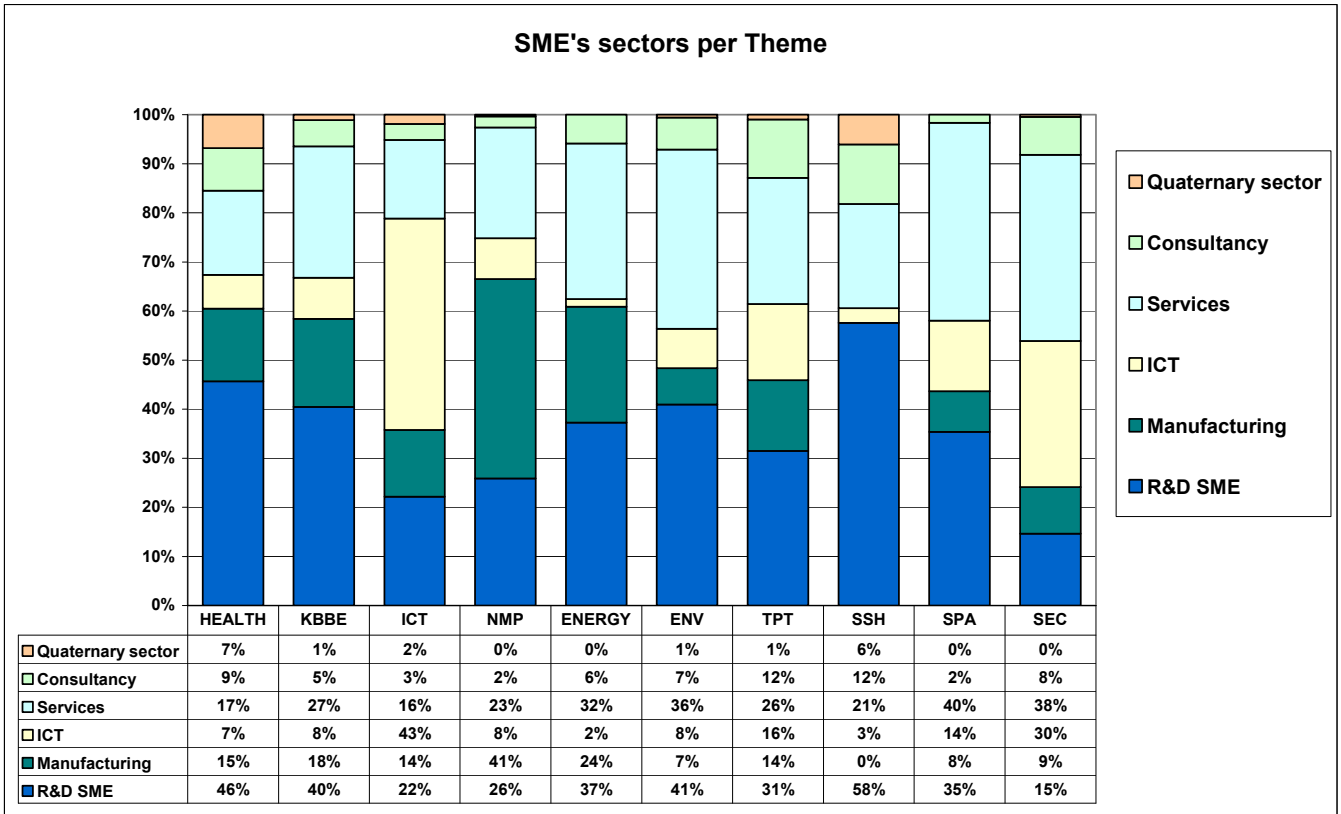
Within the Thematic Priorities, the biggest group is the one of research and development SMEs. Manufacturing, ICT and Services each have a quite equal share.



**Graph 15: Sectors of SMEs represented in the Cooperation Programme**

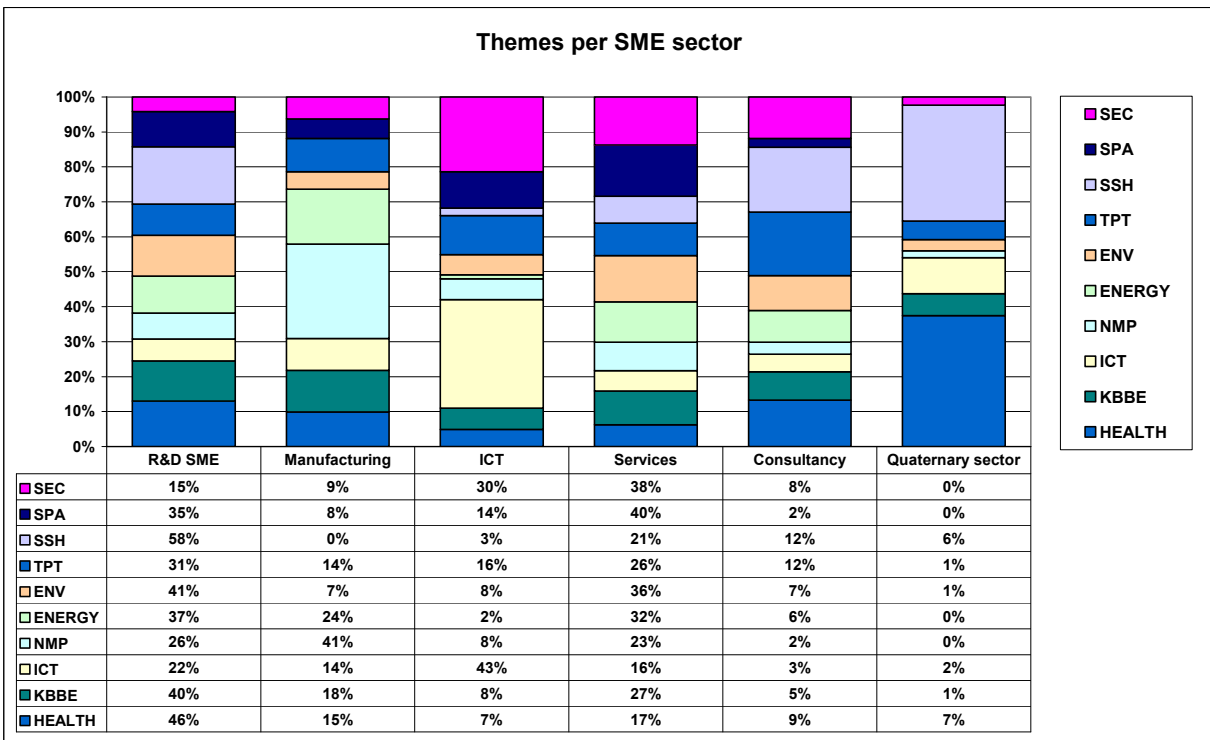
The division of participations from different sectors was analysed versus the Thematic Priorities.

- The **R&D sector**, counting for 29% of all SME participations within the ten Thematic Priorities, is active in each of the 10 Thematic Priorities. However, the R&D SMEs are especially present (between 30 and 60% of the participations) in the Themes SSH, HEALTH, KBBE, ENERGY, SPA and TPT
- The **Manufacturing sector** is – not surprisingly – highly active in the NMP theme (41%). However, this sector is active in all Themes with the exception of SSH, with a total share of 19% of the participations over the ten Themes.
- Besides a very high share of SMEs in the ICT Theme (43%), the **ICT sector** also has a good presence in the Security Theme (30%). Only in the SSH and ENER themes ICT companies are not quite active. On average 20% of the SMEs are from the ICT sector.
- Also the **Service sector**, good for 24% of the participations, is very active in each of the themes (between 17 and 41%), mostly in SPA, SEC and ENV.
- With an overall participation of 6%, the **Consultancy sector** is less present.
- Finally, the **Quaternary sector** counts only for 2% of the participations, but in the HEALTH programme and in SSH, the SMEs of this sector counts for more than 6%.



Graph 16: Per Theme – overview of the sectors represented by the SME participations

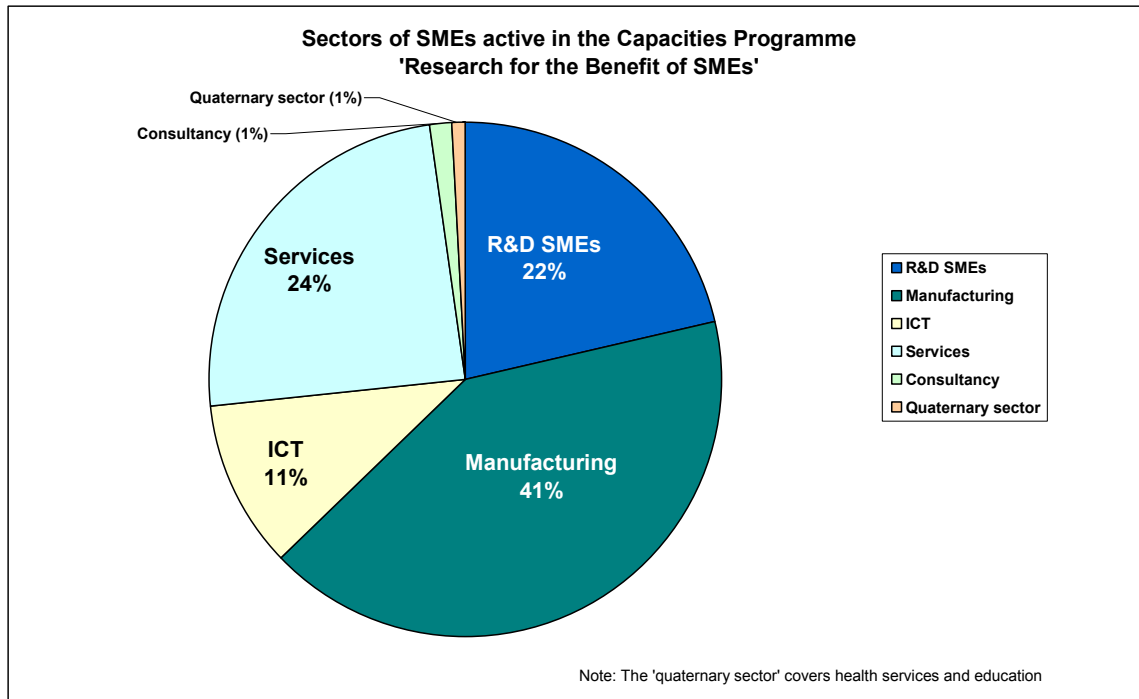
The following graph gives a similar view, looking the other way around, and shows the division of the sector participations over the ten Themes.



Graph 17: Per sector – overview of the Themes in which SMEs of the sector participate

## SME activity profiles within the other Specific Programmes

### Capacities Programme – Research for the benefit of SMEs

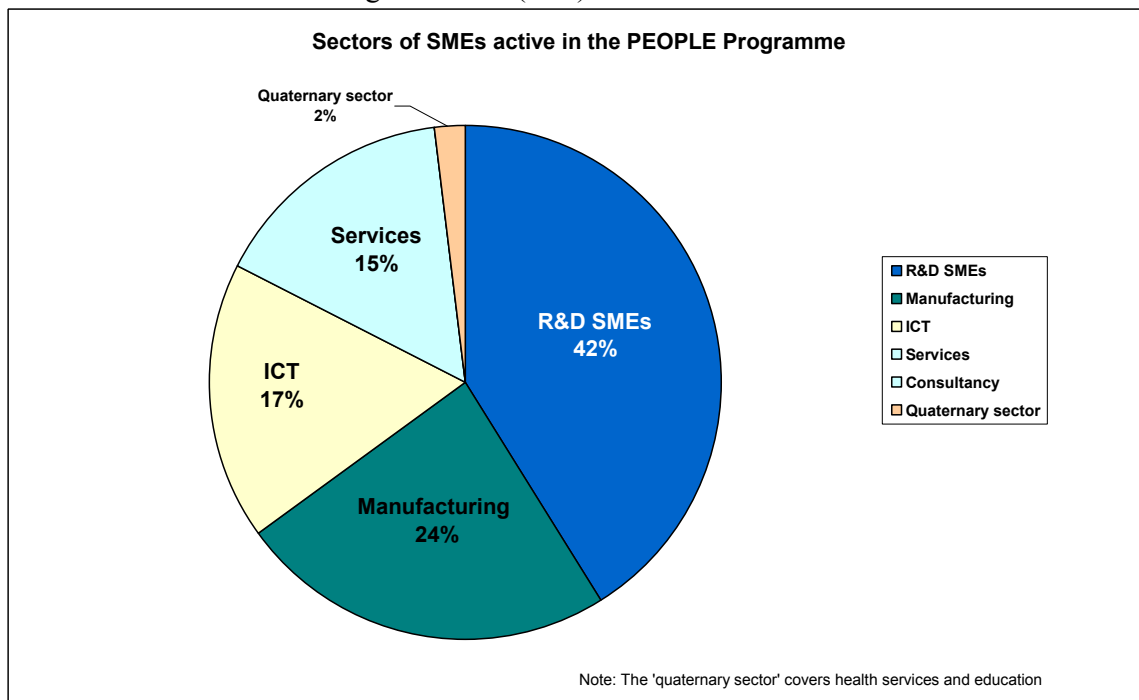


**Graph 18: Sectors of SMEs represented in the Capacities Programme**

### People Programme

SMEs in two Marie Curie actions:

- Industry Academia Partnerships and Pathways (IAPP)
- Initial Training Networks (ITN)

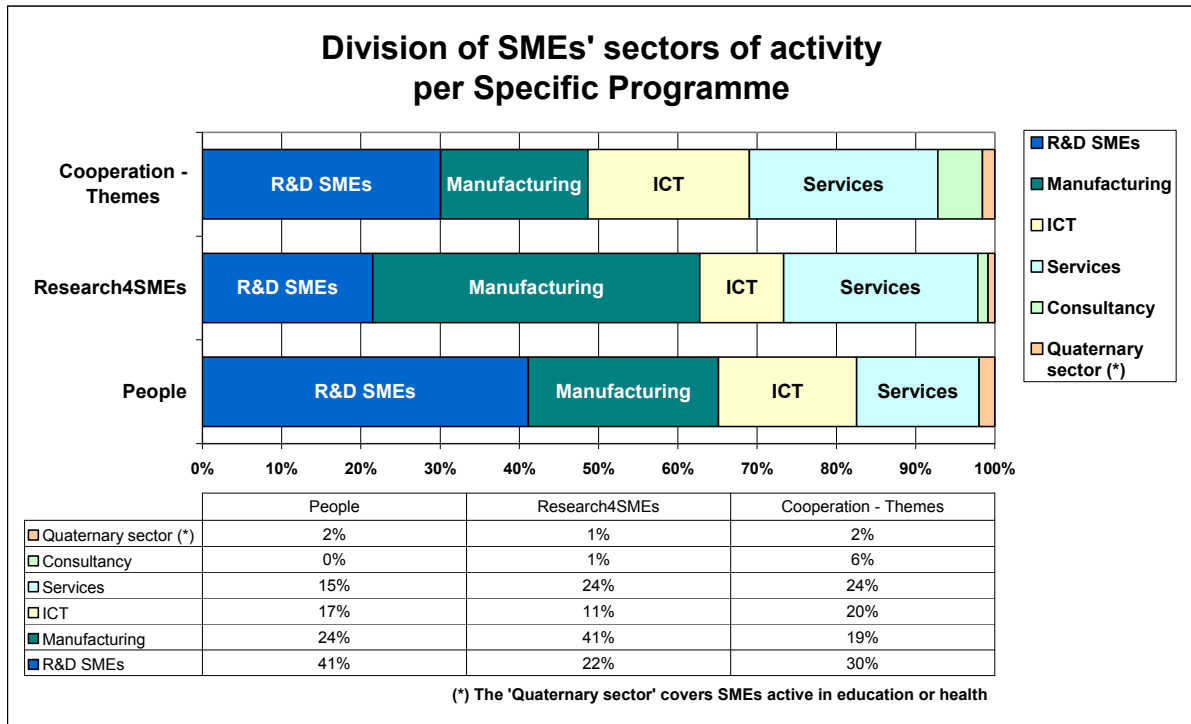


**Graph 19: Sectors of SMEs represented in the People Programme**

## Comparison of SME activity profiles between the different Specific Programmes

An additional analysis was carried out to compare the three SME-relevant Specific Programmes. The graph below confirms that R&D SMEs are more active in the Cooperation programme than in the action 'Research for the benefit of SMEs'. The latter attracts more manufacturing companies (41% of the participations).

In the Marie Curie actions IAPP and ITN, 41% of the SMEs involved are 'R&D performing'.



**Graph 20: Comparison of the representation of the six main sectors of SMEs between the different Specific Programmes**

# Chapter 4: Country scores versus the 15% target

The graphs in this chapter use country codes. For clarity, the country codes are listed first.

Table 4: ISO Two-digit Country Codes

**EU-15**

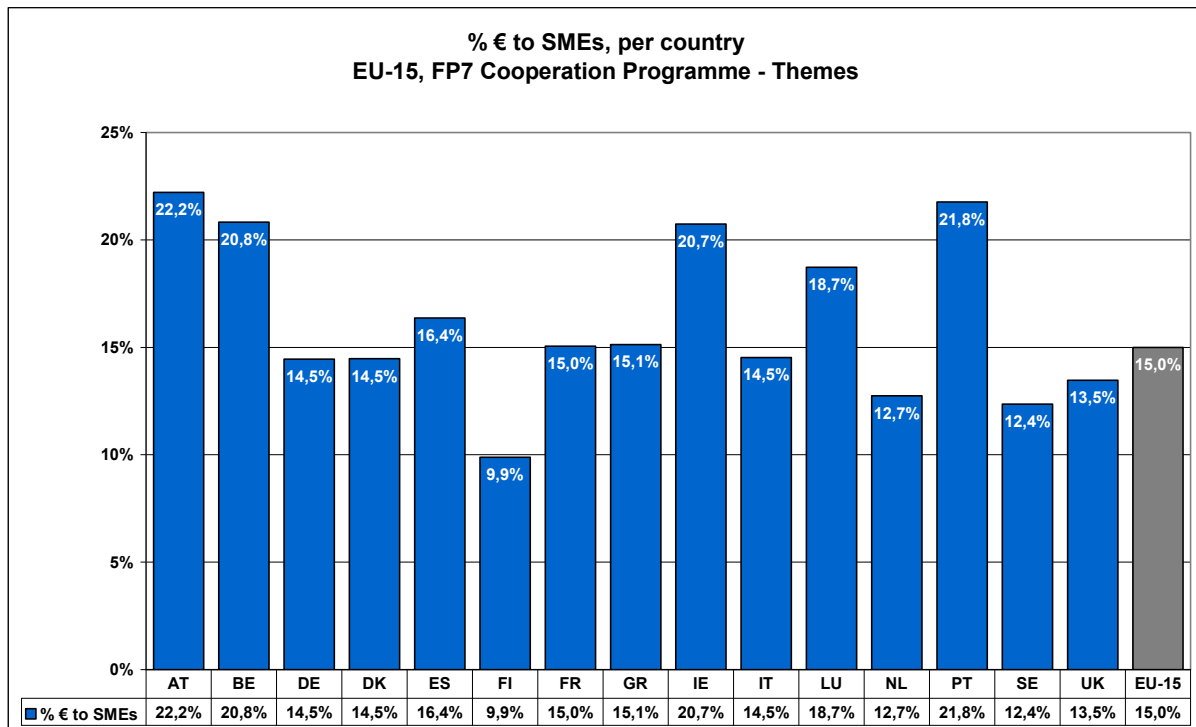
AT	Austria
BE	Belgium
DE	Germany
DK	Denmark
ES	Spain
FI	Finland
FR	France
GR	Greece
IE	Ireland
IT	Italy
LU	Luxembourg
NL	Netherlands
PT	Portugal
SE	Sweden
UK	United Kingdom

**EU-12**

BG	Bulgaria
CY	Cyprus
CZ	Czech Republic
EE	Estonia
HU	Hungary
LT	Lithuania
LV	Latvia
MT	Malta
PL	Poland
RO	Romania
SI	Slovenia
SK	Slovakia

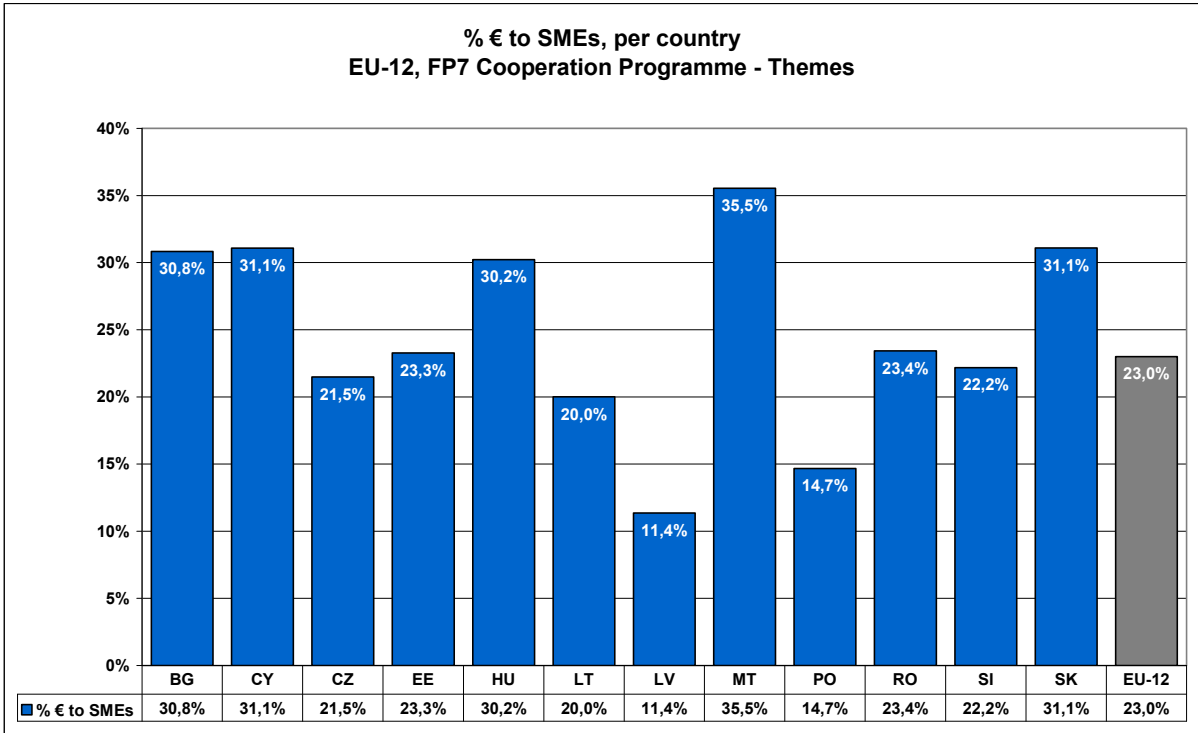
**Associated Countries**

AL	Albania
BA	Bosnia and Herzegovina
CH	Switzerland
FO	Faroe Islands
FYROM	Former Yugoslav Republic of Macedonia
HR	Croatia
IL	Israel
IS	Iceland
LI	Liechtenstein
ME	Montenegro
NO	Norway
RS	Serbia
TR	Turkey

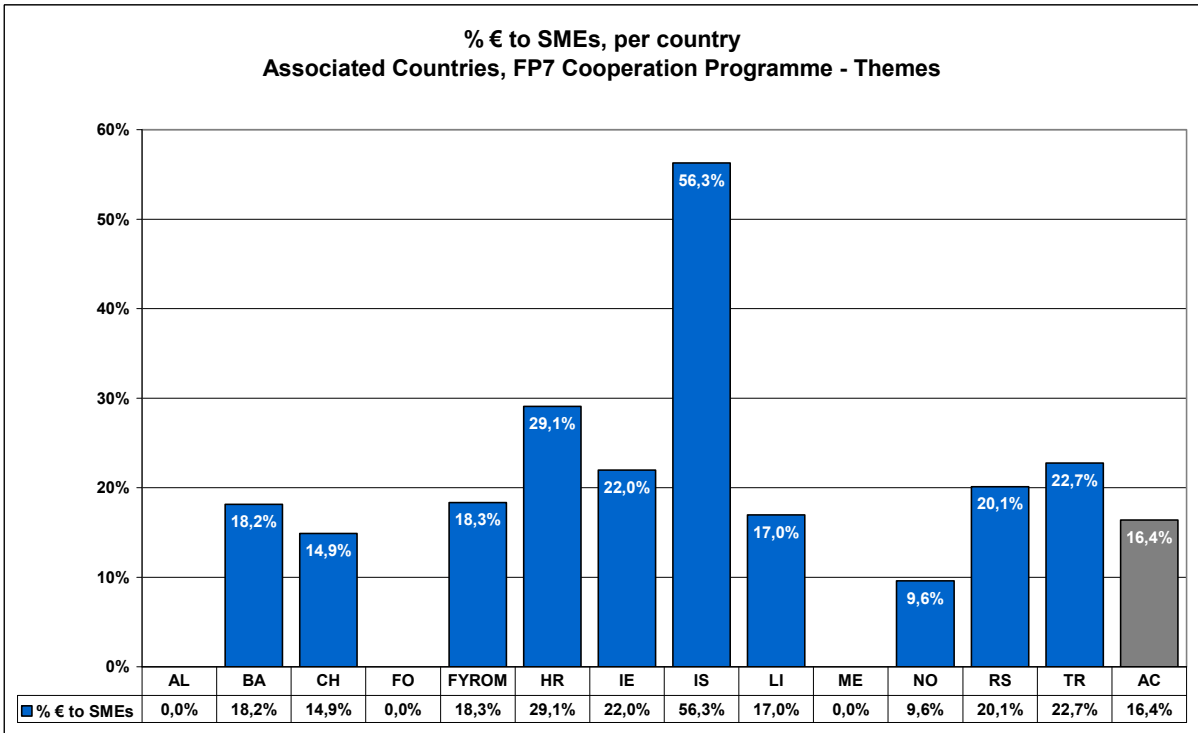


Graph 21: Themes: Percentage of EU Contribution going to SMEs – per Member State (EU-15)





**Graph 22: Themes: Percentage of EU Contribution going to SMEs – per Member State (EU-12)**



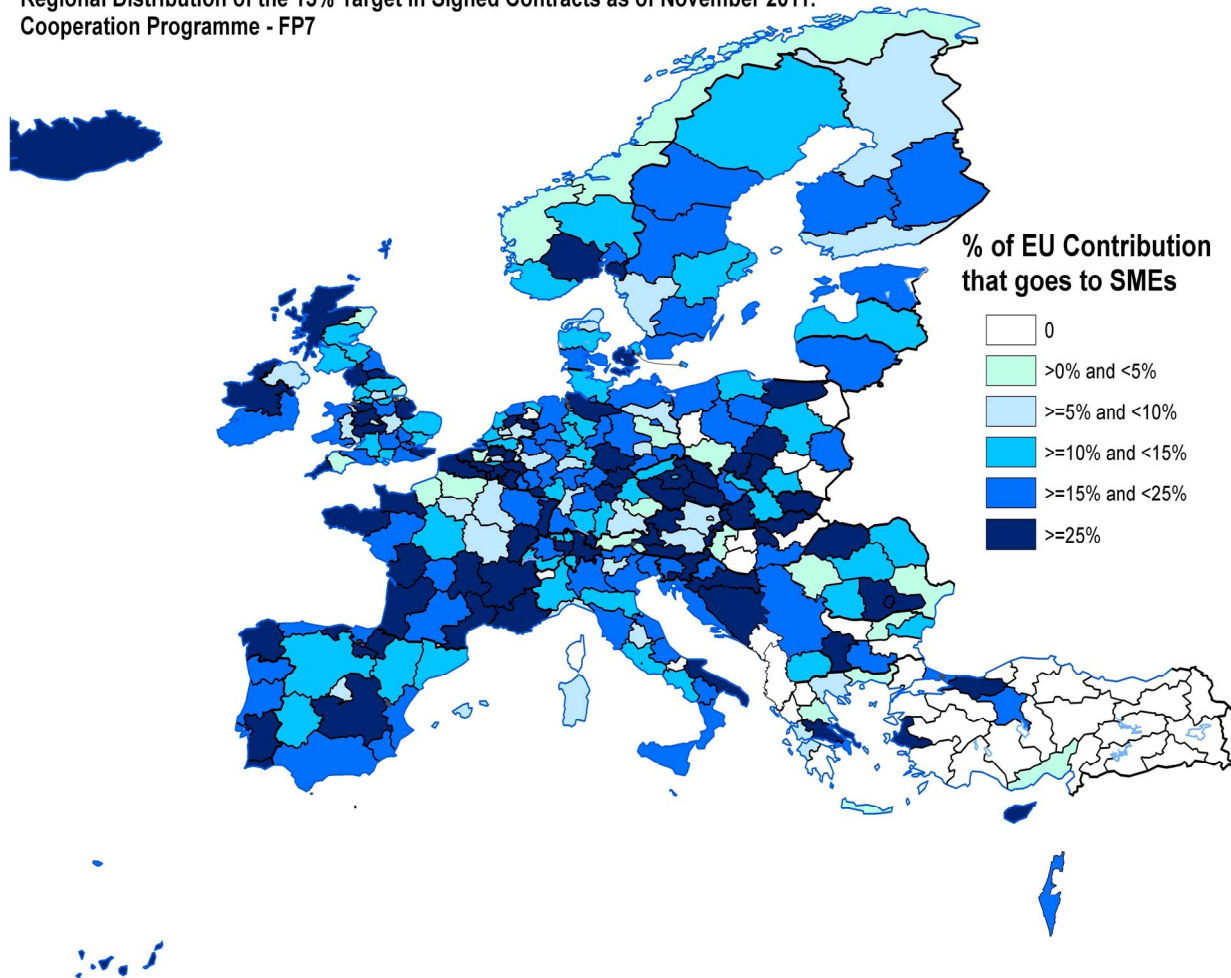
**Graph 23: Themes: EU Contribution to SMEs for the Associated Countries**

## The 15% target in a regional map<sup>2</sup>

The SPRING 2011 release of the Report on the 'SME Participation in FP7'<sup>3</sup> included, besides a series of regional maps on the SME participation in the Cooperation programme, tables with data on the participation per region in the Member States. This detailed analysis has not been done in this version.

In this report, the regional analysis is limited to the following map based upon the situation in November 2011, which presents EU regions in relation to the 15% target of the EU contribution going to SME beneficiaries. This map shows a wide variation in the share of SME participation over the regions, within the Member States and in the Associated Countries.

Regional Distribution of the 15% Target in Signed Contracts as of November 2011.  
Cooperation Programme - FP7



Map 1: Cooperation Programme – FP7. Regional analysis of the 15% target in signed contracts as of end November 2011

<sup>2</sup> Analysis November 2011

<sup>3</sup> [http://ec.europa.eu/research/sme-techweb/pdf/smes-in-fp7-spring-2011\\_full-rep\\_en.pdf](http://ec.europa.eu/research/sme-techweb/pdf/smes-in-fp7-spring-2011_full-rep_en.pdf)

## Chapter 5. SME participations from Third Countries in FP7<sup>4</sup>

### Focus on participations from outside the Member States: 10% of the SME participations are from Third Countries

This report looks briefly also into the participation of SMEs of Third Countries in FP7, e.g. the Russian Federation, the United States, India, South-Africa. All in all, there are almost 1,200 SME participations of Third Countries in FP7, which corresponds to almost 10% of all the SME participations.

#### SMEs in FP7: focus on participations from outside the Member States

In total, we have 1,172 SME participations from outside the EU.

The main part of the SME participations from non Member States is from Associated Countries (977), in particular from

- Switzerland (374)
- Norway (235)
- Israel (157)
- Turkey (115)

Put together per group of countries, the SME participations are divided as follows:

**Table 5: Number of SME participations per group of Third Countries**

<b>Country Group Description</b>	<b>SME Participations</b>
Associated Countries	977
ICPC - ACP - Africa	23
ICPC - ACP - Caribbean	1
ICPC - ACP - Pacific	0
ICPC - Asia	36
ICPC - Eastern Europe and Central Asia	65
ICPC - Latin America	23
ICPC - Mediterranean Partner Countries	10
Other countries	37
<b>Total</b>	<b>1,172</b>

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<sup>4</sup> Analysis 15/11/2011

**Table 6: Third Countries with SME participations in FP7– ranked per number of SME participations**

	<b>Country</b>	<b>SME participations</b>
<b>1</b>	<b>Switzerland</b>	<b>374</b>
<b>2</b>	<b>Norway</b>	<b>235</b>
<b>3</b>	<b>Israel</b>	<b>157</b>
<b>4</b>	<b>Turkey</b>	<b>115</b>
<b>5</b>	<b>Russian Federation</b>	<b>35</b>
<b>6</b>	<b>Croatia</b>	<b>32</b>
<b>7</b>	<b>Iceland</b>	<b>29</b>
<b>8</b>	<b>Serbia</b>	<b>24</b>
<b>9</b>	<b>United States</b>	<b>24</b>
<b>10</b>	<b>India</b>	<b>17</b>
11	South Africa	12
12	Ukraine	12
13	China (People's Republic of)	10
14	Mexico	8
15	Former Yugoslav Republic of Macedonia	6
16	Moldova (Republic of)	6
17	Argentina	6
18	Canada	6
19	Kazakhstan	5
20	Brazil	4
21	Egypt	4
22	Bosnia and Herzegovina	3
23	Ethiopia	3
24	Viet Nam	3
25	Georgia	3
26	Tunisia	3
27	New Zealand	3
28	Burkina Faso	2
29	Nigeria	2
30	Indonesia	2
31	Thailand	2
32	Armenia	2
33	Bolivia	2
34	Morocco	2
35	Albania	1
36	Liechtenstein	1
37	Cameroon	1
38	Kenya	1
39	Mozambique	1
40	Tanzania (United Republic of)	1
41	Cuba	1
42	Iran (Islamic Republic of)	1
43	Malaysia	1
44	Azerbaijan	1
45	Turkmenistan	1
46	Chile	1
47	Colombia	1
48	Uruguay	1
49	Jordan	1
50	Aruba	1
51	Japan	1
52	Korea (Republic of)	1
53	San Marino	1

## Annex – Data per call for proposals

Table 7: Call Data Table (Sources: CORDA; SME Interservice Taskforce for FP7)

		Nr of Signed GAs	Nr of SMEs Participations	Number of all Participations	% of SME Participations	EU Contribution Going to SMEs	EU Contribution to all	% of EU Contribution going to SMEs
HEALTH	FP7-Adhoc-2007-13	2	0	2	0,0%		1,600,000	
HEALTH	FP7-AFRICA-2010	15	2	116	1,7%	273,000	47,243,646	0,6%
HEALTH	FP7-ERANET-2007-RTD	1	0	15	0,0%		1,999,408	
HEALTH	FP7-ERANET-2009-RTD	1	0	10	0,0%		2,000,000	
HEALTH	FP7-ERANET-2010-RTD	2	0	41	0,0%		3,999,636	
HEALTH	FP7-HEALTH-2007-A	153	225	1,622	13,9%	70,252,685	637,502,039	11,0%
HEALTH	FP7-HEALTH-2007-B	172	203	1,784	11,4%	66,343,895	578,283,471	11,5%
HEALTH	FP7-HEALTH-2009-single-stage	97	134	1,172	11,4%	50,761,374	503,932,427	10,1%
HEALTH	FP7-HEALTH-2009-two-stage	11	12	163	7,4%	8,032,962	118,621,829	6,8%
HEALTH	FP7-HEALTH-2010-Alternative-Testing	7	24	79	30,4%	6,603,595	24,997,068	26,4%
HEALTH	FP7-HEALTH-2010-single-stage	67	94	899	10,5%	35,567,886	361,437,997	9,8%
HEALTH	FP7-HEALTH-2010-two-stage	36	46	375	12,3%	15,718,715	203,996,285	7,7%
HEALTH	FP7-HEALTH-2011-single-stage	32	69	391	17,6%	25,005,800	163,445,351	15,3%
HEALTH	FP7-HEALTH-2011-two-stage	63	177	768	23,0%	89,669,581	342,135,146	26,2%
HEALTH	FP7-INFLUENZA-2010	3	12	32	37,5%	8,286,384	17,981,355	46,1%
KBBE	FP7-2009-BIOREFINERY_CP	1	7	25	28,0%	2,567,618	13,920,237	18,4%
KBBE	FP7-Adhoc-2007-13	3	0	3	0,0%		219,983	
KBBE	FP7-AFRICA-2010	4	3	45	6,7%	663,822	6,461,177	10,3%
KBBE	FP7-ERANET-2007-RTD	2	0	40	0,0%		1,997,217	

		Nr of Signed GAs	Nr of SMEs Participations	Number of all Participations	% of SME Participations	EU Contribution Going to SMEs	EU Contribution to all	% of EU Contribution going to SMEs
KBBE	FP7-ERANET-2008-RTD	1	0	23	0.0%		999,565	
KBBE	FP7-ERANET-2009-RTD	2	0	43	0.0%		1,971,686	
KBBE	FP7-ERANET-2010-RTD	1	0	31	0.0%		999,997	
KBBE	FP7-ERANET-2011-RTD	6	0	125	0.0%		11,983,705	
KBBE	FP7-INFLUENZA-2010	2	0	34	0.0%		5,853,952	
KBBE	FP7-JPROG-2011-RTD	2	0	18	0.0%		3,994,910	
KBBE	FP7-KBBE-2007-1	64	115	855	13.5%	18,152,311	191,620,639	9.5%
KBBE	FP7-KBBE-2007-2A	20	51	354	14.4%	7,807,278	115,993,445	6.7%
KBBE	FP7-KBBE-2008-2B	40	50	479	10.4%	6,141,283	96,987,482	6.3%
KBBE	FP7-KBBE-2009-3	64	92	775	11.9%	16,404,621	192,251,520	8.5%
KBBE	FP7-KBBE-2010-4	55	125	809	15.5%	20,031,836	191,007,117	10.5%
KBBE	FP7-KBBE-2011-5	77	345	1,138	30.3%	59,603,791	243,957,304	24.4%
KBBE	FP7-OCEAN-2010	1	1	38	2.6%	238,999	12,484,835	1.9%
KBBE	FP7-OCEAN-2011	2	11	71	15.5%	2,143,280	17,987,491	11.9%
ICT	FP7-2010-ICT-GC	7	13	60	21.7%	3,175,844	19,450,798	16.3%
ICT	FP7-2010-NMP-ENV-ENERGY-ICT-EeB	6	12	47	25.5%	2,903,398	13,735,735	21.1%
ICT	FP7-2010-NMP-ICT-FoF	8	25	88	28.4%	7,530,285	34,886,335	21.6%
ICT	FP7-2011-ICT-FI	11	28	206	13.6%	7,449,296	88,588,930	8.4%
ICT	FP7-2011-ICT-GC	8	23	78	29.5%	6,058,488	29,742,796	20.4%
ICT	FP7-2011-NMP-ENV-ENERGY-ICT-EeB	8	14	72	19.4%	3,521,128	19,442,290	18.1%
ICT	FP7-2011-NMP-ICT-FoF	18	67	198	33.8%	20,500,982	79,799,127	25.7%
ICT	FP7-ICT-2007-1	318	598	3,397	17.6%	178,105,179	1,193,549,092	14.9%

		Nr of Signed GAs	Nr of SMEs Participations	Number of all Participations	% of SME Participations	EU Contribution Going to SMEs	EU Contribution to all	% of EU Contribution going to SMEs
ICT	FP7-ICT-2007-2	149	285	1,543	18.5%	74,757,042	474,738,923	15.7%
ICT	FP7-ICT-2007-3	71	117	737	15.9%	32,230,476	268,037,063	12.0%
ICT	FP7-ICT-2007-C	36	4	267	1.5%	1,039,802	65,851,467	1.6%
ICT	FP7-ICT-2009-4	256	433	2,302	18.8%	123,846,617	803,582,813	15.4%
ICT	FP7-ICT-2009-5	207	371	1,979	18.7%	111,339,811	728,337,433	15.3%
ICT	FP7-ICT-2009-6	74	100	721	13.9%	33,523,488	287,044,079	11.7%
ICT	FP7-ICT-2009-C	47	16	320	5.0%	3,156,706	90,814,562	3.5%
ICT	FP7-ICT-2011-7	214	407	1,925	21.1%	130,739,628	721,127,523	18.1%
ICT	FP7-ICT-2011-C	2	1	8	12.5%	495,120	2,784,880	17.8%
ICT	FP7-ICT-2011-EU-Brazil	5	10	33	30.3%	1,297,314	5,498,137	23.6%
ICT	FP7-ICT-2011-EU-Russia	2	1	10	10.0%	148,119	2,599,966	5.7%
ICT	FP7-ICT-2011-FET-F	7	6	95	6.3%	536,724	9,994,226	5.4%
ICT	FP7-ICT-ENERGY-2009-1	6	11	64	17.2%	3,299,669	20,058,063	16.5%
ICT	FP7-ICT-SEC-2007-1	9	13	76	17.1%	2,931,431	19,996,914	14.7%
NMP	FP7-2009-BIOREFINERY_CSA	1	1	11	9.1%	372,118	1,951,959	19.1%
NMP	FP7-2010-GC-ELECTROCHEMICAL-STORAGE	4	9	43	20.9%	3,172,907	14,190,296	22.4%
NMP	FP7-2010-NMP-ENV-ENERGY-ICT-EeB	8	29	94	30.9%	8,300,883	32,855,016	25.3%
NMP	FP7-2010-NMP-ICT-FoF	17	72	179	40.2%	20,297,825	59,415,177	34.2%
NMP	FP7-2011-GC-ELECTROCHEMICAL-STORAGE	1	2	16	12.5%	654,798	5,600,000	11.7%
NMP	FP7-2011-NMP-ENV-ENERGY-ICT-EeB	7	32	106	30.2%	10,117,157	34,907,778	29.0%
NMP	FP7-2011-NMP-ICT-FoF	17	71	195	36.4%	22,531,006	72,769,160	31.0%

		Nr of Signed GAs	Nr of SMEs Participations	Number of all Participations	% of SME Participations	EU Contribution Going to SMEs	EU Contribution to all	% of EU Contribution going to SMEs
NMP	FP7-ENERGY-NMP-2008-1	4	6	31	19.4%	1,362,516	8,944,722	15.2%
NMP	FP7-ENV-NMP-2008-2	1	2	9	22.2%	450,649	2,491,334	18.1%
NMP	FP7-ENV-NMP-2011	3	19	35	54.3%	3,497,406	6,858,716	51.0%
NMP	FP7-ERANET-2007-RTD	2	0	50	0.0%		8,616,233	
NMP	FP7-ERANET-2008-RTD	4	0	84	0.0%		9,998,454	
NMP	FP7-ERANET-2009-RTD	1	0	17	0.0%		1,498,671	
NMP	FP7-ERANET-2010-RTD	3	1	44	2.3%	323,180	4,498,001	7.2%
NMP	FP7-ERANET-2011-RTD	1	0	11	0.0%		1,490,000	
NMP	FP7-NMP-2007-CSA-1	11	27	129	20.9%	4,299,086	14,182,885	30.3%
NMP	FP7-NMP-2007-LARGE-1	39	212	803	26.4%	60,567,524	294,181,944	20.6%
NMP	FP7-NMP-2007-SMALL-1	48	94	469	20.0%	23,387,595	148,863,707	15.7%
NMP	FP7-NMP-2007-SME-1	22	160	288	55.6%	39,123,904	74,515,797	52.5%
NMP	FP7-NMP-2008-CSA-2	15	27	168	16.1%	2,111,190	14,976,589	14.1%
NMP	FP7-NMP-2008-EU-India-2	6	2	25	8.0%	227,331	4,999,886	4.5%
NMP	FP7-NMP-2008-LARGE-2	30	121	517	23.4%	45,032,642	224,142,229	20.1%
NMP	FP7-NMP-2008-SMALL-2	54	103	499	20.6%	28,437,373	164,069,486	17.3%
NMP	FP7-NMP-2008-SME-2	19	134	244	54.9%	29,777,814	62,465,759	47.7%
NMP	FP7-NMP-2009-CSA-3	5	17	47	36.2%	1,476,737	4,969,815	29.7%
NMP	FP7-NMP-2009-EU-Russia	3	4	19	21.1%	437,250	4,637,529	9.4%
NMP	FP7-NMP-2009-LARGE-3	16	57	258	22.1%	21,022,556	121,283,712	17.3%
NMP	FP7-NMP-2009-Mapping	1	0	2	0.0%		349,000	
NMP	FP7-NMP-2009-SMALL-3	24	40	223	17.9%	11,559,435	79,076,094	14.6%
NMP	FP7-NMP-2009-SME-3	8	74	124	59.7%	11,591,284	24,018,185	48.3%



		Nr of Signed GAs	Nr of SMEs Participations	Number of all Participations	% of SME Participations	EU Contribution Going to SMEs	EU Contribution to all	% of EU Contribution going to SMEs
NMP	FP7-NMP-2010-CSA-4	8	10	62	16.1%	658,410	6,421,931	10.3%
NMP	FP7-NMP-2010-EU-Mexico	4	9	45	20.0%	910,950	5,994,448	15.2%
NMP	FP7-NMP-2010-EU-USA	2	1	9	11.1%	125,610	1,992,912	6.3%
NMP	FP7-NMP-2010-LARGE-4	14	57	291	19.6%	19,205,560	114,959,473	16.7%
NMP	FP7-NMP-2010-SMALL-4	20	47	207	22.7%	10,045,283	62,544,601	16.1%
NMP	FP7-NMP-2010-SME-4	6	36	58	62.1%	10,476,746	19,979,078	52.4%
NMP	FP7-NMP-2011-CSA-5	4	11	41	26.8%	837,765	3,646,898	23.0%
NMP	FP7-NMP-2011-EU-Japan	3	0	16	0.0%		4,997,930	
NMP	FP7-NMP-2011-EU-RUSSIA	3	2	18	11.1%	299,700	4,475,997	6.7%
NMP	FP7-NMP-2011-LARGE-5	1	5	14	35.7%	2,800,285	7,250,000	38.6%
NMP	FP7-NMP-2011-SME-5	1	5	12	41.7%	1,761,932	4,000,000	44.0%
NMP	FP7-NMP-ENV-2009	4	10	41	24.4%	1,454,120	9,805,809	14.8%
ENERGY	FP7-2009-BIOREFINERY_CP	2	13	47	27.7%	10,540,625	35,671,011	29.5%
ENERGY	FP7-2010-GC-ELECTROCHEMICAL-STORAGE	1	1	9	11.1%	340,696	3,332,298	10.2%
ENERGY	FP7-2010-NMP-ENV-ENERGY-ICT-EeB	3	12	54	22.2%	2,005,301	13,555,649	14.8%
ENERGY	FP7-2011-NMP-ENV-ENERGY-ICT-EeB	2	8	22	36.4%	1,350,899	9,056,154	14.9%
ENERGY	FP7-Adhoc-2007-13	6	0	10	0.0%		2,261,720	
ENERGY	FP7-ENERGY-2007-1-RTD	45	100	543	18.4%	21,022,461	145,677,272	14.4%
ENERGY	FP7-ENERGY-2007-2-TREN	26	60	276	21.7%	15,833,472	87,887,167	18.0%
ENERGY	FP7-ENERGY-2008-1	12	24	153	15.7%	7,166,545	33,475,272	21.4%
ENERGY	FP7-ENERGY-2008-FET	5	14	37	37.8%	4,156,609	13,423,308	31.0%

		Nr of Signed GAs	Nr of SMEs Participations	Number of all Participations	% of SME Participations	EU Contribution Going to SMEs	EU Contribution to all	% of EU Contribution going to SMEs
ENERGY	FP7-ENERGY-2008-RUSSIA	2	3	29	10.3%	1,118,232	3,519,086	31.8%
ENERGY	FP7-ENERGY-2008-TREN-1	22	50	242	20.7%	32,668,771	141,806,975	23.0%
ENERGY	FP7-ENERGY-2009-1	26	43	309	13.9%	11,767,941	88,439,840	13.3%
ENERGY	FP7-ENERGY-2009-2	11	38	144	26.4%	19,576,887	95,662,088	20.5%
ENERGY	FP7-ENERGY-2009-3	6	4	40	10.0%	937,466	3,705,190	25.3%
ENERGY	FP7-ENERGY-2009-BRAZIL	2	5	23	21.7%	704,477	4,395,396	16.0%
ENERGY	FP7-ENERGY-2010-1	11	20	143	14.0%	8,294,799	47,665,278	17.4%
ENERGY	FP7-ENERGY-2010-2	15	31	157	19.7%	14,711,575	103,387,160	14.2%
ENERGY	FP7-ENERGY-2010-FET	8	11	65	16.9%	2,588,572	19,778,155	13.1%
ENERGY	FP7-ENERGY-2010-INDIA	3	2	15	13.3%	424,084	4,696,115	9.0%
ENERGY	FP7-ENERGY-2011-1	19	39	219	17.8%	14,842,853	79,505,734	18.7%
ENERGY	FP7-ENERGY-2011-JAPAN	1	2	7	28.6%	550,000	4,999,998	11.0%
ENERGY	FP7-ENERGY-NMP-2008-1	6	9	48	18.8%	2,247,520	14,864,971	15.1%
ENERGY	FP7-ERANET-2007-RTD	1	0	22	0.0%		2,479,990	
ENERGY	FP7-SST-2007-TREN-1_28June	1	7	30	23.3%	2,592,152	14,749,681	17.6%
ENV	FP7-2010-NMP-ENV-ENERGY-ICT-EeB	1	10	22	45.5%	1,548,909	4,990,475	31.0%
ENV	FP7-2011-GC-ELECTROCHEMICAL-STORAGE	1	1	4	25.0%	120,560	487,610	24.7%
ENV	FP7-2011-NMP-ENV-ENERGY-ICT-EeB	3	10	28	35.7%	1,821,680	5,460,316	33.4%
ENV	FP7-Adhoc-2007-13	5	0	5	0.0%		2,949,181	
ENV	FP7-AFRICA-2010	7	11	86	12.8%	2,204,678	17,789,912	12.4%
ENV	FP7-ENV-2007-1	73	99	994	10.0%	16,253,253	200,742,365	8.1%

		Nr of Signed GAs	Nr of SMEs Participations	Number of all Participations	% of SME Participations	EU Contribution Going to SMEs	EU Contribution to all	% of EU Contribution going to SMEs
ENV	FP7-ENV-2008-1	66	140	994	14.1%	20,410,756	219,629,312	9.3%
ENV	FP7-ENV-2009-1	60	88	884	10.0%	17,869,459	199,736,102	8.9%
ENV	FP7-ENV-2010	62	118	849	13.9%	19,794,917	179,725,343	11.0%
ENV	FP7-ENV-2011	41	45	579	7.8%	9,708,614	161,175,722	6.0%
ENV	FP7-ENV-2011-ECO-INNOVATION-OneStage	4	5	25	20.0%	1,633,243	6,928,182	23.6%
ENV	FP7-ENV-2011-ECO-INNOVATION-TwoStage	4	21	53	39.6%	5,633,301	13,328,950	42.3%
ENV	FP7-ENV-NMP-2008-2	4	4	34	11.8%	410,654	6,749,280	6.1%
ENV	FP7-ENV-NMP-2011	2	9	24	37.5%	1,802,622	5,114,987	35.2%
ENV	FP7-ERANET-2007-RTD	2	0	32	0.0%		3,989,930	
ENV	FP7-ERANET-2009-RTD	2	0	41	0.0%		3,999,259	
ENV	FP7-ERANET-2010-RTD	2	0	46	0.0%		3,999,563	
ENV	FP7-JPROG-2011-RTD	1	0	12	0.0%		1,999,830	
ENV	FP7-OCEAN-2010	1	0	27	0.0%		10,500,000	
ENV	FP7-OCEAN-2011	1	5	54	9.3%	913,326	12,973,123	7.0%
TPT	FP7-2010-GC-ELECTROCHEMICAL-STORAGE	2	4	25	16.0%	911,636	7,476,480	12.2%
TPT	FP7-2011-GC-ELECTROCHEMICAL-STORAGE	1	5	17	29.4%	1,050,006	8,999,615	11.7%
TPT	FP7-AAT-2007-RTD-1	36	103	607	17.0%	30,600,418	217,482,192	14.1%
TPT	FP7-AAT-2007-TREN-1	1	2	13	15.4%	583,689	2,363,004	24.7%
TPT	FP7-AAT-2008-RTD-1	44	128	626	20.4%	35,414,154	209,495,244	16.9%
TPT	FP7-AAT-2010-RTD-1	39	88	474	18.6%	13,021,897	109,005,467	11.9%
TPT	FP7-AAT-2010-RTD-CHINA	2	2	29	6.9%	324,953	2,998,673	10.8%

		Nr of Signed GAs	Nr of SMEs Participations	Number of all Participations	% of SME Participations	EU Contribution Going to SMEs	EU Contribution to all	% of EU Contribution going to SMEs
TPT	FP7-AAT-2010-RTD-RUSSIA	3	4	32	12.5%	255,664	3,999,698	6.4%
TPT	FP7-AAT-2011-RTD-1	22	69	343	20.1%	19,605,071	144,965,109	13.5%
TPT	FP7-Adhoc-2007-13	1	0	18	0.0%		1,999,985	
TPT	FP7-ERANET-2007-RTD	1	1	16	6.3%	737,544	2,990,000	24.7%
TPT	FP7-ERANET-2008-RTD	2	0	46	0.0%		3,399,550	
TPT	FP7-ERANET-2010-RTD	1	1	16	6.3%	194,366	1,999,915	9.7%
TPT	FP7-GALILEO-2007-GSA-1	4	11	31	35.5%	1,956,339	6,696,907	29.2%
TPT	FP7-GALILEO-2008-GSA-1	3	9	17	52.9%	1,651,435	2,599,148	63.5%
TPT	FP7-OCEAN-2010	1	7	28	25.0%	2,080,401	10,978,468	18.9%
TPT	FP7-OCEAN-2011	3	18	63	28.6%	4,220,928	14,887,256	28.4%
TPT	FP7-SST-2007-RTD-1	65	174	841	20.7%	36,021,666	190,113,287	18.9%
TPT	FP7-SST-2007-TREN-1_05June	6	28	98	28.6%	5,241,723	22,137,034	23.7%
TPT	FP7-SST-2007-TREN-1_28June	6	19	108	17.6%	7,854,030	63,732,080	12.3%
TPT	FP7-SST-2008-RTD-1	72	195	935	20.9%	45,592,743	226,394,683	20.1%
TPT	FP7-SST-2008-TREN-1	11	45	161	28.0%	10,425,445	35,722,796	29.2%
TPT	FP7-SST-2010-RTD-1	37	98	436	22.5%	18,830,111	93,278,054	20.2%
TPT	FP7-SST-2011-RTD-1	28	77	383	20.1%	14,709,229	81,084,901	18.1%
TPT	FP7-TPT-2007-RTD-1	13	30	119	25.2%	3,570,250	11,816,395	30.2%
TPT	FP7-TPT-2008-RTD-1	12	35	107	32.7%	5,017,137	14,884,370	33.7%
TPT	FP7-TPT-2010-RTD-1	5	13	36	36.1%	1,727,190	5,490,496	31.5%
TPT	FP7-TPT-2011-RTD-1	6	12	39	30.8%	1,632,564	6,076,333	26.9%
TPT	FP7-TRANSPORT-2010-TREN-1	7	30	129	23.3%	4,037,441	37,683,388	10.7%

		Nr of Signed GAs	Nr of SMEs Participations	Number of all Participations	% of SME Participations	EU Contribution Going to SMEs	EU Contribution to all	% of EU Contribution going to SMEs
SSH	FP7-Adhoc-2007-13	2	0	2	0.0%		299,618	
SSH	FP7-ERANET-2008-RTD	1	0	13	0.0%		5,989,100	
SSH	FP7-SSH-2007-1	101	43	867	5.0%	6,845,314	134,646,657	5.1%
SSH	FP7-SSH-2009-A	25	19	292	6.5%	3,647,989	59,689,787	6.1%
SSH	FP7-SSH-2009-B	2	1	22	4.5%	249,253	5,591,952	4.5%
SSH	FP7-SSH-2009-C	5	2	34	5.9%	98,108	4,326,253	2.3%
SSH	FP7-SSH-2010-1	5	9	119	7.6%	2,357,514	39,743,449	5.9%
SSH	FP7-SSH-2010-2	10	3	108	2.8%	599,700	23,545,223	2.5%
SSH	FP7-SSH-2010-3	3	1	31	3.2%	347,700	7,762,543	4.5%
SSH	FP7-SSH-2010-4	3	1	42	2.4%	83,064	3,522,821	2.4%
SSH	FP7-SSH-2011-2	5	4	53	7.5%	1,416,427	12,722,229	11.1%
SSH	FP7-SSH-2011-3	5	7	56	12.5%	800,021	5,938,874	13.5%
SPA	FP7-Adhoc-2007-13 (*)	4	0	4	0.0%		3,299,999	
SPA	FP7-SPACE-2007-1	19	69	437	15.8%	14,578,344	141,943,135	10.3%
SPA	FP7-SPACE-2009-1	27	42	260	16.2%	9,168,307	54,625,004	16.8%
SPA	FP7-SPACE-2010-1	77	119	644	18.5%	19,494,492	121,813,892	16.0%
SPA	FP7-SPACE-2011-1	32	56	347	16.1%	17,448,974	105,428,668	16.6%
SEC	FP7-Adhoc-2007-13	4	0	4	0.0%		789,981	0.0%
SEC	FP7-ICT-SEC-2007-1	9	26	83	31.3%	6,861,211	21,797,839	31.5%
SEC	FP7-SEC-2007-1	48	104	589	17.7%	31,931,681	161,730,490	19.7%
SEC	FP7-SEC-2009-1	32	92	397	23.2%	31,356,809	136,075,066	23.0%

(\*) Call 'FP7-SPACE-2007-1 (ad-hoc)' with adjustments for ESA-contribution (agreed by the SME Interservice Taskforce for FP7)

		<b>Nr of Signed GAS</b>	<b>Nr of SMEs Participations</b>	<b>Number of all Participations</b>	<b>% of SME Participations</b>	<b>EU Contribution Going to SMEs</b>	<b>EU Contribution to all</b>	<b>% of EU Contribution going to SMEs</b>
<b>SEC</b>	<b>FP7-SEC-2010-1</b>	42	107	567	18,9%	40.816.660	216.192.079	18.9%
<b>SEC</b>	<b>FP7-SEC-2011-1</b>	18	48	174	27,6%	18.490.659	64.349.267	28.7%
	<b>TOTAL</b>	<b>4,414</b>	<b>8,885</b>	<b>50,530</b>	<b>17.6%</b>	<b>2,406,993,953</b>	<b>15,757,255,689</b>	<b>15.3%</b>

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**European Commission**

**Eighth Progress Report on SMEs participation in FP7  
2007-2011**

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### **SME Participation in FP7 (2007-2011):**

SMEs receive more than 15% of EU funding for research projects.

This Eighth SME Progress Report by the Commission's DG Research & Innovation shows that the budget target of 15% for funding to Small and Medium Enterprises (SMEs) within the Cooperation programme of the Seventh Research Framework Programme (FP7) has been reached.

This 15% goal had been set by the Parliament and Council when establishing FP7. Its implementation across the Themes of the Cooperation Programme of FP7 (2007-2013) is regularly monitored by DG Research & Innovation in the form of "SME Progress Reports in FP7". This eighth report shows that during the first five years of FP7, the budget granted so far to SMEs in the Thematic priorities represents 15.3% of the Cooperation Programme budget. This equals € 2.4 billion allocated to almost 8.900 SME participations by 1 January 2012.

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