

Program Questions:

Local Government Efficiency Program

- Q_1956** Are you an eligible NYS local government entity for the Local Government Efficiency program? The Local Government Efficiency program defines “local government entities” as counties, cities, towns, villages, special improvement districts, fire districts, public libraries, association libraries, water authorities, sewer authorities, regional planning and development boards, school districts, and Boards of Cooperative Educational Services (BOCES) advancing certain joint projects.
- Q_1958** Will this project help local governments reduce expenditures, add revenues, streamline government management or operations, or improve service delivery?
- Q_1960** Is the applicant able to provide the required matching funds for the project? See help for the local match requirements.
- Q_1957** Are you developing a plan for a local government consolidation or dissolution under 'the New New York Reorganization and Citizen Empowerment Act' - General Municipal Law, Article 17-A? For a local government re-organization planning project pursuant to General Municipal Law, Article 17-A, please contact the Department of State directly for other available funding opportunities.
- Q_3527** US Congressional District where the project is located.

- Q_928** Project Street Address: if the project does not have a definite street address, please skip to "Project without a Street Address" below.
- Q_565** Project City
- Q_972** Project county or counties.
- Q_568** Project State
- Q_971** Project Without a Street Address: please enter a description of the project location. Include project starting/ending street addresses, cities & zip codes if applicable.
- Q_572** Project Latitude
- Q_573** Project Longitude
- Q_184** NYS Assembly District(s) where the project is located. (please enter a number between 1 and 150 that represents your Assembly District)

Q_190 NY Senate District(s) where the project is located. (please enter a number between 1 and 63 that represents your Senate District)

Q_1034 Project ZIP Code. (please use ZIP+4 if known)

Q_616 For more than one project location, please provide full address(es) for each location. If Not Applicable, indicate "NA".

Q_546 Legal Name of Applicant

Q_549 Type of Applicant (select all that apply)

- Choice Options: Federal, State, County, City, Town, Village, Tribal, School District, County or Town Improvement District, District Corporation, For-Profit, Not-For-Profit, Individual, S Corporation, C Corporation, IDA, LDC, LLC, LLP, Public Authority, Public Benefit Corp, Sole-Proprietorship, HDFC, BID, LP, Boards Of Cooperative Educational Services (BOCES), Fire District, Regional Planning and Development Board, Public Library, Association Library

Q_556 Select an applicant ID type from the list below that you normally use to identify your organization on application forms.

- Choice Options: Charity Reg #, Duns Number, Federal Tax ID Number, NYS Unemployment Insurance Tax Number, Social Security Number, NYS Vendor Identification Number (SFS)

- Q_551** Applicant Street Address
- Q_552** Applicant City
- Q_553** Applicant State
- Q_554** Applicant ZIP Code. (please use ZIP+4 if known)
- Q_547** Contact First Name
- Q_1049** Contact Last Name
- Q_1050** Contact Title
- Q_555** Applicant Email Address
- Q_3688** Contact Street Address

- Q_3693** Additional Contact Street Address
- Q_3694** Additional Contact City
- Q_3689** Contact City
- Q_651** Applicant Telephone Number, (please include area code)
- Q_1052** Additional Project Contact First Name
- Q_3690** Contact State
- Q_3695** Additional Contact State
- Q_3696** Additional Contact ZIP Code
- Q_3691** Contact ZIP Code

- Q_970** Additional Project Contact Last Name
- Q_1051** Additional Contact Title
- Q_3692** Contact Email
- Q_3697** Additional Contact Telephone Number
- Q_561** Additional Contact Email Address
- Q_562** Primary Contact Phone Number. (please include area code)
- Q_3656** Is the project included in a NY Rising Community Reconstruction Program plan or a NY Rising Countywide Resiliency Plan?
- Q_3744** If the project is included in a NY Rising Community Reconstruction Program plan or a NY Rising Countywide Resiliency Plan, please indicate the planning committee name, project name, and location.

- Q_3762** Does your project directly address the needs of people in your region who are living in poverty and who seek resources for inclusion in the economic life of New York State?
- Q_3763** How does your project seek to apply CFA funds for the purpose of eliminating barriers to skilled employment by poor people in your region, as identified by the Opportunity Agenda? Please describe any efforts to collaborate at the local or regional level (i.e. public, private, labor, philanthropic sectors).
- Q_3764** How does your project build workforce development programs, improve physical infrastructure, and/or establish social services that connect people living in poverty in your region with skilled employment, in correspondence with the economic revitalization priorities, distressed community targets, and the industry growth areas identified in the Opportunity Agenda and Strategic Plan?
- Q_3766** Is this a Global NY Project?
- Q_3768** If this is a Global NY Project, please describe how this project relates to the identified goals of your region's Global Marketing and Export Strategies as part of the Global NY initiative?
- Q_575** Project Description. Concisely describe the project, indicating the location, what will be planned, designed, acquired, and/or constructed, the issues/opportunities to be addressed, and

expected outcomes and deliverables. Additional details will be collected later in the application process.

- Q_930** Explain what makes your project a regional economic priority - for example creates jobs, economic investment, sustainability and community revitalization, government efficiency or consolidation etc.
- Q_929** Current State of Project Development (i.e. planning, preliminary engineering, final design, etc. You may enter N/A for non-project related applications)
- Q_975** Estimated Project Timeline: including project start/completion dates, estimates for design, permitting and construction or other major steps. (You may enter N/A for non-Project related applications)
- Q_976** Statement of Need
- Q_580** Provide a list of all federal, state, and local reviews, approvals, or permits needed or completed, including the dates when they are expected to be completed or were completed. If Not Applicable, indicate "NA".
- Q_2364** What is the status of State and/or Federal Environmental Review? If review of the project is underway or completed pursuant to the State Environmental Quality Review Act

(SEQRA) or National Environmental Policy Act (NEPA), please indicate the lead agency (if applicable).

Q_1054

If National Environmental Policy Act (NEPA) Record of Decision has been issued, please explain (include date of Record of Decision).

Q_2362

If funding was awarded in prior CFA rounds, what were the CFA numbers for which funding was awarded? (separate multiple CFA numbers with commas)

Q_3475

Using the Excel worksheet which may be accessed from the DOS website or through the link in the help section, applicants must provide an objective analysis of the anticipated financial impact of the project, including reductions in expenditures and/or increased revenues and potential tax levy impact resulting from the project, exclusive of any grant funding. Complete the worksheet with information from each applicant, save as a PDF and upload.

Q_3476

Have any of the local government applicants incurred operating deficits for each of the last three fiscal years or had an operating deficit of >10% in the last fiscal year? Use the Excel spreadsheet available at the Department of State's website to upload this information.

Q_3478

Using the Excel worksheet, which may be accessed from the DOS website or through the link in the help section, attach a Work Plan that includes the project timeline, specific phases and tasks, and cost for each task and deliverable.

- Q_3538** Applicants are required to submit a Plan for Certified Minority-And Women-Owned Business Enterprises and Equal Employment Opportunities for Minority Group Members and Women as part of this application. The Utilization Plan-Form A and Staffing Plan-Form C must be completed and attached for the application to be considered. To download the M/WBE Forms, click the link below
- Q_1978** Attach resolutions, municipal agreements, executed Intermunicipal Agreements or other items to the submission to help illustrate support for the application/project .
- Q_3652** Please attach any additional information you would like to provide as support for the project.
- Q_3369** List all the partners involved in the project and provide contact information.
- Q_2952** LGE Priorities Will the project result in the Consolidation or Dissolution of local government entities? Yes/No
- Q_2957** LGE Priorities Consolidation or Dissolution of Local Governments? Discribe

- Q_2953** LGE Priorities Will the project implement the functional consolidation of a local government service? Yes/No
- Q_2958** LGE Priorities Will the project implement the functional consolidation of a local government service? Describe
- Q_2959** LGE Priorities Will the project receive funds through other state community and infrastructure development programs? Yes/No
- Q_2960** LGE Priorities Will the project receive funds through other state community and infrastructure development programs? Describe
- Q_2961** LGE Priorities Will the project implement a planning project completed with SMSI or LGE funds? Yes/No
- Q_2963** LGE Priorities Will the project implement a planning project completed with SMSI or LGE funds? Describe
- Q_3126** LGE Priorities Will the project implement a regional governance project impacting five or more local governments? Yes/No
- Q 3127** LGE Priorities Will the project implement a regional

governance project impacting five or more local governments?
Describe

Q_2955 LGE Priorities Do any of the project partners use multi year financial planning? Yes/No

Q_2956 LGE Priorities Do any of the project partners use multi year financial planning? Describe

Q_3370 How will the projected cost savings impact the local government's budget?

Q_3371 Describe how the fiscal benefits and outcomes realized by the project will enable the involved local governments to invest in other relevant local government efforts.

Q_3372 Describe the significance of fiscal impact of the project on the overall budget and explain the potential for property tax abatement on all involved local governments.

Q_3373 Describe the performance measures that will be established to monitor the cost of the service delivery and the effectiveness of services over time.

- Q_3375** Explain how the project will change current business practices or management operations associated with the impacted services.
- Q_3376** Explain how the project will make significant impacts on the delivery of services and how the public will be affected by the project.
- Q_3377** Describe the actions that have already been undertaken that commit the local governments to complete the project.
- Q_3378** Describe the mechanism/process that the local governments will undertake to institutionalize the organizational and/or service delivery changes produced by the project.
- Q_3379** Describe the approach and process that will be followed to ensure ongoing public participation and stakeholder engagement during project development and implementation.
- Q_1572** Describe and document how the budget and costs were determined.
- Q_1575** Describe and document how the budget includes adequate detail for all project components involved, is cost-effective, presents necessary and realistic costs, and does not contain extraneous expenses.

- Q_1574** Describe and document how costs clearly relate to and support activities in the proposed work plan.
- Q_1573** Describe and document how costs meet eligibility requirements of the program.
- Q_1059** Does the proposed project use, maintain, or improve existing infrastructure? Y/N/Not Relevant. Please explain all responses.
- Q_1060** Is the proposed project located in a municipal center? Y/N/Not Relevant. Please explain all responses.
- Q_1061** Is the proposed project located in a developed area or an area designated for concentrated infill development in a municipally approved comprehensive land use plan, local waterfront revitalization plan and/or brownfield opportunity area plan? Y/N/Not Relevant. Please explain all responses.
- Q_1062** Will the proposed project protect, preserve and enhance the State's resources, including agricultural land, forests, surface and groundwater, air quality, recreation and open space, scenic areas, and significant historic and archeological resources? Y/N/Not Relevant. Please explain all responses.

- Q_1063** Will the proposed project foster mixed land uses and compact development, downtown revitalization, Brownfield redevelopment, the enhancement of beauty in public spaces, the diversity and affordability of housing in proximity to places of employment, recreation and commercial development and the integration of all income and age groups? Y/N/Not Relevant. Please explain all responses.
- Q_1064** Will the proposed project provide mobility through transportation choices including improved public transportation and reduced automobile dependency? Y/N/Not Relevant. Please explain all responses.
- Q_1065** Will the proposed project involve coordination between state and local government and inter-municipal and regional planning? Y/N/Not Relevant. Please explain all responses.
- Q_1066** Will the proposed project involve participation in community based planning and collaboration? Y/N/Not Relevant. Please explain all responses.
- Q_1067** Will the proposed project ensure predictability in building and land use codes? Y/N/Not Relevant. Please explain all responses.
- Q_1068** Will the proposed project promote sustainability by strengthening existing and creating new communities which reduce greenhouse gas emissions and do not compromise the needs of future generations, by among other means encouraging broad based public involvement in developing and

implementing a community plan and ensuring the governance structure is adequate to sustain its implementation? Y/N/Not Relevant. Please explain all responses.

Q_1038

By entering your name in the box below, you certify that you are authorized on behalf of the applicant and its governing body to submit this application. You further certify that all of the information contained in this Application and in all statements, data and supporting documents which have been made or furnished for the purpose of receiving Assistance for the project described in this application, are true, correct and complete to the best of your knowledge and belief. You acknowledge that offering a written instrument knowing that the written instrument contains a false statement or false information, with the intent to defraud the State or any political subdivision, public authority or public benefit corporation of the State, with the knowledge or belief that it will be filed with or recorded by the State or any political subdivision, public authority or public benefit corporation of the State, constitutes a crime under New York State Law.