



HUDSON RIVER VALLEY GREENWAY

Barnabas McHenry, Chairman, Greenway Council
Sara Griffen, Acting Chair, Greenway Conservancy
Mark A. Castiglione, Acting Executive Director

Guidelines & Application for the Compact Grant Program

A. Background:

The Hudson River Valley Greenway Communities Council was established by New York State through the Greenway Act of 1991. Since then, the Greenway Council has been committed to the preservation, enhancement and development of the world-renowned scenic, natural, historic, cultural and recreational resources of the Hudson River Valley, that is also consistent with economic development goals and the tradition of municipal home rule.

One of the major principles and objectives of the Greenway Act is the development and implementation of a regional compact strategy. The Act states that the Council “shall guide and support a cooperative planning process to establish a voluntary regional compact among counties, cities, towns and villages of the Greenway to further the recommended criteria of natural and cultural resource protection, conservation and management of renewable natural resources, regional planning, economic development, public access and heritage education.”

In order to assist with realizing these goals, the Act provides certain incentives for communities that adopt and implement a regional compact. One major incentive is that state agencies may provide a 5% preference in their grants ranking system for infrastructure, land acquisition or park assistance projects identified in a Council-approved regional compact. In order to provide an additional major incentive for the development and implementation of the regional compact strategy, the Greenway Communities Council administers the Compact Grant Program. The Compact Grant Program provides 50% matching grants, on a reimbursement basis, for municipalities that develop, approve and implement a regional compact strategy that is consistent with the Greenway criteria and the Greenway Act. The 50% local match may be provided in the form of an in-kind or other non-monetary contribution.

B. General Guidelines:

- This grant program is competitive and the amount of grant award is contingent on funding availability. Applications will be rated on the following:
 1. How well the projects help advance the Greenway Criteria
 2. How well the projects advance the Greenway Compact
 3. Innovation: Higher ranking projects will be innovative and applicable elsewhere

- Applications are evaluated based on the following: the level to which they advance the Greenway Criteria; the level to which projects advance the Greenway Compact; and project innovation.
- Amount of grant award contingent on funding availability.
- Applicants will be allowed a conference period with Greenway staff up until the grant deadline. This period will allow applicants to ask staff for feedback and input on the grant application.
- **All** materials must be submitted by grant deadline for the grant to be considered complete. This includes the following:
 - ✓ Completed application
 - ✓ Resolution in support of grant application
 - ✓ Budget
 - ✓ Project description
 - ✓ Letters of support or participation from co-applicants
- Maximum State grant = 50% of the total project costs
- Local match may be provided as in-kind services or other non-monetary contribution
- Mileage is not reimbursable, but may be used for local match
- A work program for each phase of funding, with projected costs and an estimated timeline for completion, must be submitted and approved by the Greenway Council Board prior to the awarding of any grant funding. If a project involves the development of a plan or similar product, final disbursement of funding will not be made until the plan is completed in final form and adopted by the governing body of the relevant municipality.
- Intermunicipal collaboration projects that involve two or more municipalities will rate higher than single-community projects.

C. Specific Guidelines:

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Phase I Compact Grants – “Compact Development & Approval”

- Available for county and/or regional compact development and approval on the county and/or regional level.
- Counties are encouraged to complete this process in sub-phases and may submit a grant application for each sub-phase leading to the adoption of the final compact document.
- Funding for the final sub-phase of the work program will be fully disbursed upon completion of the county or regional compact document, approval and/or adoption by the county legislature and chief executive officer, and final acceptance by the Greenway Council Board.
- Expenses eligible for reimbursement include, but are not limited to:
 - local staff time for work on compact development, including attendance at public meetings or staff-level meetings;
 - printing, production costs and/or informational material related to the compact document, and;
 - consulting professional services.

- Mileage for staff attendance at public meetings is **not** eligible for reimbursement, but is permitted to be used as part of the local match.

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Phase II Compact Grants – “Community Compact Adoption”

- Available for reimbursements related to assisting member municipalities (cities, towns, villages) in becoming Greenway Compact Communities.
- Phase II funding is **not** available until Phase I is completed.
- Phase II funding will be dispersed to the county or counties that adopt the regional compact under the Phase I program for efforts related to the adoption of the compact by member municipalities (cities, towns, villages). The county or counties receiving such reimbursement may, at their own discretion, utilize such funding to reimburse the local municipalities that become Greenway Compact Communities for efforts related to their adoption of the compact.
- Reimbursements may be provided for similar services and documents as for Phase I funding.

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Phase III Compact Grants – “Compact Implementation”

- Available for implementation of the approved regional compact by a member municipality.
- The applicant(s) must have approved a resolution to become a Greenway Community and approved a local law to become a Greenway Compact Community.
- Phase III funding is **not** available in a county and/or region until Phase I is completed, but **is** available during Phase II.
- Phase III funding is **not** available until at least 50% of the member municipalities become Greenway Compact Communities through the adoption of the regional compact by local law.
- Phase III funding may be utilized for planning-related efforts or site-specific projects that are identified in the approved-regional compact and specific references must be cited.
- Intermunicipal collaboration projects will rate higher than single-community projects



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Compact Grant Application

PART A – PHASE OF FUNDING BEING SOUGHT (Check Appropriate Category)

- _____ Phase I (Compact Development)
- _____ Phase II (Community Compact Adoption)
- _____ Phase III (Compact Implementation)

PART B – APPLICANT INFORMATION

- | | |
|---|--|
| 1. Lead Applicant Community:
County/City/Town/Village of: _____
Federal ID#: _____

Co-Applicant:
County/City/Town/Village of: _____
Federal ID#: _____ | Co-Applicant:
County/City/Town/Village of: _____
Federal ID#: _____

Co-Applicant:
County/City/Town/Village of: _____
Federal ID#: _____ |
|---|--|

2. Chief Elected Official & Lead Contact Person Information

- | | |
|--|--|
| Chief Elected Official: (Supervisor/Mayor/County Executive)

Mailing Address: _____

Phone: _____ Fax: _____

Email: _____ | Lead Contact Person (if different):

Mailing Address: _____

Phone: _____ Fax: _____

Email: _____ |
|--|--|

PART C – GENERAL PROJECT INFORMATION

1. Project Name: _____
2. Project Location: County/Countries: _____
 City/Town/Village(s): _____
 Site Address: _____
3. Project Costs: Total Cost: _____; Greenway Funds Requested: _____
 Local Match: _____; Other Funding: _____
3. Applicant's Interest in Property (e.g. own, lease, easement, etc.): _____
4. Park Projects: Current balance of municipal "money in lieu of parkland" fund: \$ _____
 (See NY Town Law § 277(4)(c) or parallel provisions in Village Law §7-730 (4) or City Law § 33 (4)(c).)
 Amount from the fund that will be contributed to this project: \$ _____
5. SEQRA Status: Is the proposed project a Type 1, Type2 or Unlisted Action? _____
 Has there been Determination of Significance? _____
 If so, what is the determination? _____

PART D – PROJECT DESCRIPTION & CONSISTENCY WITH GREENWAY GOALS

1. Project Description:

- a. With no more than 100 words, describe the project, its purpose and location, the need and what will result when the project is complete. You may provide this information in an attachment. Feel free also to attach photographs, maps, renderings, etc.

- b. Is your project a plan or planning document? If “yes”, include a proposed timetable for implementation (after completion of the document or plan), a description of the implementation steps, and whether funding sources for the implementation have been identified or secured. (100 words or less)

2. Consistency with Greenway Criteria: Briefly describe how the proposed project is consistent with the five Greenway criteria, as listed below, and “check” the applicable categories:

- _____ Natural and Cultural Resource Protection – Protect, preserve, and enhance natural resources, including natural communities, open spaces, cultural and historic resources, scenic roads and scenic areas.
- _____ Regional Planning – Applicants working together to develop mutually beneficial regional strategies for natural and cultural resource protection, economic development, public access and heritage and environmental education.
- _____ Economic Development – Encourage economic development compatible with the preservation and enhancement of natural and cultural resources including agriculture, tourism, and the revitalization of established community centers and waterfronts.
- _____ Public Access – Promote increased public access to the Hudson River through the creation of riverside parks and the development of the Hudson River Valley Greenway Trail System.
- _____ Heritage and Environmental Education – Promote awareness among residents and visitors about the Valley’s natural, cultural, scenic and historic resources.

3. Consistency with compact (*for Phase III projects only*): Please cite the reference in the appropriate regional compact that this project is consistent with; attach photocopy of specific page(s).

4. Intermunicipal, collaborative effort: Briefly describe how the proposed project is consistent with the Greenway goals of regional planning and intermunicipal and collaborative efforts.

PART E – WORK PROGRAM, TIME LINE & BUDGET SUMMARY

1. **Work Program & Time Line:** Briefly list the proposed work program, by task, phase, or milestone and the timeline associated with the project. At a minimum, provide a start date and completion date for each project milestone (e.g. public input period, draft document completed, etc.). Additionally, provide the associated cost of each task and/or phase. You may provide this information through an attachment.

2. **Budget Summary:** Please identify the proposed expenditures of the project according to the following:

In-kind services (salaries, wages, travel/mileage):

Salaries: _____

Wages: _____

Mileage: _____

Other (please specify): _____

TOTAL: _____

Land Acquisition: _____

Construction: _____

Equipment/ Supplies/ Materials (Please specify):

Contractual/Professional Services: _____

PART F - APPROVED MUNICIPAL RESOLUTION AND LOCAL LAW

1. *Greenway Compact Community* Local Law:

Please attach a copy of the local law adopting the Greenway Compact. A municipality must be a participating *Greenway Compact Community* to receive funding through this program.

2. Grant Request Resolution:

An approved municipal resolution requesting the proposed grant funding must be provided before the application can be considered complete.

- Please attach the resolution; **or**
- Complete the following:
“The municipal board will be considering a resolution for this project to be voted on the following date _____. The resolution will be sent to the Greenway office within 48 hours of this meeting date.”

A sample resolution is as follows:

**Sample
Municipal Resolution**
(Must be submitted by municipalities)

WHEREAS, the _____ (name of municipality) is applying to the Hudson River Valley Greenway for a grant under the Greenway Compact Grant Program for a project entitled _____ (Project Name) to be located in _____ (town/village or city),

WHEREAS, the grant application requires the applicant municipality to obtain the approval/endorsement of the governing body of the municipality or municipalities in which the project will be located;

NOW, THEREFORE, be it resolved that the governing board of _____ (municipality) hereby does approve and endorse the application for a grant under the Greenway Compact Grant Program, for a project known as _____ (project name) and located within this community.

_____ Date of Adoption

_____ Name of Municipal Clerk _____ Signature

PART G - CERTIFICATION

Elected Official Certification: Please read and sign the following:

“I hereby affirm under penalty of perjury that information provided on this form and attached statements and exhibits is true to the best of my knowledge and belief. False statements made herein are punishable as a Class A misdemeanor pursuant to Section 210.45 of the Penal law.”

Applicant Name: _____ Title: _____

Signature: _____ Date: _____