



## FRB Regulatory Report Preparation

*Understanding the Consolidated Financial Statements for Bank Holding Companies Report (FR Y-9C)*

Webinar • September 26, 2013 2:30-4:30 PM

Call report preparation requires knowledge of call report instructions, bank accounting, regulation and all bank operations. This webinar provides practical guidance for understanding and preparing the FR Y-9C Report for bank holding companies.

This basic-to-intermediate level seminar is designed to provide regulatory reporting practitioners of all levels of experience with practical guidance and tips for understanding and preparing the FR Y-9C Report for bank holding companies. The program will begin with a review of bank holding company structures, then move into an overview of the reports that contribute to the FR Y-9C. Participants will also become familiar with the similarities and differences between the FR Y-9C and Call Report and how these differences can sometimes lead to reporting oversights.

### Highlights

- Elimination entries (between the bank and the parent company)
- Shared principles of the small (FR Y-9SP) and large (FR Y-9LP) parent company reports and how these flow into the FR Y-9C
- Common reporting errors and questions addressed by the Federal Reserve in its review of the FR Y-9C

### Audience

**Bank Holding Company Call Report Preparers:** Call report preparation requires knowledge of call report instructions, bank accounting, bank regulation and virtually all bank operations.

**Bank Holding Company Call Report Reviewers and Auditors:** A detailed review of the call report prior to transmission is essential to insure accuracy and completeness.

**Prerequisite:** Call Report preparation training or equivalent experience

### Speakers

**Ann Thomas** has over 30 years of experience in bank accounting and control. In 1998, she organized Thomas Consulting. As Thomas Consulting, she has taught numerous call report seminars for state banking associations. She has presented the Call Report Seminar to and has responded to questions from thousands of bankers across the country. Additionally, she performs regulatory compliance audits and training, and internal control audits for several banks.

**Iain Johnston** is the Manager of the Regulatory and Financial Reports section in the Statistics Division of the Federal Reserve of San Francisco and has worked for the Federal Reserve for 10 years. Previously Iain was the head of regulatory reporting for a super-community bank in Palo Alto, California for eight years and also previously worked for Bank of America in a variety of assignments for 13 years.

**Reggie Gore** is the Assistant Manager of the Bank Holding Company Reports Unit at the Federal Reserve Bank of San Francisco. In this role, he oversees the collection and data quality of over 15 Bank Holding Company reports, including the FR Y-9C, FR Y-9LP, and FR Y-9SP. In 2009, Reggie joined the Federal Reserve Bank of San Francisco as an Analyst in the organization's Leadership Development Program, primarily working in the Cash Services Department. He then became Supervisor of the Cost Accounting unit.

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### FRB Report Preparation

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