

REQUEST FOR FINAL AD REVIEW APPROVAL

I. E*TRADE ENTITY NAME

<input type="checkbox"/> E*TRADE Securities	<input type="checkbox"/> E*TRADE Capital Management
<input type="checkbox"/> E*TRADE Bank	<input type="checkbox"/> E*TRADE Financial Corporate Services
<input type="checkbox"/> E*TRADE Financial Corporation	<input type="checkbox"/> E*TRADE Insurance Services
<input type="checkbox"/> E*TRADE Clearing	<input type="checkbox"/> G1 Execution Services

II. SUBMITTAL INFORMATION

Date:	Submitted By:
Manager/Director/VP Approval:	

III. DESCRIPTION

Date of First Use:	Last Date to be Used:
Used with: <input type="checkbox"/> Retail Customer <input type="checkbox"/> Internal Use (SQCs) <input type="checkbox"/> Training Material <input type="checkbox"/> E*TRADE Employees (Channel e)	
Method of Distribution: <input type="checkbox"/> Web/Electronic <input type="checkbox"/> Print <input type="checkbox"/> Other	
Brief Description:	
Prior Approval #:	
# of Pieces 1	# of Pages 1

IV. FOR AD REVIEW USE ONLY

Final Signature:
Date of First Use:
FINRA Filing <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Pre-Filing <input type="checkbox"/> Within 10 Days of First Use
FINRA Filing Due Date:
Prior FINRA Filing Number:
Notes:
Final Copy Still Needed in Ad Review: <input type="checkbox"/> Yes <input type="checkbox"/> No Tracking Number: