



CASH ISA APPLICATION FORM

Please use black ink and write in CAPITALS. Defined terms shall have the meaning as set out in the Definitions section of the Brochure incorporating the Terms and Conditions. When you have completed and signed this application form, please return it to your Financial Adviser or to: Gilliat Financial Solutions, P.O. Box 874, Newport, NP20 9LE.

If you have any questions regarding the application process, please speak to your Financial Adviser or call 0845 848 0307

1. YOUR DETAILS

Title (Mr/Mrs/Miss/Ms):	
Surname:	
Full first names:	
Date of birth:	D D M M Y Y Y Y
Permanent home address:	
Post Code:	
Country:	
Phone Number:	

2. BANK DETAILS

Please complete the details below for receipt of payments:

Bank/Building Society:	
Address:	
Post Code:	

Account name:	
Account no:	
Sort code:	
Building Society Ref. or Roll No:	

3. NATIONAL INSURANCE NUMBER

Do you have a National Insurance (NI) number?: Yes No
If 'Yes', please write it below.

You should be able to find your NI number on a payslip, form P45 or P60, letter from Her Majesty's Revenue & Customs, letter from the DWP or pension order book.

NI Number:

4. INVESTMENT DETAILS

You can invest in the Money Builder Deposit Plan 1 in either a 2012/2013 or a 2013/2014 Cash ISA. Please indicate the approximate amount you wish to invest, and which tax year the investment corresponds to. Minimum £3,000. Please note, applications for the 2012/13 period must be received by April 5th, 2013.

Investment into a 2012/13 Cash ISA	£
Investment into a 2013/14 Cash ISA	£

Cheques should be made payable to **Gilliat Financial Solutions Client A/C 10**. If you are sending us a building society cheque, it should be payable to **Gilliat Financial Solutions Client A/C 10 (client name)**. If you send money by bank transfer, the details you require are: Gilliat Financial Solutions Client A/C 10, Barclays Bank, Sort code: 20-00-00, Account number: 0339 5510

5. PROVISION OF INFORMATION

For security purposes, please provide us with a password so we can give you information over the phone:

6. HAVE YOU RECEIVED FINANCIAL ADVICE?

Gilliat recommends that all clients seek financial advice to help establish whether investing in this Plan fits with their circumstances and financial objectives. Have you received financial advice relating to this Plan?

Yes, I/we have received advice from

(name of firm)

No, I/we have not received financial advice

If you have received advice, please proceed to Section 7.

If you have **not** taken financial advice please answer the following questions so that we can assess whether the Plan is appropriate for you.

1. Please indicate if you have ever held:

Any investment where the investment returns are variable and are based on the performance of the securities, such as equities, commodities, indices, corporate bonds.

A structured deposit (a fixed term investment such as the one you are applying for).

2. Do you understand that payment of the Annual Coupon will depend on the performance of the Underlying Assets of the Plan?

Yes No

3. Do you understand that the Plan is designed to be held for the full term of the Plan and if you were to cash in early the amount you receive would depend on the value of the investment at the date of sale and that this value could be less than the amount you invested?

Yes No

4. Have you read and considered the Brochure and the Terms & Conditions?

Yes No

5. Have you read and considered fully the risks associated with investing in the Plan as provided in the Brochure?

Yes No

7. DECLARATION & AUTHORITY

I declare that:

- All subscriptions made, and to be made, belong to me;
- I am 18 years of age or older;
- I have not subscribed and will not subscribe more than the overall subscription limit in total to a cash ISA and a stocks and shares ISA in the same tax year;
- I have not subscribed and will not subscribe more than the cash ISA subscription limit to a cash ISA;
- I have not subscribed and will not subscribe to another cash ISA in the same tax year(s) that I subscribe to this cash ISA;
- I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or, am married to, or in a civil partnership with, a person who performs such duties. I will inform Gilliat if I cease to be so resident and ordinarily resident or to perform such duties, or be married to, or in a civil partnership with, a person who performs such duties;
- I will inform Gilliat without delay of any change in my circumstances affecting any of the information in this application form.
- I declare that I have read the Brochure and Terms and Conditions and I agree to the Terms and Conditions under which my Plan will be managed.

I authorise Gilliat:

- To make on my behalf any claims to relief from tax in respect of ISA investments.
- To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.

I have read the relevant Brochure, incorporating the Terms & Conditions, and agree to the terms under which my investment in the Plan will be managed. I declare that Gilliat Financial Solutions shall act as Bare Trustee for the cash investment which will be placed on deposit with SG Hambros Ltd. I declare that this application form has been completed to the best of my knowledge and belief. I understand that Gilliat Financial Solutions does not provide investment advice and confirm that I have received advice on this investment from a Financial Adviser as shown above or, if I have not received advice, that I am satisfied that I do not need to take financial advice.

Signature:

Date:

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FOR FINANCIAL ADVISER'S USE ONLY

Adviser Firm:

Do you wish to rebate any commission? YES NO

If yes, please state percentage %

Other special instructions re investment:

FSA Firm number:

Name of adviser:

If you are part of a network, please state which:

Prevention of money laundering

I confirm that I have carried out the appropriate identity checks and attached the 'Verification of Identity Certificate'.

Please tick the appropriate box:

I have enclosed signed copies of the documentary evidence with this certificate.

I have seen the original documents and recorded details.

Signed on behalf of the above:

By signing this application form, you agree to be bound by Gilliat's Terms of Business, details of which can be found at www.gilliat.co.uk.