



**BANK OF GREECE**  
**EUROSYSTEM**

**THE EXECUTIVE COMMITTEE**

**ANNEX II**  
**QUESTIONNAIRE FORM C**

<p><b>Name of target credit institution</b></p> <p>.....</p> <p><b>Name of natural person</b></p> <p>.....</p>
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*Instructions for completing this questionnaire*

1. The application form must be duly completed and signed by the obligor. If the application is not submitted by the obligor himself/herself, their signatures must be legalised by the competent administrative authority.
2. The space provided under each question in the questionnaire is NOT indicative of the size of the required answer.
3. Where there is no competent authority to issue the required certificates, equivalent documents issued by an independent reliable source shall be submitted.
4. Any false or misleading information or suppression of important information may give rise, in addition to criminal prosecution, also to doubts about the integrity of the natural person and, therefore, his/her suitability.
5. All questions must be duly completed, otherwise the necessary explanations should be provided.
6. The supporting documents accompanying the application shall provide a cross-reference to the relevant paragraph and shall be attached to the application as Attachments, numbered as specified herein and not consecutively, i.e. omitting the numbers of any missing/non-applicable attachments.
7. The questionnaire shall be submitted to the following address:

**BANK OF GREECE**  
Supervision of Credit and Related Financial Institutions Department  
3 Amerikis St.  
10250 Athens

Tel: +30 210 3205019  
Fax: +30 210 3205400  
E-mail: [dep.bankingsupervision.gr@bankofgreece.gr](mailto:dep.bankingsupervision.gr@bankofgreece.gr)  
Website: [www.bankofgreece.gr](http://www.bankofgreece.gr)

**Data of contact person**

Name	
Surname	
Occupation	
Company Name	
Postal address	
Fixed phone number (including area code)	
Mobile phone number (optional)	
Fax number (including area code)	
E-mail	

## **CONTENTS**

1. Personal data
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**1. Personal data**

**1.1 Full name**

1.1.1 Name(s)

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1.1.2 Surname

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1.1.3 Father's name

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**1.2 Date of birth**

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**1.3 Residence address** (please indicate whether you stay at this address for less than 180 days per year)

Street	
Number	
City	
Country	
Postal code	

I stay at this address for less than 180 days per year.  Yes  No

**1.4 Have you changed address during the last ten years?**

Yes  No

If yes, please give your earlier address(es).

Street	
Number	
City	
Country	
Postal code	

**1.5 Indicate the position you will hold in the credit institution and provide a brief description of your duties.**

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**1.6** Are you aware of the obligations and duties attendant to your position under the legislation in force?

Yes, I have been informed       No, I have not been informed

I confirm that I am willing to comply with the relevant legislation on an ongoing basis

Yes       No

**1.7** Have you ever been granted or denied authorisation by a supervisory authority of any country to hold any position of trust (member of the management body, key function holder) in any credit or financial institution or investment firm or insurance undertaking?

Yes       No

If yes, please attach, as Attachment 1, copies of the relevant decisions.

## **2. Professional experience, academic and professional qualifications**

### **2.1 Professional experience**

Please attach, as Attachment 2, your full employment record, starting with your most recent job, including such information as the reasons of termination of employment or any periods of unemployment. Provide your full employment record for the last ten years, including, for every job:

- start and end date of employment
- employer's name, address and nature of business
- the position you held, including information on your responsibilities, decision-making powers and the number of subordinates directly reporting to you; and
- any experience and knowledge you gained which are relevant to the proposed position.

### **2.2 Academic qualifications**

Please provide details of your academic qualifications (institutions, degrees/ certificates awarded, duration of studies), attaching as Attachment 3, authenticated copies of the qualifications, including any recognition thereof in Greece.

Full name of university	Degree/ Certificate	Period of study	
		From	To

### **2.3 Professional qualifications and membership of professional bodies**

- Full names of professional bodies
- Full titles of professional qualifications
- Dates of award of professional qualifications/Professional body membership effective dates (month-year)
- Relevance of qualifications or memberships to the proposed position.

### **2.4 Other relevant education/training**

Please provide details on any other education or training that is relevant to the proposed position.

Other education or training	From	To

### 3. Personal characteristics

To answer the following questions, please tick the relevant box. If the answer is affirmative, please attach, as Attachment 4, the appropriate documents, including references to the relevant question.

**3.1** Are you a party to any litigation before any court in Greece or abroad?

No

Yes ▶ If yes, please complete the table below

<u>Kind of dispute</u>	<u>Process filing number</u>	<u>Brief description</u>

**3.2** Has your employer ever been prosecuted by the professional body he is a member of during the last ten years?

No  Yes

**3.3** Have you ever resigned any post, in Greece or abroad, in order to avoid any legal action or disciplinary proceeding against you?

No  Yes

**3.4** During the last ten years, did you hold any position of trust, or were you a member of the management body or key function holder in any company, in Greece or abroad, which became subject to resolution?

No  Yes

**3.5** Describe any financial or other interests, as well as any relationships existing between you, your spouse, descendants or ascendants, on the one hand, and the members of the management body and key function holders of the credit institution, the parent company, the subsidiaries and the controlling shareholders.



#### **4. Participation in other organisations**

If, during the last ten years, you have held or still hold stakes in the capital of any company, or were or still are a member of the management body of any company:

**4.1** Indicate whether the stakes you held/hold in the capital of any company were/are held on behalf of legal persons of which you effectively direct(ed) the business, were/are a member of the management body or key function holder.

No

Yes ▶ If yes, please complete the table below:

Company name	Main activities	Position	Time period

**4.2** Indicate whether the stakes you held/hold in the capital of any company were/are held on behalf of legal persons of which the persons that effectively direct(ed) the business or managers act/acted directly or indirectly under your instructions or guidance.

No

Yes ▶ If yes, please complete the table below:

Name of company or organisation	Main activities

**4.3** Does any of the entities referred to in the answers to questions 4.1 and 4.2 above have any business relationship with the target credit institution?

No

Yes ▶ If yes, please provide the following information:

(a) name of the company, its main activities etc.; and

(b) nature of the business relationship, including information on:

• usual banking business; and

• other business relationships, such as e.g. whether the company supplies goods or provides services to the target credit institution; whether it has obtained any loans or financing facilities of any nature from the target credit institution; whether the bank rents real estate owned by the said company, including information on the revenue earned by the company from this relationship, in absolute figures and as a percentage of the company's turnover during the last financial year.

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**4.4** Indicate how many shares or stock options of the credit institution are held by you, or by others on your behalf, or by any person with which you are related by blood or affinity up to the second degree.

Number of shares: .....

Number of stock options: .....

**4.5** Have you provided any guarantees or any other personal or real collateral in connection with any financial claims on, or obligations of, any other natural or legal person?

No

Yes ▶ If yes, please specify

**4.6** Please disclose any financial interests in financial corporations.

**5. Letters of recommendation**

Please attach, as Attachment 5, two letters of recommendation, preferably from your employers during the last three years, other than shareholders, proposed shareholders, members and proposed members of the management body and officers of the target credit institution or associated firms, within the meaning of Article 42e of Law 2190/1920, as currently in force.

## 6. Attachments

Please list the required supporting documents that accompany the application

Attachment		Paragraph	Tick (√) if submitted or indicate "n/a"
1	Copies of supervisory authority decisions granting or denying authorisation	1.7	
2	Professional experience	2.1	
3	Authenticated copies of academic qualifications	2.2	
4	Personal characteristics	3.1 to 3.5	
5	Letters of recommendation	5	
6	Other – Please specify		

**7. DECLARATION - AUTHORISATION**

I, the undersigned ....., hereby solemnly declare that:

(a) the information and data provided in this questionnaire are complete and true, and I agree to provide the Bank of Greece with any further information and clarifications in respect of this questionnaire;

(b) I am aware that any wilful or negligent provision of untrue or misleading information to the Bank of Greece also entails criminal sanctions;

(c) I hereby provide my express permission to the Bank of Greece and its duly authorised staff to seek and obtain information from any other party (including, but not limited to, my current and previous employers, credit institutions, other domestic or foreign supervisory or regulatory authorities), as necessary to verify data provided in this questionnaire; and

(d) the Bank of Greece shall be notified promptly in writing of any change in the information in this questionnaire.

The data provided in this questionnaire are considered confidential. These data may be collected and processed by authorised officers of the Bank of Greece legally and legitimately for specific, clear and legitimate purposes, in accordance with the provisions of Law 2472/1997 on the protection of individuals with regard to the processing of personal data, as currently in force.

No information concerning me shall be disclosed or transmitted to any third party, other than in the cases contemplated by law or pursuant to a court judgment.

Being fully cognizant of the legal consequences, I hereby provide my explicit and unconditional consent to the collection, alignment, combination and processing of the records containing my personal data, which are kept by the Bank of Greece, as well as to the transmission of such data in accordance with the provisions of the current institutional framework.

Whenever needed or considered appropriate by the Bank of Greece, I shall assist and cooperate in order to achieve compliance with the obligations that are incumbent either upon myself personally or upon the target credit institution.

This declaration extends to both the current and any future data and information concerning myself, which are collected for supervisory purposes.

.....  
(Signature)

.....  
(Full name and position)

.....  
(Place and Date)