



# Transfer Application for all Pre-Premier Series

Please use black ink and write in CAPITAL LETTERS or tick  as appropriate. Any corrections must be initialled. Please do not use correction fluid as this will invalidate your application.

The receiving scheme is a money purchase scheme insured with Prudential.

The transferring arrangement will usually want to know the HM Revenue & Customs Pension Scheme Tax Reference (PSTR).

The Normal Retirement Date set when the member joined the Scheme.

\* There are a number of possible ways you may trigger the Money Purchase Annual Allowance (MPAA) when accessing your benefits flexibly. Your pension scheme or provider will have informed you if this is the case. For further information please speak to your Financial Adviser.

## About this form

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If you have any questions about this form, you can call us free on **0800 000 000** between 8am and 6pm Monday to Friday. Calls may be monitored or recorded for quality and security purposes.

- ▶ Please use a separate form for each transfer value.
- ▶ As you complete the form, please read the notes which are there to help you.
- ▶ Sections A – D should be completed by the receiving scheme trustees and
- ▶ Sections E – F by the paying scheme/arrangement.
- ▶ Copies of plan terms and conditions and the completed application form are available on request.

**It is a serious offence to give false information. To do so, could lead to prosecution.**

## Part A – Details of receiving scheme

Name of Scheme

Retirement Benefits Scheme

Prudential Scheme Reference Number

P

Pension Scheme Tax Reference (PSTR)

## Part B – Member's details

Title Mr  Mrs  Miss  Ms  Other

Surname

Forename(s)

Sex Male  Female

Date of birth

Normal Retirement Date (must be a birthday)

National Insurance Number

Have you previously accessed benefits flexibly?\*

Yes  No

If Yes, please specify the date these were accessed.

## Part C – Investment of contributions

### The Lifetime Investment Strategies are available for series A plans only

Please complete the table below to confirm the investment fund(s) to be used if;

- the transfer value is to be invested in a Series A plan, but the Lifetime Investment Option is NOT to apply, or
- the transfer value is not to be invested in a Series A plan.

If the transfer value is to be invested in a Series A plan and the Lifetime Investment Option is required, you can choose the investment fund(s) to be used initially, or you can leave the selection to us.

Please see our Fund Description Brochure (PENB10290) for information on all our funds.

Prudential Funds	% to be invested in each fund
	%
	%
	%
	%
	%
	%
<b>TOTAL</b>	<b>100%</b>

**If you want the Pension Protector Option OR the Lifetime Investment Option, please complete the rest of this section. Otherwise, please go to section D now.**

## Pension Protector/Lifetime Investment Options

Please tick only **one** option.

The Lifetime Investment Options are available for Series A plans only.

- Pension Protector Option       Lifetime Investment (Balanced)
- Lifetime Investment (Cautious)       Lifetime Investment (Opportunity)

If you have chosen one of the Lifetime Investment strategies without choosing any investment linked fund(s) above, we will assume that you are happy to start with the funds we choose for you. There is a set basis, we do not make an individual choice.

Please see our Lifetime Investment Flyer (P480) for information on our Lifetime Investment Options.

**Please go to part D.**

## Part D – Declaration

We declare that to the best of our knowledge and belief, the information given in this application is true and complete. We agree to accept the transfer value in respect of the member detailed in Part B.

Signed for and on behalf of the Trustees of the receiving scheme.

Signature

X

Date

D	D	M	M	Y	Y	Y	Y
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Please show the percentage investment split you want. Fractions of 1% should not be used. You can invest in up to six funds for the member but the With-Profits Fund is not available if the member is within three years of Normal Retirement Date (and, if chosen, would be treated as an application to invest in the Cash Fund).

Please make sure your total equals 100%.

These options do not apply to with-profits investments.

Sections E to F of this form should be completed by the trustees or administrator of the transferring arrangement, or insurer of 'Section 32' or retirement annuity plan.

**Part E – Details of transfer value and transferring arrangement**

Member's Name  Name of transferring arrangement

Name and address of trustees or administrator of transferring arrangement   
 Postcode

**Where is the transfer coming from?** Tick as appropriate

**UK Scheme Transfers**

Occupational Scheme  Other   
 OR  
 S32  Overseas Scheme Transfer

Any other source approved by the HMRC

Amount of Transfer Value  £

If the transfer is as a result of a divorce "pension sharing" order, the transfer cannot proceed unless the Trustees and Prudential agree that the pension credit can be held in the scheme.

If the transfer value is subject to a Court Order following the member's divorce (an "earmarking order"), a copy of this order is attached. Copy attached  tick box

Is any part of the transfer uncrystallised rights\* that originated in an approved occupational pension scheme where, on or after 27 July 2004 but before 6 April 2006, they took a tax-free lump sum but delayed taking pension? Yes  No

If **Yes**, and this does not apply to the whole transfer value, please confirm the value that has already attracted tax-free cash  £

Is the transfer part of a block transfer from a registered pension scheme? Yes  No

**Note:** If the member has been a member of our scheme for more than 12 months we will ignore any details given below.

**If Yes, please complete rest of this section. If No, go to section F.**

Does the member qualify for Protected Tax Free Cash for pre 6 April 2006? Yes  No

If **Yes**, Protected Tax Free Cash amount at 5 April 2006  £

Fund value at 5 April 2006  £

Does the Transfer Value include the value of any contributions paid, or benefits earned, after 5 April 2006? Yes  No

Has there been a previous partial transfer out from the scheme? Yes  No

If **Yes**, value of previous transfer out  £

Are all benefits available from the scheme payable as a Standalone Lump Sum? Yes  No

Does the investor qualify for Protected Early Pension Age? Yes  No

If **Yes**, Protected Early Pension Date (must be a birthday)

Further information may be required for transfers from overseas schemes.

Please give full details. Evidence of approval will be required.

Please make cheque payable to Prudential and include either the scheme or the member's name for identification e.g. Prudential: re. A. N. Other

\* Uncrystallised rights are funds that have not yet been applied to provide pension income or moved into a drawdown pension.

## Part F – Declaration

We declare that:

- › to the best of our knowledge and belief, the information on this form is true and complete.
- › the member has agreed in writing to, or requested, payment of the transfer value as detailed on this form.
- › the transfer value detailed in this form is
  - consistent with UK legislation and
  - meets the requirements for equal treatment of retirement benefits between males and females in the same category of employment to the extent required by the Treaty of Rome.

Signature

X

Date

D	D	M	M	Y	Y	Y	Y
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For and on behalf of the administrator or trustee of the transferring scheme or the insurer of the transferring arrangement or contract.

## Part G – How We Use Your Personal Data

The Prudential Assurance Company Limited, its group companies \* and its business partners will use your information together with other information for administration, credit decisions, customer services, marketing and profiling your purchasing preferences. We will pass your information to them (including our service providers and agents) for these purposes. If you are a joint applicant, we will also pass your information to the other joint applicant/s.

For certain products, we may search the files of credit reference agencies that will record any credit searches on your file. This is to help us make credit decisions about you, to prevent fraud, to check your identity and to prevent money laundering. We may disclose details of how you conduct your account to such agencies. The information will be used by other credit grantors for making credit decisions about you and the people with whom you are financially associated, for fraud prevention, money-laundering prevention and occasionally for tracing debtors. This information may be used to recheck these purposes. We will pass your information to any legal or regulatory body if required to do so.

For certain products, we will need to process sensitive personal data such as health data. It may also be necessary, for the above purposes, to transfer your information to countries that provide a different level of data protection from the UK. In such circumstances, we will put a contract in place to ensure your information is protected. By completing and submitting this form, you consent to us processing your sensitive data and to the processing mentioned above.

You have a right to obtain a copy of your personal information (for which we may charge a fee) and to have any inaccuracies corrected by writing to: The Information Risk & Privacy Team, The Prudential Assurance Company Ltd, 3 Sheldon Square, London, W2 6PR. To make sure we follow your instructions correctly and to improve our service to you through training of our staff, we may monitor or record communications.

### Acting On Someone's Behalf?

When giving us information about another person, you confirm that they have appointed you to act on their behalf. This includes providing consent to:

- › the processing of their personal and sensitive data
- › receive any data protection notices on their behalf
- › receive marketing information as indicated.

### Marketing Choice

We would like to keep you updated with information on our products and services. To do this we would like to contact you by telephone, email or text.

If you would not like to be contacted, please tick this box.

**For Prudential use only**

Cheque Acknowledgement Number

Date Stamp

Cheque Amount



[www.pru.co.uk](http://www.pru.co.uk)

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