



**FORT HAYS STATE
UNIVERSITY**

Forward thinking. World ready.

**INSTITUTIONAL REVIEW BOARD
FOR HUMAN SUBJECTS RESEARCH**

APPLICATION

Proposals for review by the IRB may be submitted at any time. With the exception of expedited reviews, complete proposals submitted no later than ten (10) business days prior to a scheduled meeting will be reviewed at that meeting. Late proposals will be reviewed at the next scheduled meeting. The IRB meeting schedule is posted on the [website](#). Incomplete proposals will not be reviewed until the researcher supplies the missing information. Be sure to respond to all sections.

Type of Request:

- ☐ **Full Review**
Complete Application and Relevant Forms
- ☐ **Expedited Review**
Complete Application and Expedited Review Attachment
- ☒ **Exempt from Review**
Complete Application and Exempt Review Attachment

All materials related to this study must be uploaded into your [IRBNet](#) study workspace. Instructions for using IRBNet are located at [the FHSU IRB website](#).

Required materials include:

- Completed application (including relevant parts of section IX if a vulnerable population is involved)
- A completed form requesting Exemption, Expedited or Full Review.
- Copies of all recruiting materials, including scripts, emails, letters, posters, advertising, etc.
- Copies of all measurements, instruments, surveys, interview questions being used, etc.
- All consent forms and assent forms or scripts (for children).
- Debriefing materials.

I. Certifications:

I am familiar with the policies and procedures of Fort Hays State University regarding human subjects in research. I subscribe to the university standards and applicable state and federal standards and will adhere to the policies and procedures of the Institutional Review Board for the Protection of Human Subjects. I will comply with all instructions from the IRB at the beginning and during the project or will stop the project.

AND

I am familiar with the published guidelines for the ethical treatment of human subjects associated with my particular field of study.

Statement of Agreement:

By electronically signing and submitting this application package, I certify that I am willing to conduct and /or supervise these activities in accordance with the guidelines for human subjects in research. Further, I certify that any changes in procedures from those outlined above or in the attached proposal will be cleared through the IRB.

If the Principal Investigator is a student, the electronic signature of the Faculty Advisor certifies:

1) Agreement to supervise the student research; and, 2) This application is ready for IRB review. The Student is the "Principal Investigator". The Faculty Research Advisor is the "Advisor". Designees may not sign the package. It is the student's responsibility to contact their Faculty Research Advisor when the study is ready for his/her signature.

- ☒ I certify the information provided in this application is complete and correct
- ☒ I understand that I have ultimate responsibility for the conduct of the study, the ethical performance of the project, the protection of the rights and welfare of human subjects and strict adherence to any stipulations imposed by the IRB.
- ☒ I agree to comply with all FHSU policies, as well as all federal, state and local laws on the protection of human subjects in research, including:
 - Ensuring all study personnel satisfactorily complete human subjects in research training
 - Performing the study according to the approved protocol
 - Implementing no changes in the approved study without IRB approval
 - Obtaining informed consent from subjects using only the currently approved consent form
 - Protecting identifiable health information in accordance with HIPAA Privacy rule
 - Promptly reporting significant or untoward adverse effects to the IRB

Application Information:

II. Activity or Project Title:

The Examination of Film Preference and Attitudinal Outlook

Time period for activity: From: 4/1/11

To: 5/13/11 **If longer than 1 year, annual review will be needed*

III. List all people involved in research project:

Name & Title	Institution & Department	Phone	Email
*Mary Smith	Psychology		
*John Brown	Psychology		
*Vic Tiger	Psychology		
**Dr. Jones	Psychology		

*Principal Investigator

**Faculty Research Advisor (if student is Principal Investigator)

If there are additional investigators, please attach their information to the application.

IV. Type of investigator and nature of the activity: (Check all the appropriate categories)

☐ A. Faculty/Staff at FHSU:

- ☐ Submitted for extramural funding to:
- ☐ Submitted for intramural funding to:
- ☐ Project unfunded
- ☐ Quality improvement/program evaluation
- ☐ Quality assurance
- ☐ Other (Please explain)

☒ B. Student at FHSU: ☐ Graduate

☒ Undergraduate

☐ Special

☐ Thesis

☐ Graduate Research Paper

☐ Specialist Field Study

☐ Independent Study

Class Project (Course Number and Course Title): PSY 259 A

☒ Other (Please Explain): Research Class

☐ C. Other than faculty, staff, or student at FHSU (Unaffiliated with FHSU).

V. Human Subjects Research Ethics Training: The Principal Investigator must have completed the appropriate CITI training modules OR provide a summary below regarding training completed in Human Subjects Research (such as coursework, workshops, etc.) Enrollment in or completion of a research methods-type course is appropriate for a student PI as they are under supervision of a Faculty Research Advisor. Faculty Research Advisors, when listed above, must also indicate either CITI training or provide a brief summary of relevant training such as coursework, workshops, etc. If the PI is not affiliated with FHSU, documentation of CITI or other training must be provided.

Completed FHSU CITI Training: ☒ Yes ☐ No
(If no, describe relevant human subjects ethics training below):

Research Class

VI. Description of Project

Completely describe the research project below. Provide sufficient information for effective review, and define abbreviations and technical terms. Do NOT simply attach a thesis, prospectus, grant proposal, etc. If an item is not applicable, please provide justification.

A. Project purpose(s):

The project's purpose is to examine optimism/pessimism levels and movie preferences. We hypothesize that people who identify their movie preference as comedy will be more inclined to score higher on the optimism scale. Also, those people who identify their movie preference as drama will be more inclined to score lower on the optimism scale.

B. Describe the proposed participants (number, age, gender, ethnicity, etc)

Approximately 100 FHSU students will be sampled for this study. There will be no exclusions for participating in this study except participants must be 18 years of age or over and 65 years of age or under.

C. What are the criteria for including or excluding subjects? Are any criteria based on age, gender, race, ethnicity, sexual orientation, or origin? If so, justify.

Again, there are no exclusion except for age. This is done to avoid sampling protected populations

D. Population from which the participants will be obtained:

General Populations:

 X Adult students (18-65 years) on-campus

 Adults (18-65 years) off-campus

*See Section IX for
additional information

- ☐ Prisoners
- ☐ Wards of the State
- ☐ Pregnant Women
- ☐ Fetuses
- ☐ Mentally disabled
- ☐ Children (under the age of 18)

Other vulnerable groups:

- ☐ Vulnerable to influence or coercion (may include FHSU students or employees)
- ☐ Economically disadvantaged
- ☐ Educationally disadvantaged
- ☐ Decisionally impaired
- ☐ Non English speakers
- ☐ International research

Protected or Vulnerable Populations*:

☐ Elderly (65+ Years)

E. Recruitment Procedures: Describe in detail the process to be used to recruit participants. Upload scripts, emails, letters, advertising and all marketing materials with your application. Provide a step-by-step description of how potential participants will be recruited for the study.

Post on Psychology Web page in “Research Opportunities” and ask psychology professors to inform students. Ask professors for permission to give surveys in their classes.

F. Describe the benefits to the participants, discipline/field, and/or society for completing the research project. This description is necessary for determining if the risks are reasonable in relationship to anticipated benefits. Research that provides no benefit or potential for benefit will not be approved.

The benefits to participating in this study are to expand their horizon in academia and understanding the influence of culture on their attitudinal outlook. Additionally, course credit or extra credit points may be offered by the instructor as compensation for participation as outlined in the course syllabus.

G. Describe the potential risks to participants for completing the research project. A risk is a potential harm that a reasonable person would consider important in deciding whether to participate in research. Risk categories include physical, psychological, social, economic and legal, and include pain, stress, and invasion of privacy, embarrassment, or exposure of sensitive or confidential information. All potential risks and discomforts must be minimized to the greatest extent possible by using appropriate monitoring, safety devices and withdrawal of a subject if there is evidence of a specific adverse event.

There are no foreseeable risks involved with participation in this study.

☒ **Minimal Risk:** the probability and magnitude of harm or discomfort anticipated in the research are not greater in and of themselves than those ordinarily encountered in daily life or during the performance of routine physical or psychological examinations or tests.

☐ More than minimal risk

H. Describe the follow up efforts that will be made to detect any harm to subjects, and how the IRB will be kept informed. Serious adverse or unexpected reactions or injuries must be reported to the IRB within 48 hours. Other adverse events should be reported within 10 days.

. Participants are given debriefing information which has contact information for the Psychology Department Ethics Chair, and the course instructor. Participants are instructed to contact these people if they have any concerns about the research conducted.

I. Describe in detail the procedures to be used in the research project. What will all participants experience during the research project?

Participants will be read the recruiting script. If they are interested in possibly participating they will be given the informed consent to read. They will be given a chance to ask any questions and sign the consent if they wish to participate. They then will be given surveys (see below). When finished with the surveys, they will place them in a box at the front of the room. Once all participants have finished, they will all be read the debriefing statement and asked if they have any questions.

J. List all measures/instruments to be used in the project, include citations and permission to use (if measure/instrument is copyrighted) if needed or if it will be changed for this study. Attach copies of all measures, such as surveys, interview questions, instruments, etc. to the package.

**Survey on Film Preference
Survey on Attitudinal Outlook**

**Self-Constructed Source
Website**

K. Describe in detail how confidentiality will be protected or how anonymity will be ensured before, during, and after information has been collected? Please note the difference between confidentiality (researcher knows identity of subjects and keeps information secret) and anonymity (researcher does not know identity of participants).

Informed consents will be gathered separately from surveys, since the informed consent is signed. Surveys will contain no identifying information. Surveys will be turned in when the participant places his/her survey in a box at the front of the classroom.

L. Data Management: How will the data be stored? When will the data be destroyed? Who will have access to the data? If audio or video recordings are used, how will they be kept confidential?

Data will be stored under lock & key in the faculty advisor's office. Data will be maintained as detailed by the APA ethics code.

M. Informed Consent: Describe in detail the **process** for obtaining consent. *If non-English speaking subjects are involved, describe how consent will be obtained.*

Participants will be read the recruiting script if they are interested in possibly participating they will be given the informed consent to read. They will be given a chance to ask any questions and sign the consent if they wish to participate.

N. If informed consent is to be waived or altered, complete Supplemental: Consent Waiver Form
N/A

O. If written documentation of consent is to be waived, complete Supplemental: Documentation Waiver Form N/A

P. Explain Debriefing procedures/end of study information that will be given to all participants.

When participants have finished the study, they will be read a debriefing statement (see attached) at which time they will also be asked if they have any additional questions.

Q. Emergencies. How will emergencies or unanticipated adverse events related to the research be handled if they arise? Please note that this refers to an emergency situation associated with the research activity, not an emergency such as a fire alarm.

No foreseen emergencies should arise. However, the faculty advisor will be present during data collection.

R. Will information about the research purpose and design be held from subjects? If yes, justify the deception.

No deception will be used in the current study.

VII. If the research involves protected health information, it must comply with the HIPAA Privacy Rule.

Select one:

☒ **X** The research does not involve protected health information

☐ Do you plan to use or disclose identifiable health information outside FHSU?
If yes, the consent form must include a release of protected health information.

The IRB may make a waiver of authorization for disclosure if criteria are met under the HIPAA Privacy Rule. *If a waiver of authorization is being requested, the researcher must contact the IRB chair prior to submitting this application.*

☐ Will the protected health information to be used or disclosed be de identified or will a limited data set be used or disclosed? *Please describe:*

VIII. Conflict of Interest: Each individual with a personal financial interest or relationship that in the individual's judgment **could reasonably appear to affect or be affected by the proposed study** involving human subjects is required to disclose the existence of financial interests. It is unnecessary to report any financial interests or relationships that do **not** reasonably appear to affect or be affected by the proposed study. **N/A**

Definitions:

"Conflict of interest" occurs when an independent observer may reasonably question whether an individual's professional actions or decisions are influenced by considerations of the individual's private interests, financial or otherwise.

Conflicting financial interests do not include:

- Salary and benefits from Fort Hays State University;
- Income from seminars, lectures, teaching engagements, or publishing sponsored by federal, state, or local entities, or from non-profit academic institutions, when the funds do not originate from corporate sources;
- Income from service on advisory committees or review panels for governmental or non-profit entities;
- Investments in publicly-traded mutual funds;
- Gifts and promotional items of nominal value; and
- Meals and lodging for participation in professional meetings.

"Principal investigator or other key personnel" means the principal investigator and any other person, including students, who are responsible for the design, conduct, analysis, or reporting of research involving human subjects.

The decision to exempt a study from IRB review must be made by someone other than the researcher associated with the project.

Request for Exemption

From IRB Review

Fort Hays State University Institutional Review Board Office for Scholarship and Sponsored Projects

600 Park Street
Hays, KS 67601
(##) #####

Study Title: The Examination of Film Preference and Attitudinal Outlook		
Name of Principal Investigator: Mary Smith, John Brown, Vic Tiger		
	Departments with Human Subjects/Ethics Review Committees	Departments without Human Subjects/Ethics Review Committees
Departmental Representative	Departments with Human Subjects/Ethics Review Committees	
Date of Departmental	4/12/11	
Committee Members: xxx,xxx,xxx		
Votes for:4		
Votes Against:0		
Abstained:0		

EXEMPT CRITERIA

Research must be "**minimal risk**" to qualify for an Exemption. Minimal risk means that the probability and magnitude of harm or discomfort anticipated in the research are not greater than those ordinarily encountered in daily life or during the performance of routine physical or psychological examinations or tests.

A. Risk Level: Does this research pose more than minimal risk to participants? ☐ Yes* ☒ No

* Greater than minimal risk research must be reviewed by the university IRB. Please request a full IRB review.

B. Public Data: Will the study use archived data, documents, records or biological specimens? ☐ Yes* ☒ No

* Provide Source:

*When were these data collected:

C. Special Subject Populations (generally not eligible for exemption, unless the study qualifies for an educational exemption).

1. Minors (under 18 years of age). Not applicable to educational research. **Not exempt.**
2. Fetuses or products of labor and delivery
3. Pregnant women (in studies that may influence maternal health)
4. Prisoners
5. Wards of the state
6. Elderly (65+)
7. Individuals with a diminished capacity to give informed consent

Does the study include any special subject populations? ☐ Yes* ☒ No

* Indicate population:

E. Categories of Sensitive Information (generally not eligible for exemption)

1. Information relating to sexual attitudes, preferences, or practices.
2. Information relating to the use of alcohol, drugs or other addictive products.
3. Information pertaining to illegal conduct.
4. Information that if released could reasonably damage an individual's financial standing, employability, or reputation within the community.
5. Information that would normally be recorded in a patient's medical record and the disclosure of which could reasonably lead to social stigmatization or discrimination.
6. Information pertaining to an individual's psychological well-being or mental health.
7. Genetic information.

Does the study include collection of any sensitive information? ☐ Yes* ☒ No

F. Exempt Categories (45 CFR 46.101(b)) Check Category that best describes the study:

☐ (1) Research conducted in established or commonly accepted educational settings, involving normal educational practices, such as (i) research on regular and special education instructional strategies, or (ii) research on the effectiveness of or the comparison among instructional techniques, curricula, or classroom management methods.

This applies only Normal educational research in regular educational settings.

☒ (2) Research involving the use of educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures or observation of public behavior, unless:
(i) information obtained is recorded in such a manner that human subjects can be identified, directly or through identifiers linked to the subjects; and
(ii) any disclosure of the human subjects' responses outside the research could reasonably place the subjects at risk of criminal or civil liability or be damaging to the subjects' financial standing, employability, or reputation. **This exemption does not apply to children or prisoners**

☐ (3) Research involving the use of educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures, or observation of public behavior that is not exempt under paragraph (b)(2) of this section, if:
(i) the human subjects are elected or appointed public officials or candidates for public office; or (ii) federal statute(s) require(s) without exception that the confidentiality of the personally identifiable information will be maintained throughout the research and thereafter.

This applies only to elected officials, not officials appointed via a regular hiring process

☐ (4) Research involving the collection or study of existing data, documents, records, pathological specimens, or diagnostic specimens, if these sources are publicly available or if the information is recorded by the investigator in such a manner that subjects cannot be identified, directly or through identifiers linked to the subjects.

All data must exist when the application is submitted (if data will be used that is collected or will be collected for clinical purposes complete the IRB Review Form)

☐ (5) Research and demonstration projects which are conducted by or subject to the approval of department or agency heads, and which are designed to study, evaluate, or otherwise examine:
(i) Public benefit or service programs; (ii) procedures for obtaining benefits or services under those programs; (iii) possible changes in or alternative to those programs or procedures; or (iv) possible changes in methods or levels of payment for benefits or services under those programs.

This applies only to research and demonstration projects under the Federal Social Security Act. This does NOT apply to state or local public service projects that are not pursuant to the Social Security Act.

☐ (6) Taste and food quality evaluation and consumer acceptance studies, (i) if wholesome foods without additives are consumed or (ii) if a food is consumed that contains a food ingredient at or below the level and for a use found to be safe, or agricultural chemical or environmental contaminant at or below the level found to be safe, by the Food and Drug Administration or approved by the Environmental Protection Agency or the Food Safety and Inspection Service of the U.S. Department of Agriculture.

PROCESS:

This form should be completed and attached to the Application Package for Human Subjects Research. All components must be included:

- Application
- Informed Consent Process and Documentation (if needed)
- Recruitment materials
- Any research instruments that will be used for the study (interviews, questionnaires, advertisements) If the study is designed to develop instruments and test the instruments for validity, state this in the Research Summary. Provide a copy of the materials to the OHRPP once developed using an Amendment Form.

Departments with Human Subjects/Ethics Review Committees:

The Chair of the Committee provides the completed form to the Principal Investigator to upload.

Departments without Human Subjects/Ethics Review Committee:

The Department Chair provides the completed form to the Principal Investigator to upload, and recommends the study be considered for exemption

Recruitment Statement

Hi, I am _____, from Dr. Smith's Experimental Lab class. We are conducting a survey regarding film preference and attitudinal outlook. This is a 25 question survey that can serve as course credit or you can receive extra credit. This study is completely voluntary and you do not need to participate should you not want to complete the survey. We will pass out consent forms and if you fill them out, we can give you the survey to complete. This study should not cause you any harm but should you become overwhelmed, you will be given information about the Kelly Center. Thank you.

SAMPLE DEBRIEFING STATEMENT

The Examination of Film Preference and Attitudinal Outlook

The purpose of this study was to examine optimism/pessimism levels and movie preferences. We hypothesized that people who identify their movie preference as comedy will be more inclined to score higher on the optimism scale. Also, those people who identify their movie preference as drama will be more inclined to score lower on the optimism scale.

If you would like a copy of the results or have any questions about this study, please contact the faculty advisor, Dr. Jones at (##) or the chair of the Psychology Ethics committee, Dr. Smith at (##) Thank you for your participation.

SAMPLE SURVEY

Gender: Male Female

Age: _____

Classification (circle one): Freshman Sophomore Junior Senior

Major: _____

From the list below, mark your **FAVORITE** film:

- ___ Action/Adventure (Pirates of the Caribbean, Sherlock Holmes, The Dark Knight, True Grit)
- ___ Children (Aladdin, The Lion King, Shrek, Up)
- ___ Comedy (Easy A, The Hangover, Superbad, Step Brothers)
- ___ Drama (Black Swan, Godfather, Pulp Fiction, Shutter Island)
- ___ Fantasy (Avatar, Harry Potter, The Lord of the Rings, Star Wars)
- ___ Horror (Friday the 13th, Paranormal Activity, Saw, Scream)
- ___ Musicals (Annie, The Phantom of the Opera, Rent, Sound of Music)
- ___ Romantic Comedy (Knocked Up, Love Actually, No Strings Attached, The Proposal)
- ___ Romance (Atonement, Gone With the Wind, The Notebook, Titanic)

From the list below, mark your **LEAST FAVORITE** film:

- ___ Action/Adventure (Pirates of the Caribbean, Sherlock Holmes, The Dark Knight, True Grit)
- ___ Children (Aladdin, The Lion King, Shrek, Up)
- ___ Comedy (Easy A, The Hangover, Superbad, Step Brothers)
- ___ Drama (Black Swan, Godfather, Pulp Fiction, Shutter Island)
- ___ Fantasy (Avatar, Harry Potter, The Lord of the Rings, Star Wars)
- ___ Horror (Friday the 13th, Paranormal Activity, Saw, Scream)
- ___ Musicals (Annie, The Phantom of the Opera, Rent, Sound of Music)
- ___ Romantic Comedy (Knocked Up, Love Actually, No Strings Attached, The Proposal)
- ___ Romance (Atonement, Gone With the Wind, The Notebook, Titanic)

From the list below, mark your **FAVORITE** actor:

- ___ Johnny Depp (Pirates of the Caribbean, Once Upon a Time in Mexico, Sleepy Hollow)
- ___ Tom Hanks (Forrest Gump, Saving Private Ryan, Cast Away)
- ___ Anthony Hopkins (Silence of the Lambs, The Rite, Red Dragon)
- ___ Ryan Reynold (The Proposal, Just Friends, Van Wilder)
- ___ Adam Sandler (50 First Dates, Mr. Deeds, Click)
- ___ John Travolta (Hairspray, Saturday Night Fever, Grease)
- ___ Denzel Washington (Man on Fire, Philadelphia, Remember the Titans)
- ___ Bruce Willis (Die Hard, The Surrogates, The Fifth Element)

From the list below, mark your **FAVORITE** actress:

- ___ Halle Berry (X-Men, Die Another Day, Gothika)
- ___ Sandra Bullock (Miss Congeniality, Two Weeks Notice, Hope Floats)
- ___ Jamie Lee Curtis (Halloween, Prom Night, The Fog)
- ___ Angelina Jolie (Mr. and Mrs. Smith, Lara Croft: Tomb Rader, Wanted)

- ___ Keira Knightley (Pride and Prejudice, Atonement, Love Actually)
- ___ Idina Menzel (Rent, Enchanted)
- ___ Natalie Portman (Black Swan, The Professional, V for Vendetta)
- ___ Reese Witherspoon (Just Like Heaven, Sweet Home Alabama, Legally Blonde)

From the list below, mark your **FAVORITE** filmmaker:

- ___ Darren Aronofsky (Requiem for a Dream, The Wrestler, Black Swan)
- ___ Michael Bay (Transformers, The Rock, Armagedon)
- ___ James Cameron (Avatar, Titanic, Aliens)
- ___ Wes Craven (Scream, A Nightmare on Elm Street, The Hills Have Eyes)
- ___ Alfred Hitchcock (Psycho, The Birds, North by Northwest)
- ___ Martin Scorsese (The Departed, Shutter Island, Goodfellas)
- ___ Steven Spielberg (Saving Private Ryan, Schindler's List, E.T.: The Extra Terrestrial)
- ___ Quentin Tarantino (Pulp Fiction, Kill Bill, Reservoir Dogs)

Answer the following questions that pertains best to you. Please **CIRCLE** one answer per question:

1. Do you expect a lot of difficulties in your future?

Yes Some difficulties No

2. In general, you believe things tend to:

Get worse Remain the same Get better

3. What do you take into consideration the most, negative things or positive things?

Negative things Both Positive things

4. About your plans, how do you see them in the future?

Realized Improved and realized Failed

5. In life, things tend to:

Get fixed Remain as they are Get worse

6. Is it possible that something positive happens by chance?

No It could be Yes, frequently

7. Before starting a new important project, you think that it will:

Be successful I can't predict it Go wrong

8. How do you imagine the future?

Sad Interesting Lovely

9. Do you see any positive aspects in troubles?

No Sometimes Always

10. When things are going well, you expect them to:

End soon Last for some time Last forever

11. Do you consider the world a nice place to live in?

Yes, absolutely Yes and no No

12. Do you think that you should not get too involved in things because they are going to end, sooner or later?

Yes Generally no Never

13. Are there more troubles or satisfactions in life?

Troubles A little of both Satisfactions

14. Do you expect to face new difficulties soon?

Never Sometimes Frequently

15. When you start a new activity, you expect yourself to:

Fail Be able to make it Be successful

16. In order to avoid disappointments, do you force yourself to have low expectations?

Absolutely not Sometimes it's necessary Yes

17. Have you got a lot of plans for your future?

None One or two Many

18. Do you trust humankind?

No

Yes, in general

Always

19. Does it always happen something bad when you're close to reach a success?

No, never

Generally no

Yes, always

20. Do you believe that mankind will face more violence, wars and injustices in the future?

Absolutely no

No, but I'm not sure

Yes

SAMPLE