

TREC No. 143

SAAO Capital Private Limited

ONLINE TRADING FACILITY FORM

Suite No: 150, 3rd Floor, KSE Building Stock Exchange Road I.I. Chundrigar Road Karachi Pakistan

> rei: 0213-2430724 Web www.saaotrade.com -mail: info@saaotrade.com

ONLINE TRADING FACILITY FORM

I/We would like to apply for the Online Trading Facility for the purpose of buying and selling securities at the Karachi Stock Exchange Limited. By signing this document, I/we undertake that I/we understand all the risks associated with online trading and fully agree with and accept the annexed terms and conditions for using the Online Trading Facility. I/ We agree not to hold SAAO Capital Private Limited and /or any of its directors, officers, agents or employees responsible in case of cancellation of orders, delays in the order submission, trade confirmation, retrieving reports, viewing market activity and/or any other consequential losses arising due to any other reason.

Please fill in the details below:

ACCOUNT PARTICULARS		
Trading Account No:	_ CDC Sub-Account No:	
Account Title:		
Joint Title:(for joint accounts)		
Details of Authorized Users for "Online Trading	".	
Name:		
Mother's Maiden Name:		
Mailing Address:		
Email Address:		
Cellular No:		
Telephone No.:		
Main Applicant Joint	Applicant For SAAO Capital	•

ONLINE TRADING TERMS & CONDITIONS

In opting for Online Trading, the Client fully agrees and understands the terms and conditions set forth herein.

- 1. The Client will use Online Trading Application/Web Interface provided by SAAO Capital Private Limited, TREC Holder of the Karachi Stock Exchange Limited and Registered Broker with Securities Exchange Commission of Pakistan, through its online trading interface.
- 2. The availability of Online Trading Facility is based on technological infrastructure. SAAO Capital Private Limited will not be responsible for any losses incurred by the Client(s) due to non-availability of its Online Trading Facility for any reason.
- 3. A User ID, Password and PIN code will be issued to the Client to enable him/her/it to access and use the Online Trading Facility. User ID, Password and PIN code may be delivered by hand, e-mail or by courier to the Client at his/her/its own risk at the addresses mentioned in this form.
- 4. The Client shall be fully responsible to secure User ID, Password and PIN code and shall not disclose it to any person. If these details are stolen or disclosed to any third party, the Client shall immediately notify SAAO Capital Private Limited.
- 5. All risks and responsibilities associated with Online Trading will be assumed at the part of the Client(s). Neither SAAO Capital Private Limited nor any of its directors, officers, agents or employees will be responsible or liable in any manner for any losses or damages that may be suffered by the Client(s) including but not limited to the following.
 - a. Failure to login or access due to system outages and slowdown in internet connections
 - b. Misuse of the Client ID, Password and PIN code, hacking of lines, piracy of the Client information
- 6. SAAO Capital Private Limited reserves the right to discontinue client's access to Online Trading Facility any time on any grounds it deems appropriate more particularly, any contravention of clause 4 of these Terms and Conditions.
- 7. SAAO Capital Private Limited may through email transfer daily trade confirmations, account and inventory statements, margin call notices, maintenance calls and other notices in connection with trading of the Client(s). It shall be the responsibility of the Client to read this information upon receipt.
- 8. SAAO Capital Private Limited may require at any time or from time to time, margins in the Client's account before executing any orders through Online Trading Facility.
- 9. It is the responsibility of the Client(s) to maintain and secure the necessary infrastructure at his/her/its end to enable access to and use of the Online Trading Facility. Such infrastructure would include but is not limited to computer, software, telephone connection, internet facility, latest software etc.
- 10. SAAO Capital Private Limited will take no responsibility for any damages to the Client's hardware and software etc for any reason whatsoever.
- 11. SAAO Capital Private Limited has the right to introduce or charge Annual Subscription Fee or any other charges for Online Trading Facility with prior intimation to the Client.
- 12. SAAO Capital Private Limited reserves the right to modify, amend, add or cancel any of the Terms and Conditions issued in connection with Online Trading Facility with or without prior notice to the Client as may be considered necessary and appropriate by the management of the company.
- 14. The Broker may at any time and from time to time require Additional Margin in the Account (in cash or Securities) before executing any Orders or undertaking any transactions through Electronic change mode. Online Trading Facility on account of the Account Holder. The amount and timing may vary depending on factors solely at the Broker's discretion. The Broker shall have the right to liquidate the Account Holder's trading position(s) if the Account Margin is insufficient at any time.
- The Broker may at its discretion elect with or without notice to square off the Account Holder Account and make all obligations in the Account immediately due and payable by the Account Holder without assigning any reason.
- 16. I, the Account Holder understand that the shares trading business carries risk and subject to the due diligence on part of the Broker, I may incur losses for which I, the Account Holder shall not hold the Broker or any of its staff responsible.
- 17. I hereby agree that all the telephonic conversations between the Broker and me can be recorded for record and reference purpose.

Main Applicant	Joint Applicant	For SAAO Capital
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SAAO CAPITAL PRIVATE LIMITED

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