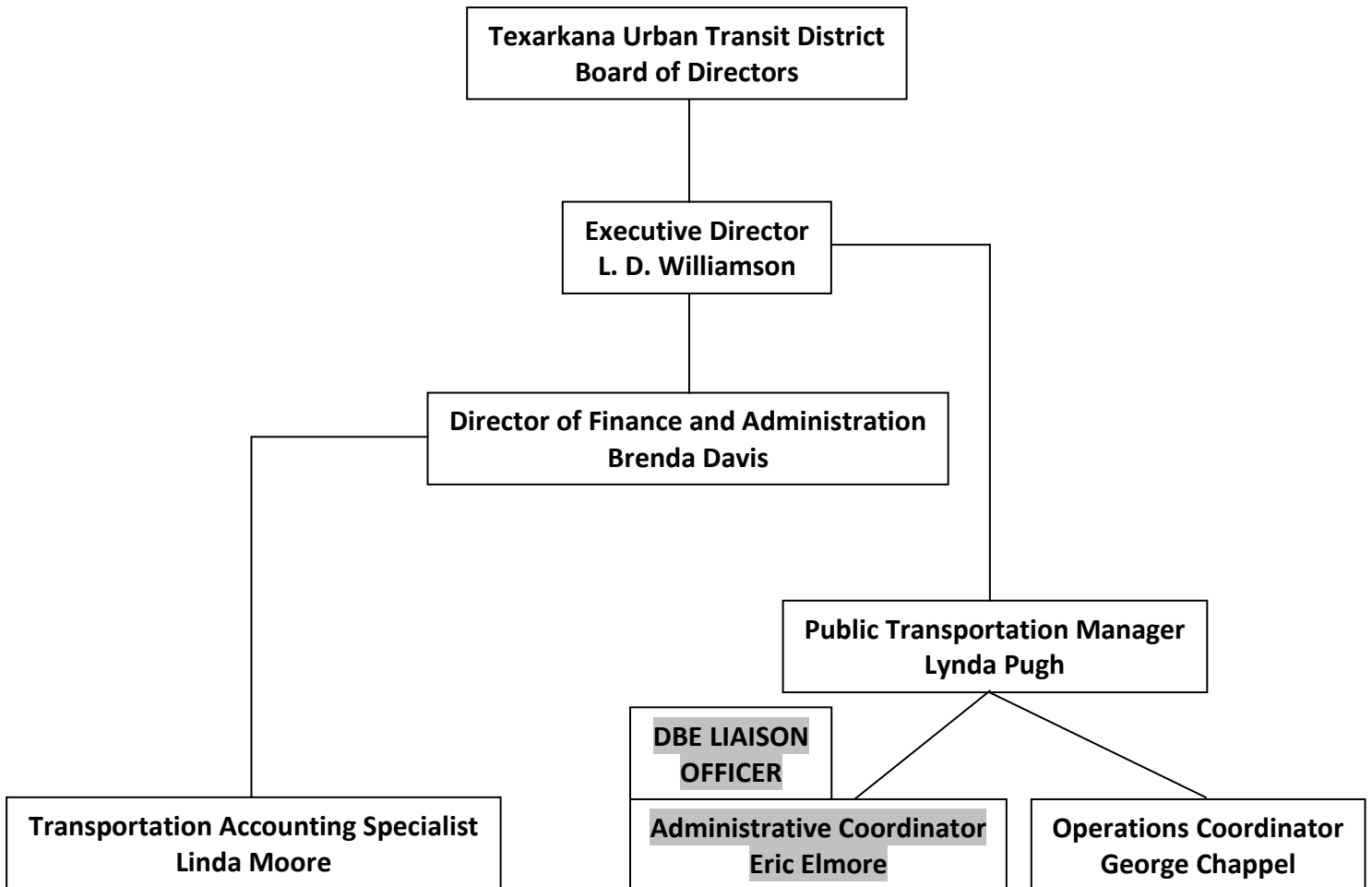


Attachment 1
Organizational Chart



Attachment 2
DBE Directories

Texarkana Urban Transit District will utilize the Texas Department of Transportation's DBE Work Category Statewide Index:

<http://www.txdot.gov/business/tucp/tucp-alpha.htm>

And,

The Arkansas Unified Disadvantaged Business Enterprise Directory.

<http://www.arkansashighways.com/ProgCon/letting/dbedirectory.pdf>

Attachment 3
Bidders' List

Abernathy Company
3088 Abernathy Dr.
Texarkana, AR 71854

Lasseter Bus & Mobility
820 Office Park Circle
Lewisville, TX 75057

Aramark Uniforms
P. O. Box 8826
Pine Bluff, AR 71611

ArTex Truck Center
1801 Trinity Blvd.
Texarkana, AR 71854

Bumper to Bumper Auto Parts
1904 College Dr.
Texarkana, TX 75503

Business Graphics
803 Redwater Rd.
Wake Village, TX 75501

Communication Specialists
401 Wood St.
Texarkana, AR 71854

Hope Fire Extinguisher
Stateline Ave & Hazel St
Texarkana, TX 75501

Fast Signs
6745 Mall Dr.
Texarkana, TX 75503

Firmin's Office City
2209-19 Stateline Ave
Texarkana, TX 75501

Proforma
P. O. Box 640814
Cincinnati, OH 45264

General Supply
True Value Hardware
1019 Spruce St.
Texarkana TX 75501

Magneto Ignition
412 W. Third St.
Texarkana, TX 75501

Reba's Custom Embroidery
803 E. 9th St.
Texarkana, AR 71854

Mobile Fleet Cleaners
P. O. Box 1134
Hooks, TX 75561

National Bus Sales
P. O. Box 6549
Marietta, GA 30065

Pinewood Mobility
1416 Nix St.
Dangerfield, TX 75683

Discount Wheel & Tire
3223-A Summerhill Rd.
Texarkana, TX 75503

GCR Tire Center
6010 N. Stateline Ave
Texarkana, AR 71854

Gateway Tire & Service
3520 Summerhill Rd
Texarkana, TX 75503

King's Paint & Body Shop
1025 Highway 8 North
New Boston, TX 75570

Industrial Mill & Maintenance
Supply
4401 Waco St.
Texarkana, TX 75501

Liberty Bearing & AG Supply
129 N. Jefferson
Mt. Pleasant, TX 75455

Franklin's Carpet Cleaning
P. O. Box 315
Hooks, TX 75561

Wesco Recreational & Bus
Service
2515 S. Lake Dr.
Texarkana, TX 75501

West Towing Service
3610 W. 7th St.
Texarkana, TX 75501-6322

Ark La Tex Health Clinic
1414 Arkansas Blvd.
Texarkana, AR 71854

American Lift Aids
2407 WSW Loop 323
Tyler, TX 75701

Cully Supply
17180 Adelman St SE
Prior Lake, MN 55372

Hudson Printing
6115 S. Mobberly Ave
Longview, TX 75602

Carpco Steel
203 Prince St.
Texarkana, AR 71854

Purvis Industries
P. O. Box 540757
Dallas, TX 75354

Jones Termite & Pest Control
P. O. Box 790
Nash, TX 75569

Cody's Auto Heat & Air
1937 N. Constitution Ave
Ashdown, AR 71822

Quality Petroleum
4101 E. 19th St.
Texarkana, AR 71854

Rush Truck Center
605 N. Kings Hwy.
Nash, TX 75569

Safety Kleen
5360 Legacy Dr.
Bldg. 2, Suite 100
Plano, TX 75024

Elliott Electric Supply
800 Old Boston Rd.
Texarkana, TX 75501

Texarkana Bearing
300 S. Lake Dr.
Texarkana, TX 75501

Coleman Motors
510 Addison St
New Boston, TX 75570

Beach Equipment
110 Plaza West
Texarkana, TX 75501

Texarkana Truck Center
1902 St. Michael Dr.
Texarkana, TX 75503

Tri State Fasteners & Supply
520 W, 6th St.
Texarkana, TX 75501

O'Reilly Auto Parts
1802 New Boston Rd.
Texarkana, TX 75501

Advance Auto Parts
2801 New Boston Rd.
Texarkana, TX 75501

Holt & Sons Automatic
Transmission Service
816 w. 16th St.
Mt. Pleasant, TX 75455

Dot's Ace Hardware
814 N. Robison Rd.
Texarkana, TX 75501

Deaton Communication
P O Box 324
Winnsboro, TX 75494

Lawson Products
4355 Beltwood Pkwy North
Dallas, TX 75244

Custom Clutch & Drive Shaft
917 Bowie St
Texarkana, TX 75501

Music Mountain
301 E Herndon
Shreveport, LA 71101

Pratt's Truck Service
5620 W. 7th St.
Texarkana, TX 75501

Jackson Oil
310 W. 15th St.
Mt. Pleasant, TX 75455

Safety Control of Texas
P. O. Box 271
Mt. Pleasant, TX 75456

Dick's Texas Big
904 W. 7th St.
Texarkana, TX 75501

Domain Direct Hosting
110 E. Broward Blvd. Ste 1650
Ft. Lauderdale, FL 33301

Whatley Sign Co.
1109 E. 51st St.
Texarkana, AR 71854

Attachment 4
Monitoring and Enforcement Mechanisms

Texarkana Urban Transit District has available several remedies to enforce the DBE requirements contained in its contracts, including, but not limited to, the following:

1. Breach of contract action, pursuant to the terms of the contract;
2. Breach of contract action, pursuant to Texas Local Government Code Section 271.

In addition, the federal government has available several enforcement mechanisms that it may apply to firms participating in the DBE problem, including, but not limited to, the following:

1. Suspension or debarment proceedings pursuant to 49 CFR part 26.
2. Enforcement action pursuant to 49 CFR part 31.
3. Prosecution pursuant to 18 USC 1001.

TUTD will bring to the attention of the Department of Transportation any false, fraudulent, or dishonest conduct in connection with the program, so that DOT can take the steps (e.g., referral to the Department of Justice for criminal prosecution, referral to the DOT Inspector General, action under suspension and debarment or Program Fraud and Civil Penalties rules) provided in 26.109.

Attachment 5
DBE Participation

Name of Recipient: Texarkana Urban Transit District

Goal Period: FY-2012 – October 1, 2011 through September 30, 2014

DOT- projected contract amount: \$ 342,559.58

DBE Goal: 2.38%

Total dollar amount to be expended on DBE's: \$ 8,152.91

Pursuant to Section 49 Code of Federal Regulations Part 26, the Texarkana Urban Transit District (TUTD) has proposed an overall goal of 2.38 % for the participation of Disadvantaged Business Enterprises (DBEs) in its contracts funded by the U.S. Department of Transportation (DOT) through the Federal Transit Administration (FTA), effective October 1, 2011 through September 30, 2014. There are 12 anticipated DOT-assisted contracts for FY 12.

1. Advertising
2. Janitorial Supplies
3. Contract Personnel
4. Contract Services
5. Drug and Alcohol Testing
6. Office Supplies
7. Vehicle Maintenance and Repair
8. Printing
9. Radios and Communications
10. Uniforms
11. Hardware/Software
12. Bus Purchases

Source: TUTD FY 2011-14 Three-Year Planning Budget

MARKET AREA

The market area for the DBE analysis is in the Texarkana Urban Transit District that includes the City of Texarkana, TX, Bowie County TX, Texarkana, AR, Miller County, AR and its surrounding counties. This TUCP region is part of the area identified as the Atlanta District by the Texas Unified Certification Program (TUCP) and statewide by the Arkansas Unified DBE Certification Program (AUCP). Firms certified through the TUCP identify each district where they conduct business and Firms certified through the AUCP is statewide. Several firms, in Texas, do business in multiple districts throughout the State of Texas.

Determination of Market Area:

The Texarkana urbanized area was used as the market area because:

1. A substantial majority of the bidders (contractors and subcontractors) come from this area, and b. A substantial majority of the contracting dollars will be spent in this area.

Methodology used to Calculate Overall Goal

Step 1:

In order to determine an overall goal, the following data sources were utilized to determine a base figure for the relative availability of DBEs:

1. Bowie County, Texas and Miller County, AR, County Business Patterns
<http://censtats.census.gov/cgi-bin/cbpnaic/cbpsect.pl>
2. State of Texas – Texas Unified Certification Program (TUCP) DBE Directory
<http://www.txdot.gov/business/tucp/tucp-cat-dist.htm?dist=ATL>
3. State of Arkansas – Arkansas Unified (DBE) Certification Program (AUCP) DBE Directory
<http://www.arkansashighways.com/ProgCon/letting/dbedirectory.pdf>

After identifying the anticipated types of contracts that will be awarded in FY 2012, County Business Pattern (CBP) Data for all firms in Bowie and Miller Counties was identified for the applicable contracting areas. Bowie and Miller Counties was used as the local market area, since the majority of contractors and subcontractors that bid on TUTD proposals are located in this area.

Additionally, the data provided by the TUCP and AUCP included firms working in the Texarkana Urban Transit District. The TUCP consolidates all DBE firms certified by six different agencies into one centralized DBE Directory for DOT funded contracts for Airport, Highways, and Public Transit and the AUCP is statewide.

The initial calculation of DBE availability was accomplished using data from the 2009 Bowie County CBP, Miller County CBP, the 2012 TUCP, and the 2012 AUCP. The North American Industry Standard Classification (NAICS) code used in the calculation for the DOT-assisted contracts for FY 2012 include: 541850 – Advertising , 453998 – Janitorial Supplies, 561311 - Contract Personnel, 5614 - Business Support Services, 621511 – Medical Laboratories (Drug Testing), 453210 – Office Supplies, 81111- Vehicle Maintenance and Repair, 32311- Printing, 517210- Wireless Telecommunications and Carriers, 315191- Uniforms, 443120- Computer and Software Stores, and 336211 – Bus Manufacturing.

The total number of DBE firms (2) divided by the total number of firms (84) produced a base figure of less than 02.38%. See Table 1 below.

TABLE 1
DBE Availability Based on the 2009 County Business patterns and
(Texas & Arkansas) Unified Certification Program Data

NAICS	Type of Work	TOT DBEs In TEXAS	TOT DBEs IN ARKANSAS	Total all Firms TX	Total all Firms AR	% DBEs
541850	Advertising	0	0	3	4	0 %
453998	Janitorial Supplies	0	0	7	1	0 %
561311	Contract Personnel	0	0	3	1	0 %
5614	Contract Services	0	1	7	1	14.3 %
621511	Drug & Alcohol Testing	0	0	4	0	0 %
453210	Office Supplies	1	0	3	1	25 %
81111	Vehicle Maintenance And Repair	0	0	20	7	0 %
32311	Printing	0	0	7	2	0 %
517210	Radios and Communication	0	0	6	0	0 %
315191	Uniforms	0	0	0	1	0 %
443120	Hardware/Software	0	0	3	1	0 %
336211	Bus Purchases	0	0	2	0	0 %
	TOTAL	1	1	65	19	2.38%

Step 2

Each year TUTD will publish our goals in the local newspaper. After publication any vendors that call to ask questions regarding the DBE program. TUTD's DBELO will sit down with each vendor and give them information about the DBE program and an application for them to fill out and return to TXDOT.

TUTD has also encouraged many of our current vendors to become DBE's. Our DBELO will go through the application process with a number of vendors and will explain to them how they could benefit from the program. Most of the responses we have received so far are that they do not want to have to go through all of the paperwork to become a DBE.

TUTD is looking into hosting a DBE outreach seminar for vendors to highlight the benefits of becoming a DBE. We will be inviting, contractors, vendors, and community organizations to come to this informative seminar promoting the DBE program and its benefits. TUTD hopes to gather information from the attendees on what we can do to increase small business and DBE participation.

After calculating a base figure of the relative availability of DBEs, evidence was examined to determine what adjustment was needed to the base figure in order to arrive at the overall goal.

After collecting the data and reviewing it, although we could adjust our goal downward TUTD has decided to leave our overall goal at 2.38% to try and help attract more DBE participation.

Public Participation

We published our goal information in this Publication:

The Texarkana Gazette

It is also accessible on our website:

www.t-linebus.org

Goal information has also been sent to this organization:

Texarkana Chamber of Commerce – 819 N. Stateline Texarkana, TX 75501

Texarkana African American Chamber of Commerce – 2502 S. Lake Drive

Texarkana, TX 75501

Texarkana Small Business Development Center – 2435 Moser Texarkana, TX
75501

Attachment 6
Breakout of Estimated Race Neutral & Race Conscious Participation

TUTD meets the maximum feasible portion of its overall goal by using race-neutral means of facilitating DBE participation. TUTD uses the following race-neutral means to increase DBE participation:

1. Arranging solicitations, times for the presentation of bids, quantities, specifications, and delivery schedules in ways that facilitates DBE and other small business participation.
2. Carrying out information and communications programs on contracting procedures and specific contract opportunities.
3. Ensuring distribution of DBE directory, through print and electronic means, to the widest feasible universe of potential prime contractors.
4. Each year TUTD will publish our goals in the local newspaper. After publication there may be a couple of vendors that will call and ask questions regarding the DBE program. TUTD's DBELO will sit down with each vendor and give them information about the DBE program and an application for them to fill out and return to TXDOT.
5. TUTD has also encouraged many of our current vendors to become DBE's. Our DBELO has explained the application process with a few of vendors and has explained to them how they could benefit from the program. Most of the response has been that they do not want to go through all of the paperwork required to become a DBE.

We estimate that, in meeting our overall goal of 2.38%, we will obtain 2.38 % from race-neutral participation and 0% through race-conscious measures.

In order to ensure that our DBE program will be narrowly tailored to overcome the effects of discrimination, we will adjust the estimated breakout of race-neutral and race-conscious participation as needed to reflect actual DBE participation (see 26.51(f)) and we will track and report race-neutral and race-conscious participation separately. For reporting purposes, race neutral DBE participation includes, but is not necessarily limited to, the following: DBE participation through a prime contract a DBE obtains through customary competitive procurement procedures; DBE participation through a subcontract on a prime contract that does not carry a DBE goal; DBE participation on a prime contract exceeding a contract goal; and DBE participation through a subcontract from a prime contractor that did not consider a firm's DBE status in making the award. We will maintain data separately on DBE achievements in those contracts with and without contract goals, respectively.

Attachment 7
Form 1&2 for Demonstration of Good Faith Efforts

FORM 1: DISADVANTAGED BUSINESS ENTERPRISE (DBE) UTILIZATION

The undersigned bidder/offeror has satisfied the requirements of the bid specification in the following manner (please check the appropriate space):

_____ The bidder/offeror is committed to a minimum of _____ % DBE utilization on this contract.

_____ The bidder/offeror (if unable to meet the DBE goal of _____%) is committed to a minimum of _____% DBE utilization on this contract a submits documentation demonstrating good faith efforts.

Name of bidder/offeror's firm: _____

State Registration No. _____

By _____
(Signature) Title

FORM 2: LETTER OF INTENT

Name of bidder/offeror's firm: _____

Address: _____

City: _____ State: _____ Zip: _____

Name of DBE firm: _____

Address: _____

City: _____ State: _____ Zip: _____

Telephone: _____

Description of work to be performed by DBE firm:

The bidder/offeror is committed to utilizing the above-named DBE firm for the work described above. The estimated dollar value of this work is \$ _____.

Affirmation

The above-named DBE firm affirms that it will perform the portion of the contract for the estimated dollar value as stated above.

By _____
(Signature) (Title)

If the bidder/offeror does not receive award of the prime contract, any and all representations in this Letter of Intent and Affirmation shall be null and void.

Attachment 8
TUCP Letter

TEXAS UNIFIED CERTIFICATION PROGRAM
LETTER OF AGREEMENT

Federal Regulation, 49 CFR, Part 26, Subpart E, Section 26.81 states that each state must implement a "one stop" certification process for Disadvantaged Business Enterprises (DBEs). Each entity receiving Department of Transportation (DOT) funds is required to enter into and sign an agreement establishing and supporting a Unified Certification Program (UCP) within the state. In response to this mandate, six agencies have agreed to perform the certification of DBEs within the state of Texas under the Texas Unified Certification Program (TUCP). The six agencies are: Texas Department of Transportation, North Central Texas Regional Certification Agency, South Central Texas Regional Certification Agency, the City of Houston, City of Austin, and the Corpus Christi Regional Transportation Authority.

The regulation requires the TUCP to construct and maintain a database directory in which the Texas Department of Transportation (TXDOT) has agreed to maintain. Specific responsibilities of the certifying entities and the territories for which they are responsible are specified in the attached Memorandum of Agreement.

By signing this letter, Texarkana Urban Transit District, certifies that it is willing to participate in the certification decisions of the six certifying agencies of the TUCP, which will conduct certification evaluations for DBEs within the state of Texas, according to the guidelines promulgated in 49 CFR. Part 26.

Texarkana Urban Transit District also acknowledges that the TUCP Memorandum of Agreement is, as currently structured, only a DBE Certification Program. Any changes to the structure of the TUCP will be placed before each recipient and its respective authority for consideration at least thirty days (30) prior to any changes being enacted. It may be amended if 49 CFR, Part 26 is amended by Congress.

Signed this _____ day of _____, 2012

By _____, Executive Director

Print Name _____

Attachment 9
49 CFR Part 26 Regulations

http://ecfr.gpoaccess.gov/cgi/t/text/text-idx?c=ecfr&tpl=/ecfrbrowse/Title49/49cfr26_main_02.tpl

**TEXARKANA URBAN TRANSIT DISTRICT
DETERMINATION OF GOOD FAITH EFFORT CHECKLIST
*for Small and Large Purchases***

TYPE OF PROCUREMENT FOR DBE/HUB SOLICITATION

Small Purchase (more than \$2,500.00 but not more than \$100,000.00) ()
Large Purchase \$100,000.00 ()

DESCRIPTION OF ITEMS/SERVICES TO BE PURCHASED

CATEGORY	DESCRIPTION

SOLICITATION METHOD USED

Telephone bid (minimum 3 vendors) ()
Competitive written Bids (example IFB) ()
Competitive Sealed Bids (example SIFB) ()
Competitive Proposal/Negotiation (example RFP) ()
Sole Source ()

If Sole Source used, please justify _____

