

INTERNATIONAL TAX (FATCA) SELF CERTIFICATION FORM

Throughout this form, "Empire Life" means The Empire Life Insurance Company.

Important information for completing this form

- If you are a Snowbird, complete the reverse of this form only.
- If there are multiple policy/contract owners, a self certification form is required for each owner.
- If you are completing this form with your Advisor, you must present an original piece of government issued identification to your Advisor for verification of your residency status. Acceptable forms of identification include a passport, birth certificate or driver's licence.
- If you are not completing this form with your advisor, you must provide proof of residency status by attaching a photocopy of your government issued identification, as listed above.
- If the policy/contract owner is a corporation or other entity, complete form C-0044.
- For more information on FATCA, refer to the CRA website - www.cra-arc.gc.ca and search "FATCA".

| Policy/contract Information | |
|---|---------------------------------------|
| Name of owner (first, middle, last) | Policy/contract/application number(s) |
| Address (number, street, city, province/state) | Phone number |
| Where do you reside for tax purposes? (Check all that apply and provide details requested.) <input type="radio"/> Canada <input type="radio"/> U.S. – Tax Identification Number (TIN) _____ <input type="radio"/> Other – specify country _____ TIN _____ | |
| If you do not reside in the U.S., are you a U.S. citizen? <input type="radio"/> no <input type="radio"/> yes – TIN _____ | |

| Advisor Verification and Declaration | | |
|---|---------------------------|----------------------------|
| Which government issued document is the advisor using to verify the residency status of the owner: <input type="radio"/> Birth certificate <input type="radio"/> Drivers licence <input type="radio"/> Passport <input type="radio"/> Other _____ | | |
| Place of issue | Date of issue (dd/mmm/yy) | Date of expiry (dd/mmm/yy) |
| I declare that I have checked for any indication the owner may be a U.S. Person. | | |
| Signature of advisor X | | Date (dd/mmm/yy) |

| Policy/contract Owner Certification and Agreement | |
|---|------------------|
| I certify that my answers are true to the best of my knowledge and belief, and that they may be relied upon by Empire Life. I agree to notify Empire Life if there is a change in my tax residency status. | |
| Signature of owner X | Date (dd/mmm/yy) |

INTERNATIONAL TAX (FATCA) SNOWBIRD SELF CERTIFICATION FORM

Complete this section only if you are a Snowbird.

A "snowbird" is a Canadian resident who spends part of each year in the U.S, without becoming or having the status of being a U.S. person.

For more information, refer the CRA website – www.cra-arc.gc.ca and search "Snowbird".

| | |
|--|---------------------------------------|
| Policy Information | |
| Name of owner (first, middle, last) | Policy/contract/application number(s) |
| Certification and Agreement | |
| I certify that: <ul style="list-style-type: none">• I am a resident of Canada;• I am not a U.S. citizen; and• any U.S. address, telephone number or standing instructions to transfer funds to an account maintained in the U.S. associated with this policy/contract, only exists or will arise in the context of temporary visits that I make to the U.S. while I remain a resident of Canada. I agree to notify Empire Life if events cause this certification to become false or misleading. | |
| Signature of owner X | Date (dd/mmm/yy) |