

<b>STATEMENT OF INCOME AND RESOURCES</b>	D.O. Use	Name of Applicant/Recipient
<b>I am/We are providing this statement on behalf of _____ to determine his/her eligibility for Supplemental Security Income and any federally administered State supplementation under title XVI of the Social Security Act, for benefits under the other programs administered by the Social Security Administration, and where applicable, for medical assistance under title XIX of the Social Security Act.</b>		Social Security Number
		_____ / _____ / _____
		Filing Date MM ____ DD ____ YY ____ Date of Last Determination MM ____ DD ____ YY ____
		<b>OR</b>

**PERSONS REPORTING INCOME AND/OR RESOURCES**

First Name, Middle Initial, Last Name	Spouse's Name (First, middle initial, last)
Social Security Number	Social Security Number
_____ / _____ / _____	_____ / _____ / _____
Check Which: <input type="checkbox"/> Sponsor <input type="checkbox"/> Parent <input type="checkbox"/> Ineligible Child <input type="checkbox"/> Essential Person	Check Which: (Spouse of) <input type="checkbox"/> Sponsor <input type="checkbox"/> Parent

<b>1. PUBLIC INCOME MAINTENANCE PAYMENTS (Governmental Assistance Based on Need)</b>  (a) Have you received any of the public income maintenance payments listed in (b) below since the first moment of the filing date month or the last determination, or do you expect to receive them in any of the next 14 months? _____	<b>You</b> <input type="checkbox"/> YES <input type="checkbox"/> NO Go to (b)    Go to #3	<b>Your Spouse</b> <input type="checkbox"/> YES <input type="checkbox"/> NO Go to (b)    Go to #3
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(b) Give the following information about the payments:

TYPE	REC'D BY	HOW OFTEN	PERIOD COVERED BY INCOME	EXPECTED RECEIPT DATE*	AMOUNT	IDENTIFICATION NUMBER	SOURCE
Supplemental Security Income	You	Monthly			\$		Social Security Administration
	Your Spouse				\$		
State or Local Government Assistance Based on Need	You				\$ †		
	Your Spouse				\$ †		
Refugee Assistance Payments Based on Need	You				\$ †		
	Your Spouse				\$ †		
Aid to Families with Dependent Children	You				\$ †		
	Your Spouse				\$ †		
General Assistance from the Bureau of Indian Affairs	You				\$ †		Bureau of Indian Affairs
	Your Spouse				\$ †		
Disaster Relief	You				\$		
	Your Spouse				\$		
Veterans Benefits Based on Need	You				\$		Dept. of Veterans Affairs
	Your Spouse				\$		

\*—If you are not receiving this income this month but expect it, enter the date you think you will receive it.

†—If your share of the grant is unknown, enter the amount of the monthly family grant.

<b>2. OTHER INCOME YOU RECEIVED WHILE RECEIVING PUBLIC INCOME MAINTENANCE PAYMENTS</b>  (a) Have you received any other income in addition to any public income maintenance payments shown in #1? _____	<b>You</b> <input type="checkbox"/> YES <input type="checkbox"/> NO Go to (b)    Go to #6	<b>Your Spouse</b> <input type="checkbox"/> YES <input type="checkbox"/> NO Go to (b)    Go to #6
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2. (Cont)	(b) If you are:		Then:				
	<ul style="list-style-type: none"> <li>• The sponsor of an alien</li> <li>• The spouse of a sponsor</li> <li>• An essential person</li> </ul>		Answer questions 3, 4 and 5 about your other income.				
	<ul style="list-style-type: none"> <li>• A parent</li> <li>• The spouse of a parent</li> </ul>		If you have received these public income maintenance payments continuously since the date shown on page 1 AND you expect to continue receiving these payments this month and for the next 14 months, go to #6; OTHERWISE, go to #3.				
	<ul style="list-style-type: none"> <li>• An ineligible child</li> </ul>		If you have received and expect to continue receiving these public income maintenance payments as described above, go to #17; OTHERWISE, go to #3.				

  

3.	(a) Have you received wages since the first moment of the filing date month or since the last determination? →		<b>You</b> <input type="checkbox"/> YES Go to (b) <input type="checkbox"/> NO Go to (d)		<b>Your Spouse</b> <input type="checkbox"/> YES Go to (b) <input type="checkbox"/> NO Go to (d)		
(b) Name and Address of Employer (include telephone number and area code, if known)							
<b>You</b>			<b>Your Spouse</b>				
(c) Total wages received (before any deductions) for each month:							
<b>You</b>	Month(s)						
	Amounts						
<b>Your Spouse</b>	Month(s)						
	Amounts						
(d) Do you expect to receive any wages in the next 14 months? →		<b>You</b> <input type="checkbox"/> YES Go to (e) <input type="checkbox"/> NO Go to #4		<b>Your Spouse</b> <input type="checkbox"/> YES Go to (e) <input type="checkbox"/> NO Go to #4			
(e) Name and address of employer if different from 3(b) (include telephone number and area code, if known)							
<b>You</b>			<b>Your Spouse</b>				
(f) Give the following information:							
RATE OF PAY		AMOUNT WORKED PER PAY PERIOD		HOW OFTEN PAID	PAY DAY OR DATE PAID	DATE LAST PAID (Month, day, year)	
<b>You</b>	\$      per						
<b>Your Spouse</b>	\$      per						
(g) Do you expect any change in wage information provided in 3(f)? →		<b>You</b> <input type="checkbox"/> YES Go to (h) <input type="checkbox"/> NO Go to #4		<b>Your Spouse</b> <input type="checkbox"/> YES Go to (h) <input type="checkbox"/> NO Go to #4			
(h) Explain change:							
<b>You</b>			<b>Your Spouse</b>				

4.	(a) Have you been self-employed at any time since the beginning of the taxable year in which the filing date month or the last determination occurs or do you expect to be self-employed in the current taxable year? <span style="float: right;">→</span>	<b>You</b> <input type="checkbox"/> YES <input type="checkbox"/> NO Go to (b)    Go to #5	<b>Your Spouse</b> <input type="checkbox"/> YES <input type="checkbox"/> NO Go to (b)    Go to #5						
(b) Give the following information:									
TYPE OF BUSINESS		LAST YEAR'S:			THIS YEAR'S:			DATES OF SELF-EMPLOYMENT	
		GROSS INCOME	NET		GROSS INCOME	NET			
			INCOME	LOSS		INCOME	LOSS		
<b>You</b>		\$	\$	\$	\$	\$			
		\$	\$	\$	\$	\$			
<b>Your Spouse</b>		\$	\$	\$	\$	\$			
		\$	\$	\$	\$	\$			

  

5.	(a) Since the first moment of the filing date month or the last determination, have you received or do you expect to receive income in the next 14 months from any of the following sources?	<b>YOU</b>		<b>YOUR SPOUSE</b>	
		YES	NO	YES	NO
<b>FEDERAL BENEFITS:</b>					
Social Security					
Railroad Retirement					
Veterans Affairs Benefits Not Based on Need					
Office of Personnel Management (Civil Service)					
Military Pension, Special Pay, or Allowance					
Black Lung					
Earned Income Tax Credits					
<b>STATE/LOCAL BENEFITS:</b>					
Unemployment Compensation					
Workers' Compensation					
State Disability					
State or Local Pension					
<b>PRIVATE BENEFITS:</b>					
Employer or Union Pension					
Insurance or Annuity Payments					
Private Needs-Based Assistance					
<b>MISCELLANEOUS:</b>					
Interest (bank accounts, stocks, CD's, etc.)					
Rental/Lease Income					
Dividends/Royalties					
Alimony/Cash Support					
Child Support					
<b>OTHER INCOME NOT PREVIOUSLY MENTIONED:</b>					

5. (Cont.)	(b) Give the following information for any "Yes" answer in 5(a); otherwise go to #6.						
	PERSON RECEIVING	TYPE OF INCOME	AMOUNT	FREQUENCY	DATES EXPECTED OR RECEIVED	SOURCE (Name/Address of Person, Bank, Company, or Organization)	IDENTIFYING NUMBER
	<b>You</b>		\$		From:		
					To:		
	<b>You</b>		\$		From:		
					To:		
	<b>You</b>		\$		From:		
					To:		
	<b>Your Spouse</b>		\$		From:		
					To:		
	<b>Your Spouse</b>		\$		From:		
					To:		
	<b>Your Spouse</b>		\$		From:		
					To:		

  

6.	<b>RESOURCES</b>		<b>You</b>		<b>Your Spouse</b>	
	(a) Do you own or are you buying any real estate other than the home in which you live? <span style="font-size: 2em;">→</span>		<input type="checkbox"/> YES Go to (b)		<input type="checkbox"/> NO Go to #7	
			<input type="checkbox"/> YES Go to (b)		<input type="checkbox"/> NO Go to #7	
	(b) Give the following information:					
	DESCRIPTION OF PROPERTY (Include type and size of structure, acreage or lot size, location.)			HOW IS IT USED? (If not used now, when was it last used and what is next planned use?)		
	Item 1			Item 1		
	Item 2			Item 2		
	OWNER'S NAME		ESTIMATED CURRENT MARKET VALUE	TAX ASSESSED VALUE	AMOUNT OF MORTGAGE PAYMENT	AMOUNT OWED ON ITEM
	Item 1		\$	\$	\$	\$
	Item 2		\$	\$	\$	\$

  

7.	(a) Do you own or does your name appear on the title of any vehicles; e.g., cars, trucks, boats, motorcycles, etc.? <span style="font-size: 2em;">→</span>		<b>You</b>		<b>Your Spouse</b>		
			<input type="checkbox"/> YES Go to (b)		<input type="checkbox"/> NO Go to #8		
	<input type="checkbox"/> YES Go to (b)		<input type="checkbox"/> YES Go to (b)		<input type="checkbox"/> NO Go to #8		
	OWNER'S NAME	DESCRIPTION (YEAR, MAKE & MODEL)	USED FOR	EQUIPPED FOR HANDICAPPED?		CURRENT MARKET VALUE	AMOUNT OWED
				YES	NO		
						\$	\$
						\$	\$
						\$	\$

8.	(a) Do you own or are you buying any life insurance policies? _____ →	<b>You</b> <input type="checkbox"/> YES Go to (b)	<input type="checkbox"/> NO Go to #9	<b>Your Spouse</b> <input type="checkbox"/> YES Go to (b)	<input type="checkbox"/> NO Go to #9
(b) Give the following information on each policy:					
OWNER'S NAME		NAME OF INSURED		NAME AND ADDRESS OF INSURANCE COMPANY	
Policy (#1)					
Policy (#2)					
Policy (#3)					
POLICY NUMBER		FACE VALUE	CASH SURRENDER VALUE	DATE PURCHASED	LOANS AGAINST
					YES      NO
Policy (#1)		\$	\$		\$
Policy (#2)		\$	\$		\$
Policy (#3)		\$	\$		\$

  

9.	(a) Do you (either alone or jointly with any other person) own any:	<b>You</b> YES      NO		<b>Your Spouse</b> YES      NO	
Life estates or ownership interest in an unprobated estate? →					
Household or personal items worth more than \$500 each? →					
Other equipment (business or non-business) or property of any kind? →					
(b) Give the following information for any "Yes" answer in 9(a); otherwise go to # 10.					
OWNER'S NAME		NAME OF ITEM	VALUE	AMOUNT OWED ON ITEM	WHERE APPROPRIATE, GIVE NAME AND ADDRESS OF BANK OR OTHER ORGANIZATION
			\$	\$	
			\$	\$	

  

10.	(a) Do you own or does your name appear (either alone or with any other person's name) on any of the following items?	<b>You</b> YES      NO		<b>Your Spouse</b> YES      NO	
Cash at home, with you, or anywhere else _____ →					
Checking Accounts _____ →					
Savings Accounts _____ →					
Credit Union Accounts _____ →					
Christmas Club Accounts _____ →					
Certificates of Deposit _____ →					
Notes _____ →					
Stocks or Mutual Funds _____ →					
Bonds _____ →					
Other items that can be turned into cash _____ →					
(b) Give the following information for any "Yes" answer in 10(a); otherwise go to # 11.					
OWNER'S NAME		NAME OF ITEM	VALUE	NAME AND ADDRESS OF BANK OR OTHER ORGANIZATION IF APPROPRIATE	
			\$		
			\$		
			\$		
			\$		

11.	(a) Do you have any assets set aside for burial expenses such as burial contracts, trusts, agreements, or anything else you intend for your burial expenses? Include any assets mentioned in items #6 through #10 above. <span style="float: right;">➔</span>		<b>You</b> <input type="checkbox"/> YES Go to (b) <input type="checkbox"/> NO Go to #12		<b>Your Spouse</b> <input type="checkbox"/> YES Go to (b) <input type="checkbox"/> NO Go to #12	
		(b) DESCRIPTION (Where appropriate, give name and address of organization and account/policy number)	VALUE	WHEN SET ASIDE (Month, Day, Year)	OWNER'S NAME	
		Item 1	\$			
		Item 2	\$			
		FOR WHOSE BURIAL	IS ITEM IRREVOCABLE?	WILL INTEREST EARNED OR APPRECIATION IN VALUE REMAIN IN THE BURIAL FUND?		
		Item 1	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES Go to #12 <input type="checkbox"/> NO Explain in (c)		
		Item 2	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES Go to #12 <input type="checkbox"/> NO Explain in (c)		
(c) Explanation:						
Item 1						
Item 2						

  

12.	(a) Do you own any cemetery lots, crypts, caskets, vaults, urns, mausoleums or other repositories for burial or any headstones or markers? <span style="float: right;">➔</span>		<b>You</b> <input type="checkbox"/> YES Go to (b) <input type="checkbox"/> NO Go to #13		<b>Your Spouse</b> <input type="checkbox"/> YES Go to (b) <input type="checkbox"/> NO Go to #13	
		(b) OWNER'S NAME	DESCRIPTION	FOR WHOSE BURIAL	RELATIONSHIP TO YOU OR SPOUSE	CURRENT MARKET VALUE (if applicable)
						\$
						\$

  

13.	(a) Are you the sponsor of an alien admitted for permanent residence in the United States? <span style="float: right;">➔</span>		<b>You</b> <input type="checkbox"/> YES Go to (b) <input type="checkbox"/> NO Go to #17		<b>Your Spouse</b> <input type="checkbox"/> YES Go to (b) <input type="checkbox"/> NO Go to #17	
(b) If you are filing this report on behalf of the alien claimant/recipient, go to #14. If you are filing this report on behalf of your child (or your spouse's child) who is applying for/eligible for SSI, go to #16.						

  

14.	(a) Do you have any dependents? <span style="float: right;">➔</span>		<b>You</b> <input type="checkbox"/> YES Go to (b) <input type="checkbox"/> NO Go to #15		<b>Your Spouse</b> <input type="checkbox"/> YES Go to (b) <input type="checkbox"/> NO Go to #15	
(b) Give the following information about your dependent(s):						
		NAME	RELATIONSHIP TO YOU OR SPOUSE	FILING FOR/RECEIVING SSI		

16.	Give the following information about the alien(s) you sponsor:					
	NAME OF ALIEN	SOCIAL SECURITY NUMBER	SPONSOR		DATE OF ADMISSION	FILING FOR/ RECEIVING SSI
			YOU	SPOUSE		
		___/___/_____				
		___/___/_____				
		___/___/_____				
		___/___/_____				

[illegible]

## IMPORTANT INFORMATION—PLEASE READ CAREFULLY

- ▶ Failure to report any change within 10 days after the end of the month in which the change occurs could result in a penalty deduction.
- ▶ The Social Security Administration will check your statements and compare its records with records from other State and Federal agencies, including the Internal Revenue Service, to make sure the applicant/recipient is paid the correct amount.

## SIGNATURES

**I/We understand that anyone who knowingly lies or misrepresents the truth or arranges for someone to knowingly lie or misrepresent the truth is committing a crime which can be punished under Federal law, State law, or both. Everything on this statement is the truth as best I/we know it.**

17. Your Signature ( <i>First name, middle initial, last name</i> ) ( <i>Write in ink</i> )		Date ( <i>Month, day, year</i> )
<b>SIGN HERE</b> ▶		Telephone number(s) at which you may be contacted during the day ( <u>    </u> <u>    </u> <u>    </u> ) AREA CODE
Spouse's Signature ( <i>First name, middle initial, last name</i> ) ( <i>Write in ink</i> )		
<b>SIGN HERE</b> ▶		
NOTE: If you are the representative payee and are filing this statement on behalf of another person (other than your spouse), please print below your full name, followed by your title or relationship to the person whose income and resources you are reporting (for example, "John J. Jones, Son").		
Name ( <i>First, middle initial, last</i> )		Title or Relationship
Your Mailing Address ( <i>Number and Street, Apt. No., P.O. Box or Rural Route</i> )		
City and State	ZIP Code	Enter name of county ( <i>if any</i> ) in which you live
Your Residence Address ( <i>If different from your mailing address</i> )		
City and State	ZIP Code	Enter name of county ( <i>if any</i> ) in which the claimant lives

## WITNESSES

Your statement does not ordinarily have to be witnessed. If, however, you have signed by mark (X), two witnesses to the signing who know you must sign below giving their full addresses.	
1. Signature of Witness	2. Signature of Witness
Address ( <i>Number and Street, City, State, and ZIP Code</i> )	Address ( <i>Number and Street, City, State, and ZIP Code</i> )



## PAPERWORK/PRIVACY ACT NOTICE

The Social Security Administration is authorized to collect the information on this statement under Sections 1614 and 1621 of the Social Security Act, as amended (42 U.S.C. 1382c(f) and 1383(e)). The information is needed to enable Social Security to determine eligibility or continued eligibility of an individual who is filing for or receiving monthly benefits. While it is **VOLUNTARY** for you to furnish the information on this form to Social Security, failure to provide all or part of this information could prevent an accurate and timely decision on this claim and could result in the loss of some benefits.

Although the information you furnish on the application is rarely used for any other purpose than stated in the foregoing, there is a possibility that information may be disclosed to another person or to another governmental agency as follows: (1) to enable a third party or an agency to assist Social Security in establishing rights to Supplemental Security Income payments and (2) to comply with Federal laws requiring the release of information from Social Security records (e.g., to the Dept. of Veterans Affairs). We may also use the information you give us in computer matching programs even if you do not agree. Matching programs compare our records with those of other Federal, State, or local government agencies. Many agencies may use matching programs to find or prove that a person qualifies for benefits paid by the Federal government.

Explanations about these and other reasons why information you provide us may be used or given out are available in Social Security offices. If you want to learn more about this, contact any Social Security office.

The **Paperwork Reduction Act of 1995** requires us to notify you that this information collection is in accordance with the clearance requirements of section 3507 of the Paperwork Reduction Act of 1995. We may not conduct or sponsor, and you are not required to respond to, a collection of information unless it displays a valid OMB control number.

**TIME IT TAKES TO COMPLETE THIS FORM:** We estimate that it will take you about 20 minutes to complete this form. This includes the time it will take to read the instructions, gather the necessary facts and fill out the form. If you have comments or suggestions on this estimate, write to the Social Security Administration, ATTN: Reports Clearance Officer, 1-A-21 Operations Bldg., Baltimore, MD 21235-0001. **Send only comments relating to our "time it takes" estimate to the office listed above. All requests for Social Security cards and other claims-related information should be sent to your local Social Security office, whose address is listed under Social Security Administration in the U.S. Government section of your telephone directory.**

NAME OF SSI CLAIMANT/RECIPIENT	SOCIAL SECURITY NUMBER  ____/____/____	DATE
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## REPORTING RESPONSIBILITIES

The amount of a Supplemental Security Income check is based on the information told to us. You must tell Social Security every time there is a change—while we process this application **AND** if the person named above starts receiving Supplemental Security Income. So that the Individual continues getting the right payment amount, you must report certain changes that happen to you.

Remember, a change may make the SSI monthly payment bigger or smaller. Report changes in your income and the income of your husband/wife or a child who lives with you. You must also report changes in things of value that you and your spouse own.

You must tell us about any change within 10 days after the month it happens. If you do not report changes, we may have to take as much as \$25, \$50, or \$100 out of future checks the individual is due.

 **HOW TO REPORT** You can make your reports by telephone at the telephone number shown below or you may report in person or by mail at the address shown below. See reverse side of this page for "Changes to Report."

Telephone Number (include area code) to call if you have a question or something to report.

( \_ \_ \_ )

Social Security Office you may come in person or mail your request to:

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## CHANGES TO REPORT

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### WHERE YOU LIVE — You must report to Social Security if:

- You move.
- You (or your spouse) leave your household for a calendar month or longer. For example, you enter a hospital or visit a relative.
- You are no longer a legal resident of the United States.



### HOW YOU LIVE — You must report to Social Security if:

- Someone moves into or out of your household.
- The amount of money you pay toward household expenses changes.
- Births and deaths of any people with whom you live.
- Your marital status changes:
  - You get married, separated, divorced, or your marriage is annulled.
  - You separate from your spouse or start living together again after a separation.
  - You begin living with someone as husband and wife.



### INCOME — You must report to Social Security if:

- The amount of money (or checks or any other type of payment) you receive from someone or someplace goes up or down or you start to receive money (or checks or any other type of payment).
- You start work or stop work.
- Your earnings go up or down.



### HELP YOU GET FROM OTHERS — You must report to Social Security if:

- The amount of help (money, food, clothing, or payment of household expenses) you receive goes up or down.
- Someone stops helping you.
- Someone starts helping you.



### THINGS OF VALUE THAT YOU OWN — You must report to Social Security if:

- The value of your resources goes over \$2,000 when you add them all together (\$3,000 if you are married and live with your spouse).
- You sell or give any things of value away.
- You buy or are given anything of value.



### YOU ARE UNMARRIED AND UNDER AGE 21 — A report to Social Security must be made if:

- You start or stop school.
- You get married.
- Your income changes.



### YOU ARE SELECTED AS A REPRESENTATIVE PAYEE — You must report to Social Security if:

- The person for whom you are filing this statement has any of the changes listed above. (You may be held liable if you do not report changes that could affect the SSI recipient's payment amount, and he/she is overpaid.)
- You will no longer be able or no longer wish to act as that person's representative payee.