

**HOLLARD PRIME COLLECTIVE INVESTMENTS (UNIT TRUSTS)  
EXEMPTION FROM DIVIDENDS TAX INSTRUCTION**

**1. Important Information**

- 1.1. Hollard Investments is a division of Hollard Life Assurance Company Limited and Hollard Investment Managers.
- 1.2. Prime Collective Investment Schemes Management Company is appointed as the authorised Manager in terms of the Collective Investment Schemes Control Act. In this form it is referred to as "Prime".
- 1.3. This form is to be completed by the Beneficial Owner (of dividends, including dividends in specie) in order for the exemptions from Dividends Tax referred to in section 64F read with section 64FA(2) or 64H(2)(a) of the Income Tax Act, 1962 (Act No 58 of 1962) (The Act) to apply.
- 1.4. In order to qualify for an exemption this declaration and written undertaking should be submitted to the withholding agent (declaring company or regulated intermediary) within the period required by the latter (provided it is before payment of an affected dividend). Failure to do so will result in the full fifteen percent (15%) Dividends Tax being withheld / payable.
- 1.5. All forms sent as email attachments must be in the format of a legible Word document, PDF file or a tif (scanned picture) file and sent to [customercare@hollardinvestments.co.za](mailto:customercare@hollardinvestments.co.za) or faxed to +27(0)11 351 3816.
- 1.6. The onus is on the sender to ensure all documents sent are legible and have been received as such by Hollard Investments. Any instructions received on a public holiday or over a weekend will be processed at the next available working day.
- 1.7. Each page of this application must be initialised by the investor or the person acting on behalf of the investor.
- 1.8. The declaration must be signed in full by the investor or the person acting on behalf of the investor.
- 1.9. Should you have any queries regarding this form, please contact the Hollard Investments Client Service Centre on 0860 202 202 or email [customercare@hollardinvestments.co.za](mailto:customercare@hollardinvestments.co.za).

**2. Withholding Agent**

Registered Name:	Prime Collective Investment Schemes Management Company (Pty) Ltd
Nature of Person/Entity:	Regulated Intermediary

**3. Beneficial Owner**

To be completed by the person entitled to the benefit of the dividends attached to a share(s)/units.

If you are an existing investor in a Hollard Prime Unit Trust Fund, provide your investment number.

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Entity Name:			
South African Tax Ref. No.:		ID/Passport No./Registration No.:	
Date of Birth (dd/mm/yy):		Country of Residence:	
Contact Details:	Name: _____		
	Email: _____		
	Tel: _____	Fax:	_____

Physical Address:

Complex/Unit Number:		Complex Name:			(If applicable)
Street Number:		Street Name:			
Suburb/District:			Country:		
Postal Address:	<input type="checkbox"/> Tick if the postal address is the same as the physical address.				
PO Box/Private Bag No.:		Post Office Name:			
Postal Code:					
Private Bag Number:		Post Office Name:			
Postal Code:					

Type of Entity

<input type="checkbox"/> Individual	<input type="checkbox"/> Trust	<input type="checkbox"/> Listed Company
<input type="checkbox"/> Unlisted Company	<input type="checkbox"/> Retirement Fund (Pension, Provident, Benefit, RA etc.)	<input type="checkbox"/> RSA Government (Provincial Administration/Municipalities)
<input type="checkbox"/> Other (If selected, please provide a detailed description of the nature of the entity named as the beneficial owner)		

#### 4. Exemption from Tax

Please indicate the reason why the beneficial owner is exempt from the dividends tax by ticking the appropriate block.

- Par (a) A company that is resident in South Africa
- Par (b) The government, provincial government or municipality of the Republic of South Africa
- Par (c) A public benefit organisation, approved by SARS into Section 30(3) of the Act
- Par (d) A trust contemplated in Section 37A of the Act (mining rehabilitation trusts)
- Par (e) An institution, body or board contemplated in Section 10(1)(cA) of the Act
- Par (f) A fund contemplated in Section 10(1)(d)(i) or (ii) of the Act (pension fund, preservation fund, provident fund, provident preservation fund, retirement annuity fund, beneficiary fund or benefit fund)
- Par (g) A person contemplated in Section 10(1) (t) of the Act (CSIR, SANRAL etc.)
- Par (h) A shareholder in a registered micro business as defined in the Sixth Schedule to the Act to the extent that the aggregate amount of the dividend paid by that registered micro business to its shareholders during the year of assessment in which that dividend is paid does not exceed R200, 000.
- Par (j) A person that is not a resident and the dividend is a dividend contemplated in paragraph (b) of the definition of "dividend " in Section 64D (i.e. a dividend on a foreign company's shares listed in SA, such as dual-listed shares)
- Par (y) Double taxation agreement
- Par (z) Other international agreement

#### DECLARATION IN TERMS OF SECTIONS 64FA(1)(A)(I), 64G(2)(A)(AA) OR 64H(2)(A)(AA) OF THE ACT

I,  the undersigned hereby declare that dividends paid to the Beneficial

Owner are exempt, or would have been exempt had it not been a distribution of a distribution of an asset in specie, from the Dividends Tax in terms of the paragraph of section 64F of the Act indicated above

Signed at  on this  day of

*(Place)* *(day)* *(Month)* *(Year)*

Signature  Official capacity

*(Duly authorised)* Capacity of signatory if not the beneficial owner.

I,  the undersigned undertake to forthwith inform the Withholding Agent in writing should the circumstances of the beneficial owner referred to above, change.

Signed at  on this  day of

*(Place)* *(day)* *(Month)* *(Year)*

Signature  Official capacity

*(Duly authorised)* Capacity of signatory if not the beneficial owner.