

The EU Framework Programme for Research and Innovation



Marie Skłodowska-Curie Actions

Co-funding of regional, national and international programmes (COFUND)

Administrative forms (Part A) Research proposal (Part B)

> Version 1.0 20 May 2014

#### Disclaimer

This document is aimed at informing potential applicants for Horizon 2020 funding. It serves only as an example. The actual Web forms and templates, provided in the online proposal submission system under the Participant Portal, might differ from this example. Proposals must be prepared and submitted .via the online proposal submission system under the Participant Portal.





Horizon 2020 Call: Topic: Type of action: Proposal number: Proposal acronyme

Table of contents

Section	Title	Action
1	General information	
2	Participants & contacts	
3	Budget	
4	Ethics	
5	Call-specific questions	

# How to fill in the forms

The administrative forms must be filled in for each proposal using the templates available in the submission system. Some data fields in the administrative forms are pre-filled based on the previous steps in the submission wizard.



Acronym

Go to

# 1 - General information

Topic	Type of action
Call identifier	Acronym
Proposal title*	lax 200 characters (with spaces). Must be understandable for non-specialists in your field.
Nc > '	te that for technical reasons, the following characters are not accepted in the Proposal Title and will be removed: < ' &
Duration in months	Estimated duration of the project in full months.
Free keywords	Enter any words you think give extra detail of the scope of your proposal (max 200 characters with spaces).
Abstract	
<ul> <li>the objective.</li> <li>how they will</li> <li>their relevant</li> <li>Will be used as the summanagement commit</li> <li>Do not includ</li> <li>Use plain typ</li> </ul>	2,000 characters, with spaces) to clearly explain: s of the proposal be achieved ce to the work programme. hort description of the proposal in the evaluation process and in communications with the programme tees and other interested parties . le any confidential information. ed text, avoiding formulae and other special characters. ten in a language other than English, please include an English version of this abstract in the "Technical
Remaining characters	2000
	a very similar one) been submitted in the past 2 years in response to a call for 7th Framework Programme, Horizon 2020 or any other EU programme(s)? • Yes ONo
	Please give the proposal reference or contract number.



Acronym

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Declarations	)	e	С	a	ra	ti	0	n	S	
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1) The applicant (future beneficiary) declares to have the explicit consent of all partner organisations (if applicable) on their participation and on the content of this proposal.

2) The information contained in this proposal is correct and complete.

3) This proposal complies with ethical principles (including the highest standards of research integrity — as set out, for instance, in the European Code of Conduct for Research Integrity — and including, in particular, avoiding fabrication, falsification, plagiarism or other research misconduct).

4) The applicant (future beneficiary) confirms:

- to have carried out the self-check of the financial capacity of the organisation on https://ec.europa.eu/research/ participants/portal4/desktop/en/organisations/lfv.html. Where the result was "weak" or "insufficient", the applicant (future beneficiary) confirms being aware of the measures that may be imposed in accordance with the H2020 Grants Manual (Chapter on Financial capacity check); or	
- is exempt from the financial capacity check being a public body including international organisations, higher or secondary education establishment or a legal entity, whose viability is guaranteed by a Member State or associated country, as defined in the H2020 Grants Manual (Chapter on Financial capacity check); or	
- as sole participant in the proposal is exempt from the financial capacity check.	

5) The applicant (future beneficiary) hereby declares:

- it is fully eligible in accordance with the criteria set out in the specific call for proposals; and	
- it has the financial and operational capacity to carry out the proposed action.	

The applicant (future beneficiary) is only responsible for the correctness of the information relating to his/her own organisation. Where the proposal to be retained for EU funding, the applicant (future beneficiary) will be required to present a formal declaration in this respect.

According to Article 131 of the Financial Regulation of 25 October 2012 on the financial rules applicable to the general budget of the Union (Official Journal L 298 of 26.10.2012, p. 1) and Article 145 of its Rules of Application (Official Journal L 362, 31.12.2012, p. 1) applicants found guilty of misrepresentation may be subject to administrative and financial penalties under certain conditions.

#### Personal data protection

Your reply to the grant application will involve the recording and processing of personal data (such as your name, address and CV), which will be processed pursuant to Regulation (EC) No 45/2001 on the protection of individuals with regard to the processing of personal data by the Community institutions and bodies and on the free movement of such data. Unless indicated otherwise, your replies to the questions in this form and any personal data requested are required to assess your grant application in accordance with the specifications of the call for proposals and will be processed solely for that purpose. Details concerning the processing of your personal data are available on the privacy statement. Applicants may lodge a complaint about the processing of their personal data with the European Data Protection Supervisor at any time.

Your personal data may be registered in the Early Warning System (EWS) only or both in the EWS and Central Exclusion Database (CED) by the Accounting Officer of the Commission, should you be in one of the situations mentioned in: -the Commission Decision 2008/969 of 16.12.2008 on the Early Warning System (for more information see the <u>Privacy Statement</u>), or -the Commission Regulation 2008/1302 of 17.12.2008 on the Central Exclusion Database (for more information see the <u>Privacy Statement</u>).



Acronym

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# 2 - Administrative data of participating organisations

PIC	Legal name
Short name:	
Address of the org	ganisation
Street	
Town	
Postcode	
Country	
Webpage	
Legal Status of yo	our organisation

#### Research and Innovation legal statuses

Public bodyno
Non-profitno
International organisationno
International organisation of European interest no
Secondary or Higher education establishment no
Research organisationno
Small and Medium-sized Enterprises (SMEs) no

#### Nace code



Legal person ..... no



Acronym

Go to

# Department(s) carrying out the proposed work

#### Department 1

Department name		
Street	Please enter street name and number.	Same as organisation address
Town		
Postcode		
Country		

# Dependencies with other proposal participants

Character of dependence	Participant	

5
10

	European Commissio Proposal Subm	n - Research - Particip ission Forms	ants			
Proposal ID		Acronym		Go to		
Person in char	ge of the proposa	nl				
		re read-only in the admin persons, please go back				n be edited here. To give access save the changes.
Title				Sex	⊖ Male	⊖Female
First name				Last name		
E-Mail						
Position in org.	Please indicate the	position of the Contact	Point abov	ve in the orgar	nisation.	
Department	Please indicate the	department of the Con	tact Point a	bove in the o	rganisati <mark>q</mark>	
Street						□ Same as organisation address
Town				Post code		
Country						
Website						
Phone	+xxx xxxxxxxx	Phone 2 +xx	x xxxxxxxx	X	Fax [	+xxx xxxxxxxx
		6,				





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#### 3 - Budget for the proposal Total budget of the **Researcher Unit Cost** Institutional unit cost Requested EU Number of Type of contract EU contribution/€ programme contribution/€ (person/month) person/month (person/month) (including own budget)/€ Employment, or equivalent 2 6 2 5 325 Fixed-amount fellowship 1 312,5 325 0 0 0 Total

X



Acronym

Go to

# 4 - Ethics issues table

1. HUMAN EMBRYOS/FOETUSES		Page
Does your research involve Human Embryonic Stem Cells (hESCs)?	● Yes ○ No	
Will they be directly derived from embryos within this project?	●Yes ○No	
Are they previously established cells lines?	• Yes ONo	
Does your research involve the use of human embryos?	● Yes ○ No	
Does your research involve the use of human foetal tissues / cells?	• Yes O No	
2. HUMANS		Page
Does your research involve human participants?	●Yes ○No	
Are they volunteers for experiments in social or human sciences research?	● Yes ○ No	
Are they persons unable to give informed consent?	● Yes ○ No	
Are they vulnerable individuals or groups?	● Yes ○ No	
Are they children/minors?	●Yes ○No	
Are they patients?	● Yes ○ No	
Are they healthy volunteers for medical studies?		
Does your research involve physical interventions on the study participants?	●Yes ○No	
Does it involve invasive techniques?	●Yes ○No	
Does it involve collection of biological samples?	●Yes ○No	
f your research involves processing of genetic information, please also complete the section "Protection of personal data" [Box 4].		



Proposal ID	Acronym	Go to			
3. HUMAN CELLS / TISSU	JES				Page
Does your research involv f your research involves h Human Embryos/Foetuse	uman embryos/foetuses, please als	so complete the section	● Yes	⊖ No	
Are they available	commercially?		●Yes	⊖ No	
Are they obtained	within this project?		• Yes	⊖ No	
Are they obtained	within another project?		• Yes	CNo	
Are they deposited	d in a biobank?		• Yes	∩ No	
4. PROTECTION OF PER	SONAL DATA i				Page
Does your research involv	e personal data collection and/or pr	ocessing?	• Yes	⊖ No	
	e collection and/or processing of ser al lifestyle, ethnicity, political opinion		●Yes	⊖ No	
Does it involve pro	ocessing of genetic information?		Yes	⊖ No	
Does it involve tra	cking or observation of participants	?	• Yes	⊖ No	
Does your research involv (secondary use)?	e further processing of previously c	ollected personal data	●Yes	⊖ No	
5. <u>ANIMALS</u> ii					Page
Does your research involv	e animals?		• Yes	⊖ No	
Are they vertebra	tes?		●Yes	⊖ No	
Are they non-hum	nan primates?		• Yes	⊖ No	
Are they genetica	lly modified? iii (regulation)		• Yes	⊖ No	
Are they cloned fa	arm animals?		●Yes	∩ No	
Are they endange	ered species?		• Yes	⊖ No	
Please indicate the specie	es involved(Max. number of charact	ers 1000)	<u> </u>		



European Commission					
Proposal ID	Acronym	Go to			
6. NON-EU COUNTRIES					Page
Does your research involv	e non-EU countries?		Yes	⊖ No	
Countries:(Maximum num	nber of characters allowed: 1000)		I		e e
material, live animals, hun flora samples, etc.)? v	resources (e.g. animal and/or hum nan remains, materials of historical	value, endangered fauna or	le res		
the EU?	material - including personal data - data, please also complete the section		• Yes	∩ No	
Specify material and cour	ntries involved (Maximum number of	f characters allowed: 1000)			
countries?	material - including personal data data, please also complete the section		• Yes	∩ No	
Specify material and cour	ntries involved (Maximum number of	f characters allowed: 1000)	<u> </u>		
f your research involves <u>I</u> measures foreseen?	ow and/or lower middle income cou	ntries, are benefits-sharing	●Yes	⊖ No	
Could the situation in the o	country put the individuals taking pa	rt in the research at risk?	●Yes	⊖ No	
C. ENVIRONMENT PROT					Page
Does your research inventions of the second se	volve the use of elements that r plants?	may cause harm to the	• Yes	⊖ No	
Does your research deal v	with endangered fauna and/or flora a	and/or protected areas?	• Yes	⊖ No	
Does your research invo ncluding research staff?	olve the use of elements that ma	y cause harm to humans,	• Yes	⊖ No	



Proposal ID	Acronym	Go to		
8. <u>DUAL USE</u> vii				Page
Does your research hav	ve the potential for military applications	s?	● Yes ○ No	
9. MISUSE				Page
Does your research hav	ve the potential for malevolent/criminal	/terrorist abuse?	● Yes ○ No	
10. OTHER ETHICS ISS	SUES			Page
Are there any other ethic	cs issues that should be taken into con	nsideration? Please specify	Y • Yes ONo	
Maximum number of ch	aracters 1000	S		>

I confirm that I have taken into account all ethics issues described above and if any ethics issues apply, I have attached the required documents.

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# 5 - Call specific questions

Is this a proposal to continue (even after a break) an existing similar COFUND programme	e? •Yes	⊖ No
Please give the proposal reference or contract number.		× (C)
Has your institution submitted another application to the same call?	•Yes	⊖ No
Please give the proposal reference or contract number.	N	

Information on partner organisations

Partner Organisation number	PIC Search PIC	Organisation legal name	Country	Academic Sector
1				



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# Validation result

Section

#### Description

The form has not yet been validated, click "Validate Form" to do so!

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# START PAGE

## MARIE SKŁODOWSKA-CURIE ACTIONS

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Co-funding of regional, national and international programmes (COFUND)

Call: H2020-MSCA-COFUND-2014

PART B

"PROPOSAL ACRONYM"

This proposal is to be evaluated as:

[DP][FP] [delete as appropriate]

#### Table of Contents

In drafting PART B of the proposal, applicants <u>must follow</u> the structure outlined below.

**START PAGE COUNT** 

**STOP PAGE COUNT** 

# LIST OF PARTICIPANTS

2. IMPACT

**3. IMPLEMENTATION** 

# 4. ETHICAL ASPECTS5. LETTERS OF COMMITMENT

NB: Applicants must ensure that sections 1 - 3 do not exceed the limit of 30 pages.

Please note that the principles of the European Charter for Researchers and Code of Conduct for the Recruitment of Researchers promoting open recruitment and attractive working conditions are recommended to be endorsed and applied by all the funded participants in the MSCA.

# LIST OF PARTICIPANTS

		XO	1
Name of Beneficiary	Beneficiary short name	Country	Academic (Y/N)

For partner organisations, please provide additional data as indicated in the table below.

Partner organisation name	Partner organisation short name	Country	Academic (Y/N)	Department / Division / Laboratory

#### *Note that:*

- Any relationship between different participating institutions or individuals (e.g. family ties, shared premises or facilities, joint ownership, financial interest, overlapping staff, etc.) **must** be declared and justified; - The data provided relating to the capacity of the participating institutions will be subject to verification during the grant preparation
- phase.



# 1. Excellence

# 1.1 Quality of the selection/recruitment process for the researchers

1.1.1 Transparency of the selection process for the fellows under the programme

- Dissemination of the calls in appropriate ways;
- Information provided to the applicants (e.g. conditions of the fellowship, host institution, evaluation process, results, redress/appeal, etc.);
- Eligibility criteria and application requirements;
- Any other relevant point.

# 1.1.2 Organisation of selection process

- Description/Composition of committees involved in the different stages of the process (i.e. eligibility check, evaluation, selection);
- Selection of experts;
- Fellows/Researchers' selection workflow and powers entrusted to the different actors.
- Ethical issues management. Compliance with the ethical principles of H2020;
- Any other relevant point.

# 1.1.3 Evaluation Criteria

- Criteria/sub-criteria for the selection of fellows;
- Any other relevant point (scoring, thresholds, etc.).

# 1.1.4 Equal opportunity

- Measures the programme takes to ensure equal opportunities (e.g. gender balance, career break, etc.);
- Any other relevant point.

# **1.2.** Quality of the research options offered by the programme

- Excellence of the research programme;
- Quality of the research options in terms of the triple "i" dimension (inter- national mobility, inter-sectoral exposure, and inter-disciplinarity).

# 1.3. Quality of career guidance and training

# 1.3.1 Supervision arrangements

- Specific mentoring or support schemes in place to support career development (this section is of special importance for the doctoral programmes);
- Any other relevant point.
- 1.3.2 Training
  - Training on skills within the chosen discipline and/or to gain new skills;
  - Support and/or additional training in non-research oriented transferable skills;
  - Any other relevant point.

# 2. Impact

2.1 Enhancing research- and innovation-related human resources, skills and working conditions to realise the potential of individuals and to provide new career perspectives

Impact of programme on enhancing human resources on regional, national or international level;

- Enhancing skills, working conditions and career perspectives of participating researchers;
- Any other relevant point.

# 2.2 Impact of the programme on aligning practices at participating organisations with principles set out by the EU for the human resources development in research and innovation

- How does the programme contribute to the implementation of principles set out by the EU for the human resources development in R&I (such as Charter& Code, Principles for Innovative Doctoral Training for DP) at the participating organisations (beneficiary, partner organisations);
- For applicants having benefited from COFUND under FP7: explain the differences with the earlier grant and how the new proposal will help further alignment of practices with principles set out by the EU for the human resources development in R&I;
- How does the programme contribute to the overall objective and expected impact of COFUND as specified in the Work Programme;
- Any other relevant point.

# 2.3 Effectiveness of the proposed measures for communication and results dissemination of the programmes

- Communication, results dissemination, and public engagement strategy of the programme;
- Intellectual Property Rights issues (if relevant);
- Any other relevant point.

# 3. Implementation

# 3.1 Overall coherence, effectiveness and appropriateness of the work plan

- Describe the management plan of the programme and the resources; include a timeline or Gantt chart giving an overview of at least the:
  - Expected start and end date of the project (number of months);
  - Number of Calls;
  - Opening /Closing date of the Call(s);
  - Number of fellowships offered per call;
  - Evaluation timeline;
  - Expected/planned start/end date of researchers' appointments.
- Justifications of the estimated programme budget including the requested co-funding contribution;
- Financial management and risk management/contingency plans of the programme;
- Any other relevant point.

# **3.2** Appointment conditions of researchers

- Amounts that will be provided for the benefit of the researcher (e.g. living, mobility, travel and family allowances) and for the organisation that is hosting the researcher (contribution to research costs, training costs, overheads);
- Working conditions, institutional administrative support, and available services/facilities;
- Employment conditions, including statutory working practices, social security coverage and social benefits;
- Alignment of working conditions proposed within the regional and/or national and/or sectoral context;
- Any other relevant point.

# **3.3** Competence of the participant to implement the programme

- Overview of the staff responsible for the implementation of the programme, description of the administrative resources, and, if known, description of partner organisations contributing / hosting the researchers;
- Application documentation, with particular view to accessibility and usability;
- Support offered to candidate researchers during the application/recruitment/implementation;
- For participants having benefited from COFUND under FP7: provide evidence for the quality of the earlier grant (e.g., if possible, by external evaluations);
- Any other relevant point.

# The following table (or similar) should be used to detail the financial aspects of the programme

Cost categories	EU contribution (EUR / person-month)	Total cost = EU contribution + own resources (EUR / person-month)
Living allowance	1 855 (for ESR)* 2 625 (for ER)*	***
Mobility allowance**	N/A	***
Family allowance**	N/A	
Travel allowance**	N/A	
Research costs**	N/A	
Other (training, etc.) **	N/A	
Management	325	
Overheads**	N/A	

\**Choose the applicable rate, and delete the other.* 

\*\* If applicable, delete otherwise. Other lines can be included for categories not shown in the template above.

\*\*\* The sum of living and mobility allowance must not be lower than EUR 2597 for ESR and EUR 3675 for ER.

#### The following tables may be used to list deliverables and milestones of the programme.

#### **Deliverables List**

A deliverable is a distinct output of the project, meaningful in terms of the project's overall objectives and comprises a report, a document, a technical diagram, etc.

Deliverable No	Deliverable Name	Nature	Delivery Date
			×O

#### **Milestones List**

Milestones are control points in the project that contribute to chart progress. Milestones may correspond to the completion of a key deliverable, allowing the next phase of the work to begin. They may also be needed at intermediate points so that, if problems have arisen, corrective measures can be taken.

Milestone Number	Milestone Name	Estimated Date	Means of Verification

ser.	
	STOP PAGE COUNT – MAX 30 PAGES
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# 4. Ethics clearance

All research activities in Horizon 2020 must respect fundamental ethics principles, including those reflected in the Charter of Fundamental Rights of the European Union<sup>1</sup> and the relevant ethics rules of H2020. These principles include the need to ensure the freedom of research and the need to protect the physical and moral integrity of individuals and the welfare of animals.

Ethics is important for all research domains. Informed consent and confidentiality are as important for a sociological study as they are for clinical research.

In this context, please be aware that it is the applicants' responsibility to identify any potential ethics issues, to handle the ethics aspects of their proposal, and to detail how they plan to address them.

COFUND programmes are encouraged to follow a bottom-up approach and it is often not known in advance if the fellowships to be funded will raise ethical issues. Therefore, it is important to describe how the proposal meets the European as well as the national legal and ethics requirements of the country or countries where the tasks raising ethics issues are to be carried out. In particular, applicants should take care to describe the ethics procedures that they will enforce in the execution of the programme.

In practice, this means that the successful COFUND programmes, when opening their calls for proposals, will have to detail the procedure to be followed for addressing proposals raising ethical issues. This procedure could, eventually, follow the same steps as the ones detailed for the other MSCA. You will find below information on the Ethics Review procedure in Horizon 2020.

#### The Ethics Review Procedure in Horizon 2020

All research proposals submitted to the European Commission are evaluated both on their scientific merit and on its ethical and social impact. When submitting a proposal to Horizon 2020, all applicants are required to complete an **Ethics Issues Table (EIT)**. Applicants who flag ethics issues in the Ethics Issues Table have to complete in addition a more in depth **Ethics Self-Assessment**.

Please note that all proposals retained with a view to funding will be submitted to the Ethics Review procedure. A careful analysis and description of any potential ethics issues in a given proposal and a detailed self-assessment will help all actors involved to undergo the Ethics Review in an efficient manner without unnecessary delays.

# Ethics Issues Table in Part A

If you entered one or more ethical issue/s in the Ethical Issues Table (EIT) in Part A of the proposal, you are asked to submit an Ethics Self-Assessment in Part B. Please ensure that a careful analysis of any potential ethics issues which may arise in the proposed research is done **before** the EIT is completed.

For more information, please consult the Ethics section on the Research Participant Portal which comprises the **Ethics Self-Assessment Guidelines** and an EIT Checklist:

http://ec.europa.eu/research/participants/docs/h2020-funding-guide/cross-cutting-issues/ethics\_en.htm

<sup>&</sup>lt;sup>1</sup> Charter of Fundamental Rights of the European Union, 2000/C 364/01. See also <u>http://www.europarl.europa.eu/charter/default\_en.htm</u>

# Ethics Self-Assessment in Part B

Once you have flagged an ethics issue in the Ethics Issues Table of Part A, the Ethics Self-Assessment in Part B must:

#### 1) For each area flagged in the Ethics Issues Table, describe how the proposal meets:

- the EU legislation on Ethics (e.g. Directive 2010/63/EU of the European Parliament and of the Council of 22 September 2010 on the protection of animals used for scientific purposes, existing EU legislation on data protection and privacy etc.);
- national legislation and good practices on research ethics;
- ethical requirements of any Third Country where research raising ethical issues are to be carried out.

For more information on how to deal with Third Countries please see Article 34 of the Model Grant Agreement, as well as the following link:

http://ec.europa.eu/justice/data-protection/document/international-transfers/adequacy/index\_en.htm

Please list the documents provided with their expiry date. Ensure early compliance of the proposed research with EU and national legislation on ethics in research. Should your proposal be selected for funding, and if you have not done this already, you will be required to provide as soon as possible the following documents:

- an opinion from an Ethics Committee/Authority, required under national law;
- any other ethics-related documents mandatory under EU or national legislation;
- if you didn't apply for/ receive the ethics approval/ required ethics documents yet when submitting the proposal, please indicate in the proposal the approximate date when you will provide a missing approval/ any other ethics documents to the REA (scanned copy). Please state explicitly that you will not proceed to any research with ethical implications before the REA received a scanned copy of all documents proving compliance with existing EU/ national legislation on ethics.

If these ethics documents are not issued in English, you are requested to submit also an English summary (containing notably, if available, the conclusions of the Committee or Ethics Authority concerned). If you plan to request these documents specifically for your proposed project, your request must contain an explicit reference to its title.

# 2) Explain in detail how you intend to address the ethics issues flagged in the Ethical Issues Table of Part A of your proposal, in particular with regard to:

- the research **objectives** (e.g. study of vulnerable populations like elderly people, sick or illiterate persons, cooperation with a Third Country, dual use, etc.);
- the research **methodology** (e.g. clinical trials, involvement of children and related information and consent/assent procedures, use of human tissues from blood banks, interviews with healthy adult volunteers, data protection and privacy issued related to data collected, etc.);
- the potential **impact** of the research (e.g. dual use issues, environmental damage, stigmatisation of particular social groups, political or financial retaliation, benefit-sharing, malevolent use, etc.).

# 5. Letters of Commitment from Partner organisations

Please use this section to insert scanned copies of the letters of commitment from the partner organisations (with details on their financial participation – mandatory for partner organisations that contribute to the funding of the programme).

#### **END PAGE**

#### MARIE SKŁODOWSKA-CURIE ACTIONS

14

Co-funding of regional, national and international programmes (COFUND)

Call: H2020-MSCA-COFUND-2014

PART B

"PROPOSAL ACRONYM"

This proposal is to be evaluated as:

[DP] [FP] [delete as appropriate]

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