

NOVEMBER 29 – DECEMBER 2, 2016 | GAYLORD NATIONAL | WASHINGTON, DC

33RD INTERNATIONAL CONFERENCE ON THE FOREIGN CORRUPT PRACTICES ACT

THE PREMIER LEGAL & REGULATORY ANTI-CORRUPTION COMPLIANCE EVENT



**EARN CPE/CLE/ETHICS
CREDITS**

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Chief, Fraud Section, Criminal Division
U.S. Department of Justice



Andrew Ceresney
Director, Division of Enforcement
U.S. Securities and Exchange Commission



Daniel Kahn
Chief, FCPA Unit, Fraud Section, Criminal Division
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Dear Colleague:

We are honored to be co-chairing **American Conference Institute's 33rd International Conference on the Foreign Corrupt Practices Act**, and hope that you are available to join us. Widely regarded as the premier, flagship anti-corruption conference, this event marks one of the few worthwhile opportunities to interface with senior U.S. and foreign government officials, corporate executives and leading outside counsel.

Global anti-corruption enforcement has continued to intensify. Companies and their executives face increased prosecution risks, and remain tasked with managing the interplay of foreign bribery, data privacy and labor laws. In response to the evolving landscape, we invite you to join us at the highly anticipated "Moot Court". An interactive debate on controversial, hot button issues that are heightening legal exposure for corporations and individuals.

In addition, the 2016 program includes an enhanced focus on global compliance benchmarking through the inclusion of "Compliance Think Tanks" and a "Compliance LAB". Equally, the conference includes more in-depth discussion of third party due diligence at two, intensive working groups.

We are also pleased to announce that the program, once again, includes "Special Industry Groups" — highly anticipated, smaller group interactions.

Along with longer networking breaks, CLE ethics credits, and more opportunities for anonymous polling, the agenda includes breakout sessions that allow you to customize your learning experience.

With over 600+ attendees expected once again, this highly respected program is truly the ideal forum for meeting and re-connecting with peers, and hearing important government updates. We hope that you will join us for what will surely be a productive and important meeting.

Sincerely,



Michael Bailey
General Counsel
Senior Vice President
Bechtel Group, Inc.



Cheryl J. Scarboro
Partner
**Simpson Thacher
& Bartlett LLP**

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WHAT'S NEW FOR 2016:

- ✓ **NEW GOVERNMENT AND INDUSTRY SPEAKER LINE-UP:** Visit FCPAconference.com for updates and announcements.
- ✓ **FCPA MOOT COURT:** An Interactive Debate on Determining Beneficial Ownership, and the Scope of Due Diligence Post-Panama Papers and Unaoil.
- ✓ **CHIEF COMPLIANCE OFFICER EXECUTIVE ROUNDTABLE:** This unique boardroom-style forum will provide exclusive networking and benchmarking on a broad range of global compliance challenges, and the evolving CCO role.
- ✓ **MORE FOCUS ON THIRD PARTY DUE DILIGENCE & MONITORING:** Two new pre-conference working groups and main conference sessions.
- ✓ **GLOBAL REGULATORY & ENFORCEMENT UPDATE SEMINAR** on Day 4 of the event. All sessions focus on the most significant anti-corruption regulations and enforcement activity in high risk markets.
- ✓ **FCPA COMPLIANCE PROGRAM "LAB" (Learn, Analyze, Brainstorm):** This new LAB format is designed to allow active participation from both the speakers and the participants.
- ✓ **NEW BREAKOUT SESSIONS AND TRACKS,** including Executive Roundtables, Compliance Think Tanks and practical, country-specific sessions.

Special Industry Groups



Life Sciences



Technology & Telecom



Extractive Industry



Financial Services

ACI is pleased to announce the return of **Special Industry Groups ("SIGs")** to the 2016 program. At each networking break during the main conference, participants from a specific industry will have the opportunity for structured networking and benchmarking with their peers. During lunch, select tables will also be reserved for each SIG. Benefit from an extended opportunity to network and benchmark with your industry peers. Interested participants can sign up for these smaller group discussions at www.FCPAconference.com.

Attendance is free of charge for conference attendees.

AUDIENCE POLLING



Select panels will utilize anonymous polling to collect audience feedback and benchmark on compliance, investigative and disclosure best practices. Polling is designed to give audience members a unique look into how industry is dealing with challenges related to anti-corruption compliance and enforcement. With individual keypads, participants will respond to panel questions confidentially, allowing for candid insights on sensitive, controversial issues.

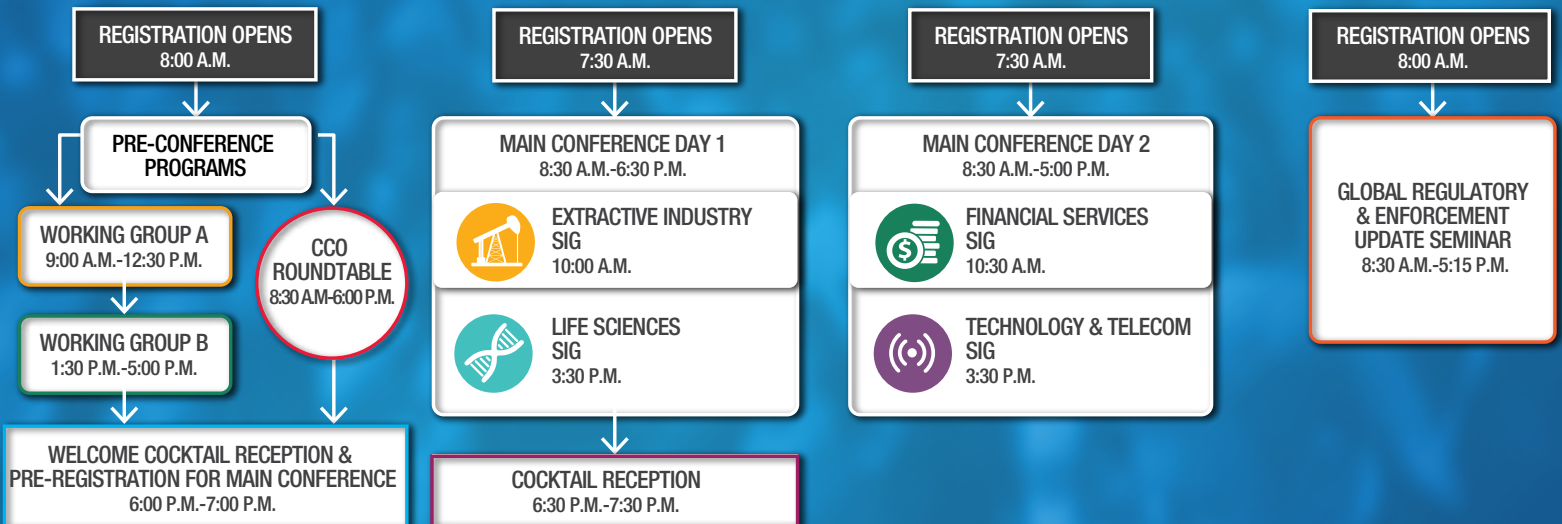
CONFERENCE AT-A-GLANCE

TUESDAY

WEDNESDAY

THURSDAY

FRIDAY



AGENDA AT-A-GLANCE

Tuesday, November 29, 2016 – Pre-Conference Programs

8:00 a.m. Registration Opens

9:00 a.m. - 12:30 p.m. Working Group A

8:30 a.m. - 6:00 p.m. Chief Compliance Officer Executive Roundtable

1:30 p.m. - 5:00 p.m. Working Group B

6:00 p.m. - 7:00 p.m. Welcome Cocktail Reception & Pre-Registration for Main Conference

Wednesday, November 30, 2016 – Main Conference

7:30 a.m. Continental Breakfast & Registration Begins

8:30 a.m. Conference Co-Chairs' Opening Remarks

8:45 a.m. **THE FCPA YEAR IN REVIEW**

Part I Looking Back: The Most Important Takeaways from Key Enforcement Actions and Settlements

Part II Looking Ahead: Updates on New SEC and DOJ Priorities

10:00 a.m. Networking Break

 Extractive Industry SIG Meeting

10:30 a.m. **KEYNOTE ADDRESS**

11:00 a.m. **FCPA MOOT COURT:**  An Interactive Debate on Determining Beneficial Ownership and the Scope of Due Diligence Post-Panama Papers and Unaoil

12:00 p.m. **COMPLIANCE METRICS:** How Companies are Leveraging Reasonable Metrics to Strengthen Their Anti-Corruption Compliance Programs and Defend them to Regulators

1:00 p.m. Networking Luncheon for Attendees and Speakers

2:00 p.m. **SEC KEYNOTE ADDRESS**

2:30 p.m. - 3:30 p.m. Breakout Sessions A



TRACK 1

BOOKS, RECORDS AND INTERNAL CONTROLS THINK TANK

Verification and Transaction Testing for the Riskiest of Expenses: Detecting Weaknesses, and Strengthening Approvals Processes, Upfront and Back-End Controls

3:30 p.m. Afternoon Refreshment Break

 Life Sciences SIG Meeting

TRACK 2

EXECUTIVE ROUNDTABLE:

The Yates Memo One Year Later: How Companies, Their Executives and Outside Counsel are Now Approaching Their Roles, Investigations, Ethics and Accountability

4:00 p.m. **SFO KEYNOTE ADDRESS**

TRACK 3

BRAZIL

Anti-Corruption Compliance and Investigations amid New Political and Business Realities

4:30 p.m. - 5:30 p.m. Breakout Sessions B



TRACK 1

GLOBAL REGULATORY RISK THINK TANK

Interacting with Foreign Government Decision-Makers: How to Manage High Stakes, Critical Areas of Your Business That Depend on Local Government Interaction and Approvals

TRACK 2

WHISTLEBLOWER RESPONSE STRATEGY

The Finer Points of Investigating and Responding to Whistleblower Complaints

Part I Mock Whistleblower Interview

Part II Updates, Real-Life Examples and Strategic Guidance

TRACK 3

CHINA BEYOND THE HEADLINES

What It is Really like to be Under Investigation in China: Special Considerations for Companies and Individuals Suspected of Bribery

5:30 p.m. - 6:30 p.m. Breakout Sessions C



TRACK 1

HYPOTHETICAL SCENARIOS

How to Overcome Real-Life Obstacles to Monitoring and Auditing Third Party Anti-Corruption Compliance

TRACK 2

EMPLOYEES ON YOUR WATCH

Dealing with Employees under Suspicion: How to Fully Cooperate with the U.S. Government While Safeguarding Your Business, and Complying with Foreign Labor and Privacy Laws

TRACK 3

SANCTIONS & DEBARMENT ROUNDTABLE



Inside World Bank Investigations-and Key Differences with the SEC and DOJ: The Unwritten Rules for Managing New Challenges

6:30 p.m. Conference Adjourns to Day 2

6:30 p.m. - 7:30 p.m. Cocktail Reception

AGENDA AT-A-GLANCE

Thursday, December 1, 2016 – Main Conference

- 7:30 a.m.** Continental Breakfast
- 8:30 a.m.** Conference Co-Chairs' Opening Remarks
- 8:35 a.m.** **Interview with Andrew Weissmann**
Chief, Fraud Section, Criminal Division,
US Department of Justice
- 9:30 a.m.** **FCPA DISCLOSURES AND MULTI-JURISDICTIONAL ENFORCEMENT RISKS** - The Intended and Unintended Consequences of FCPA Self-Reporting: Balancing Multi-Jurisdictional Enforcement Risks with the Benefit of Full U.S. Cooperation Credit
- 10:30 a.m.** Networking Break
 **Financial Services SIG Meeting**
- 11:00 a.m.** **FCPA COMPLIANCE PROGRAM LAB** 
This new LAB format is designed to allow active participation from both the speakers and participants. By the end of the session, you will have exchanged compliance best practices with LAB attendees and expert speakers.

12:00 p.m. - 1:00 p.m. Breakout Sessions D



TRACK 1

INVESTIGATIONS THINK TANK

"Don't Boil the Ocean" One Year Later: First-Hand Experiences on How to Properly Scope Your Investigations and Reduce the Risk of Spiraling Costs

TRACK 2

DATA ANALYTICS AND ARTIFICIAL INTELLIGENCE ROUNDTABLE & DEMO

How to Use a Dashboard and Leverage "Big Data" to Conduct Effective Risk Assessments, Improve Transparency and Optimize Global Business Operations

TRACK 3

INDIA IN REAL LIFE

Addressing Joint Venture, Government Contracting and Partnership Pitfalls on the Ground: How to Tailor Your AC Compliance Practices to the Unique Local Context

- 1:00 p.m.** Networking Luncheon for Attendees and Speakers
- 2:00 p.m.** FBI Keynote Address

2:30 p.m. - 3:30 p.m. Breakout Sessions E



TRACK 1



ETHICS ROUNDTABLE & PROBLEM-SOLVING

How to Resolve New, Evolving Ethical Dilemmas Impacting Anti-Corruption Compliance and Investigations

TRACK 2

ATTORNEY-CLIENT PRIVILEGE

Preserving Attorney-Client Privilege During Corruption Audits and Investigations

TRACK 3

INDUSTRY BENCHMARKING

Sports, Event Sponsorship, Gifts & Entertainment: How to Navigate New, "Grey" Areas of Global Compliance

- 3:30 p.m.** Networking Break
 **Technology & Telecom SIG Meeting**
- 4:00 p.m.** **SEC AND DOJ TOWN HALL**
- 5:00 p.m.** Main Conference Concludes

Friday, December 2, 2016 – Global Regulatory & Enforcement Update Seminar

- 8:00 a.m.** Registration Opens
- 8:30 a.m.** Co-Chairs' Opening Remarks & OECD Keynote
- 9:00 a.m.** **BRAZIL:** The Anti-Corruption Compliance & Enforcement Landscapes
- 10:00 a.m.** Networking Break
- 10:15 a.m.** **CHINA:** Anti-Bribery, Whistleblower Complaints and Reporting Obligations: Status and Impact of Legislative and Regulatory Changes, and the New Judicial Interpretation
- 11:15 a.m.** **SOUTHEAST ASIA**
- 12:15 p.m.** Networking Luncheon
- 1:15 p.m.** **SOUTH KOREA:** New Requirements for Gifts and Entertainment
- 1:45 p.m.** **EASTERN EUROPE:** Updates on Heightened Corruption Enforcement Activity and the Evolving Regulatory Landscape
- 2:45 p.m.** **ARGENTINA, COLOMBIA & MEXICO:** New and Ongoing Legal, Regulatory and Political Changes Affecting Your Local Anti-Corruption Compliance Status
- 3:45 p.m.** Networking Break
- 4:00 p.m.** **NIGERIA**
- 4:30 p.m.** **UAE & SAUDI ARABIA:** New Anti-Corruption Initiatives and the Increased Regional Focus on Compliance
- 5:15 p.m.** Seminar Concludes

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
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American Conference Institute's Global Anti-Corruption Advisory Board (GAAB) was created as a part of ACI's ongoing effort to provide industry leading content and a world renowned speaker faculty. The GAAB is composed of eighteen pre-qualified industry leaders who advise ACI on the impact of enforcement trends, the FCPA community and relevant speakers, emerging topics, as well as geographic markets for future programs.


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Working Group A

9:00 a.m.-12:30 p.m. (Registration opens at 8:30 a.m.)

Upgrading Your Third Party Due Diligence Process Post-Unaoil: How Much Due Diligence is Now Enough to Truly Vet Third Party Intermediaries



Karen Delfyett
Manager,
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Michael Savicki
Vice President, Compliance
American Express Global
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Tony Charles
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A large proportion of corruption enforcement actions are triggered by companies' use of intermediaries and other third parties. With SEC and USDOJ having even more resources to prosecute corporations and individuals across the globe, it is critical to determine how far you need to go at the vetting and on-boarding stages.

In the wake of the Panama Papers, Unaoil and other high profile enforcement actions, ensure that you are up-to-speed on the latest due diligence best practices and pitfalls that can heighten exposure.

Topics include:

- What should a risk-based program look like in practice
- Developing a risk model that stratifies your risk based on third parties-and how to perform due diligence accordingly
- Panama Papers aftermath: Preparing for the next wave of potential offshore leaks
- ISO 37001: What is required to measure up against this new standard
- When and how much due diligence to perform for an ongoing, existing third party relationship
- Understanding the local business environment, customs and practices
- How to incorporate effective front-end vetting and screening protocols based on the type of relationship and interests represented by the third party
- How to evaluate background red flags, including:
 - » how much government ownership is enough to interest the USDOJ
 - » ownership, qualifications, social and political connections and financial links
 - » bad press triggered by bias/political motivations
 - » government affiliations
 - » familial relationships/friendships
 - » lack of experience
 - » requests for payments outside of the territory
 - » working with local counsel
 - » in-person meetings to verify representations/training
- What to do with information uncovered during the vetting process: Making the decision regarding which parties to use/not use

Working Group B

1:30 p.m.-5:00 p.m. (Registration opens at 1:00 p.m.)

How to Strengthen Your Pre-Transaction Due Diligence in the Bottom 20 CPI Countries: Special Considerations for M & As, Joint Ventures, Government Contracts and Partnerships



Patricia Byrne
VP & Associate General
Counsel, International
Compliance
BAE Systems Inc.



Katherine Choo
Chief Investigative &
Anti-Corruption Counsel
General Electric
Company

New for this year, this intensive, practical working group will discuss how to resolve new and evolving pre-transaction due diligence challenges in the bottom 20 CPI countries. Take this worthwhile opportunity to benchmark with experts toward ensuring that you are up-to-date on the latest techniques for addressing known and unknown risk factors in the highest risk jurisdictions. The discussion will focus on both the acquirer and target perspectives.

Topics include:

- Determining yours or your client's risk tolerance: Deciding how far to go in conducting pre-transaction due diligence
- Detecting issues with the target's sales practices, business model and third parties
- Assessing the target's compliance program
- Measuring the consequences of potential undiscovered violations before the deal: Knowing the negative impact of "buying an FCPA enforcement action"
- Red flags to consider in a target's accounting practices
- Ensuring enough access pre-merger
- What kind of due diligence can be done to get the "true value" of a target
- What to do when pre-merger due diligence reveals problems
- When FCPA red flags can derail or delay a deal



Welcome Cocktail Reception & Pre-Registration for Main Conference

6:00 p.m.-7:00 p.m.

Chief Compliance Officer Executive Roundtable*

8:30 a.m. - 6:00 p.m. (Registration opens at 8:00 a.m.)



Melanie S. Cibik
Senior Vice President, General Counsel, Chief Compliance Officer and Secretary
Teledyne Technologies Incorporated



Norman M. Brothers, Jr.
Senior Vice President, General Counsel and Corporate Secretary
UPS



Jay Jorgensen
Global Chief Ethics & Compliance Officer
Walmart Stores, Inc.



Ashley Watson
Chief Compliance Officer
Merck



Carl Hahn
Vice President & Chief Compliance Officer
Northrop Grumman



Michael D. Hopkins
Chief Compliance Officer
LyondellBasell



Suzanne Rich Folsom
General Counsel, Chief Compliance Officer & Senior Vice President - Government Affairs
United States Steel Corporation



Joseph Azam
Senior Vice President, Legal & Group Chief Compliance Officer
News Corp



Matt Tanzer
Chief Compliance Officer
Johnson Controls

8:00 a.m.

Registration Opens

8:30 a.m.

Opening Remarks and Introductions

9:00 a.m.

Evolving the CCO Role in Response to New Business Realities: Emerging Economic, Geopolitical and Legal Trends Affecting the Compliance Function, CCO Independence, and Global Business

At this opening session, faculty members will discuss how they have been approaching the CCO role in the face of developments in the U.S. and globally, and in response to internal corporate and industry pressures.

9:30 a.m.

Demonstrating Your Value to C-Suite Management and the Board: How to Quantify the Value of the In-House Compliance Function and Shift the "Cost-Center" Mentality

- Best practices for becoming a strategic and valued part of a company
- Utilizing metrics to demonstrate your value
- Restructuring your compliance department for maximum value: Shifting the "cost center" paradigm

10:15 a.m.

Networking Break

10:30 a.m.

View from the General Counsel's Office: GCs Discuss Their Visions and Expectations for the CCO Role

- The changing perception of the CCO role
- What GCs are specifically looking for from the Chief Compliance Officer
- How to better leverage the in-house compliance function toward heightening competitiveness and minimizing risk

11:15 p.m.

Paper vs. Practice - How to Know if Policies and Procedures are Truly Being Implemented across Your Corporate and Field Operations, and Supporting Your Overall Strategy

Faculty and audience members will discuss how they have successfully implemented and monitored core components of an effective global compliance program: Topics will include:

- Employee training and accountability
- Screening
- Reporting structure
- Due Diligence and Monitoring
- Embedding regulatory requirements into your processes and procedures

12:30 p.m.

Networking Luncheon

1:30 p.m.

Cyber Security and Data Privacy: Interfacing with Corporate and IT to Address Newfound Security Risks

Today's CCOs are often expected to play an active role in implementing a comprehensive cyber security strategy. Hear real-life examples of how companies have successfully dovetailed the compliance, legal, IT and business functions to minimize cyber risks.

2:30 p.m.

Cross-Departmental Synergy and Effectiveness: How C-Level, General Counsel, Audit and HR Executives are Aligning Their Functions to Strengthen Ethics and Compliance at All Levels

Faculty members will impart anecdotal insights on how they interface with their colleagues in an increasingly challenging compliance environment.

3:15 p.m.

Networking Break

3:30 p.m.

The CCO as a "Crystal Ball"- How to Pinpoint the Newest, Emerging Risk Factors for Your Organization: The Latest Approaches to Effective Global Risk Assessments

- How risk is being addressed by CCOs
- Assessing and weighting risk factors
- Updating risk management practices as the global economic, legal and compliance landscapes evolve

4:30 p.m.

How Confident to Be In Your Compliance Decision-Making, Supply Chain Management, and Internal Controls: How to Work Through High Stakes, Sensitive and Complex Issues

- When your "gut" leads you to doubt what's on paper
- Making unpopular decisions: How confident do you need to be before moving forward?
- Justifying your decision to upper management

5:15 p.m.

The Good, the Bad and the Ugly in Emerging Markets: A Candid Discussion on The Real-Life Obstacles to Compliance on the Ground

- Cultural backdrop affecting your business operations
- Challenges to identifying local advisors and third parties to support your business efforts
- Conducting due diligence on third parties that do not have robust or well-documented policies, procedures or internal controls
- When to cease doing business on account of risk

6:00 p.m.

Roundtable Concludes

6:00 p.m. - 7:00 p.m.

Welcome Cocktail Reception & Pre-Registration for Main Conference

*Attendance is exclusively for qualified applicants who hold the Chief Compliance Officer (CCO) title at their respective organizations (or who hold similar senior-level titles with the same level and scope of job responsibilities).

In order to ensure a smaller-group setting, attendance at the CCO Executive Roundtable will be limited. Toward ensuring a diverse benchmarking and networking experience, only one participant per company/financial institution may attend the program.

7:30 a.m.

Continental Breakfast and Registration Begins

8:30 a.m.

Conference Co-Chairs' Opening Remarks



Michael Bailey
General Counsel,
Senior Vice President
Bechtel Group, Inc.



Cheryl J. Scarboro
Partner
Simpson Thacher
& Bartlett LLP

8:45 a.m.

THE FCPA YEAR IN REVIEW: Penalty, Disclosure, Cooperation and Remediation Takeaways



Kara Brockmeyer
Chief, FCPA Unit,
Division of Enforcement
U.S. Securities and
Exchange Commission



Daniel Kahn
Chief, FCPA Unit,
Fraud Section, Criminal Division
U.S. Department of Justice

Panel Moderator:



Bret A. Campbell
Partner
Cadwalader, Wickersham & Taft LLP

Part I Looking Back: The Most Important Takeaways from Key Enforcement Actions and Settlements

USDOJ and SEC decision-makers will offer their insights on the most impactful developments over the last twelve months, and their effects on industry going forward. Topics will include:

- Enforcement trends and priorities, and cooperation between the DOJ and SEC
- US DOJ coordination with other governments and the increase in multi-jurisdictional investigations
- Enforcement of the books and records provision by the SEC

Part II Looking Ahead: Updates on New SEC and DOJ Priorities

During the latter portion of the session, the distinguished speakers will discuss agency priorities, and provide further insights on the FCPA compliance and enforcement landscapes moving forward.

10:00 a.m.

Networking Break



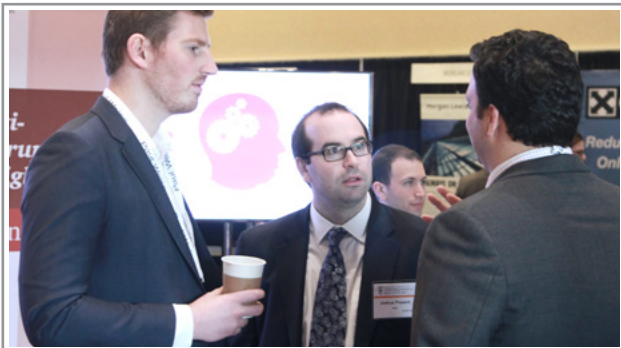
Extractive Industry SIG Meeting

10:30 a.m.

KEYNOTE ADDRESS



Sally Q. Yates
Deputy Attorney General
U.S. Department of Justice



Conference Agenda as of August 31 and subject to change.

11:00 a.m.



FCPA MOOT COURT: An Interactive Debate on Determining Beneficial Ownership and the Scope of Due Diligence Post-Panama Papers and Unaoil



Lucinda A. Low
Partner
Steptoe & Johnson LLP



Charles E. Duross
Partner
Morrison & Foerster LLP



Kimberly A. Parker
Partner
WilmerHale

Introduction by Martin J. Weinstein of Willkie Farr & Gallagher:



Martin J. Weinstein
Partner
Willkie Farr & Gallagher LLP

Back by popular demand, this year's Moot Court will address two of the biggest sources of contention with respect to the FCPA. Hear from defense counsel and former prosecutors, who will utilize real-life hypotheticals depicting an appellate argument. The debate will focus on these important issues:

- When enough due diligence has been performed to satisfy the agencies and mitigate liability risks
- How to approach and overcome the challenge of identifying actual ownership of your business partners
- Conducting due diligence on your counterparties: How to mitigate potential legal pitfalls
- The anticipated impact of the new beneficial ownership rule, who it applies to and what it means for shell companies in the United States

12:00 p.m.

COMPLIANCE METRICS: How Companies Are Leveraging Reasonable Metrics to Strengthen Their Anti-Corruption Compliance Programs and Defend them to Regulators



Rady A. Johnson II
Executive Vice President, Chief
Compliance & Risk Officer
Pfizer



Karen Choy Sgoutas
Director, Forensic Services
PwC



Janette Filbert
Vice President, Shared Services
Compliance
Anti-Corruption Compliance
Officer
Prudential Financial

- How government compliance expectations and big ticket FCPA enforcement actions have affected the use of metrics
- Metrics that have proven useful in the context of measuring compliance upgrades and culture changes
- The effectiveness and shortfalls of certain metrics, such as communications, leadership, HR, innovation, job performance, accountability, mission, values, turnover, compliance spending and representation of compliance staff in the C-Suite
- How companies are using technologies, social media and big data for measuring compliance and culture
- Measuring the ROI of your global anti-corruption compliance program and ethical culture

1:00 p.m.

Networking Luncheon for Attendees and Speakers

2:00 p.m.

SEC KEYNOTE ADDRESS



Andrew Ceresney
Director, Division of Enforcement
U.S. Securities and Exchange Commission

2:30 p.m.

BREAKOUT SESSIONS A



TRACK 1

BOOKS, RECORDS, AND INTERNAL CONTROLS THINK TANK

Verification and Transaction Testing for the Riskiest of Expenses: Proven Best Practices for Detecting Weaknesses, and Strengthening Approvals Processes, Upfront and Back-End Controls



Tracy L. Price
Assistant Director, FCPA Unit
Division of Enforcement
U.S. Securities and Exchange Commission



Farzaneh Paslar
General Counsel, International Transactions & Compliance
Honeywell

- Leveraging the internal audit function to better detect compliance weak spots and strengthen internal controls.
- How to identify red flags and systematic patterns of bribery in your books and records
- Investigating and tracking complex, multi-jurisdictional corruption schemes, and whether or not your internal controls adequately address risk, types
- What is deemed a “reasonable assurance” to satisfy “prudent officials”

3:30 p.m.

Afternoon Refreshment Break



Life Sciences SIG Meeting

4:00 p.m.

SFO KEYNOTE ADDRESS



David Green
Director
Serious Fraud Office (UK)

TRACK 2

EXECUTIVE ROUNDTABLE:

The Yates Memo One Year Later: How Companies, Their Executives and Outside Counsel are Now Approaching Their Roles, Investigations, Ethics and Accountability



Rebecca Rohr
Vice-President and Associate General Counsel, Anti-Corruption and Global Trade in the Office of the General Counsel
Hewlett Packard Enterprise



Angela T. Burgess
Partner
Davis Polk & Wardwell LLP



Eric W. Sitarchuk
Partner
Morgan, Lewis & Bockius LLP

Panel Moderator:



Laurence Urgenson
Partner
Mayer Brown LLP

This practical session will provide strategic, high level insights on the impact of the Yates Memo in real-life, and its continuing effect on compliance and investigative practices. Topics will include:

- Resource allocation for ethics and compliance
- Evolving roles of legal and compliance departments, and the advisory role of outside counsel
- Updated employee training and monitoring approaches
- Strengthening corporate culture, including ethics and employee accountability
- When companies are now calling outside counsel for themselves and/or a specific employee, and how they work together

TRACK 3

BRAZIL

Anti-Corruption Compliance and Investigations amid New Political and Business Realities



Fabiana Leschziner
General Counsel & Chief Compliance Officer
Embraer (Brazil)



Flavia Ribas
Global Compliance Director
BRF (Brazil)



Rogério Teixeira dos Santos
Global Compliance Manager
Vale S.A. (Brazil)



John P. Rowley, III
Partner
Baker & McKenzie LLP

- Update on the most recent developments in “Operation Car Wash” and other enforcement matters, and what they mean for industry in practice
- How “Operation Car Wash” is driving the market to adopt enhanced compliance requirements
- How your company can react to and work with the new on-the-ground realities of implementing an effective anti-corruption compliance program
- What to do if your company is directly or indirectly implicated by “Operation Car Wash” (or entangled in another government bribery investigation)

“ The FCPA conference is THE event to attend. It attracts a range of industry and government professionals and allows me to exchange ideas. ”

Samuel Nam, Senior Foreign Attorney
Kim & Chang (South Korea)





TRACK 1

GLOBAL REGULATORY RISK THINK TANK

Interacting with Foreign Government Decision-Makers: How to Manage High Stakes, Critical Areas of Your Business That Depend on Local Government Interaction and Approvals



Chad Fentress
Vice President, Ethics, Compliance and Regulatory
PayPal



John Buretta
Partner
Cravath, Swaine & Moore LLP



Tiffany R. Moseley
Partner
Loeb & Loeb LLP

- Managing bribery risks posed by permit, licensing, customs clearance, financial, tax and other interactions with regulatory officials
- The pros and cons of using local brokers, agents, suppliers, partners and others to minimize your direct interaction with local government
- Factoring the risk of government delays and “red tape” into your business planning
- What to do when your organization is approached by regulatory and enforcement officials: Mapping out your strategy on the ground
- Preparing your employees for an inquiry or investigation by local government - and when to retain outside counsel

TRACK 2

WHISTLEBLOWER RESPONSE STRATEGY The Finer Points of Investigating and Responding to Whistleblower Complaints



Bruce Karpati
Managing Director,
Global Chief Compliance Officer
KKR



Jay G. Martin
Vice President, Chief Compliance Officer
& Senior Deputy General Counsel
Baker Hughes



Vincent H. Cohen, Jr.
Partner
Dechert LLP



F. Joseph Warin
Partner
Gibson Dunn & Crutcher LLP

Panel Moderator:



William E. Lawler, III
Partner
Vinson & Elkins LLP

Part I Mock Whistleblower Interview

The first part of this session will take you through the anatomy of a whistleblower interview, successful techniques, as well as common mistakes and pitfalls that can undermine an effective strategy.

Part II Updates, Real-Life Examples and Strategic Guidance

- How to handle whistleblowers that come internally or from the government
- Setting up multiple channels for employees to report wrongdoing
- How to question your employees without exposing the fact that an issue could exist
- Knowing which steps to take immediately once a claim has been made
- How to investigate and respond to whistleblower claims vs. “anonymous” report

TRACK 3

CHINA BEYOND THE HEADLINES

What It is Really like to be Under Investigation in China: Special Considerations for Companies and Individuals Suspected of Bribery



Jun Li
Senior Director, Associate General Counsel
VMware (China)



Eric Carlson
Partner
Covington & Burling LLP (China)



Alex Young K. Oh
Partner
Paul, Weiss, Rifkind,
Wharton & Garrison LLP



Sulaksh Shah
Partner, Forensic Services
PwC

- What does it mean to “cooperate” in the Chinese Government context
- What is the best strategy for negotiation with China Authority
- How the Police, SAIC, Commerce Department and other key local agencies communicate with companies, and approach approvals and investigations
- When a local agency can gain access to your employees’ laptops and other company property/proprietary information
- What to do when your organization is approached by regulatory and enforcement officials, and the negotiation process with local AICs vs. federal



Conference Agenda as of August 31 and subject to change.

5:30 p.m.

CONCURRENT BREAKOUT SESSIONS C



TRACK 1 HYPOTHETICAL SCENARIOS

How to Overcome Real-Life Obstacles to Monitoring and Auditing Third Party Anti-Corruption Compliance



Kimberly Sullivan Walker
Chief Compliance Officer
Transocean



Rodrigo Grion
Global Head of Compliance Monitoring
GE Healthcare



Peter Burrell
Partner
Willkie Farr & Gallagher LLP (UK)

Expert speakers will take you through the realities of different types of third party audits and the associated risk factors. The types of audits and issues to be discussed will include:

- ▶ Government ownership
- ▶ Books and records
- ▶ Compliance structure of the third party
- ▶ Third party contracts with downstream third parties
- ▶ Access to records
- How to perform audits on longstanding vs. new business partners
- When audits should be done on a routine basis vs. only if there is a potential issue
- How to evaluate third party compliance with FCPA, and contractual terms and conditions:
 - » Examining compliance programs and codes of conduct
 - » Books, records and accounts of the third party
 - » third party subsidiaries, joint ventures or affiliates
 - » Testing for gifts, travel and entertainment
 - » Reviewing expense reports for employees in high-risk positions or countries
 - » Examining commission payments and petty cash activity
 - » Analyzing the general ledger for high-risk transactions and cash advances

6:30 p.m. - 7:30 p.m.

Conference Adjourns to Day 2 & Cocktail Reception

TRACK 2

EMPLOYEES ON YOUR WATCH Dealing with Employees under Suspicion: How to Fully Cooperate with the U.S. Government While Safeguarding Your Business, and Complying with Foreign Labor and Privacy Laws



Adrian Mebane
Vice President, Deputy General Counsel
The Hershey Company



Timothy L. Dickinson
Partner
Paul Hastings LLP



Steven E. Fagell
Partner
Covington & Burling LLP

- Under what circumstances might you be required to continue the individual's employment notwithstanding their conduct, and how to manage the associated risks
- Addressing the limitations of foreign privacy laws on your ability to fully disclose to the U.S. Government
- Working with U.S. agencies to address conflict of laws
- Complying with foreign labor laws when deciding to terminate the individual's employment
- How to position your investigation management approach when trying to cooperate with both U.S. and foreign anti-corruption agencies

TRACK 3

SANCTIONS & DEBARMENT ROUNDTABLE Inside World Bank Investigations and Key Differences with the SEC and DOJ: The Unwritten Rules for Managing New Challenges



David G. Hawkes
Head, Special Litigation Unit, INT
World Bank Group



Kevin T. Abikoff
Partner
Hughes Hubbard & Reed LLP



William Jacobson
Partner
Orrick, Herrington & Sutcliffe LLP



Glenn Ware
Principal and Anti-Corruption & Program Integrity Leader
PwC

- The realities and challenges of being under investigation by the World Bank: What it looks like on the ground
- How a World Bank investigation differs from SEC and DOJ approaches
- The biggest misconceptions about the debarment and appeals processes
- The conditional release process of The World Bank, and other mitigating factors that are considered
- World Bank integrity compliance guidelines vs. the U.S. Sentencing Guidelines

“

If you only had one event you could attend this year – this would be it! It's a terrific opportunity to network and hear firsthand from the regulators in the industry.

Bob Shanks, *Vice President Legal*, Raytheon

”

“

I would highly recommend this conference to my colleagues. It is the flagship conference for ACI.

Tina Hua, *Associate General Counsel, Ethics and Investigations*
HP Inc.

”

“

This conference gives me a global perspective which enables me to discuss issues of common importance.

Elizabeth Barrett, *Partner*, Slaughter & May LLP(UK)

”

7:30 a.m.

Continental Breakfast

8:30 a.m.

Conference Co-Chairs' Opening Remarks

8:35 a.m.

Interview with Andrew Weissmann: US DOJ FCPA Compliance, Pilot Program Implementation and International Cooperation Post-Yates Memo

Interview led by:



Andrew Weissmann
Chief, Fraud Section,
Criminal Division
U.S. Department of Justice



Robert D. Luskin
Partner
Paul Hastings LLP

9:30 a.m.

FCPA DISCLOSURES AND MULTI-JURISDICTIONAL ENFORCEMENT RISKS

The Intended and Unintended Consequences of FCPA Self-Reporting: Balancing Multi-Jurisdictional Enforcement Risks with the Benefit of Full U.S. Cooperation Credit



Brian Baldrate
Vice President & General
Counsel, International
Washington Operations
Raytheon



Mark F. Mendelsohn
Partner
Paul Weiss, Rifkind,
Wharton & Garrison LLP



Audrey Harris
Chief Compliance Officer
BHP Billiton (Australia)



Guy David Singer
Partner
Orrick, Herrington
& Sutcliffe LLP

- Revisiting your approach to disclosures in the wake of recent global enforcement developments: The most important factors that should drive disclosure
- How to assess the impact of U.S. disclosures on foreign corruption investigations
- When a U.S. disclosure can now be used against you by a foreign government
- Is it possible to gain full U.S. cooperation credit while truly mitigating global enforcement risks?

10:30 a.m.

Networking Break



Financial Services SIG Meeting

“

Attending the FCPA conference helps me get in front of the right people in the industry.”

Jose Aiello, Program Director
Medtronic Inc.

11:00 a.m.



FCPA COMPLIANCE PROGRAM LAB



Melissa Stapleton Barnes
Chief Ethics and Compliance Officer
Eli Lilly



Jeff Taylor
Deputy General Counsel and Chief Compliance Officer
General Motors



Marissa MacDonald
Vice President, Compliance, Global Anti-Corruption
Fidelity Investments



Jeremy B. Zucker
Partner
Dechert LLP

Panel Moderator:



Joan E. Meyer
Partner
Baker & McKenzie LLP

This new LAB format is designed to allow active participation from both the speakers and participants. By the end of the session, you will have exchanged compliance best practices with LAB attendees and expert speakers.

This LAB will focus on the four key factors used by DOJ when assessing the effectiveness of a compliance program, including:

1. Does the compliance program demonstrate thoughtful design to address current risks?
2. How operational is the program (not a paper program)?
3. How well are stakeholders working with each other (Auditor, HR, Legal, CFO, CEO, senior management)?
4. How well is the program resourced?



Conference Agenda as of August 31 and subject to change.

12:00 p.m.

BREAKOUT SESSIONS D



TRACK 1

INVESTIGATIONS THINK TANK

“Don’t Boil the Ocean” One Year Later: First-Hand Experiences on How to Properly Scope Your Investigations and Reduce the Risk of Spiraling Costs



Laura Perkins
Assistant Chief, FCPA Unit
Fraud Section, Criminal Division
US Department of Justice



Anjali Chaturvedi
Assistant General Counsel, Investigations
Northrop Grumman



Stephen C. King
Group Head, Senior Vice President
MasterCard



William Michael
Partner
Mayer Brown LLP

Panel Moderator:



Ronald C. Machen
Partner
WilmerHale

- What recent US DOJ investigations, enforcement actions and settlements reveal about right-sizing internal investigations
- How to determine the scope of your document review, and interview process and analysis in practice
- Reducing the risk of spiraling costs
- When and when not to investigate new or peripheral red flags
- How to know when to conclude the investigation

1:00 p.m.

Networking Luncheon for Attendees and Speakers

2:00 p.m.

FBI Keynote Address



Paul Abbate
Assistant Director in Charge, Washington Field Office
Federal Bureau of Investigation

TRACK 2

DATA ANALYTICS AND ARTIFICIAL INTELLIGENCE ROUNDTABLE & DEMO

How to Use a Dashboard and Leverage “Big Data” to Conduct Effective Risk Assessments, Improve Transparency and Optimize Global Business Operations



Jonathan J. Rusch
SVP - Head of Anti-Bribery & Corruption
Governance Financial Crimes Risk
Management
Wells Fargo



Avi Gesser
Partner
Davis Polk & Wardwell LLP



Steven A. Tyrrell
Partner
Weil, Gotshal & Manges LLP

- The latest automated controls that companies are using to identify suspicious payments
- Using a “dashboard” in practice
- When a manual review and approval process is still preferable
- Mining and interpreting data during compliance monitoring, audits and investigations
- Incorporating predictive coding into your data management

Track 3

INDIA IN REAL LIFE

Addressing Joint Venture, Government Contracting and Partnership Pitfalls on the Ground: How to Tailor Your AC Compliance Practices to the Unique Local Context



Bapsy Dastur
General Counsel, Chief Legal Officer
Stolt-Nielsen Limited (UK)



William Vivian John
Partner
Luthra & Luthra (India)



Dhaval Sheth
Director, Forensic Services
PwC

- Assessing how each type of JV, government contract and partnership can present FCPA compliance risks
- Practical ways to monitor your JV partners for FCPA risks
- How to manage joint venture, partnership and contracting risks amid differing local laws and cultures
- What kinds of red flags local enforcement authorities look for in joint ventures
- What is the government view of majority v. minority stakes when an FCPA issue arises
 - » Defining “controlling interest”
 - » Percentage ownership, board voting rights, management control

“

The mix of insight from government, private practice and in-house counsel is outstanding!

Ira Raphaelson, *EVP & Global General Counsel*
Las Vegas

”

“

If you are new to the conference, I would encourage you to interact as much as possible with your fellow attendees. You’ll gain insights to take back to the office and maintain those relationships going forward.

Adrian Mebane, *Vice President, Deputy General Counsel*, **The Hershey Company**

”



2:30 p.m.

CONCURRENT BREAKOUT SESSIONS E



TRACK 1



ETHICS ROUNDTABLE & PROBLEM-SOLVING

How to Resolve New, Evolving Ethical Dilemmas Impacting Anti-Corruption Compliance and Investigations



Jonathan Drimmer
Vice President, Deputy General Counsel
Barrick Gold



Susan Ringler
Vice President,
Chief Ethics & Compliance Officer
Alcoa



Kara M. Bombach
Shareholder
Greenberg Traurig, LLP



Amy Jeffress
Partner
Arnold & Porter LLP

As corruption and whistleblower litigation continues to intensify, this session will discuss real-life ethical dilemmas that can arise when:

- Implementing risk mitigation strategies
- Disclosing to government and cooperating in an investigation
- Managing a global anti-corruption compliance program
- Helping to support sales and business development
- Trying to maximize cost-efficiency and profitability

Track 2

ATTORNEY-CLIENT PRIVILEGE

Preserving Attorney-Client Privilege during Corruption Audits and Investigations



Terence Gilroy
Director, Americas Head,
Financial Crime Legal
Barclays



Sam Pailca
Assistant General Counsel
Office of Legal Compliance, Investigations
Microsoft



Alison Tanchyk
Partner
Morgan, Lewis & Bockius LLP

- Maintaining privilege for investigations:
 - » What needs to be included in an investigation report
 - » Work product privilege
- Special considerations for audits:
 - » How much to document in audits
 - » Distributing the audit report/findings
- When to waive privilege, and steps to take toward avoiding inadvertent waivers
- Documents waived in anticipation of settlements
- Practical implications for privilege protection under U.S. rules when a matter involves foreign jurisdictions without privilege protection (or a more limited form of privilege)

Track 3

INDUSTRY BENCHMARKING

Sports, Event Sponsorship, Gifts & Entertainment: How to Navigate New, "Grey" Areas of Global Compliance



Jo Levy
Vice President, Chief Compliance Officer
Intel Corporation



Bettye J. Hill
Vice President,
Chief Ethics and Compliance Officer
Oshkosh Corporation



Nathan H. Seltzer
Partner
Latham & Watkins LLP

- Practical lessons from recent global sports scandals, including FIFA World Cups, 2016 Summer Olympics in Rio de Janeiro and 2022 Winter Olympics in Beijing
- How to respond to sponsorship and donation solicitations from customers and suppliers
- Reducing the risks of using third party vendors to handle your marketing and sponsorship activities
- Navigating the blurry line between hospitality vs. a bribe
- Best practices for organizing conferences, travel arrangements, sponsorships, advisory boards, and entertainment

3:30 p.m.

Networking Break



Technology & Telecom SIG Meeting

4:00 p.m.

SEC AND DOJ TOWN HALL



Daniel Kahn
Chief, FCPA Unit, Fraud Section, Criminal Division
U.S. Department of Justice



Charles E. Cain
Deputy Chief, FCPA Unit
Division of Enforcement
U.S. Securities and Exchange Commission



Darryl Wegner
Unit Chief
International Corruption Unit
Federal Bureau of Investigation

Panel Moderator



Marcus Asner
Partner
Arnold & Porter LLP

As a first for the program, participants will have a worthwhile opportunity to ask the agencies additional questions, and gain further insights on FCPA compliance and enforcement. We invite you to join us for what will be a productive exchange with key U.S. Government decision-makers.

5:00 p.m.

Main Conference Concludes

Conference Agenda as of August 31 and subject to change.

Global Regulatory & Enforcement Update Seminar

The most critical anti-corruption regulatory and enforcement updates from around the world.

8:00 a.m.

Registration Opens

8:30 a.m.

Co-Chairs' Opening Remarks & OECD Keynote



Nicola Bonucci

Director & Accession Coordinator
Directorate for Legal Affairs

OECD - Organisation for Economic Co-Operation
and Development (Paris)



Kathryn Cameron Atkinson

Member

Miller & Chevalier Chartered

9:00 a.m.

BRAZIL: The Anti-Corruption Compliance & Enforcement Landscapes



Adriana Dantas

Partner

BMA- Barbosa, Musnich,
Aragão (Brazil)



Luciano Malara

Partner

Carvalho, Machado e
Timm Advogados (Brazil)

- Brazil Clean Company Act guidelines
- Requirements for anti-corruption compliance programs under the new regulations
- Key requirements that differ from the FCPA and other jurisdictions
- The anticipated impact of new requirements for companies operating in-country

10:00 a.m.

Networking Break

10:15 a.m.

CHINA: Anti-Bribery, Whistleblower Complaints and Reporting Obligations: Status and Impact of Legislative and Regulatory Changes, and the New Judicial Interpretation



Harry Liu

Partner

King & Wood Mallesons
(China)



Kate Yin

Partner

Fangda Partners (China)

- Key legislative and regulatory changes, and their impact on global anti-corruption compliance and reporting
- The meaning and aftermath of the new judicial interpretation
- Practical impact of China's new consumer protection law on the anti-corruption landscape for multinationals operating in China
- Recent cases and what they reveal about local government anti-corruption compliance expectations

11:15 a.m.

SOUTHEAST ASIA: Critical Updates and Risk Factors



Douglas Mancill

Partner

PriceSanond (Thailand)



Orsolya Szotyory-Grove

Mayer Brown JSM

(Vietnam)



Nathan Bush

Partner

O'Melveny & Myers LLP
(Singapore)

- The current corruption landscape in Vietnam and Indonesia
- Revival of the Corruption Eradication Commission (KPK)
- What the appointment of five new KPK commissioners means for local enforcement
- Expected changes to current anti-corruption laws and regulations
- Risk factors that your organization is likely to encounter on the ground

12:15 p.m.

Networking Luncheon

1:15 p.m.

SOUTH KOREA: New Requirements for Gifts and Entertainment



Paul Lee

Counsel

Sigong Law PC (South Korea)

- Key aspects of the *Prohibition of Improper Solicitation and Receipt of Money or Goods Act*
- How broadly is "public officials" defined under the new Act?
- New enforcement activity and risks over the last 12 months
- The scope of liability for bribe recipients, and for corporations due to bribes paid by employees

1:45 p.m.

EASTERN EUROPE: Updates on Heightened Corruption Enforcement Activity and the Evolving Regulatory Landscape



Agnieszka Wardak

Partner

Dentons (Poland)



Livia Zamfiropol

Partner

DLA Piper (Romania)

- The scope of corporate criminal liability risks
- Increasing fines and government focus on recovering proceeds of crime
- New and anticipated whistleblower protections
- General reporting obligations for corruption
- What is required for "cooperating" with authorities during an investigation

Global Regulatory & Enforcement Update Seminar

The most critical anti-corruption regulatory and enforcement updates from around the world.

2:45 p.m.

Networking Break

3:00 p.m.

ARGENTINA, COLOMBIA & MEXICO: New and Ongoing Legal, Regulatory and Political Changes Affecting Your Local Anti-Corruption Compliance Status



Pedro Serrano Espelta
Partner
Marval, O'Farrel & Mairal
(Argentina)



Carlos Fradique Méndez
Partner
Brigard & Urrutia
(Colombia)



Roberto Hernández
Managing Director
COMAD, S.C. (Mexico)

• Mexico:

- » The National Anticorruption System: how and why anti-corruption legislation has recently changed so dramatically
- » How Mexico's enforcement agencies are empowered by the constitutional reforms, and the new anti-corruption bodies (Federal Administrative Justice Court, FAJC)
- » Anticipated secondary legislation, and additional anti-corruption provisions

• Colombia:

- » Status update on the Colombian anti-corruption statute and whistleblower legislation
- » Which government agencies have jurisdiction over enforcement of the new laws
- » How the new legislation is being implemented and enforced in practice

• Argentina:

- » Overview of prohibitions in the Criminal Code, including the prohibition of active and passive bribery of public officials, and foreign public officials
- » Status and impact of proposed new anti-corruption regulations, including corporate liability for corruption, asset recovery, increased government transparency, and incentives for those who cooperate with authorities



4:00 p.m.

NIGERIA



Emmanuel Ayuk
Senior Director, Global Compliance & Counsel
Archer Daniels Midland Company



Babajide Ogundipe
Partner
Sofunde, Osakwe, Ogundipe & Belgore (Nigeria)

- Update on recent corruption investigations
- Legal, regulatory and political anti-corruption initiatives in Nigeria
- How much international co-operation and information sharing is happening between Nigeria, Europe and the United States on corruption matters

4:30 p.m.

UAE & SAUDI ARABIA: New Anti-Corruption Initiatives and the Increased Regional Focus on Compliance

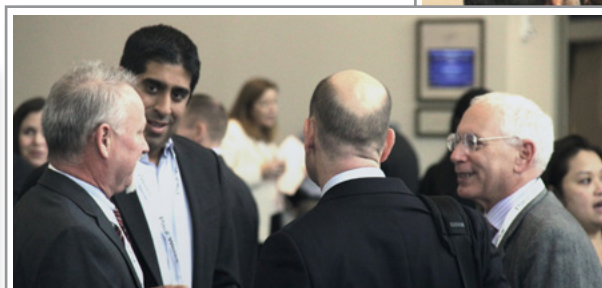


Sheila Shadmand
Partner
Jones Day (UAE)

- How the evolving regulatory, anti-corruption compliance and enforcement environments are impacting local culture and business in the region
- The prevalence of state-owned entities in these countries and the anti-corruption implications
- The impact of regional instability on anti-corruption efforts
- How the Islamic Development Bank is enforcing anti-corruption measures

5:15 p.m.

Co-Chairs' Closing Remarks and Seminar Concludes



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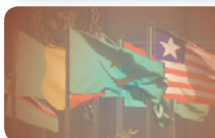
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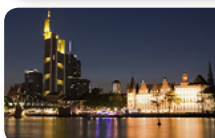
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