

# Table of Contents

Welcome	4
Part One: Introductory Information	
Handbook Use and Purpose	4
Equal Employment Opportunity	4
Reasonable Accommodation of Protected Disabilities	4
Complaint Procedures for Complaints of Denial of Reasonable	4-5
Accommodation	
Part Two: Employment and Hiring Policies	
Work Eligibility	5
Introductory Period	5
Employee Classifications	5
Changes in Employee Classifications	5
Position Descriptions	<del>5-6</del>
Job Postings	6
Hiring of Relatives	6
Employment of Board Members	6
Part Three: Employee Development	
Performance Evaluations	6
Professional Development	6-7
Part Four: Health, Wellness and Employee Assistance	
Medical Insurance	7
Dental Insurance	7
Definition for Eligibility	7
Payment In Lieu	7
Insurance Continuation	7
First 5 Flexible Benefit	8
Alameda County Employees Retirement Association (ACERA)	8
Employee Assistance Program (EAP)	8
Life Insurance	8
Flexible Spending Account	8
Tools and Technology	8
Workers' Compensation	9
State Disability Insurance (SDI)	9
Long Term Disability Insurance	9
Deferred Compensation	9
Executive Benefit Package	9
Part Five: Paid Time Off	
Vacations	9
Holidays	10
Paid Time Off (PTO)	10
Sick Time	10-11

Leave of Jury or in Answer to Subpoena	11
Bereavement Leave	11
Part Six: Unpaid Time Off and Leaves of Absence	
Requests for Leave	12
Integration of Benefits	12
Medical Certification	12
Concurrent Running of Leaves	12
No Leave to Accept Outside Employment	12
Unpaid Leave Matrix:	13-14
Medical / Family Illness / Child Care Leave	
<ul> <li>Maternity and Pregnancy Disability Leave</li> </ul>	
<ul> <li>Paternity and Adoptive Leave</li> </ul>	
Workers' Compensation Leave	
<ul> <li>Leave when lent to other governmental Agency or institution</li> </ul>	
Educational Leave	
Military Leave	
Catastrophic Sick Leave Bank	15-16
Part Seven: Work Hours and Pay	
Pay Periods	16
Meal and Rest Periods	16
Timekeeping Requirements	16
Overtime Pay	16
Bilingual Pay	16
Wage Garnishments	16
Payroll Deductions	16-17
Part Eight: Workplace Health and Safety	
Drug-Free Workplace	17
Smoking	17
Part Nine: Work Practices and Environment	
Punctuality and Attendance	17
Use of Facilities and Property	18
Guests and Visitors	18
Security	18
Children in the Workplace	18
Telecommuting	18-19
Use of Personal Automobile	19
Attire and Personal Hygiene	19
Expense Reimbursement	19
Parking	19
References	19-20
Part Ten: Information and Communication	
Technology, Voice Mail and Electronic Mail	20
Employee Information	20
Internal Communication	20
Part Eleven: Standards of Conduct	
Harassment	21
	21

Open-Door Policy	22
Disciplinary Procedures	22
Appeal of Serious Discipline	22
Conflict of Interest	22-23
Part Twelve: Ending Employment	
At-Will Status	23
Voluntary Termination of Employment	23
Payroll and the Return of First 5 Property	23
Exit Interviews	23
Acknowledgement of Receipt	24
Change Log	25

#### Welcome

Welcome to Every Child Counts – First 5 Alameda County. Through the efforts of our staff members, First 5 Alameda County has established itself as a leading organization in our community. To ensure our continued success, all staff employees need to understand our policies and procedures. If you have any questions, please do not hesitate to ask either your supervisor or a member of the Finance / Administration team.

Sincerely,

Mark Freedor

Mark Friedman, Chief Executive Officer

## PART ONE: INTRODUCTORY INFORMATION

#### Handbook Use and Purpose

This employee handbook is designed to help employees familiarize themselves with important information about <u>First 5 Alameda County (hereafter also referred to as the Agency)</u>, as well as information regarding their own privileges and responsibilities.

It is not possible to anticipate every situation that may arise in the workplace or to provide information that answers every possible question. Also, future circumstances may require changes in the policies, practices, and benefits described in this handbook. Accordingly, except for the At-Will Employment Policy, the Agency reserves the right to modify, rescind, supplement, or revise any provision in this handbook. While the Agency will make reasonable efforts to provide employees with advance notice of any modifications or revisions to the handbook and will distribute updated pages as revisions are made, advance notice of policy changes may not always be possible. Even in this event, changes to policies will apply to Agency employees.

This handbook is not intended to be a contract. The policies and procedures in this handbook are intended to replace all previous personnel policies, practices, and guidelines with the exception of the Agency's at-will employment policy.

Any questions regarding the contents of this handbook may be addressed to your supervisor or to the Finance and Administration Director.

#### Equal Employment Opportunity

It is the policy of First 5 Alameda County to afford equal opportunity in all aspects of employment to all persons without discrimination on the basis of race, religion, sex, national origin, ethnicity, age, physical or mental disabilities, color, marital status, sexual orientation or medical condition, or any other basis protected by law. This policy shall apply to all employees and applicants for employment, and extends to all phases of employment, including recruitment, hiring, training, promotion, discharge or layoff, rehiring, compensation, and benefits.

#### **Reasonable Accommodation of Protected Disabilities**

First 5 Alameda County will comply with the employment-related reasonable accommodation requirements of the California Fair Employment and Housing Act and the Americans with Disabilities Act. including the interactive process to identify possible reasonable accommodations of protected disabilities.

An employee or applicant who alleges a denial of a reasonable accommodation may file a complaint pursuant to the Agency's Complaint Procedure for Complaints of Denial of Reasonable Accommodation, below.

### **Complaint Procedure for Complaints of Denial of Reasonable Accommodation**

Complaints of denial of reasonable accommodation shall be directed to the Finance and Administration Director. Applicants and employees are encouraged to bring such complaints to the

Agency's attention promptly, so that it can address them promptly. A member of the Finance and Administration team shall investigate the complaint.

The Chief Executive Officer shall make a determination regarding whether a reasonable accommodation shall be provided. The Chief Executive Officer may require the applicant/employee and an Agency representative to meet to discuss potential reasonable accommodations and to try and agree to a specific reasonable accommodation. The Chief Executive Officer shall have the authority to determine which reasonable accommodation, if any, shall be provided.

#### PART TWO: EMPLOYMENT AND HIRING POLICIES

#### Work Eligibility

To comply with federal law, First 5 Alameda County employs only United States citizens and noncitizens who are lawfully authorized to work in the United States. All employment is conditioned upon receipt, by the Finance and Administration Director, of documentation establishing identity and authorization to work in the United States.

Employees who will be working alone with children will be required to be fingerprinted prior to employment. Employees who will work directly with families will be required to pass a TB test prior to employment, and periodically during the course of employment.

#### **Introductory Period**

Employees are considered introductory during the first one hundred eighty (180) days following their date of hire. During this period, performance will be carefully evaluated and a determination made regarding the employee's job performance. The fact that an employee has completed the introductory period does not guarantee continued employment. During the introductory period, employees are not eligible to take vacation time, although vacation time does accrue.

Notwithstanding the introductory period, because your employment is at-will, it may be terminated by you or the Agency, during or after your introductory period, for any reason or no reason, with or without notice.

#### **Employee Classifications**

Staff members are placed into classifications based on job description, and applicable wage/hour laws.

- Exempt Employee: An executive, administrative, or professional employee who is exempt from the overtime requirements of the Fair Labor Standards Act ("FLSA")
- Nonexempt Employee: An employee (generally paid by the hour) who is eligible for overtime pay under the FLSA
- Regular Full-Time Employee: An employee who is regularly scheduled to work thirty-five forty (40) (35) hours or more per workweek<sup>\*</sup>
- Regular Part-Time Employee: An employee who is regularly scheduled to work between fifteen (15) and thirty-<u>ninefour</u> (3<u>9</u>4) hours per workweek<sup>\*</sup>
- Temporary Employee: An employee who is hired on a full-or part-time basis for a specified period of time, usually not to exceed six (6) months
- On-Call Employee: An employee who is not regularly scheduled to work a specified number of hours in any given workweek, but who is scheduled to work on an as-needed basis

\*Until, but not after, December 31, 2008, full-time status is considered to be a minimum of thirty-five (35) scheduled hours per workweek. PLEASE NOTE: Effective January 1, 2009, the definition of full-time status will change to a minimum of forty (40) scheduled hours per workweek, as described above.

## Changes in Employee Classifications

All employees are classified as exempt or non-exempt, introductory or regular, and as regular, temporary, part-time, or full-time. These classifications determine eligibility for benefits, compensatory time, and overtime.

Changes in employee classification may occur when a job change <u>(for example, a promotion or a change in work hours, etc.)</u>—projected to be ongoing or last for more than four (4) months—takes place.

#### **Position Descriptions**

A job description generally contains the following elements: title, definition of title, summary of job duties, minimum qualifications, required knowledge and abilities and salary information. Employees may be required to perform duties not set forth in the job description. Job descriptions must be rewritten in the event of major new job responsibilities or other significant changes.

## **Job Postings**

First 5 Alameda County attempts to find the most qualified candidates to fill position vacancies. This is accomplished through a combination of internal and external recruiting. Consideration will be given to the advancement of current employees, and employees are encouraged to apply for promotions or transfers for which they feel they are qualified.

Open positions may be posted internally on bulletin boards and/or the First 5 Alameda County website. Internal postings may occur simultaneously with external postings. The decision to fill positions from within or to hire from outside is made solely by First 5 Alameda County.

Only the Finance and Administration Director, the Human Resources Manager, and the Chief Executive Officer have the authority to extend job offers. All offers of employment will be in writing.

#### Hiring of Relatives

First 5 Alameda County is aware that relatives of employees or Commissioners may occasionally seek employment with First 5 Alameda County.

In the interest of avoiding conflicts of interest, or appearances of conflicts, First 5 Alameda County sets forth the following guidelines with respect to nepotism:

- 1. Current employees may not interview relatives who seek employment with ECCthe Agency.
- 2. Current employees may not supervise relatives under any circumstances.

The definition of "relative" is:

- Spouse/domestic partner
- Spouse's or domestic partner's child (natural child, legally adopted child, or a child for whom the employee, spouse, or domestic partner has been awarded court-appointed legal guardianship)
- Parent(s) or spouse/domestic partner's parent(s)
- Brother(s) and sister(s) and spouse(s) thereof
- Any individual related by blood or affinity whose close association with the employee is the equivalent of a family relationship

It is expected that relatives shall conduct themselves professionally in their interactions with each other and with other employees working at First 5 Alameda County.

#### **Employment of Board Members**

To preserve the objectivity and integrity of the Agency's Commission, any Commission member who wishes to apply for employment with the Agency must first resign from the Commission.

#### PART THREE: EMPLOYEE DEVELOPMENT

#### **Performance Evaluations**

Each employee's performance will be reviewed on an annual basis, to coincide with the anniversary of the employee's start date with First 5 Alameda County. Performance review guidelines are provided for supervisors and employees to follow during the process.

Performance appraisals, signed by both employee and supervisor, will be placed in the employee's personnel file. Employees may keep a copy of their appraisal and have the opportunity to comment on it in writing.

## **Professional Development**

First 5 Alameda County encourages the professional growth and development of employees through a variety of means, including in-house training, membership affiliations with professional organizations, and attendance at educational conferences, meetings or seminars. To support this, all employees, together with their supervisor, develop professional development goals annually as part of the performance review process.

First 5 Alameda County will consider employee requests to pay for membership dues and license renewals in job-related professional organizations when those fees become due after the start of employment with the Agency. Employees make this request through their supervisor, and written supervisor approval is required prior to payment or reimbursement. Employees who wish to attend a jobrelated conference, meeting or seminar must also make this request through their supervisor, and obtain advance written approval, prior to payment or reimbursement for the cost of the training. Detailed information about procedures for submitting professional development requests can be found in the Agency's Program, Administrative and Financial Management Procedures Manual.

#### PART FOUR: HEALTH, WELLNESS, AND EMPLOYEE ASSISTANCE

#### **Medical Insurance**

Medical insurance is offered as part of the benefits package to regular, full-time employees and part-time employees who regularly work 20 hours or more per week. First 5 Alameda County pays the cost of premiums for the employee, spouse / domestic partner and/or eligible dependents, up to an amount to be determined annually. If an employee chooses a medical plan that costs more than the employer contribution, the balance of the costs are is borne by the employee, and is paid for on a pre-tax basis through payroll. First 5 Alameda County's share of costs of benefits for part time employees will be pro-rated to the percentage of time worked.

First 5 Alameda County reserves the right to change medical insurance plans and benefits under those plans, to change the Employer share of premium payments for the plans, or change the amount it pays employees who opt out of the medical plan, with or without notice, consistent with any legal obligations it may have. In general, the Employer share of medical premiums is determined prior to each Open Enrollment period.

#### **Dental Insurance**

Dental insurance is offered as part of the benefits package to regular full-time employees and employees who regularly work 20 hours per week or more. First 5 Alameda County pays the cost of premiums for the employee, spouse / domestic partner and eligible dependents up to an amount to be determined annually. If an employee chooses a dental plan that costs more than the employer contribution, the balance of the costs are is borne by the employee, and is paid for on a pre-tax basis through payroll. First 5 Alameda County's share of costs of benefits for part time employees will be prorated to the percentage of time worked.

First 5 Alameda County reserves the right to change dental insurance plans and benefits under those plans, to change the Employer share of premium payments for the plans, or \_change the amount it pays employees who opt out of the <u>medical\_dental</u> plan, with or without notice, consistent with any legal obligations it may have. In general, the Employer share of dental premiums is determined prior to each Open Enrollment period.

#### **Definition for Eligibility**

For the purposes of benefits coverage, "eligible dependents" is defined as spouse or domestic partner and eligible dependent children.

#### **Payment In Lieu**

Employees may opt not to enroll in health and/or dental insurance provided through First 5 Alameda County, provided they have proof of insurance through another source. Employees who wish to opt out must complete and sign a waiver form. First 5 Alameda County will pay employees who opt not to enroll in any form of Agency-provided medical or dental insurance \$100.00 each pay period. This payment is subject to state and federal taxes.

#### **Insurance Continuation**

Upon termination, employees covered under a health insurance plan have certain legal rights to remain on the insurance plan at their own expense for up to eighteen (18) months (more in some exceptional cases) through benefits under COBRA. More information regarding COBRA coverage, costs, and administrative procedures is available from the <u>finance-Human Resources</u> department at the time employment ends or when an employee has a question about other qualifying events.

#### First 5 Flexible Benefit

All regular, full time employees receive a First 5 Flexible Benefit of \$1,500 per year. The benefit may be applied to the following pre-tax options:

- the Employee's share of the medical/dental premium,
- the purchase of supplemental Life Insurance, or
- the funding of a Health Flexible Spending Account

Part-time, regular employees' Flexible Benefit is pro-rated based on the percentage of time worked.

## Alameda County Employees Retirement Association (ACERA)

All regular, full time employees are required to become members of ACERA. ACERA is a defined benefit retirement plan and information <u>about ACERA</u> is provided by Human Resources. Employees are required to make a pre-tax contribution to ACERA through payroll deduction. <u>Rates of contributionsContribution rates</u> are set annually by ACERA. Part-time employees are not eligible to become members of ACERA. Full time employees who convert to part-time may retain membership if they so indicate to ACERA.

All exempt employees will receive a 3% salary augmentation (called Ret Payback on the payroll stub) related to ACERA. This benefit was part of the original Alameda County benefit package.

#### **Employee Assistance Program (EAP)**

All regular employees are provided with access to an Employee Assistance Program, which offers a variety of benefits at low- or no-cost. Information is available from Human Resources.

#### Life Insurance

Regular employees are eligible for a basic \$25,000 life and accidental death and dismemberment insurance benefit paid for by the Agency. Employees may elect supplemental coverage as well as dependent life insurance coverage at their own expense, with premium payments being made on a pretax basis through payroll under the Agency's Cafeteria (Section 125) Plan. Effective January 1, 2009, the premiums for supplemental life insurance for an employee's spouse/partner or children are not included in the Agency's Cafeteria Plan and will be paid on an after-tax basis. Supplemental life insurance may only be purchased at the time of hire or open enrollment.

#### Flexible Spending Account

All regular employees may enroll in the Agency's flexible spending account (<u>"FSA"</u>) program, which allows employees to set aside pre-tax dollars to be used for eligible medical expenses or dependent care expenses. For a comprehensive list of reimbursable medical expenses, please review the FSA materials provided by Human Resources.

#### A Word of Caution

No refunds of money left in an individual's flexible spending account are given at the end of the plan year. This means that employees participating in the plan need to estimate expenses carefully.

#### **Tools and Technology**

All regular employees are eligible for a Tools and Technology benefit. Employees may use the benefit to pay for job-related expenses including trainings and conferences, subscriptions to professional publications, technology equipment (i.e. computer equipment for your home), membership dues in professional organizations and fees for job licenses, certification and/or registration. Eligible expenses must be paid for up-front by eligible employees. Employees can be reimbursed for those eligible expenses twice a year, not to exceed a total amount of \$800 per year. The reimbursement is made through payroll and is taxable. Specific instructions for reimbursement will be distributed twice yearly by Human Resources.

To be eligible for the Tools and Technology benefit for a given year, regular full-time or part-time employees must have begun work for First 5 on or before December 31 of the previous year. The benefit for part-time employees will be pro-rated.

#### Workers' Compensation

First 5 Alameda County carries workers' compensation insurance as required by law to protect employees who are injured on the job. This insurance provides medical, surgical, and hospital treatment in addition to compensation for loss of pay resulting from work-related injuries or illness. The cost of this coverage is paid by the Agency.

You must immediately report any on-the-job injury to your supervisor, regardless of how minor the injury may seem. Questions concerning our workers' compensation coverage should be directed to Human Resources.

First 5 Alameda County does not provide workers' compensation coverage for injuries sustained during or as a result of an employee's voluntary participation in off-duty social, recreational, or athletic activities that are not part of an employee's work-related duties. If an employee is required or expected to participate in a recreational, social, or athletic activity as part of his or her job, however, workers' compensation coverage may apply.

#### State Disability Insurance (SDI)

Employees of First 5 Alameda County who are unable to work due to illness, injury, or pregnancy may receive state-funded income protection insurance for the time they are unable to work. SDI is an employee paid benefit. The determination of benefits is up to the state. An employee may apply for disability benefits after being disabled for eight (8) days, or if hospitalized, after one (1) day of disability. Forms can be obtained from the local office of the Employment Development Department or the employee's physician.

If a physician deems an employee to be temporarily disabled, the employee may not return to work without first submitting a medical release to his or her supervisor.

#### Long Term Disability Insurance

All regular full-time and part-time employees who are regularly scheduled to work 20 or more hours per week may apply to purchase long term disability insurance from a designated broker. Payment for such insurance may be made by an after-tax deduction from their gross salary.

#### **Deferred Compensation**

Employees may participate in a deferred compensation plan through Prudential Financial. Contributions to the plan are made pre-tax, through payroll, and may be placed in various investment vehicles. Employees may enroll or change their deferred compensation election at any time during the year.

#### **Executive Benefit Package**

The Commission may provide an Executive Benefit Package to the Chief Executive Officer. The components of the package are at the discretion of the Commission. Any Executive Benefit Package must be detailed in writing, signed by the Chair of the Commission and the Chief Executive Officer, and placed in the Chief Executive Officer's personnel file.

#### PART FIVE: PAID TIME OFF

## Vacations

Regular and introductory full-time employees earn paid vacation time on the following schedule, beginning with the first day of employment:

1–3 years:	10 days each calendar year (80 hours)
4–10 years:	15 days each calendar year (120 hours)
11+ years:	20 days each calendar year (150 hours)

Accrued vacation time cannot be taken until six months after your first day of employment.

Any unused vacation time may be rolled over into the following year up to a cap of 2 times your annual vacation accrual.

Regular and introductory part-time employees who are regularly scheduled to work twenty (20) or more hours per week earn paid vacation time on a pro-rated basis based on the number of hours worked per week. No other classification of employees earn paid vacation time.

All vacation time must be requested, preferably in writing, and approved in advance.

Employees will-may request to receive a vacation pay-out for some of their accrued unused vacation in lieu of taking paid time off work. have the opportunity to sell unused vacation. Vacation that is sold is taxable. Employees who wish to sell request a vacation pay-out are required to have one year's accrual remaining after the sell pay-out.

Upon termination of employment, employees will be paid for any accrued, unused vacation.

#### Holidays

First 5 Alameda County observes the following holidays:

New Year's Day Martin Luther King Jr. Day Lincoln's Birthday Washington's Birthday Memorial Day Independence Day Labor Day Veteran's Day Thanksgiving Day Friday after Thanksgiving Christmas Day

All regular full-time employees are paid for each holiday. Employees on vacation at the time a holiday occurs will not have that day counted as vacation pay. Part time employees who are regularly scheduled to work on a holiday will be paid for that holiday up to the number of hours regularly scheduled to work.

#### Paid Time Off (PTO)

All regular and introductory employees receive 56 hours (7 days) of paid time off (PTO) each year in addition to vacation and sick leave and the Agency's regular holidays. PTO allows employees to have additional paid leave to cover absences for personal reasons such as religious observances or to supplement vacation, sick and holiday leave. Upon First 5's transition to independence, "floating personal days" and "personal use time"

were combined under "paid time off" (PTO). In addition to paid holidays, regular and introductory employees may take up to 56 hours (or 7 days) of paid time off each year. Eligible employees will receive 56 hours of PTO on January 1 of a given year. Employees may carry over unused PTO to subsequent years. However, in the succeeding year, employees will receive only the number of PTO hours that will bring their total to 56 hours.

Regular and introductory part-time employees who are regularly scheduled to work twenty (20) hours or more per week will be granted PTO hours pro-rated based on the percentage of time worked, and on the schedule described above. Temporary and part-time employees regularly scheduled to work less than twenty (20) hours per week do not receive PTO.

No payment is made for accrued, unused Paid Time Off upon separation, or at any other time.

#### Sick Time

Sick time provides regular and introductory full- and part-time employees with paid time off to recover from illness or injury, or to care for seriously ill family members. For purposes of this section "immediate family" means mother, stepmother, father, stepfather, husband, wife, domestic partner (upon submission of an affidavit), son, stepson, daughter, stepdaughter, grandparent, grandchild, brother, sister, foster parent, foster child, mother-in-law, and father-in-law, or any other person sharing the relationship of in loco parentis; and, when living in the household of the employee, a brother-in-law or sister-in-law.

Sick time may also be used for health care appointments that cannot be scheduled outside work hours.

#### Accrual and Payment

Eligible rRegular and introductory full-time employees <u>may</u> accrue sick time at the rate of <del>one</del> (1).5 days (4 hours) per <u>month-pay period</u> of service for a total of <u>twelve-thirteen</u> (132) days per year. Regular and introductory part-time employees accrue sick time on a pro-rated basis, based on the number of hours they are regularly scheduled to work-per-month.

Accrued, unused sick time may be carried over from one year to the next but accrual caps at 130, 8-hour work days.

No payment is made for accrued, unused sick time upon separation or at any other time.

#### Sick Time Use

Employees are responsible for directly notifying their supervisor <u>prior to the start of the business</u> day (or no later than one hour following their regularly scheduled start time) when prevented from starting or continuing a workday due to illness or injury. Employees must also keep their supervisor informed about the expected duration of the time away from work.

In most circumstances, a doctor's statement is required when an employee uses more than five (5) consecutive days of sick time or when an accumulation of absences seems to establish (in the supervisor's judgment) a problematic use of sick time. However, First 5 Alameda County may request verification of the reasons for any use of sick time.

Employees may use sick time to care for ill family members, but the same verification requirements apply in these instances.

#### Leave of Jury Duty or in Answer to Subpoena

Paid leave shall be granted to permit an employee to travel between the workplace and the court and while serving on jury duty or in answer to a subpoena as a witness. Any jury or witness fee awarded, less reimbursement fee for mileage, shall be deposited in First 5 Alameda County's bank account.

When an employee is excused from jury duty or from answering a subpoena as a witness in time to report for at least one-half of his/her regularly scheduled shift, the employee shall report for duty and jury duty pay under this section shall be reduced accordingly. If the employee fails to report as described above, he/she shall be docked for the balance of the day.

Employees shall apply for standby jury duty if the court permits this option. An employee whose work assignment precludes participation in the standby jury duty shall be exempted from this requirement provided that a department head or his/her designee may adjust an employee's work assignment to permit the employee to apply for standby duty.

#### **Bereavement Leave**

Leave of absence with pay because of death in the immediate family of a regularly scheduled <u>full-or part-time</u> employee working for First 5 Alameda County may be granted by the division director or his/her designee for a period up to five days <u>per calendar year</u>. For purposes of this section "immediate family" means mother, stepmother, father, stepfather, husband, wife, <u>registered</u> domestic partner (upon

submission of an affidavit), son, stepson, daughter, stepdaughter, grandparent, grandchild, brother, sister, foster parent, foster child, mother-in-law, and father-in-law, or any other person sharing the relationship of in loco parentis; and, when living in the household of the employee, a brother-in-law or sister-in-law.

Entitlement to leave of absence under this section shall be only for those hours the employee would have been scheduled to work, and shall be in addition to any other entitlement for sick leave, emergency leave, or any other leave.

## PART SIX: UNPAID TIME OFF AND LEAVES OF ABSENCE

First 5 provides leaves of absence to eligible employees in a variety of circumstances. In all cases, First 5 intends to comply with applicable federal and state laws. Additional information concerning legal requirements applicable to leaves of absence will be posted in the workroom. Employees who are considering a leave of absence are encouraged to meet with the Finance and Administration Director <u>or Human Resources Manager</u> as early as possible to discuss the details of the leave and to coordinate the integration of benefits and payroll.

Employees may request a leave of absence without pay for other government or County employment, as defined below, extended educational purposes, illness, or justifiable personal or other reasons. You must submit a written request to your supervisor as far in advance of the leave as possible. An authorized leave of absence without pay does not affect previously accumulated sick leave, vacation time or seniority; however, no benefits shall accrue during the leave. The Finance Department will explain how to continue health plan coverage. A leave of absence without pay must be approved by the division director or his/her designee in consultation with Human Resources upon the request of the employee seeking such leave, but the unpaid leave shall not be for longer than 6 months, except where the policies below limit the leave to a shorter period or extend it to a longer period.

**Requests for Leave:** As soon as an employee learns of the need for a leave of absence, the employee should submit a written request for leave to his/her division director. Request forms are available from Human Resources. If the need for the leave is foreseeable, employees are required to provide at least 30 days' notice. Approval of the leave may be delayed if timely notice is not provided. If the employee learns of the need for leave less than 30 days before the leave is needed, the request must be made as soon as possible.

**Integration of Benefits:** If an employee on leave is receiving State Disability Insurance ("SDI") <u>or Paid</u> <u>Family Leave ("PFL")</u> benefits, and has not exhausted his/her accrued paid benefits with First 5, First 5 will integrate the SDI<u>/PFL</u> benefit with the employee's accrued paid benefit so that total compensation for the pay period does not exceed the employee's regular wages for that pay period.

**Medical Certification:** If the reason for leave is the employee's own injury, illness, or temporary disability, medical certification that the employee is released to return to work is required before the employee will be permitted to return.

**Concurrent Running of Leaves:** If an employee is on a leave that qualifies as a pregnancy disability leave and/or a family medical leave, as described below, the leave time will run concurrently with leave time for which the employee is eligible under the Pregnancy Disability Leave law and/or the Family Medical Leave Act ("FMLA") and/or the California Family Rights Act ("CFRA").

#### No Leave to Accept Outside Employment

A leave of absence without pay may not be granted to a person accepting either private or public employment outside the service of First 5 Alameda County, except as outlined below.

#### **Unpaid Leave Matrix**

The following 2 pages (pages 13 & 14) contain an Unpaid Leave Matrix which includes information on eligibility, maximum leave time, notification requirements, guidelines on use of accrued paid leave (as it pertains to the type of unpaid leave), benefits continuation with regard to the type of leave, coordination with other leaves, reinstatement and benefits and seniority at reinstatement for various types of unpaid leaves. The types of unpaid leaves included in the matrix are:

- Medical / Family Illness / Child Care Leave
- Maternity and Pregnancy Disability Leave
- Paternity and Adoptive Leave
- Workers' Compensation Leave
- Leave when Lent to Other Governmental Agency or Governmental Institution

13

- Educational Leave
- Military Leave

	Type of Leave	Eligibility	Maximum Leave Time	Notification Requirements	Use of Accrued Paid Leave	Benefits Continuation During Leave	Coordination With Other Leaves	Reinstatement	Benefits & Seniority at Reinstatement
	Medical/Family Illness/Child Care Leave Encompasses leave under the federal Family Medical Leave Act (FMLA) and state California Family Rights Act (CFRA).	<ul> <li>At least one year continuo us service at First 5 and worked at least 1,250 hours in precedin g 12 months.</li> <li>Presence of the one of the reasons for leave describe d in the policies.</li> </ul>	12 work weeks within a rolling12- month period_ except the maximum duration of leave to care for an injured service member is 26 weeks in a rolling 12- month period	30 days' notice required if need for leave is foreseeable, otherwise as soon as possible. Medical certification required if leave due to serious health condition of employee or family member.	Must use accrued sick, PTO and vacation time before unpaid leave starts. Must use accrued sick leave first (i.e. before <u>PTO or</u> vacation time)_iff leave is due to employee's serious health condition.	Retain employer- paid health insurance up to a maximum of 12 weeks within a 12- month period. All other benefits, and health insurance beyond 12 weeks, can be continued at the employee's expense (no First 5 contributions).	May be linked with Maternity and Pregnancy Disability Leave; see diagram following this table. May run concurrently with Paternity and Adoptive Leave or Worker's Compensation Leave.	Will be returned to the same or comparable position unless position has been elimina- ted or employee would have been terminated anyway. Medical certification of release to return to work required if leave is due to employee's health condition.	Seniority and benefits accrue while paid leave is used but not during periods of unpaid leave. Seniority is reinstated at the same level as when unpaid leave started.
	Maternity and Pregnancy Disability Leave	Any pregnant employee, employee with pregnancy or childbirth related medical conditions, or time for baby bonding by the mother following the birth of a child.	Up to six months, except leave for pregnancy disability cannot exceed four months.	30 days' notice required if need for leave is foreseeable, otherwise as soon as possible.	Must use accrued sick leave before unpaid leave starts. May elect (optional) to also use accrued vacation time.	Same as above.	First 12 weeks of pregnancy disability leave count against amount of leave availa- ble under FMLA for those eligible. Leave taken under this policy for baby bonding counts against leave available under CFRA.	Same as above.	Same as above.
	Paternity and Adoptive Leave	Any employee who is a prospective father (before birth of child and/or for baby bond- ing after birth) or an adoptive parent.	Up to six months.	30 days' notice required if need for leave is foreseeable, otherwise as soon as possible.	May elect (optional) to use accrued vacation time. Not eligible to use accrued sick time except due to illness to employee	Same as above.	Leave taken under this policy will run concurrently with FMLA/CFRA for employees eligible for baby bonding leave under FMLA/CFRA.	Same as above.	Same as above.

Last revised 6/19/20065/9/2008

Type of Leave	Eligibility	Maximum Leave Time	Notification Requirements	Use of Accrued Paid Leave	Benefits Continua- tion During Leave	Coordination With Other Leaves	Reinstatement	Benefits & Seniority at Reinstatement
Lent to Other Government or Educational Institution	By approval of division director and/or his designee.	One year.	30 days' notice required if need for leave is foreseeable, other- wise as soon as possible.	Not applicable.	Same as above.	None.	Same as above.	Same as above.
Educational Leave	By approval of division director and/or his designee.	One year.	30 days' notice required if need for leave is foreseeable, other- wise as soon as possible.	Must use accrued vacation time before unpaid leave starts.	Same as above.	None.	Same as above.	Same as above.
Military Leave	Any employee who is a member of or joins the military and is called for active service; eligibility determined in accordance with provisions of state and federal law.	Up to 30 days leave <u>with</u> pay if continuously employed by First 5 for one year prior to the leave. Up to five years without pay in all cases. Only days spent in active military service count against the five year limit.	30 days' notice required if need for leave is foreseeable, other- wise as soon as possible. Copy of military orders must be provided.	Must use accrued vacation time before unpaid leave starts.	Same as above. Employees can continue health insurance at their own expense for up to 18 months after employer-paid health insurance ends, in accordance with federal law.	None.	Will be reinstated to the position the employee reasonably would have had if military leave had not been taken, except reemployment is not assured if military service discharge was less than honorable or reemployment is impossible (e.g. position was legally eliminated), unreasonable or would be an undue hardship for First 5.	Benefits and seniority are reinstated at the level they would have been if military leave had not been taken. Employees have up to five years to make up contribu- tions to the retirement plan that were missed during the period of leave.

(Amended 2/8/2005)

#### **Catastrophic Sick Leave Bank**

An employee may be eligible to receive donations of paid leave to be included in the employee's sick leave balance if he/she has suffered a catastrophic illness or injury, or must care for an immediate (as defined in the Personnel Policies) family member who has suffered a catastrophic illness or injury, which prevents the employee from being able to work or from being able to work his/her regularly scheduled number of hours. Catastrophic illness or injury is defined as a critical medical conditions considered to be terminal, or a long-term major physical impairment or disability.

The Catastrophic Leave Program is a confidential program. The donor of benefits will be made aware of their value of their donation to the bank. The recipient of benefits will be made aware of the value of their benefits from the bank. Individual donations, and the recipient of benefits, will be considered confidential.

Any First 5 Alameda County employee who is eligible for sick leave is eligible to participate in the Catastrophic Leave Bank.

The recipient employee, family member of the recipient employee, or another person designated in writing by the recipient employee must submit a request to First 5 Alameda County Human Resources Department, 1100 San Leandro Blvd., Suite 120, San Leandro, CA 94577. The Human Resources Finance and Administration Director, in consultation with the Chief Executive Officer and Deputy Director, will administer the Catastrophic Leave Bank.

A confidential medical verification including diagnosis, prognosis, and estimated date of return to work must be provided by the recipient employee. If the employee has left work to care for a critically ill family member, the same documentation is required for the family member. No retroactive requests will be permitted.

The determination of the employee's eligibility for catastrophic sick leave donations shall be at First 5 Alameda County's sole discretion and shall be final.

The recipient employee is not eligible to receive and use donations so long as she/he has paid leaves available, however, the request may be initiated prior to the anticipated date leave balances will be exhausted. Exhaustion of paid leaves is not sufficient justification for requesting a donation from the catastrophic leave bank.

A recipient employee is eligible to receive up to 40 working days of donated time per employment. Donations will be made to recipients on a first-come, first-served basis. Donations from the bank may be received only if there are funds available in the bank.

Periodically, calls may be made to employees to donate to the bank, particularly at year-end.

The donor employee may donate vacation time. The donor's hourly value will be converted into dollars and deposited into the bank. Recipient sick leave will be withdrawn from the bank and converted into hours.

Donations shall be made in half day increments and are irrevocable. The maximum that may be donated in a calendar year is ten donor employee days per year, except that a husband and wife or registered domestic partners, both employed by First 5 Alameda County, may donate unlimited amounts of time between one another.

Only vacation leave time may be donated. The donor employee may donate up to ten donor employee days per year, provided that the donation does not allow their vacation leave balance to fall below 40 hours.

When using donated leave, regulations governing the use of disability payments will be in effect if the employee is receiving disability. Sick leave hours will be offset based on the amount of disability payment. (amended 9/29/05)

### PART SEVEN: WORK HOURS AND PAY

#### **Pay Periods**

For all employees, the standard pay period is biweekly. Paychecks are distributed every other Friday.

Although the regular workweek is from 8:30 a.m. to 5:00 p.m. Monday through Friday, other work schedules may be made between the employee and his or her supervisor, if memorialized in writing, and subject to the demands and limitations of the job and department. Supervisors have final approval for flextime requests and retain the authority to require the employee to return to a regular schedule should organizational need require it.

#### **Meal and Rest Periods**

All nonexempt employees receive a paid ten (10)-minute rest period for each four (4) hours worked or major fraction thereof. Full-time employees should take one rest period in the first half of their day and one in the second half.

Nonexempt employees who work five (5) hours or more receive an unpaid lunch break of thirty (30) minutes. Rest periods cannot be combined with the lunch break, but employees may take up to a one (1)-hour lunch break if desired. Employees may not skip rest and meal breaks to shorten the workday.

#### **Timekeeping Requirements**

All employees submit time sheets that are approved by their supervisor or manager for each pay period. Non-exempt employees record actual hours worked and leave taken, and exempt employees record leave taken. Any falsification of a time sheet will result in disciplinary action, up to and including discharge.

#### **Overtime Pay**

First 5 Alameda County provides non-exempt employees compensatory time off as a substitute for overtime pay, for all hours worked in excess of 40 in the workweek, at the rate of time and one-half hours for each hour of overtime worked, up to a maximum of 240 compensatory time off hours. All overtime must be approved in advance by the supervisor and the Chief Executive Officer. When the employee takes off the compensatory time earned shall be determined between the employee and his or her supervisor; however, employees are encouraged to take compensatory time off as soon as possible after it is earned. The Agency will not unreasonably deny such requests.

Vacation, holiday, and sick time do not constitute hours worked for the purposes of computing overtime.

#### **Bilingual Pay**

If an employee uses a second language at least 20% of the time on the job, the employee's supervisor may request that she/he be given bilingual pay. The process involves the employee being tested. If the employee passes the test, a taxable salary augmentation of \$30 per paycheck will occur. Supervisors should contact Human Resources to schedule testing for eligible employees.

#### Wage Garnishments

From time to time, First 5 Alameda County may be required to withhold monies from an employee's pay. If this Agency receives a court-authorized garnishment or levy, the employee affected will be notified immediately.

#### **Payroll Deductions**

Your payroll and earnings deductions are detailed with your paycheck. Mandated and voluntary deductions usually include the following:

Deductions Mandated by Federal and State Law	Voluntary Deductions
Federal income tax	Health and Dental insurance
State income tax	Health Flexible Spending Account
Social Security, Medicare contributions	Dependent Care Flexible Spending Account
State disability insurance	Supplemental Life Insurance
Garnishments, wage attachments	Deferred Compensation
-	Long Term Disability Insurance

Any questions about your paycheck should be directed to the Finance department.

## PART EIGHT: WORKPLACE HEALTH AND SAFETY

## **Drug-Free Workplace**

As part of First 5 Alameda County's ongoing commitment to a safe and healthy workplace, we maintain a drug-free workplace policy. Any employee who reports to work while under the influence of drugs or alcohol runs the risks of endangering his or her safety and the safety of others, destruction of or damage to personal or Agency property, and a loss of productivity and workplace morale.

All employees of First 5 Alameda County are required to understand and comply with the Agency's drug-free workplace policy. Any failure to comply with the guidelines of this policy can result in immediate termination of employment. Employees either in our offices or conducting business on behalf of our Agency regardless of location are prohibited from all of the following:

- Unauthorized use, possession, purchase, sale, manufacture, distribution, transportation, or dispensation of any controlled substance
- Reporting to work while under the influence of alcohol or a controlled substance. Controlled substances include, but are not limited to, narcotics (such as heroin and morphine), cannabis (marijuana, hashish), stimulants (such as cocaine and amphetamines), depressants (tranquilizers) except by doctor's prescription, and hallucinogens (such as PCP, LSD, and "designer drugs")
- Use, possession, purchase, sale, manufacture, distribution, transportation, or dispensation of any legal prescription drug in an illegal or unauthorized manner
- Reporting to work while impaired by the use of a legal drug whenever such impairment might substantially interfere with job performance or pose a threat to the employee's safety or the safety of others, or risk significant damage to Agency property

## Smoking

Smoking is prohibited in all First 5 Alameda County offices.

#### **PART NINE: Work Practices and Environment**

#### **Punctuality and Attendance**

Employees who are unable to report for work for any reason must notify their immediate supervisor within one (1) hour of their regularly scheduled starting time.

In general, all employees are expected to be responsible and demonstrate respect for fellow employees by establishing a record of punctuality and regular attendance. These are factors considered in evaluating overall job performance.

Frequent lateness or excessive absenteeism may, depending on the circumstances, result in disciplinary action up to and including termination.

#### **Use of Facilities and Property**

Employees are asked to treat Agency property as they would their own. Specifically, employees are to keep their own work area and common areas clean and well maintained and limit their use of Agency equipment to work-related purposes. Employees are required to receive written supervisory approval before removing any Agency property from the premises.

#### **Guests and Visitors**

Employees are asked to keep on-the-job, non-work-related visitors to a minimum to ensure that the workplace is not unduly interrupted. All visitors should remain in the reception area until escorted by the appropriate employee.

#### Security

First 5 Alameda County strives to provide a secure work environment for our employees, volunteers, clients, and visitors. Creekside management provides for the security of our buildings and facilities by maintaining alarms and outside security services. You are required to comply with all security procedures established in your work area and immediately report any breach of security to your manager.

We encourage employees to be prudent about bringing personal items to work. First 5 Alameda County is not responsible for losses resulting from theft of property while you are away from your work area.

Immediately report lost or stolen keys or missing Agency property to your supervisor. Copying or giving keys or lock combinations to an unauthorized individual will be considered grounds for immediate dismissal.

## **Children in the Workplace**

First 5 Alameda County values family and work/life balance, and strives to create an employeefriendly workplace. Our employment policies and benefits reflect our beliefs. First 5 Alameda County also believes in creating an environment that is conducive to work. In general, therefore, the workplace should not be used in lieu of child care.

While First 5 Alameda County is sensitive to our employee's child-related circumstances, it is not appropriate for minor children and other minor relatives of employees to be in the workplace during working hours, except for brief visits. This policy has been adopted to minimize potential liability to First 5 Alameda County, the risk of harm to children, and decreased employee productivity due to distractions and disruptions.

We recognize that there are occasions when childcare is not available and work demands are such that the employee needs to be available for work. In those situations, the employee may request to work from home on a temporary basis, or, if the work must be done in the office, may bring in their child(ren) for a short period of time. In those cases where children are in the workplace, they must be directly supervised by the parent at all times. In the interest of maintaining the health and well-being of all First 5 employees, children that are ill should not be brought to the workplace except for brief visits to enable the parent to gather work materials or take care of urgent matters that cannot be handled from outside the office. Employees may consult First 5 Alameda County's Employee Assistance Program, Guidance Resources, at 1-800-327-1850 for assistance with finding emergency care providers to care for sick children.

Situations like these should occur infrequently and not be a regular way of managing the employee's work responsibilities. If the frequency, length or nature of children in the workplace becomes problematic, the employee will be advised of the situation by his/her supervisor and will be expected to take corrective action.

#### **Telecommuting**

Telecommuting is a work arrangement in which some of the employee's work is performed at home. Telecommuting is a privilege that may be appropriate for some employees and some jobs. It is not an entitlement or Agency-wide benefit. A telecommuting assignment in no way changes any other terms

or conditions of employment with First 5 Alameda County. Requests for a regular telecommuting arrangement are considered on a case-by-case basis when an employee's work can reasonably be carried out from home. In general, telecommuting requests are considered only for exempt employees that have been employed at First 5 Alameda County for a period of at least one year, have received satisfactory performance reviews, and have demonstrated their ability to manage their work independently.

Requests for telecommuting arrangements must be made in writing, and will be considered by the Director. If the telecommuting arrangement is approved, the employee will be required to sign a Telecommuter Policy and Agreement, and to complete a self-certification safety checklist of their home work location. Telecommuting arrangements are reviewed by the employee and supervisor at least annually, and can be terminated at any time by either party.

## **Use of Personal Automobile**

Employees who use their own automobiles for travel on authorized Agency business will be reimbursed for mileage at the rate established by the Internal Revenue Service. Employees must have prior supervisory approval for the use of personal vehicles and provide to the Agency, in advance of using their personal vehicle, evidence that they obtained at their own expense the minimum insurance coverage for property damage and public liability.

## **Attire and Personal Hygiene**

It is expected that employees will maintain a clean and neat appearance and will project a professional and businesslike image in dealing with other employees, clients, volunteers, and the general public. All employees should wear casual business attire on Mondays through Thursdays. First 5 Alameda County observes "casual Fridays" on which jeans may be worn. Under special circumstances (storage clean-up, moving furniture, working with children, etc.) casual attire may be worn on non-Fridays upon supervisor approval.

## Expense Reimbursement

Reasonable and customary personal expenses incurred in the performance of one's job will be reimbursed. Reimbursement requires prior authorization by the employee's immediate supervisor, written approval of actual expenses, and completion of a signed personal expense reimbursement form with all required documentation attached. Employees should consult the Finance department or the Accounting and Administrative Procedures Manual for specific instructions.

#### Parking

Employees will be issued an access card to the parking structure on their first day of hire. Employees are encouraged to park in the gated area at all times. Visitor spaces are limited and vehicles parked in those spaces for more than one hour may be towed at the car owner's expense.

For security reasons, please report lost or stolen access cards to Administration immediately. There is a \$20 fee to replace lost or stolen cards.

## **References**

It is the policy of First 5 Alameda County to provide references about current or former employees to prospective employers. Just as the Agency obtains job-related reference information prior to extending an offer of employment to prospective employees, we believe that providing reference information represents good faith in being part of a community. References may be provided only by Human Resources or the employee's supervisor (or supervisors higher in the line of supervision). All supervisors are provided with training on appropriate reference practices and guidelines.

It is also the policy of the Agency not to disclose any information about employees (other than hire and termination dates, job titles and earnings), unless and until Human Resources has received an originally signed Reference Authorization and Release form signed by the employee for whom the reference is requested. This form may be obtained from Human Resources and is provided to all employees at the time that employment is terminated.

Only job-related information may be provided to persons requesting information on current or former employees, and employees providing references must ensure that the information provided is accurate and truthful. Examples of information that may be shared include, but are not limited to information, either positive or negative, regarding performance, team/interpersonal behaviors, attendance, productivity, work quality, etc. When providing references, supervisors and human resources staff are strongly encouraged to access the employee's performance reviews to ensure accurate information is provided. Under no circumstances should information be provided regarding the employee's race, religion, national origin, health, childrearing, sexual orientation, veteran status, workers' compensation history, complaints about alleged discrimination, political views, or other private affairs.

Before a reference will be provided, prospective employers must complete a waiver form releasing First 5 Alameda County from claims, damages or liabilities that may arise from provision of the reference. This form may be obtained from Human Resources, and may be mailed, faxed or emailed to the prospective employer. It is also the policy of the Agency that whenever an employment reference is given, the person giving the reference must complete a Record of Employee Reference Form so the Agency will have documentation of the information that was provided. This form is to be submitted, along with the waiver form, to Human Resources within one day of any reference being provided.

Except as provided by this policy, all employee information is considered confidential.

## PART TEN: INFORMATION AND COMMUNICATION

## Technology, Voice Mail and Electronic Mail

First 5 Alameda County employees are permitted to use voice mail and electronic mail to communicate with others for business purposes. The e-mail system is to be used for Agency or work-related email only, and not for personal purposes. In no event should First 5 Alameda county's voice or email systems may not be used to send jokes or other comments or information to others that may be perceived as discriminatory, harassing, offensive, or disruptive. or The voice and/or e-mail systems may not be used to send material that disparages an individual, company, or business entity, or to disclose personal information without authorization. Employees should be aware that voice mail and electronic mail messages, and documents and files saved on Agency computers are not private and are subject to review by the Agency at any time for business and/or legal purposes.

Employees may not install, copy, or download software onto Agency computers unless authorized to do so by the Director, Evaluation and Technology or the Network Support Administrator. Employees are also prohibited from downloading any personal files onto work computers, as this may result in reduced capacity, slower computer speed for all employees, and maintenance and support issues. In addition, it places the employee's computer and the entire First 5 Alameda county network at risk for viruses and other problems.

#### **Employee Information**

It is important that personnel files contain up-to-date information regarding each employee. Employees should inform Human Resources immediately whenever there are changes in their personal data, such as address, telephone number, marital status, domestic partnership, number of dependents, and person to notify in case of emergency, that may affect their pay or benefits with the Agency.

Employees have the right to inspect their personnel file during regular office hours, given reasonable notice to the Agency. An appointment to inspect the file may be made with the Finance and Administration Director, who will accompany the employee while he or she inspects the file. Employees may obtain copies of any document in their personnel file to the extent required by law. Personnel records are the property of First 5 Alameda County and are not allowed to leave the office of the Finance and Administration Director without authorization.

## **Internal Communication**

We use bulletin boards, mailboxes, meetings, <u>the Agency's intranet</u>, and office e-mail to communicate important information to employees on a regular basis. Each of our employees is responsible for reading posted or distributed information on a timely basis.

## PART ELEVEN: STANDARDS OF CONDUCT

#### Harassment

First 5 Alameda County does not tolerate harassment in the workplace or in a work-related situation based on an individual's race, color, religion, gender, national origin, ancestry, age, marital status, physical disability, mental disability, medical condition, sexual orientation, or any basis protected by law, or based on a perception that an individual has any of these characteristics, or based on a perception that an individual has any of these characteristics, or based on a perception that an individual has any of these characteristics, or based on a perception that an individual is associated with a person who has, or is perceived to have, any of these characteristics. Such harassment may include, but is not limited to, slurs; epithets; derogatory jokes; degrading comments; gestures or physical conduct; or threats that an employee's job, advancement, compensation, assignment, or other benefit is dependent on submission to sexual demands or on toleration of harassment. It includes conduct of all Agency employees as well as conduct of persons with whom First 5 Alameda County contracts to do business, such as independent contractors, when the conduct is directed at, or involves, an Agency employee or contractor.

Anyone who believes he or she has been harassed in connection with his or her employment at First 5 Alameda County, or is aware of such harassment, should notify the Chief Executive Officer, or any Director, or the Human Resources Manager immediately. A prompt and, to the extent possible, discreet investigation will be conducted, and appropriate corrective action will be taken for any conduct deemed to violate this policy or otherwise to be inappropriate. There will be no retaliation against anyone for complaining of, reporting, or participating in any investigation of harassment.

Anyone who is found by the Agency to have violated this policy, or whose conduct is found otherwise to be inappropriate, will be subject to appropriate corrective action, including possible termination of employment.

#### Workplace Conduct

First 5 Alameda County requires of its employees cooperation, efficiency, productivity, and compliance with its policies and procedures. Failure to comply with these expectations may result in disciplinary action, including immediate termination. Examples of conduct that may result in disciplinary action include, but are not limited to, any of the following:

- Substandard job performance
- Absence from work without prior notification to supervisor
- Excessive tardiness or unauthorized absenteeism
- Rudeness or discourtesy toward a fellow employee, supervisor, volunteer, supporter, or member of the general public
- Violating the drug- and alcohol-free workplace policy
- Theft—including, but not limited to, the removal of Agency property or the property of another employee from Agency premises without prior authorization
- Walking off the job without supervisory approval
- Fighting, roughhousing, abusive language, or conduct that is hostile or disrespectful toward a co-worker, supervisor, board member, volunteer, or any person associated with or served by this Agency
- Disregarding established safety procedures; knowingly creating an unsafe work situation for self or any coworker
- Falsifying or altering records or time sheets
- Refusing to perform a work-related duty when directly instructed to do so by a supervisor or member of management
- Possessing a weapon or firearm on this Agency's property
- Violating this Agency's equal opportunity or harassment policies
- Violating the Agency's conflict of interest policy
- Unauthorized use of Agency property, including vehicles

## **Open-Door Policy**

First 5 Alameda County has an open-door policy that encourages employee participation in decisions that will affect them and their daily professional responsibilities. Employees that have a difference of opinion, misunderstanding or conflict with another First 5 employee are encouraged to address the situation directly with the employee(s) involved. This policy also encourages employees who have job-related problems or complaints to talk them over with their supervisor or a manager at any level of management who they feel can help them. First 5 Alameda County believes that employee concerns are best addressed through informal and open communication.

The Agency will attempt to be discreet in addressing and resolving concerns brought to its attention. However, in the course of investigating and resolving concerns, some dissemination of information to others may be necessary.

No employee will be retaliated against for raising a concern in good faith.

### **Disciplinary Procedures**

While the Agency may advance to whatever disciplinary step it concludes is appropriate in the circumstances, including immediate termination, the following disciplinary steps may be invoked:

- Employee Counseling or Verbal Warning The supervisor counsels the employee following a minor offense in an effort to eliminate possible misunderstandings and to identify what constitutes acceptable conduct or performance. Verbal warnings are documented by the supervisor and placed in the employee's personnel file. They are signed by the employee to acknowledge receipt of the warning
- Written Warning The employee meets with his or her supervisor and is presented with a
  written notice of corrective action. A written warning is designed to make sure that the
  employee is fully aware of the misconduct or performance problem, including the degree
  of seriousness and the consequences if the problem is not corrected. Copies of written
  warnings will be placed in the employee's personnel file
- Suspension Employees who are suspected of serious misconduct may be suspended with pay in situations where an investigation is required prior to a disciplinary decision being made
- Discharge –Termination can result from a single serious offense, or it can be the final step in a process designed to correct a series of offenses or performance deficiencies. It can also occur as the result of conduct inconsistent with Agency policy

The use of any of the above disciplinary procedures in no way alters the fact that employment at First 5 Alameda County is at-will.

## **Appeal of Serious Discipline**

If the Agency imposes discipline consisting of discharge, demotion, an unpaid suspension of more than five days, or a reduction in pay of more than five days, the Agency will, at the time it notifies the employee of the discipline imposed, give the employee notice of his/her rights, if any, to appeal the Agency's determination.

#### **Conflict of Interest**

It is important that employees avoid conflicts of interest to maintain high standards of conduct. A conflict of interest is a situation in which an employee's <u>private-personal</u> or economic interest interferes with, influences, or is, in the judgment of the Agency, incompatible with the employee's duties and responsibilities at First 5 Alameda County or with the Agency's general activities, even if the conflict or incompatibility has no adverse impact on job performance.

All regular employees are required to sign an Incompatible Activities Statement at the time of hire. In accordance with California's Political Reform Act, designated employees are also required to complete a Statement of Economic Interests (Form 700) within 30 days of hire, on an annual basis, and within 30 days of terminating employment with First 5 Alameda County. Employees must advise the Finance and Administration Director of any outside employment (on either a salary or a fee basis) that they have. Any employee needing advice about a potential conflict of interest should consult with the Finance and Administration Director or the Chief Executive Officer. If an employee's outside activity is determined to constitute a conflict of interest or incompatible activity and the employee intends the activity to continue (i.e., beyond a defined, temporary duration), the Agency may make the cessation of the outside activity a condition of continuing employment with First 5 Alameda County.

## PART TWELVE: Ending Employment

#### **At-Will Status**

Employment with First 5 Alameda County is not for any specified period and can be terminated by either the employee or the Agency at any time with or without any particular reason or advance notice. Nothing contained in these policies is intended to, or should be construed to, alter the at-will relationship between First 5 Alameda County and its employees. Although other terms and conditions and benefits of employment with First 5 Alameda County may change from time to time, the at-will relationship of employment is one aspect that cannot be changed except by an agreement in writing with the First Five Alameda County Commission, signed by the chair of the board on behalf of the entire board, and by the employee.

## Voluntary Termination of Employment

All employees are asked to give a minimum of two (2) weeks' written notice of resignation. If the Agency asks an employee who has voluntarily resigned to leave our employ before the end of the notice period (for example, if a replacement is to begin immediately), the employee will be paid for the entire notice period.

## Payroll and the Return of First 5 Property

All employees separating from First 5 Alameda County will receive their final payroll at the next regularly scheduled payroll date. Employees are required to turn over all keys, access cards and other Agency property to the Office Manager before leaving on their last day of work.

## **Exit Interviews**

The Chief Executive Officer, Finance and Administration Director, or the Human Resources Manager will conduct an exit interview with each employee who voluntarily terminates employment with First 5 Alameda County prior to the last day of work. These conversations enable the Agency to gather important information about personnel policies and procedures that may be of benefit to many other employees. Although exit interviews are not mandatory, employees are encouraged to participate in them and to speak frankly about their employment experience with First 5 Alameda County.



## **Receipt of Manual**

I hereby acknowledge that I have received a copy of the First 5 Alameda County Personnel Policies Manual and understand that the Manual contains important information on the general personnel policies of First 5 Alameda County and on my privileges and obligations as an employee. I acknowledge that I am expected to read, understand, and adhere to First 5 Alameda County's policies. By my signature below, I also understand and agree specifically with the policy in the Manual titled "Overtime Pay." By my signature below, I also understand that employment at First 5 Alameda County is at-will employment, as described on page 21 of this Manual.

Employee's Name (Printed)

Employee's Signature

Date

# <u>Change Log</u>

Item	Date
Original adoption of Personnel policies adopted by Commission	5/21/2004
Addition of fingerprinting under "Work Eligibility" – approved by	5/26/2005
Commission	
Medical and Dental Insurance sections rewritten to reflect	5/26/2005
current benefit structure – approved by Commission	
Addition of "Tools and Technology" section – approved by	5/26/2005
Commission	
"Floating Personal Days" and "Personal Use Time" merged	5/26/2005
under "Paid Time Off (PTO)" – approved by Commission	
"Unpaid Time Off and Leaves of Absence" section reformatted	5/26/2005
to include matrix of leaves – approved by Commission	
Addition of "Bilingual Pay" section – approved by Commission	5/26/2005
Addition of Catastrophic Leave Bank – approved by	9/29/2005
Commission	
Year End Manual Clean-up – reviewed by Executive Committee	6/19/2006
Year End Manual Clean-up – reviewed by Executive Committee	
Change in Full Time Status definition	
Addition of Professional Development section	
Addition of Payment in Lieu section	
Addition of Long Term Disability section	
Addition of Children in the Workplace section	
Addition of Telecommuting section	
Addition of References section	
Deleted Position Description section	