

Invoice



Attn: The Compliance Officer
Firm A
Address 1
Address 2
Address 3
Town PostCode

Financial Conduct Authority
25 The North Colonnade
Canary Wharf
London
E14 5HS

Customer Contact Centre
Tel: 0845 606 9966
Email: FCAfees@fca.org.uk
www.fca.org.uk

Account number	Invoice number	Invoice date	Payment due date
000099	PFA14_524964	01 July 2014	31 July 2014

REGULATORY FEES & LEVIES: 2014/2015

Financial Conduct Authority Periodic Fee		£25,529.68	
Money Advice Service Fee		£1,751.65	
Financial Services Compensation Scheme Levy		£13,275.59	
Financial Ombudsman Service Levy		£532.50	
VAT Code	Outside Scope	Total	£ 41,089.42
VAT Registration No.	480 8791 09	V.A.T	0.00
	VAT Rate 0%		

Where applicable, this invoice is raised by the Financial Conduct Authority on behalf of the Prudential Regulation Authority, the Money Advice Service, the Financial Ombudsman Service, the Financial Reporting Council and the Financial Services Compensation Scheme as the authorised collection agent.

Amount Due

£ 41,089.42

Financial Conduct Authority

Please make cheques payable to the 'Financial Conduct Authority' and return this portion with your remittance to:
The Cashier, Revenue Department, The Financial Conduct Authority, 25 The North Colonnade, Canary Wharf,
London E14 5HS, United Kingdom.



Remittance Advice

Account Number 000099 Firm A
Invoice Number PFA14_524964
Invoice Date 01 July 2014

Amount Due

£ 41,089.42

Cheque Number

<http://www.fca.org.uk/firms/being-regulated/fees>

Ways to pay your invoice



Direct Debit – the easiest way to pay

Simply go to our website and download the Direct Debit form, fill it in and return to us by 11 July 2014. Before sending the form please check that - your account number has 8 digits; your sort code has 6 digits; and your bank account can accept direct debits (your bank can confirm this), or you can telephone the Customer Contact Centre for details of how to create the Direct Debit instruction.

<http://www.fca.org.uk/firms/being-regulated/fees/annual/Payment-methods>



By Instalments

Regulatory fees may be paid by instalment. Simply complete the Premium Credit Limited agreement form on-line at <http://www.premium-credit.co.uk/fca.html> by 16 July 2014. The FCA, whilst not endorsing Premium Credit Limited over other credit providers, has agreed to publicise the company as the unanimous choice of designated trade associations.



By BACS/CHAPS transfer

Funds should be transferred to the following account:

Account name: FCA Collection Account
Bank name: Lloyds TSB Bank
Account number: 00828179
Sort code: 30-00-02
SWIFT number: LOYD GB 2LCTY
IBAN: GB 68 LOYD 3000 0200 8281 79
Please reference your payment with your firm reference number 000099 and invoice number PFA14_524964
Please email your Remittance Advice and payment details to fcafees@fca.org.uk



By Post/Cheque

ALL cheques should be made payable to **The Financial Conduct Authority**, with your firm reference number 000099 written on the back. Please fill in the remittance advice overleaf and send it with your cheque to:
The Financial Conduct Authority
25 The North Colonnade
Canary Wharf
London E14 5HS
Attn : The Cashier - Revenue Dept.



By Maestro/Debit card

Please complete the debit card form on our website at <http://www.fca.org.uk/firms/being-regulated/fees/annual/Payment-methods>



By credit card

Please complete the credit card form on our website at <http://www.fca.org.uk/firms/being-regulated/fees/annual/Payment-methods>
An additional 2% of the invoice value will be payable if Regulatory fee invoices are paid by credit card.

Further information

Calculation of fees

The general provisions that allow the FCA to charge fees to firms can be found in the FCA Handbook, FEES Manual, Chapter 2. This can be accessed at the following web address:

<http://fshandbook.info/FS/html/handbook/FEES/2>

Information about the types of fees the FCA and PRA charges, including those collected by the FCA for the Financial Ombudsman Service (FOS) and the Financial Services Compensation Scheme (FSCS), can be found at the following web address:

<http://www.fca.org.uk/firms/being-regulated/fees/others>

Further details about how regulatory fees are calculated can be found at the following web address:

<http://www.fca.org.uk/firms/being-regulated/fees/annual>

Late payment and recovery of fees

If regulatory fees are not paid by the due date, a £250 surcharge will apply and interest will commence being charged on any unpaid amount at a rate of 5% above the Bank of England's repo rate.

Where payment is still not forthcoming, civil and/or regulatory action to recover the debt may be taken.

Fee-Blocks, Tariff-bases, Tariff-rates and Tariff-data

Detailed information about specific fee-blocks, tariff-bases, tariff-rates and tariff-data can be found in the following sections of the FCA Handbook. These can be accessed from the FCA website, at the following web address:

<http://fshandbook.info/FS/html/handbook/FEES/4>

- **Fee-blocks and tariff-bases:** FEES Manual, Chapter 4, Annex 1AR (FCA) and Annex 1BR (PRA)

- **Tariff-rates:** FEES Manual, Chapter 4, Annex 2AR (FCA) and Annex 2BR (PRA)

- **Main fee rules:** FEES Manual, Chapter 4.

<http://fshandbook.info/FS/html/handbook/FEES/4>

For Money Laundering; Payment Services; Electronic Money and Covered Bonds regulation fee-blocks and tariff bases: FEES Manual Chapter 4, Annex 11

<http://fshandbook.info/FS/html/handbook/FEES/4/Annex11>

For Money Advice Service (MAS) fee blocks and tariff bases: FEES 7:

<http://fshandbook.info/FS/html/handbook/FEES/7>

For Consumer Credit Fees, FEES Manual, Chapter 4, Annex 2AR

<http://fshandbook.info/FS/html/handbook/FEES/4>

Frequently asked questions

Before calling the Customer Contact Centre, please check that your query is not covered in our list of common queries, which can be accessed at the following web address:

<http://www.fca.org.uk/firms/being-regulated/fees/faqs>

Getting in touch with us

Customer Contact Centre

Contact the Customer Contact Centre if you have a query on this invoice or making payment.

Phone 0845 606 9966

Email fcafees@fca.org.uk

Financial Services Compensation Scheme (FSCS) – FEES Manual, Chapter 6
<http://fshandbook.info/FS/html/handbook/FEES/6>

Financial Ombudsman Service (FOS) – FEES Manual, Chapter 5
<http://fshandbook.info/FS/html/handbook/FEES/5>

Financial Reporting Council (FRC) – Details of the FRC levy and its latest publication regarding its levy can be found on their website at <http://www.frc.org.uk/About-the-FRC/Annual-Reports-and-Plans/Funding.aspx>

Online Fee Calculator is available on our website:
<http://www.fca.org.uk/firms/being-regulated/fees/calculator>

The calculator can be used to provide detailed breakdowns of the FCA and PRA fees, MAS, FSCS and FOS levies.

Financial Conduct Authority Periodic Fee

Fee Block	Description	Tariff Base	Tariff Data	Gross Fee	Discount	Disc Code	Deduction	Deduct Code	£ Net Fee
A000	Minimum Fee	Minimum fee - code	5	1,000.00					1,000.00
A007	Portfolio managers	Funds under management	£2,000,000,000	17,193.60			(3,541.88)	F	13,651.72
A009	Mgrs/dep inv funds/op of CIS/pens	Gross income	£4,000,000	4,563.90			(474.65)	F	4,089.25
A013	Advrs/argrs (not hold client money)	Annual income	£1,250,000	3,185.50			(484.20)	F	2,701.30
A021	Holding client money/assets	Holding client assets	£2,802,705,000	1,094.25					1,094.25
A021	Holding client money/assets	Holding client money	£0	0.00					
AP00	Prudential fee	FCA conduct fees	£24,943	2,993.16					2,993.16
TOTAL				£30,030.41			£(4,500.73)		£25,529.68

Further information – Discounts and Deductions (see also FCA Handbook, FEES 4 Annex 2AR & 11R)					
1 Discount Codes	EB = EEA authorised firm (branch), H = A.7 Class 1 (B) firm, O = OPS firm (A.7 Class 1 (A))				
	M01 – M12 = pro-rata discounts	Periodic fees for New Joining firms and firms extending permissions, are invoiced on a pro-rata basis depending on the month their authorisation is granted, e.g. M01 = April, M02 = May.			
2 Deduction Codes	Rebate due to financial penalties received during the previous financial year under:				
	F = FSMA	P = Payment Services Regulations	E = E-money Regulations	C = Covered Bond Regulations	L = Money Laundering Regulations

**Fee calculation sheet
Firm A**

2014/2015

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Invoice No. PFA14_524964
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Money Advice Service Fee

Fee Block	Description	Tariff Base	Tariff Data	Gross Fee	Discount	Disc Code	£ Net Fee
MAS-A000	Minimum Fee	Minimum fee - code	5	10.00			10.00
MAS-A007	Portfolio managers	Funds under management	£2,000,000,000	995.00			995.00
MAS-A009	Mgrs/dep inv funds/op of CIS/pens	Gross income	£4,000,000	442.26			442.26
MAS-A013	Advrs/argrs (not hold client money)	Annual income	£1,250,000	172.50			172.50
MAS-A021	Holding client money/assets	Holding client assets	£2,802,705,000	131.89			131.89
MAS-A021	Holding client money/assets	Holding client money	£0	0.00			0.00
TOTAL				£1,751.65			£1,751.65

Further information – Discounts and Deductions (see also FCA Handbook, FEES 4 Annex 2AR & 11R)					
1 Discount Codes	EB = EEA authorised firm (branch), H = A.7 Class 1 (B) firm, O = OPS firm (A.7 Class 1 (A))				
	M01 – M12 = pro-rata discounts	Periodic fees for New Joining firms and firms extending permissions, are invoiced on a pro-rata basis depending on the month their authorisation is granted, e.g. M01 = April, M02 = May.			
2 Deduction Codes	Rebate due to financial penalties received during the previous financial year under:				
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Financial Services Compensation Scheme Levy

Base Costs

Cont. Group	Description	Tariff Base	Tariff Data	Base Costs	£ Total Levy
A000	Minimum Fee	FCA fee	£1,000.00	10.70	10.70
A007	Portfolio managers	FCA fee	£17,193.60	297.45	297.45
A009	Mgrs/dep inv funds/op of CIS/pens	FCA fee	£4,563.90	141.91	141.91
A013	Advrs/argrs (not hold client money)	FCA fee	£3,185.50	8.47	8.47
AP00	Prudential fee	FCA fee	£2,993.16	97.67	97.67
Total FCA FSCS Funding					£556.20
Total Base Cost					£556.20

Further information – Discounts and Deductions (see also FCA Handbook, FEES 4 Annex 2AR & 11R)					
1 Discount Codes	EB = EEA authorised firm (branch), H = A.7 Class 1 (B) firm, O = OPS firm (A.7 Class 1 (A))				
	M01 – M12 = pro-rata discounts	Periodic fees for New Joining firms and firms extending permissions, are invoiced on a pro-rata basis depending on the month their authorisation is granted, e.g. M01 = April, M02 = May.			
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**Fee calculation sheet
Firm A**

2014/2015

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Specific and Compensation Costs

Class	Description	Tariff-Base	Tariff-Data	Class Specific Cost	Class Compensation Costs	Retail Pool Costs	£ Total Levy
SD01	Investment - provision	Annual eligible income	£22,500,000.00	514.38	(514.38)		0.00
SD02	Investment - mediation	Annual eligible income	£600,000.00	3,040.37	9,679.02		12,719.39
Total FCA Funding class levy							£12,719.39
Total Specific & compensation cost levy							£12,719.39

Further information – Discounts and Deductions (see also FCA Handbook, FEES 4 Annex 2AR & 11R)

1 Discount Codes	EB = EEA authorised firm (branch), H = A.7 Class 1 (B) firm, O = OPS firm (A.7 Class 1 (A))				
	M01 – M12 = pro-rata discounts	Periodic fees for New Joining firms and firms extending permissions, are invoiced on a pro-rata basis depending on the month their authorisation is granted, e.g. M01 = April, M02 = May.			
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Financial Ombudsman Service Levy

Industry Block	Description	Tariff Base	Tariff Data	Gross Levy	Discount	Disc Code	£ Net Levy
I005	Portfolio managers	Relevant funds under man	£200,000,000	270.00			270.00
I006	Mgrs/dep inv funds/op of CIS/pens	Relevant gross income	£2,000,000	65.00			65.00
I008	Advrs/argrs (holding client money)	Relevant annual income	£1,250,000	197.50			197.50
TOTAL				£532.50			£532.50

Further information – Discounts and Deductions (see also FCA Handbook, FEES 4 Annex 2AR & 11R)					
1 Discount Codes					
EB = EEA authorised firm (branch), H = A.7 Class 1 (B) firm, O = OPS firm (A.7 Class 1 (A))					
	M01 – M12 = pro-rata discounts	Periodic fees for New Joining firms and firms extending permissions, are invoiced on a pro-rata basis depending on the month their authorisation is granted, e.g. M01 = April, M02 = May.			
2 Deduction Codes					
Rebate due to financial penalties received during the previous financial year under:					
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Invoice



Attn: The Compliance Officer
Firm C
Address1
Address 2
Address 3
Town PostCode

Financial Conduct Authority
25 The North Colonnade
Canary Wharf
London
E14 5HS

Customer Contact Centre
Tel: 0845 606 9966
Email: FCAfees@fca.org.uk
www.fca.org.uk

Account number	Invoice number	Invoice date	Payment due date
009999	PFA14_524965	01 July 2014	31 July 2014

REGULATORY FEES & LEVIES: 2014/2015

Financial Conduct Authority Periodic Fee		£287,302.53	
Prudential Regulation Authority Fee		£8,076.41	
Money Advice Service Fee		£67,979.19	
Financial Services Compensation Scheme Levy		£82,327.44	
Financial Ombudsman Service Levy		£65,873.65	
VAT Code	Outside Scope	Total	£ 511,559.22
VAT Registration No.	480 8791 09	V.A.T	0.00
	VAT Rate 0%		

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Amount Due	£ 511,559.22
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Financial Conduct Authority

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Remittance Advice

Account Number 009999 Firm C
Invoice Number PFA14_524965
Invoice Date 01 July 2014

Amount Due	£ 511,559.22
Cheque Number	

<http://www.fca.org.uk/firms/being-regulated/fees>

Ways to pay your invoice



Direct Debit – the easiest way to pay

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By Instalments

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By BACS/CHAPS transfer

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Account number: 00828179
Sort code: 30-00-02
SWIFT number: LOYD GB 2LCTY
IBAN: GB 68 LOYD 3000 0200 8281 79
Please reference your payment with your firm reference number 009999 and invoice number PFA14_524965
Please email your Remittance Advice and payment details to fcafees@fca.org.uk



By Post/Cheque

ALL cheques should be made payable to **The Financial Conduct Authority**, with your firm reference number 009999 written on the back. Please fill in the remittance advice overleaf and send it with your cheque to:
The Financial Conduct Authority
25 The North Colonnade
Canary Wharf
London E14 5HS
Attn : The Cashier - Revenue Dept.



By Maestro/Debit card

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By credit card

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Further information

Calculation of fees

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Information about the types of fees the FCA and PRA charges, including those collected by the FCA for the Financial Ombudsman Service (FOS) and the Financial Services Compensation Scheme (FSCS), can be found at the following web address:

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Late payment and recovery of fees

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Fee-Blocks, Tariff-bases, Tariff-rates and Tariff-data

Detailed information about specific fee-blocks, tariff-bases, tariff-rates and tariff-data can be found in the following sections of the FCA Handbook. These can be accessed from the FCA website, at the following web address:

<http://fshandbook.info/FS/html/handbook/FEES/4>

- **Fee-blocks and tariff-bases:** FEES Manual, Chapter 4, Annex 1AR (FCA) and Annex 1BR (PRA)

- **Tariff-rates:** FEES Manual, Chapter 4, Annex 2AR (FCA) and Annex 2BR (PRA)

- **Main fee rules:** FEES Manual, Chapter 4.

<http://fshandbook.info/FS/html/handbook/FEES/4>

For Money Laundering; Payment Services; Electronic Money and Covered Bonds regulation fee-blocks and tariff bases: FEES Manual Chapter 4, Annex 11

<http://fshandbook.info/FS/html/handbook/FEES/4/Annex11>

For Money Advice Service (MAS) fee blocks and tariff bases: FEES 7:

<http://fshandbook.info/FS/html/handbook/FEES/7>

For Consumer Credit Fees, FEES Manual, Chapter 4, Annex 2AR

<http://fshandbook.info/FS/html/handbook/FEES/4>

Frequently asked questions

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Getting in touch with us

Customer Contact Centre

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Email fcafees@fca.org.uk

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<http://fshandbook.info/FS/html/handbook/FEES/6>

Financial Ombudsman Service (FOS) – FEES Manual, Chapter 5
<http://fshandbook.info/FS/html/handbook/FEES/5>

Financial Reporting Council (FRC) – Details of the FRC levy and its latest publication regarding its levy can be found on their website at <http://www.frc.org.uk/About-the-FRC/Annual-Reports-and-Plans/Funding.aspx>

Online Fee Calculator is available on our website:
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The calculator can be used to provide detailed breakdowns of the FCA and PRA fees, MAS, FSCS and FOS levies.

Financial Conduct Authority Periodic Fee

Fee Block	Description	Tariff Base	Tariff Data	Gross Fee	Discount	Disc Code	Deduction	Deduct Code	£ Net Fee
A000	Minimum Fee	Minimum fee - code	4	500.00					500.00
A001	Deposit Acceptors	MEL	£210,000,000	2,858.00			(251.51)	F	2,606.49
A002	Home finance providers & admin	Number of home fin trans	50,000	108,391.50			(4,118.88)	F	104,272.62
A007	Portfolio managers	Funds under management	£108,500,000	851.04			(175.31)	F	675.73
A009	Mgrs/dep inv funds/op of CIS/pens	Gross income	£4,500,000	5,324.55			(553.75)	F	4,770.80
A010	Firms dealing as principal	Number of traders	25.0	118,584.00			(12,095.57)	F	106,488.43
A013	Advrs/argrs (not hold client money)	Annual income	£2,500,000	6,648.00			(1,010.50)	F	5,637.50
A014	Corporate finance advisers	Annual income	£1,500,000	4,284.00			(505.51)	F	3,778.49
A018	Home finance prvdrs/adv/arrgrs	Annual income	£3,500,000	58,038.00			(9,170.00)	F	48,868.00
A019	General insurance mediation	Annual income	£1,500,000	2,478.00			(210.63)	F	2,267.37
A021	Holding client money/assets	Holding client assets	£12,000,000	5.88					
A021	Holding client money/assets	Holding client money	£65,000,000	4,856.22					4,862.10
CC02	Consumer credit full permission	Consumer credit income	2,500,000	2,575.00					2,575.00
TOTAL				£315,394.19			£(28,091.66)		£287,302.53

Prudential Regulation Authority Periodic Fee

Fee Block	Description	Tariff Base	Tariff Data	Gross Fee	Discount	Disc Code	Deduction	Deduct Code	£ Net Fee
PA00	PRA minimum fee	Minimum fee - code	4	500.00					

Further information – Discounts and Deductions (see also FCA Handbook, FEES 4 Annex 2AR & 11R)					
1 Discount Codes	EB = EEA authorised firm (branch), H = A.7 Class 1 (B) firm, O = OPS firm (A.7 Class 1 (A))				
	M01 – M12 = pro-rata discounts	Periodic fees for New Joining firms and firms extending permissions, are invoiced on a pro-rata basis depending on the month their authorisation is granted, e.g. M01 = April, M02 = May.			
2 Deduction Codes	Rebate due to financial penalties received during the previous financial year under:				
	F = FSMA	P = Payment Services Regulations	E = E-money Regulations	C = Covered Bond Regulations	L = Money Laundering Regulations

**Fee calculation sheet
Firm C**

2014/2015

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					500.00
PA01	Deposit acceptors	MEL	£210,000,000	7,112.00	
					7,112.00
PT01	PRA transition costs	PRA fee	£7,112	464.41	
					464.41
TOTAL			£8,076.41		£8,076.41

Further information – Discounts and Deductions (see also FCA Handbook, FEES 4 Annex 2AR & 11R)					
1 Discount Codes	EB = EEA authorised firm (branch), H = A.7 Class 1 (B) firm, O = OPS firm (A.7 Class 1 (A))				
	M01 – M12 = pro-rata discounts	Periodic fees for New Joining firms and firms extending permissions, are invoiced on a pro-rata basis depending on the month their authorisation is granted, e.g. M01 = April, M02 = May.			
2 Deduction Codes	Rebate due to financial penalties received during the previous financial year under:				
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**Fee calculation sheet
Firm C**

2014/2015

Account No.

009999

Invoice No.

PFA14_524965

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Money Advice Service Fee

Fee Block	Description	Tariff Base	Tariff Data	Gross Fee	Discount	Disc Code	£ Net Fee
MAS-A000	Minimum Fee	Minimum fee - code	4	10.00			10.00
MAS-A001	Deposit Acceptors	MEL	£210,000,000	710.00			710.00
MAS-A002	Home finance providers & admin	Number of home fin trans	50,000	48,951.00			48,951.00
MAS-A007	Portfolio managers	Funds under management	£108,500,000	49.25			49.25
MAS-A009	Mgrs/dep inv funds/op of CIS/pens	Gross income	£4,500,000	515.97			515.97
MAS-A010	Firms dealing as principal	Number of traders	25.0	6,301.20			6,301.20
MAS-A013	Advrs/argrs (not hold client money)	Annual income	£2,500,000	360.00			360.00
MAS-A014	Corporate finance advisers	Annual income	£1,500,000	168.00			168.00
MAS-A018	Home finance prvdrs/adv/arrgrs	Annual income	£3,500,000	8,024.00			8,024.00
MAS-A019	General insurance mediation	Annual income	£1,500,000	106.40			106.40
MAS-A021	Holding client money/assets	Holding client assets	£12,000,000	0.72			
MAS-A021	Holding client money/assets	Holding client money	£65,000,000	595.83			596.55
MAS-CC02	Consumer credit full permission	Consumer credit income	2,500,000	842.50			842.50
MAS-MA01	Debt advice levy: Depositors	Unsecured debt	£6,500,000	1,304.62			1,304.62
MAS-MA02	Debt advice levy: Home Fin Providers	Secured debt	£2,500,000	39.70			39.70
TOTAL				£67,979.19			£67,979.19

Further information – Discounts and Deductions (see also FCA Handbook, FEES 4 Annex 2AR & 11R)				
1 Discount Codes	EB = EEA authorised firm (branch), H = A.7 Class 1 (B) firm, O = OPS firm (A.7 Class 1 (A))			
	M01 – M12 = pro-rata discounts	Periodic fees for New Joining firms and firms extending permissions, are invoiced on a pro-rata basis depending on the month their authorisation is granted, e.g. M01 = April, M02 = May.		
2 Deduction Codes	Rebate due to financial penalties received during the previous financial year under:			
	F = FSMA	P = Payment Services Regulations	E = E-money Regulations	C = Covered Bond Regulations L = Money Laundering Regulations

Financial Services Compensation Scheme Levy

Base Costs

Cont. Group	Description	Tariff Base	Tariff Data	Base Costs	£ Total Levy
PA01	Deposit acceptors	PRA fee	£7,112.00	521.21	521.21
Total PRA FSCS Funding					£521.21
A000	Minimum Fee	FCA fee	£500.00	5.35	5.35
A001	Deposit Acceptors	FCA fee	£2,858.00	(11.51)	(11.51)
A002	Home finance providers & admin	FCA fee	£108,391.50	2,285.44	2,285.44
A007	Portfolio managers	FCA fee	£851.04	14.72	14.72
A009	Mgrs/dep inv funds/op of CIS/pens	FCA fee	£5,324.55	165.56	165.56
A010	Firms dealing as principal	FCA fee	£118,584.00	3,375.07	3,375.07
A013	Advrs/argrs (not hold client money)	FCA fee	£6,648.00	17.67	17.67
A014	Corporate finance advisers	FCA fee	£4,284.00	91.22	91.22
A018	Home finance prvdrs/adv/argrs	FCA fee	£58,038.00	534.34	534.34
A019	General insurance mediation	FCA fee	£2,478.00	(23.87)	(23.87)
Total FCA FSCS Funding					£6,453.99
Total Base Cost					£6,975.20

Further information – Discounts and Deductions (see also FCA Handbook, FEES 4 Annex 2AR & 11R)					
1 Discount Codes	EB = EEA authorised firm (branch), H = A.7 Class 1 (B) firm, O = OPS firm (A.7 Class 1 (A))				
	M01 – M12 = pro-rata discounts	Periodic fees for New Joining firms and firms extending permissions, are invoiced on a pro-rata basis depending on the month their authorisation is granted, e.g. M01 = April, M02 = May.			
2 Deduction Codes	Rebate due to financial penalties received during the previous financial year under:				
	F = FSMA	P = Payment Services Regulations	E = E-money Regulations	C = Covered Bond Regulations	L = Money Laundering Regulations

**Fee calculation sheet
Firm C**

2014/2015

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009999

Invoice No.

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Specific and Compensation Costs

Class	Description	Tariff-Base	Tariff-Data	Class Specific Cost	Class Compensation Costs	Retail Pool Costs	£ Total Levy
SA01	Deposit	Protected deposits	£10,550,000.00	138.50	(62.85)		75.65
Total PRA Funding class levy							£75.65
SB02	General insurance - mediation	Annual eligible income	£3,000,000.00	3,548.34	15,234.83		18,783.17
SC02	Life & pension - mediation	Annual eligible income	£9,000,000.00	7,658.72	27,635.71		35,294.43
SD01	Investment - provision	Annual eligible income	£9,000,000.00	205.75	(205.75)		0.00
SD02	Investment - mediation	Annual eligible income	£1,000,000.00	5,067.29	16,131.70		21,198.99
SE02	Home finance - mediation	Annual eligible income	£2,500,000.00	(1,874.95)	1,874.95		0.00
Total FCA Funding class levy							£75,276.59
Total Specific & compensation cost levy							£75,352.24

Further information – Discounts and Deductions (see also FCA Handbook, FEES 4 Annex 2AR & 11R)

1 Discount Codes	EB = EEA authorised firm (branch), H = A.7 Class 1 (B) firm, O = OPS firm (A.7 Class 1 (A))				
	M01 – M12 = pro-rata discounts	Periodic fees for New Joining firms and firms extending permissions, are invoiced on a pro-rata basis depending on the month their authorisation is granted, e.g. M01 = April, M02 = May.			
2 Deduction Codes	Rebate due to financial penalties received during the previous financial year under:				
	F = FSMA	P = Payment Services Regulations	E = E-money Regulations	C = Covered Bond Regulations	L = Money Laundering Regulations

Financial Ombudsman Service Levy

Industry Block	Description	Tariff Base	Tariff Data	Gross Levy	Discount	Disc Code	£ Net Levy
I001	Deposit acceptors/home fin prvdrs	Number of relevant accounts	1,500,000	64,635.00			64,635.00
I005	Portfolio managers	Relevant funds under man	£950,000	270.00			270.00
I006	Mgrs/dep inv funds/op of CIS/pens	Relevant gross income	£420,000	65.00			65.00
I007	Firms dealing as principal	Number of relevant accounts	25	75.00			75.00
I008	Advrs/argrs (holding client money)	Relevant annual income	£2,500,000	395.00			395.00
I010	Corporate finance advisers	Relevant annual income	£1,500,000	55.00			55.00
I016	Home finance prvdrs/adv/arrgrs	Relevant annual income	£2,500,000	85.00			85.00
I017	General insurance mediation	Relevant annual income	£500,000	258.65			258.65
I020	Consumer credit full permission	Consumer credit income	2,500,000	35.00			35.00
TOTAL				£65,873.65			£65,873.65

Further information – Discounts and Deductions (see also FCA Handbook, FEES 4 Annex 2AR & 11R)					
1 Discount Codes	EB = EEA authorised firm (branch), H = A.7 Class 1 (B) firm, O = OPS firm (A.7 Class 1 (A))				
	M01 – M12 = pro-rata discounts	Periodic fees for New Joining firms and firms extending permissions, are invoiced on a pro-rata basis depending on the month their authorisation is granted, e.g. M01 = April, M02 = May.			
2 Deduction Codes	Rebate due to financial penalties received during the previous financial year under:				
	F = FSMA	P = Payment Services Regulations	E = E-money Regulations	C = Covered Bond Regulations	L = Money Laundering Regulations

Invoice



Attn: The Compliance Officer
Firm B
Address 1
Address 2
Address 3
Town PostCode

Financial Conduct Authority
25 The North Colonnade
Canary Wharf
London
E14 5HS

Customer Contact Centre
Tel: 0845 606 9966
Email: FCAfees@fca.org.uk
www.fca.org.uk

Account number	Invoice number	Invoice date	Payment due date
000999	PFA14_524968	01 July 2014	31 July 2014

REGULATORY FEES & LEVIES: 2014/2015

Financial Conduct Authority Periodic Fee		£1,250.00	
Money Advice Service Fee		£20.00	
Financial Services Compensation Scheme Levy		£1,940.39	
Financial Ombudsman Service Levy		£265.00	
VAT Code	Outside Scope	Total	£ 3,475.39
VAT Registration No.	480 8791 09	V.A.T	0.00
	VAT Rate 0%		

Where applicable, this invoice is raised by the Financial Conduct Authority on behalf of the Prudential Regulation Authority, the Money Advice Service, the Financial Ombudsman Service, the Financial Reporting Council and the Financial Services Compensation Scheme as the authorised collection agent.

Amount Due

£ 3,475.39

Financial Conduct Authority

Please make cheques payable to the 'Financial Conduct Authority' and return this portion with your remittance to:
The Cashier, Revenue Department, The Financial Conduct Authority, 25 The North Colonnade, Canary Wharf,
London E14 5HS, United Kingdom.



Remittance Advice

Account Number 000999 Firm B
Invoice Number PFA14_524968
Invoice Date 01 July 2014

Amount Due

£ 3,475.39

Cheque Number

<http://www.fca.org.uk/firms/being-regulated/fees>

Ways to pay your invoice



Direct Debit – the easiest way to pay

Simply go to our website and download the Direct Debit form, fill it in and return to us by 11 July 2014. Before sending the form please check that - your account number has 8 digits; your sort code has 6 digits; and your bank account can accept direct debits (your bank can confirm this), or you can telephone the Customer Contact Centre for details of how to create the Direct Debit instruction.

<http://www.fca.org.uk/firms/being-regulated/fees/annual/Payment-methods>



By Instalments

Regulatory fees may be paid by instalment. Simply complete the Premium Credit Limited agreement form on-line at <http://www.premium-credit.co.uk/fca.html> by 16 July 2014. The FCA, whilst not endorsing Premium Credit Limited over other credit providers, has agreed to publicise the company as the unanimous choice of designated trade associations.



By BACS/CHAPS transfer

Funds should be transferred to the following account:

Account name: FCA Collection Account
Bank name: Lloyds TSB Bank
Account number: 00828179
Sort code: 30-00-02
SWIFT number: LOYD GB 2LCTY
IBAN: GB 68 LOYD 3000 0200 8281 79
Please reference your payment with your firm reference number 000999 and invoice number PFA14_524968
Please email your Remittance Advice and payment details to fcafees@fca.org.uk



By Post/Cheque

ALL cheques should be made payable to **The Financial Conduct Authority**, with your firm reference number 000999 written on the back. Please fill in the remittance advice overleaf and send it with your cheque to:
The Financial Conduct Authority
25 The North Colonnade
Canary Wharf
London E14 5HS
Attn : The Cashier - Revenue Dept.



By Maestro/Debit card

Please complete the debit card form on our website at <http://www.fca.org.uk/firms/being-regulated/fees/annual/Payment-methods>



By credit card

Please complete the credit card form on our website at <http://www.fca.org.uk/firms/being-regulated/fees/annual/Payment-methods>
An additional 2% of the invoice value will be payable if Regulatory fee invoices are paid by credit card.

Further information

Calculation of fees

The general provisions that allow the FCA to charge fees to firms can be found in the FCA Handbook, FEES Manual, Chapter 2.

This can be accessed at the following web address:
<http://fshandbook.info/FS/html/handbook/FEES/2>

Information about the types of fees the FCA and PRA charges, including those collected by the FCA for the Financial Ombudsman Service (FOS) and the Financial Services Compensation Scheme (FSCS), can be found at the following web address:

<http://www.fca.org.uk/firms/being-regulated/fees/others>

Further details about how regulatory fees are calculated can be found at the following web address:

<http://www.fca.org.uk/firms/being-regulated/fees/annual>

Late payment and recovery of fees

If regulatory fees are not paid by the due date, a £250 surcharge will apply and interest will commence being charged on any unpaid amount at a rate of 5% above the Bank of England's repo rate.

Where payment is still not forthcoming, civil and/or regulatory action to recover the debt may be taken.

Fee-Blocks, Tariff-bases, Tariff-rates and Tariff-data

Detailed information about specific fee-blocks, tariff-bases, tariff-rates and tariff-data can be found in the following sections of the FCA Handbook. These can be accessed from the FCA website, at the following web address:

<http://fshandbook.info/FS/html/handbook/FEES/4>

- **Fee-blocks and tariff-bases:** FEES Manual, Chapter 4, Annex 1AR (FCA) and Annex 1BR (PRA)

- **Tariff-rates:** FEES Manual, Chapter 4, Annex 2AR (FCA) and Annex 2BR (PRA)

- **Main fee rules:** FEES Manual, Chapter 4.

<http://fshandbook.info/FS/html/handbook/FEES/4>

For Money Laundering; Payment Services; Electronic Money and Covered Bonds regulation fee-blocks and tariff bases: FEES Manual Chapter 4, Annex 11

<http://fshandbook.info/FS/html/handbook/FEES/4/Annex11>

For Money Advice Service (MAS) fee blocks and tariff bases: FEES 7:

<http://fshandbook.info/FS/html/handbook/FEES/7>

For Consumer Credit Fees, FEES Manual, Chapter 4, Annex 2AR

<http://fshandbook.info/FS/html/handbook/FEES/4>

Frequently asked questions

Before calling the Customer Contact Centre, please check that your query is not covered in our list of common queries, which can be accessed at the following web address:

<http://www.fca.org.uk/firms/being-regulated/fees/faqs>

Getting in touch with us

Customer Contact Centre

Contact the Customer Contact Centre if you have a query on this invoice or making payment.

Phone 0845 606 9966

Email fcafees@fca.org.uk

Financial Services Compensation Scheme (FSCS) – FEES Manual, Chapter 6
<http://fshandbook.info/FS/html/handbook/FEES/6>

Financial Ombudsman Service (FOS) – FEES Manual, Chapter 5
<http://fshandbook.info/FS/html/handbook/FEES/5>

Financial Reporting Council (FRC) – Details of the FRC levy and its latest publication regarding its levy can be found on their website at <http://www.frc.org.uk/About-the-FRC/Annual-Reports-and-Plans/Funding.aspx>

Online Fee Calculator is available on our website:
<http://www.fca.org.uk/firms/being-regulated/fees/calculator>

The calculator can be used to provide detailed breakdowns of the FCA and PRA fees, MAS, FSCS and FOS levies.

Financial Conduct Authority Periodic Fee

Fee Block	Description	Tariff Base	Tariff Data	Gross Fee	Discount	Disc Code	Deduction	Deduct Code	£ Net Fee
A000	Minimum Fee	Minimum fee - code	5	1,000.00					1,000.00
A013	Advrs/argrs (not hold client money)	Annual income	£100,000	0.00			0.00	F	0.00
A018	Home finance prvdrs/adv/arrgrs	Annual income	£85,000	0.00			0.00	F	0.00
A019	General insurance mediation	Annual income	£80,000	0.00			0.00	F	0.00
AP00	Prudential fee	FCA conduct fees	£0	0.00					0.00
CC01	Consumer credit limited permission	Consumer credit income	50,000	250.00					250.00
TOTAL				£1,250.00			£0.00		£1,250.00

Further information – Discounts and Deductions (see also FCA Handbook, FEES 4 Annex 2AR & 11R)					
1 Discount Codes					
EB = EEA authorised firm (branch), H = A.7 Class 1 (B) firm, O = OPS firm (A.7 Class 1 (A))					
		M01 – M12 = pro-rata discounts	Periodic fees for New Joining firms and firms extending permissions, are invoiced on a pro-rata basis depending on the month their authorisation is granted, e.g. M01 = April, M02 = May.		
2 Deduction Codes					
Rebate due to financial penalties received during the previous financial year under:					
F = FSMA		P = Payment Services Regulations		E = E-money Regulations	C = Covered Bond Regulations
L = Money Laundering Regulations					

**Fee calculation sheet
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Money Advice Service Fee

Fee Block	Description	Tariff Base	Tariff Data	Gross Fee	Discount	Disc Code	£ Net Fee
MAS-A000	Minimum Fee	Minimum fee - code	5	10.00			10.00
MAS-A013	Advrs/argrs (not hold client money)	Annual income	£100,000	0.00			0.00
MAS-A018	Home finance prvdrs/adv/arrgrs	Annual income	£85,000	0.00			0.00
MAS-A019	General insurance mediation	Annual income	£80,000	0.00			0.00
MAS-CC01	Consumer credit limited permission	Consumer credit income	50,000	10.00			10.00
TOTAL				£20.00			£20.00

Further information – Discounts and Deductions (see also FCA Handbook, FEES 4 Annex 2AR & 11R)

1 Discount Codes	EB = EEA authorised firm (branch), H = A.7 Class 1 (B) firm, O = OPS firm (A.7 Class 1 (A))				
	M01 – M12 = pro-rata discounts	Periodic fees for New Joining firms and firms extending permissions, are invoiced on a pro-rata basis depending on the month their authorisation is granted, e.g. M01 = April, M02 = May.			
2 Deduction Codes	Rebate due to financial penalties received during the previous financial year under:				
	F = FSMA	P = Payment Services Regulations	E = E-money Regulations	C = Covered Bond Regulations	L = Money Laundering Regulations

Financial Services Compensation Scheme Levy

Base Costs

Cont. Group	Description	Tariff Base	Tariff Data	Base Costs	£ Total Levy
A000	Minimum Fee	FCA fee	£1,000.00	10.70	
					10.70
A013	Advrs/argrs (not hold client money)	FCA fee	£0.00	0.00	
					0.00
A018	Home finance prvdrs/adv/arrgrs	FCA fee	£0.00	0.00	
					0.00
A019	General insurance mediation	FCA fee	£0.00	0.00	
					0.00
AP00	Prudential fee	FCA fee	£0.00	0.00	
					0.00
Total FCA FSCS Funding					£10.70
Total Base Cost					£10.70

Further information – Discounts and Deductions (see also FCA Handbook, FEES 4 Annex 2AR & 11R)					
1 Discount Codes	EB = EEA authorised firm (branch), H = A.7 Class 1 (B) firm, O = OPS firm (A.7 Class 1 (A))				
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2 Deduction Codes	Rebate due to financial penalties received during the previous financial year under:				
	F = FSMA	P = Payment Services Regulations	E = E-money Regulations	C = Covered Bond Regulations	L = Money Laundering Regulations

**Fee calculation sheet
Firm B**

2014/2015

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Specific and Compensation Costs

Class	Description	Tariff-Base	Tariff-Data	Class Specific Cost	Class Compensation Costs	Retail Pool Costs	£ Total Levy
SB02	General insurance - mediation	Annual eligible income	£80,000.00	94.62	406.26		500.88
SC02	Life & pension - mediation	Annual eligible income	£40,000.00	34.04	122.83		156.87
SD02	Investment - mediation	Annual eligible income	£60,000.00	304.04	967.90		1,271.94
SE02	Home finance - mediation	Annual eligible income	£85,000.00	(63.75)	63.75		0.00
Total FCA Funding class levy							£1,929.69
Total Specific & compensation cost levy							£1,929.69

Further information – Discounts and Deductions (see also FCA Handbook, FEES 4 Annex 2AR & 11R)

1 Discount Codes	EB = EEA authorised firm (branch), H = A.7 Class 1 (B) firm, O = OPS firm (A.7 Class 1 (A))				
	M01 – M12 = pro-rata discounts	Periodic fees for New Joining firms and firms extending permissions, are invoiced on a pro-rata basis depending on the month their authorisation is granted, e.g. M01 = April, M02 = May.			
2 Deduction Codes	Rebate due to financial penalties received during the previous financial year under:				
	F = FSMA	P = Payment Services Regulations	E = E-money Regulations	C = Covered Bond Regulations	L = Money Laundering Regulations

Financial Ombudsman Service Levy

Industry Block	Description	Tariff Base	Tariff Data	Gross Levy	Discount	Disc Code	£ Net Levy
I009	Advrs/argrs (not hold client money)	Relevant annual income	£100,000	45.00			45.00
I016	Home finance prvdrs/adv/arrgrs	Relevant annual income	£85,000	85.00			85.00
I017	General insurance mediation	Relevant annual income	£80,000	100.00			100.00
I019	Consumer credit limited permission	Flat FOS levy	0	35.00			35.00
TOTAL				£265.00			£265.00

Further information – Discounts and Deductions (see also FCA Handbook, FEES 4 Annex 2AR & 11R)

1 Discount Codes	EB = EEA authorised firm (branch), H = A.7 Class 1 (B) firm, O = OPS firm (A.7 Class 1 (A))				
	M01 – M12 = pro-rata discounts	Periodic fees for New Joining firms and firms extending permissions, are invoiced on a pro-rata basis depending on the month their authorisation is granted, e.g. M01 = April, M02 = May.			
2 Deduction Codes	Rebate due to financial penalties received during the previous financial year under:				
	F = FSMA	P = Payment Services Regulations	E = E-money Regulations	C = Covered Bond Regulations	L = Money Laundering Regulations

Invoice



Attn: The Compliance Officer
Firm D
Address 1
Address 2
Address 3
Town PostCode

Financial Conduct Authority
25 The North Colonnade
Canary Wharf
London
E14 5HS

Customer Contact Centre
Tel: 0845 606 9966
Email: FCAfees@fca.org.uk
www.fca.org.uk

Account number	Invoice number	Invoice date	Payment due date
099999	PFA14_524978	01 July 2014	31 July 2014

REGULATORY FEES & LEVIES: 2014/2015

Financial Conduct Authority Periodic Fee		£501.75	
Money Advice Service Fee		£10.00	
Financial Ombudsman Service Levy		£35.00	
VAT Code	Outside Scope		
VAT Registration No.	480 8791 09	VAT Rate 0%	
		Total	£ 546.75
		V.A.T	0.00

Where applicable, this invoice is raised by the Financial Conduct Authority on behalf of the Prudential Regulation Authority, the Money Advice Service, the Financial Ombudsman Service, the Financial Reporting Council and the Financial Services Compensation Scheme as the authorised collection agent.

Amount Due £ 546.75

Financial Conduct Authority

Please make cheques payable to the 'Financial Conduct Authority' and return this portion with your remittance to:
The Cashier, Revenue Department, The Financial Conduct Authority, 25 The North Colonnade, Canary Wharf,
London E14 5HS, United Kingdom.



Remittance Advice

Account Number 099999 Firm D
Invoice Number PFA14_524978
Invoice Date 01 July 2014

Amount Due £ 546.75
Cheque Number

<http://www.fca.org.uk/firms/being-regulated/fees>

Ways to pay your invoice



Direct Debit – the easiest way to pay

Simply go to our website and download the Direct Debit form, fill it in and return to us by 11 July 2014. Before sending the form please check that your account number has 8 digits; your sort code has 6 digits; and your bank account can accept direct debits (your bank can confirm this), or you can telephone the Customer Contact Centre for details of how to create the Direct Debit instruction.

<http://www.fca.org.uk/firms/being-regulated/fees/annual/Payment-methods>



By Instalments

Regulatory fees may be paid by instalment. Simply complete the Premium Credit Limited agreement form on-line at <http://www.premium-credit.co.uk/fca.html> by 16 July 2014. The FCA, whilst not endorsing Premium Credit Limited over other credit providers, has agreed to publicise the company as the unanimous choice of designated trade associations.



By BACS/CHAPS transfer

Funds should be transferred to the following account:

Account name: FCA Collection Account
Bank name: Lloyds TSB Bank
Account number: 00828179
Sort code: 30-00-02
SWIFT number: LOYD GB 2LCTY
IBAN: GB 68 LOYD 3000 0200 8281 79
Please reference your payment with your firm reference number 099999 and invoice number PFA14_524978
Please email your Remittance Advice and payment details to fcafees@fca.org.uk



By Post/Cheque

ALL cheques should be made payable to **The Financial Conduct Authority**, with your firm reference number 099999 written on the back. Please fill in the remittance advice overleaf and send it with your cheque to:
The Financial Conduct Authority
25 The North Colonnade
Canary Wharf
London E14 5HS
Attn : The Cashier - Revenue Dept.



By Maestro/Debit card

Please complete the debit card form on our website at <http://www.fca.org.uk/firms/being-regulated/fees/annual/Payment-methods>



By credit card

Please complete the credit card form on our website at <http://www.fca.org.uk/firms/being-regulated/fees/annual/Payment-methods>
An additional 2% of the invoice value will be payable if Regulatory fee invoices are paid by credit card.

Further information

Calculation of fees

The general provisions that allow the FCA to charge fees to firms can be found in the FCA Handbook, FEES Manual, Chapter 2. This can be accessed at the following web address:

<http://fshandbook.info/FS/html/handbook/FEES/2>

Information about the types of fees the FCA and PRA charges, including those collected by the FCA for the Financial Ombudsman Service (FOS) and the Financial Services Compensation Scheme (FSCS), can be found at the following web address:

<http://www.fca.org.uk/firms/being-regulated/fees/others>

Further details about how regulatory fees are calculated can be found at the following web address:

<http://www.fca.org.uk/firms/being-regulated/fees/annual>

Late payment and recovery of fees

If regulatory fees are not paid by the due date, a £250 surcharge will apply and interest will commence being charged on any unpaid amount at a rate of 5% above the Bank of England's repo rate.

Where payment is still not forthcoming, civil and/or regulatory action to recover the debt may be taken.

Fee-Blocks, Tariff-bases, Tariff-rates and Tariff-data

Detailed information about specific fee-blocks, tariff-bases, tariff-rates and tariff-data can be found in the following sections of the FCA Handbook. These can be accessed from the FCA website, at the following web address:

<http://fshandbook.info/FS/html/handbook/FEES/4>

- **Fee-blocks and tariff-bases:** FEES Manual, Chapter 4, Annex 1AR (FCA) and Annex 1BR (PRA)

- **Tariff-rates:** FEES Manual, Chapter 4, Annex 2AR (FCA) and Annex 2BR (PRA)

- **Main fee rules:** FEES Manual, Chapter 4.

<http://fshandbook.info/FS/html/handbook/FEES/4>

For Money Laundering; Payment Services; Electronic Money and Covered Bonds regulation fee-blocks and tariff bases: FEES Manual Chapter 4, Annex 11

<http://fshandbook.info/FS/html/handbook/FEES/4/Annex11>

For Money Advice Service (MAS) fee blocks and tariff bases: FEES 7:

<http://fshandbook.info/FS/html/handbook/FEES/7>

For Consumer Credit Fees, FEES Manual, Chapter 4, Annex 2AR

<http://fshandbook.info/FS/html/handbook/FEES/4>

Frequently asked questions

Before calling the Customer Contact Centre, please check that your query is not covered in our list of common queries, which can be accessed at the following web address:

<http://www.fca.org.uk/firms/being-regulated/fees/faqs>

Getting in touch with us

Customer Contact Centre

Contact the Customer Contact Centre if you have a query on this invoice or making payment.

Phone 0845 606 9966

Email fcafees@fca.org.uk

Financial Services Compensation Scheme (FSCS) – FEES Manual, Chapter 6
<http://fshandbook.info/FS/html/handbook/FEES/6>

Financial Ombudsman Service (FOS) – FEES Manual, Chapter 5
<http://fshandbook.info/FS/html/handbook/FEES/5>

Financial Reporting Council (FRC) – Details of the FRC levy and its latest publication regarding its levy can be found on their website at <http://www.frc.org.uk/About-the-FRC/Annual-Reports-and-Plans/Funding.aspx>

Online Fee Calculator is available on our website:
<http://www.fca.org.uk/firms/being-regulated/fees/calculator>

The calculator can be used to provide detailed breakdowns of the FCA and PRA fees, MAS, FSCS and FOS levies.

Financial Conduct Authority Periodic Fee

Fee Block	Description	Tariff Base	Tariff Data	Gross Fee	Discount	Disc Code	Deduction	Deduct Code	£ Net Fee
CC01	Consumer credit limited permission	Consumer credit income	255,000	501.75					501.75
TOTAL				£501.75					£501.75

Money Advice Service Fee

Fee Block	Description	Tariff Base	Tariff Data	Gross Fee	Discount	Disc Code	£ Net Fee
MAS-CC01	Consumer credit limited permission	Consumer credit income	255,000	10.00			10.00
TOTAL				£10.00			£10.00

Financial Ombudsman Service Levy

Industry Block	Description	Tariff Base	Tariff Data	Gross Levy	Discount	Disc Code	£ Net Levy
1019	Consumer credit limited permission	Flat FOS levy	255,000	35.00			35.00
TOTAL				£35.00			£35.00

Further information – Discounts and Deductions (see also FCA Handbook, FEES 4 Annex 2AR & 11R)					
1 Discount Codes	EB = EEA authorised firm (branch), H = A.7 Class 1 (B) firm, O = OPS firm (A.7 Class 1 (A))				
	M01 – M12 = pro-rata discounts	Periodic fees for New Joining firms and firms extending permissions, are invoiced on a pro-rata basis depending on the month their authorisation is granted, e.g. M01 = April, M02 = May.			
2 Deduction Codes	Rebate due to financial penalties received during the previous financial year under:				
	F = FSMA	P = Payment Services Regulations	E = E-money Regulations	C = Covered Bond Regulations	L = Money Laundering Regulations

