

Application for Authority to Deal

Please return this top copy to:

Client Maintenance Department, Saga Share Direct, PO Box 15172, Glasgow G2 4JQ.

Please retain the client's copy and nominated user's copy for your respective records.

Which account numbers are you granting user access to?

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Your details

Name			
Address			
		Postcode	
E-mail		Telephone No.	

Nominated user details

Name			
Address			
		Postcode	
E-mail		Telephone No.	
Date of birth	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/>	<input type="checkbox"/> Please tick if you are an existing Saga Share Direct client	

I hereby authorise the above nominated user access as follows (please tick one option):

- | | |
|---|--|
| <input type="checkbox"/> Read-only access including: <ul style="list-style-type: none"> Viewing the selected accounts online Receiving information about the selected accounts by telephone. | <input type="checkbox"/> Dealing access including: <ul style="list-style-type: none"> Selling any investments Purchasing any investments Giving any necessary instructions in the event of corporate actions on these investments. |
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Please note: if a situation arises where we need to contact someone urgently about a deal, order or corporate action, we will contact the account owner.

I hereby acknowledge and confirm that:

- Any instructions given by the nominated user are solely on my behalf, for my account and are my responsibility, in line with the product terms and conditions
- The authority I have granted shall be fully effective until Saga Share Direct receives written notice of the revocation of this authority signed by me
- All deals executed by Saga Share Direct, prior to receipt of written revocation of this authority by Saga Share Direct, shall be binding
- In the event of my death, all deals executed by Saga Share Direct, prior to receipt of formal notification of my death, shall be binding
- The user agrees to their personal and financial information being processed as stated in 'User information.'

Client signature

Date / /

Nominated user signature

Date / /

Client signature (if joint account)

Date / /

User information

We, Saga Share Direct and BSL, will store and process Your Information on the Saga Group computers and in any other way. By "Your Information" we mean personal and financial information we:

- a) Obtain from you or from third parties, such as joint account holders or other organisations when you apply for an account as a User or any other product or service or which you or they give to us at any other time; or
- b) Learn from the way you use and manage the account(s), from the transactions made and from the payments which are made to the account.

Where you provide personal and financial information relating to others (including a User) for the purposes of administering or managing the Account or Service under the Agreement you acknowledge that you have their consent to provide personal and financial information to us and for us to process it in accordance with the Agreement.

We and other companies in the Saga Group will use Your Information to manage the account(s), give statements and provide our services, for assessment and analysis (including market and product analysis), and to develop and improve our services to you and other customers and protect our interests.

For your protection and ours, to check Instructions and to maintain high quality service standards, we may record and monitor calls made to or by us.

Internet communications are not secure unless the data being sent is encrypted. We cannot accept any responsibility for unauthorised access by a third party or the corruption of data sent to us. For security purposes we may monitor e-mails received by us or issued by us.

We may give information about you and how you manage your account to the following:

- People who provide a service to us or are acting as our agents, on the understanding that they will keep the information confidential.
- To companies and organisations that help us to process transactions for your account, for example, executing your trades at an exchange.
- Anyone to whom we transfer or may transfer our rights and duties under this agreement.
- To the Account holder for the purpose of managing and administering the Service.
- Where you have carried out transactions through a financial adviser or agent then that person will be deemed to be the agent to whom full details of the investments may be disclosed unless we are advised to the contrary in writing.
- We may also give out information about you if we have a duty to do so or if the law allows us to do so.

Otherwise we will keep information about you confidential.

If we transfer Your Information to a service provider or agent in another country, we will make sure that the service provider or agent agrees to apply the same levels of protection as we are required to apply to information held in the UK and to use Your Information only for the purpose of providing the service to us.

Accessing your records under the Data Protection Act.

For more details please write to:

**The Data Protection Co-ordinator, Saga Share Direct,
PO Box 15172, Tay House, 300 Bath Street, Glasgow, G2 4LH.**