

# To : HKEx - Trading Division, Cash Market Fax No. : 2521-7899 or 2868-5223

# Feedback Form - Market Rehearsal for Increase of AMS/3 Market Data Broadcast Rate held on 6 November 2010

(Please complete Sections I to II and return the Feedback Form by fax to HKEx on or before 8 November 2010)

### Section I Participant Information

Participant Name	Firm ID
Contact Person	Contact Telephone No.

## **Section II Participant Feedback** (please tick the appropriate box)

1.	Did you encounter any unresolved connectivity or log on issues during start of the market rehearsal?		
	No. Ves, please specify :		
2.	Did you experience any system failure or interruption during the market rehearsal?		
	No. Ves, please specify :		
3.	Sessions?		
	(Note : The average response time means the time taken by AMS/3 to provide the order/trade confirmation reply after the order input.)Session 1 (10:45am - 10:55am) $\Box < 2$ Seconds $\Box < 2.4$ Seconds $\Box > 4$ Seconds		
	Session 2 (11:45am - 11:55am) $\Box$ < 2 Seconds		
	If the response time of order/trade confirmation exceeds 2 seconds for majority of the time during the Volume Test Sessions, please provide below relevant details of the transactions (e.g. transaction time, stock code, order price) for analysis by HKEx:		
4.	Did you encounter any error or problem for trading activities (e.g. order/trade input, securities enquiry, etc.) conducted outside the two Volume Test Sessions?		
	No. Ves, please specify :		
5.	If you have further comments about the market rehearsal, please specify below:		

Signature of Responsible Officer			
with Company Chop	:	Date :	
Name of Responsible Officer	:		



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	No. Ves, please specify :		
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3.	What was the <b>average</b> response time you experienced for order and trade confirmation during the Volume Tes Sessions? (Note : The average response time means the time taken by AMS/3 to provide the order/trade confirmation reply after the order input.)		
	Session 1 (10:45am - 10:55am) $\square$ < 2 Seconds		
	Session 2 (11:45am - 11:55am) $\Box$ < 2 Seconds $\Box$ 2-4 Seconds $\Box$ > 4 Seconds		
	If the response time of order/trade confirmation exceeds 2 seconds for majority of the time during the Volume Test Sessions, please provide below relevant details of the transactions (e.g. transaction time, stock code, order price) for analysis by HKEx:		
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Contact Person Contact Telephone No.		
<b>Section II Participant Feedback</b> (please tick the appropriate box)		
<ul> <li>Did you encounter any unresolved connectivity or log on issues during start of the market rehearsal?</li> <li>No.  Yes, please specify :</li></ul>		
<ul> <li>Did you experience any system failure or interruption during the market rehears</li> <li>No.</li> <li>Yes, please specify :</li></ul>		
. What was the <b>average</b> response time you experienced for order and trade confirmation during the Volume Test Sessions? (Note : The average response time means the time taken by AMS/3 to provide the order/trade confirmation reply after the order input.)		
Session 1 (10:45am - 10:55am) $\Box$ < 2 Seconds $\Box$ 2-4 Seconds	onds $\Box > 4$ Seconds	
Session 2 (11:45am - 11:55am) $\Box$ < 2 Seconds $\Box$ 2-4 Seconds	onds $\square > 4$ Seconds	
If the response time of order/trade confirmation exceeds 2 seconds for majority of the time during the Volume Test Session, please provide below relevant details of the transactions (e.g. transaction time, stock code, order price) for analysis by HKEx:		
(It should be noted that slow response could occur if the BSS system does not have sufficien data broadcast rate of price updates that could reach 1,000 stock page updates per second a users should also be prepared to submit associated OG logs to HKEx to facilitate diagnosis	during the peak of the Volume Test. BSS	
4. Did you encounter any error or problem for trading activities (e.g. order/traconducted outside the two Volume Test Sessions?	Did you encounter any error or problem for trading activities (e.g. order/trade input, securities enquiry, etc.) conducted outside the two Volume Test Sessions?	
□ No. □ Yes, please specify :		
If you have further comments about the market rehearsal, please specify below:		

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with Company Chop	:	Date :
Name of Responsible Officer	:	