



The EU Framework Programme for  
Research and Innovation

# HORIZON 2020

## **Self-evaluation form**

only applicable for

Calls:

**H2020-FETOPEN-2014/2015**

**H2020-FETPROACT-2014**

Topics:

**FETOPEN 1 – 2014/2015: FET-Open research projects**

**FETPROACT 1 – 2014: Global Systems Science (GSS)**

**FETPROACT 2 – 2014: Knowing, doing, being:  
cognition beyond problem solving**

**FETPROACT 3 – 2014: Quantum simulation**

Type of action:

**Research and innovation actions**

Version 1.0  
13 January 2014

This form is made available to applicants who may themselves wish to arrange an evaluation of their proposal (e.g. by an impartial colleague) prior to final editing, submission and deadline. The aim is to help applicants identify ways to improve their proposals.

The forms used by the experts for their evaluation reports will be broadly similar, although the detail and layout may differ.

This form is based on the criteria, scores and thresholds applicable to the Future and Emerging Technologies FETPROACT call and to the FETOPEN call for topic FETOPEN1. The definitive evaluation schemes are given in the work programme.

A self-evaluation, if carried out, is not to be submitted to the Commission, and has no bearing whatsoever on the conduct of the evaluation.

**Scoring:** Scores must be in the range 1-5. Half marks may be given.

**Interpretation of the scores**

- 0** — The **proposal fails to address the criterion** or cannot be assessed due to missing or incomplete information.
- 1** — **Poor.** The criterion is inadequately addressed, or there are serious inherent weaknesses.
- 2** — **Fair.** The proposal broadly addresses the criterion, but there are significant weaknesses.
- 3** — **Good.** The proposal addresses the criterion well, but a number of shortcomings are present.
- 4** — **Very Good.** The proposal addresses the criterion very well, but a small number of shortcomings are present.
- 5** — **Excellent.** The proposal successfully addresses all relevant aspects of the criterion. Any shortcomings are minor.

**Thresholds:** The thresholds for the individual criteria are specified under each individual criterion. There is no overall threshold for this type of FET Research and Innovation Action.

**Weighting:** The weight of each individual criterion is specified under each individual criterion.

**1. Excellence**

*Note: The following aspects will be taken into account, to the extent that the proposed work corresponds to the topic description in the work programme:*

- Clarity of targeted breakthrough and its specific science and technology contributions towards a long-term vision;
- Novelty, level of ambition and foundational character;
- Range and added value from interdisciplinarity;
- Appropriateness of the research methods.

Comments:

**Score 1:**  
*Threshold: 4/5*  
*Weight: 60%*

## 2. Impact

*Note: The following aspects will be taken into account, to the extent to which the outputs of the project should contribute at the European and/or International level:*

- Importance of the new technological outcome with regards to its transformational impact on technology and/or society;
- Quality of measures for achieving impact on science, technology and/or society;
- Impact from empowerment of new and high potential actors towards future technological leadership.

Comments:

**Score 2:**  
*Threshold: 3,5/5*  
*Weight: 20%*

## 3. Quality and efficiency of the implementation\*

*Note: The following aspects will be taken into account:*

- Quality of the work plan and clarity of intermediate targets;
- Relevant expertise in the consortium;
- Appropriate allocation and justification of resources (person-months, equipment, budget).

Comments:

**Score 3:**  
*Threshold: 3/5*  
*Weight: 20%*

**Total score (60% of 1 + 20% of 2 + 20% of 3)**  
**No threshold applicable to the total score.**

\* Experts will also be asked to assess the operational capacity of applicants to carry out the proposed work.