

Licensing and Standards Division 32-02 Queens Boulevard Long Island City, NY 11101-2324

Please visit our website for Staten Island office information

+1 718 391 5501 tel, www.nyc.gov/tlc

# BASE, PLATE AND VEHICLE TRANSFER AND AFFILIATION APPLICATION FHV, PARATRANSIT & COMMUTER VAN

Please read the steps below & review the TLC Vehicle Application Requirements and Checklist carefully before submitting your application to determine the requirements needed to license your vehicle with the TLC. The documents needed for each transaction may be different depending on the transaction type – so review carefully.

### HOW TO SUBMIT AN APPLICATION FOR A TRANSFER AND/OR AFFILIATION

STEP 1

Visit your Base to have them request an appointment to submit your "TLC Vehicle Application Form." The Base/Authority must schedule an appointment on our website at <a href="https://www.nyc.gov/tlcappointment">www.nyc.gov/tlcappointment</a>.

### SUBMIT AN APPLICATION

On the day of your appointment, You will need to bring a complete application package. This includes:

You must be on time. If you are late you will be required to request a new appointment through your Base.

- A printout of your Appointment Confirmation.
- A completed "Base, Plate and Vehicle Transfer and Affiliation Application Form."
- > CLEAR AND LEGIBLE COPIES of all required documents. Incomplete application packages will NOT be accepted. Applicants are responsible for reviewing ALL requirements listed on this checklist.
- All required payments.

### ALL FEES ARE NON-REFUNDABLE.

STEP 2

The applicant must be the registered owner or direct lease holder of the vehicle. Please provide current registration, lease agreement, certificate of origin or your title (front and back) as proof. If you are not the owner and/or officer, you must have a signed Power of Attorney from the owner. A Power of Attorney is allowed for Base transfers, Plate transfers and Vehicle Transfers.

Drop off service is only available for base transfers and plate transfers. Vehicle transfers require an appointment. SHL transactions will not be processed through the Drop off service.

Hours for Drop off are 9 AM to 11 AM. The window opens at 9 AM and closes promptly at 11 AM. Late submissions will not be allowed. Hours for Pick up are 2 PM and 4 PM.

You must use the same address on your TLC application, Declaration Page, FH-1 <u>and</u> DMV registration. If your address is a Post Office Box you must provide a legal address and a recent utility bill or bank statement or lease from your landlord as proof of your legal address. *Cell phone bills are not accepted as proof of address.* 

### **REGISTRATION / INSPECTION**

### **Plate Transfers**

STEP 3

Once your application has been processed at the counter you will be given a plate letter if you need new T&LC plates. You must go to the New York State (NYS) DMV with the "Plate Letter" and get TC license plates. As soon as you obtain your TC license plates and registration let the Base know! The Base must request the inspection appointment on our Web site. After the Request is received, we will schedule the vehicle for an inspection. We will send the inspection appointment to your Base via e-mail. If you already have plates (out of state and outside of NYC plates) your inspection will be scheduled when your application has been processed by the TLC staff.

### Notes:

### Street Hail Livery - SHL (IF APPLICABLE):

- Any Transaction that involves an SHL Permit will require the SHL Permit Holder to be present with the FHV Owner at the time of the appointment.
- A Power of Attorney for SHL transactions will not be accepted.
- To operate a vehicle as an SHL it must be attached to an SHL Permit.
- The vehicle MUST be Hacked Up BEFORE going for an inspection.
- Yellow vehicles are not allowed to operate as a For-Hire Vehicle.
- A vehicle found operating without current permits or license will be summonsed and may be seized by Enforcement.
- The Vehicle cannot operate For-Hire until it has completed the inspection process.

CALL HEALTHSTAT AT 311 TTY (212) 504 – 4115 WWW.NYC.GOV/HEALTH



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## BASE, PLATE AND VEHICLE TRANSFER AND AFFILIATION APPLICATION REQUIREMENTS AND CHECKLIST FHV, PARATRANSIT AND COMMUTER VAN

operating authority license is not required, a NYS DOT exemption letter is needed.

Stretch limousine vehicle(s), Commuter Van and Paratransit vehicles that are required to have NYS

DOT carrier authority, can only be operated by drivers that have an ACTIVE 19A Status.

BASE, PLATE AND VEHICLE TRANSFER AND AFFILIATION APPLICATION						
REQUIREMENTS AND CHECKLIST			<	_		
·	YES		VEHICLE TRANSFER	PLATE TRANSFER	BAS	AF SF
FHV, PARATRANSIT AND COMMUTER VAN  Applications are valid for forty-five (45) days. If you do not complete all requirements within forty-five 45 days from the date you submit your application to the TLC, your application will be denied. ALL FEES ARE NON REFUNDABLE.					BASE TRANSFER	SHL PERMIT AFFILIATION
ALL TYPES			R	~		
Zero outstanding judgments to the TLC, NYS DMV Traffic Violations Bureau (TVB), Department of Finance (DOF) Parking Violations, DOF Red Light Bureau (i.e., unpaid tickets) and Commercial Motor Vehicle Tax (CMVT).					1	1
Current for-hire Insurance Certificate (FH-1). Insurance must be issued in the applicant's name.			<b>√</b>	<b>√</b>	<b>√</b>	1
Current DMV registration, Bill of Sale, Leasing Agreement, Certificate of Origin or Certificate of Title (Fr NOTE: Vehicles licensed out of state must provide DMV registration showing seating capacity.	ack).	1	1	<b>✓</b>	1	
Government Issued photo I.D. of applicant. State issued driver's license preferred.*(see note below	w)		<b>✓</b>	<b>✓</b>	<b>√</b>	<b>✓</b>
Social Security Card of applicant. *(see note below)			1	<b>✓</b>	<b>√</b>	<b>√</b>
<b>To verify business entities:</b> Filing Receipt & Corporate Resolute (minutes) electing officers, Busine Partnership Certificate, (whichever is appropriate); and Lease Agreement, (if applicable). <b>(See page 2 of 2 for more information)</b>		1	<b>√</b>	✓	1	
DMV receipt for plates (FS-6T) or new registration with vanity plates documenting that plates hav surrendered or destroyed.			<b>√</b>			
TRANSFER FEE: Twenty Five (\$25) transfer fee for each Transfer type. VEHICLE TRANSFERS ONLY - (\$75) Inspection fee also required, depending on the transaction type. See below – "INSPECTION	-Five	<b>✓</b>	<b>✓</b>	1	1	
INSPECTION FEE: Seventy-Five (\$75) required if vehicle has 500 miles or more recorded on the odometer. New applicants must pay an inspection fee together with their completed application fee of \$550. If a new application is submitted at LIC or Staten Island Inspection, fees are REQUIRED before your visit to our TLC Inspection facilities for an Inspection.  Note: SHL affiliated vehicles are not required to pay an inspection fee.						
STRETCH LIMOUSINE ONLY						
<b>Stretch limousine only:</b> In addition to all of the above requirements, limousine applicants must also of the Coach Builder's letter as proof that the vehicle was stretched by a manufacturer- certified suilder.		1				
FOR FHV AND COMMUTER VAN ONLY						
Current Insurance Declaration Page directly from your insurance company showing levels of insurance coverage.  Insurance policy must be current.					✓	<b>√</b>
STRETCH LIMOUSINE, COMMUTER VAN AND PARATRANSIT						
Stretch limousine vehicle(s), Commuter Van and Paratransit vehicles must have a N.Y.S. Department of Transportation Inspection form (MC300) showing vehicle has been inspected. The front and back page (defect report) of the document is required. The defect report must be provided if vehicle inspection report indicates a denial. (The inspection report is valid for one (1) year, and it must be current at time of application). If NYS DOT					✓	✓

\*Please note: Copies of these documents are not required if the information previously submitted to the TLC for the most current & existing vehicle license has not changed.

FEES can be paid by Money Orders, Certified Checks, Master Card, Visa, AMEX or Discover. Money Orders and Certified Checks are payable to: NYC Taxi & Limousine Commission.

## **Document Requirements to Verify Businesses Entities**

## Along with all required items listed on the Vehicle Application Checklist, businesses must also include the following documents for your business type:

### **Partnerships**

If the Applicant is a partnership, it must file with its application a certified copy of the partnership certificate from the clerk of the county where the partnership's principal place of business is located.

## Corporations

If the Applicant is a corporation, it must file with its application: A certified copy of its certificate of incorporation; a list of officers and shareholders; a certified copy of the minutes of the meeting at which the current officers were elected.

## Limited Liability Companies (LLCs)

If the Applicant is a limited liability company, it must file with its application: A copy of its articles of organization; a copy of its operating agreement; a list of the members, with the percentages of the Applicant owned by each. We cannot accept an election of officers form for an LLC as they do not have shares in an LLC only percentages are assigned.

Each of the above are also required to submit the photo identification and social security cards of each of its stock holders or members or managing members, whichever is applicable. They also need to submit the IRS issued CP-575 Notice or 147-C letter for the business.



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## **Vehicle Insurance Requirements**

Please see the chart below for the minimum insurance levels your vehicle must possess based on the type of vehicle you own and the vehicle's seating capacity (as per TLC rule unless otherwise noted).

	Vehicle Type	Seating Capacity	Minimum Insurance Levels		
Livery Vehicle		1-8 passengers	\$100,000 per person \$300,000 per occurrence \$200,000 PIP*		
	&	9-15 passengers	\$1.5 Million per occurrence \$200,000 PIP* **See Note		
F	Black Car	16-20 passengers	\$5 million occurrence \$200,000 PIP* **See Note		
V	V Luxury Limousine	1-8 passengers	\$500,000 per person \$1 million per occurrence \$200,000 PIP* **See Note		
		9-15 passengers	\$1.5 million occurrence \$200,000 PIP* **See Note		
		16-20 passengers	\$5 million occurrence \$200,000 PIP* **See Note		
Commuter Van		1-12 passengers	\$100,000 per person \$300,000 per occurrence \$50,000 property damage		
		13-20 passengers	\$100,000 per person \$500,000 per occurrence \$50,000 property damage		
Pa	Paratransit Vehicle  Please refer to the New York State Department of Transportation (NYSDOT Passenger-Insurance Requirements for more information.				

<sup>\*</sup>Personal Injury Protection (PIP)

<sup>\*\*</sup>Note: Only a vehicle owned directly by the Base can use a Base Umbrella Policy to meet any portion of the TLC mandated liability insurance requirements. The Declaration Page of the Base policy presented MUST include a schedule of Vehicle Identification Numbers (VINS) that are covered. The policy must have insurance levels that bring the vehicle up to all agency insurance requirements and the policy must cover any and all accidents incurred by the vehicle. There can be no exclusionary language in the policy that limits liability or coverage for the vehicle. The TLC may request a full copy of the Base policy to confirm coverage and this could delay the processing of your application.



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	• •	,		<u> </u>		
TYPE OF VEHICL	E:					TIC Linear annual annual annual
FOI	R-HIRE VEHICLE		PARATRANSIT		COMMUTER VAN	TLC License number:
Street Hail Livery Vehicle Classification		Wheelchair Acces	ssible Vehicle	Non Wheelcha	air Accessible Vehicle	
Please Note: A Wh	eelchair Accessible SHI	L Permit MUST (	affiliate a Wheelcha	ir Accessible Vehi	icle.	TLC H Record number:
TRANSACTION T		Transfer Plates			hicle to another base	
INANSACTION	_		<u> </u>			
		Transfer Vehicle	e	Affiliate ver	nicle to SHL Permit	
			APPLICANT	INFORMATIO	ON	
Name						
D/B/A						
Mailing Address						
City		State	Zip		EIN  or SS#	
Telephone #				24	hr Tel. #	
Email Address (Required)						
Residence Address	s (No P.O. Boxes)					
City State Zip						
Business Type (please check one)  Sole Proprietorship  Partnership  Corporation						
			NEW VEHICL	E INFORMAT	ION	
VEHICLE ID#					SEATING CAPACITY	
PLATE #			YEAR		МАКЕ	
HAS THIS VEHICL	E BEEN STRETCHED?	YES 🗌	NO 🗌	IS THIS VEHIC	LE WHEELCHAIR ACCESSIBI	LE? YES NO
IF YES. GIVE THF	NAME OF THE COAC	CH BUILDER:				
	s a NYS DOT operating		L 300), a Visual Insped	ction is required, r	no fee needed.	
		(	OLD VEHICLE	INFORMATIC		
VEHICLE ID #					YEAR	
DIATE #					MAKE	

### **BASE TRANSFER OR AFFILIATION INFORMATION**

ALL vehicles licensed by the Taxi and Limousine Commission must be current and affiliated with a licensed base in order to operate. These vehicles can only be operated by drivers with valid TLC licenses who are permitted to operate that type of vehicle. You must submit an affirmation form completed by a base representative . See Affirmation Form on page 4.

NEW BASE or COMMUTER VAN AUTHORITY	
BASE / AUTHORITY NAME  Base Owner: Please review the rules operation of this vehicle.	and regulations that mandate affiliation and
OLD BASE or COMMUTER VAN AUTHORITY	
BASE / AUTHORITY NAME	
BASE LICENSE # Has this Base Owner been notified the YES	NO
STREET HAIL PERMIT TRANSFER OR AFFILIATION	INFORMATION
In order to affiliate a vehicle with a Street Hail Livery Permit the vehicle must have a underlying FH must submit a signed affirmation form completed by the SHL Permit Holder. See Affirmation Form TYPE OF SHL PERMIT: Wheelchair Accessible Vehicle (WAV) Non-W Please Note: A Wheelchair Accessible SHL Permit MUST affiliate a Wheelchair Accessible Vehicle. If this section is complete, you must submit an affirmation complete by the Permit Holder.	
NEW and/or CURRENT PERMIT AFFILIATION STREET HAIL LIVERY PERMIT AFFILIATIONS	ONLY
CLU DEDAME "	es and regulations that mandate affiliation and
SHL PERMIT # operation of this vehicle.	
OLD PERMIT AFFILIATION STREET HAIL LIVERY AFFILIATIONS ONLY	
SHL PERMIT ENTITY NAME  Has this Permit Owner been notified the	nat this vehicle is being removed?
SHL PERMIT # YES	NO
1 Does the vehicle have less than 500 miles recorded on the odometer?  If you answered NO to question 1, an inspection fee of seventy-five (\$75) is required.  The mileage will be verified on the day of your inspection.	YES NO
2 Is the vehicle higher than 7 feet?	YES NO
3 Does this vehicle weigh over 8,500lbs?	YES NO

If you answered YES to ANY of the above questions, you will be scheduled for a Visual Inspection at one of our TLC Inspection facilities. On questions 2 or 3, on the day of your inspection you will be required to show proof of a Passed DMV inspection within the last four (4) months (which you can obtain at any local licensed NYS DMV inspection facility). Page 2 of 4

## LIST ALL OWNERS, PARTNERS, OFFICERS AND STOCKHOLDERS (Use additional page if necessary)

Business Type (please check one):  Sole Proprietorship  Partnership			Corporation			
AME:			For Corporation or Partnership,			
MAILING ADDRESS:			please check if you are:  President Vice President			
CITY:	STATE:	_ ZIP:				
ELEPHONE #: ()	—	_ # OF SHARES:	Secretary Treasurer			
SS #: —	DRIVER LICENSE #	:	Shareholder			
AME:			For Corporation or Partnership,			
IAILING ADDRESS:			please check if you are:			
ITY:	STATE:	ZIP:	President Vice President			
ELEPHONE #: ()		# OF SHARES:	Secretary Treasurer			
s #: —	DRIVER LICENSE #	:	Shareholder			
AME:			For Corporation or Partnership,			
AILING ADDRESS:			please check if you are:  President Vice President			
ITY:	STATE:	ZIP:				
ELEPHONE #: ()	<u> </u>	# OF SHARES:	Secretary Treasurer			
s #: —	DRIVER LICENSE #:	:	Shareholder			
AME:			For Corporation or Partnership,			
AILING ADDRESS:			please check if you are:			
TY:	STATE:	ZIP:	President Vice President			
ELEPHONE #: () ·	<u> </u>	# OF SHARES:	Secretary Treasurer			
s #: —	DRIVER LICENSE #:		Shareholder			
a special meeting, stockholders of	For Election or	Change of Officers use only				
minated and duly elected by unanimous vote the o	fficers listed above.	Company Name	2			
Secretary (Print)		Secretary (Signature)	Date			
		, , , , , , , , , , , , , , , , , , , ,				
By initialing this box I am affirming that there is an existing and current vehicle licericle license since the last submission to the TLC.	se issued by the TLC and	that there have been no changes to the				
o hereby affirm, under penalty of law, that I have n(s) and/ or document(s) and that these documen / or fact(s). If the box above has been initialed the icle license since the last submission to the TLC. It enial of an application or the suspension or revoca-	t(s) and or statement(s) do en I am also affirming that also acknowledge and und tion of an existing license/	o not contain any untrue statement(s) n there have been no changes to the Bus lerstand that any false statement(s) sub permit.	or are they missing any material information siness Entity structure for the most current &			
ure to do so may result in the issuance of a summe						
	ons that could result in the	e imposition of points, fines, a suspensio				

## **Affirmation Form** Owner Officer (check one) **Partner** Stockholder LICENSE TYPE For-Hire Para Transit Commuter Van In order to process an application a signed affirmation must be submitted for each required entity. Signatures must be original and signed by hand. No copied or stamped signatures. Name Entity Name (either vehicle owner, base, or SHL permit holder) with the vehicle identification number: I understand that: "I hereby affirm, under penalty of law, that I have examined and reviewed the information in the submitted form(s) or application(s), including any supplemental form(s) and/ or document(s) and that these document(s) and or statement(s) do not contain any untrue statement(s) nor are they missing any material information and/ or fact(s) according to the rules applicable to my license type. There has been no changes to the Business Entity structure for the most current & existing vehicle license since the last submission to the TLC, if applicable. I also acknowledge and understand that any false statement(s) submitted is punishable under the law and may result in a denial of an application or the suspension or revocation of an existing license/permit. I further affirm and acknowledge that I have read all rules applicable to my license and that I understand that I am are required to follow and comply with these rules. Failure to do so may result in the issuance of a summons that could result in the imposition of points, fines, a suspension or revocation of my license." Vehicle Owner **Print Name** Signature Date **Base Owner Print Name** Signature **Date Street Hail Livery Permit Owner** (if Applicable) **Print Name** Signature **Date**