

Registration Form

2010 ANTI-MONEY LAUNDERING & BSA COMPLIANCE SCHOOL

Please Print

Name _____ Title _____
 Bank _____ Email _____
 Address _____ Tel No. _____
 City, State, Zip _____ Fax No. _____
 City Attending: _____

Individuals in need of special assistance with regard to this program are requested to contact the registrar at least 5 days before the meeting so that appropriate arrangements can be made.

Please select your payment method.

Check enclosed Credit Card (MasterCard, Visa, Discover)

Name as it appears on card: _____

Card #: _____ Exp. Date _____ CVS No. _____

Signature _____



Mail it in:
Professional Bank Services, Inc.
Education Division
6200 Dutchman's Lane, Suite 305
Louisville, KY 40205-3309



Fax It In:
502.451.6755



Online:
www.probank.com

REGISTRATION

IBAT Member \$335
Per day

Fees - The registration fee is \$335. The fee includes lunch, coffee breaks and all course materials. Payment must accompany registration.

- Agenda**
- 8:30 Registration
 - 9:00 Program
 - 12:00 Lunch
 - 4:00 Adjourn

Cancellation Policy - If you cancel seven days before the seminar date, we grant full refunds. If you cancel later, but prior to the date of the seminar, we impose a \$50 cancellation fee. If PBS cancels a seminar for any reason we refund all fees paid. To cancel, call our office at 800/523-4778, ext. 205 or 235.

2010 ANTI-MONEY LAUNDERING & BANK SECRECY ACT COMPLIANCE SEMINAR

DATES AND LOCATIONS

June 22 and 23, 2010

Doubletree Hotel
37 NE Loop 410
San Antonio, TX 78216
210-366-2424

June 24 and 25, 2010

Hilton Garden Inn DFW South
2001 Valley View Lane
Irving, TX 75061
972-313-2800

August 17 and 18, 2010

Sheraton North Houston Hotel
15700 John F. Kennedy Blvd.
Houston, TX 77032
281-442-5100

WHO SHOULD ATTEND

This program is designed for personnel who have management-and/or functional level responsibilities for BSA compliance and/or operations. Individuals with retail banking, risk management, compliance, audit, operational, corporate banking, and training responsibilities will benefit from this program.

CO-SPONSORED BY:

INDEPENDENT BANKERS ASSOCIATION OF TEXAS

PROFESSIONAL BANK SERVICES, INC.



“Our joint efforts to root out illicit financial activity increase confidence in and promote the integrity and stability of the financial system. Criminals and terrorists do not respect the law. They will seek to exploit the weakest link to move and launder money through any means of financial intermediation.”

*James H. Fries, Jr.
Director, Financial Crimes Enforcement Network
U.S. Department of Treasury
October 22, 2009*

A two-day program designed to encompass the broad range of topics included in the realm of the Bank Secrecy Act and Anti-Money Laundering.

Designing and Implementing a BSA Program
Completing the Currency Transaction Report
Exempting Eligible Customers from CTR Filing
Overview of Regulatory and Statutory Components of BSA
Customer Identification Programs
Establishing a Risk-Based Program of Identifying and Classifying Your Customers
Suspicious Activity Reporting – the Form, the Process
Tools Available to Help Monitor Suspicious Activity
How OFAC Fits into the Process
Developing a Risk Assessment

Recent Congressional focus and well-publicized BSA enforcement actions and fines continue to support a climate proving BSA compliance remains of paramount importance to all financial institutions. Treasury continues to rely on financial institutions to serve as the “front-line gatekeepers” for law enforcement efforts to safeguard the U.S. financial system from

the abuses of financial crimes, including money laundering and terrorist financing. The federal regulatory agencies continue to rely on financial institutions to take “every reasonable step” to identify, minimize, and manage any risks that illicit financial activity may pose to the individual institution and the industry. Ongoing initiatives by the Financial Crimes Enforcement Network (FinCEN), and continued expansions of the federal examination procedures reinforce the need to have a strong BSA program at your institution.

This two-day school covers in detail the issues and opportunities in the ever-expanding environment of the Bank Secrecy Act, and reviews the entire realm of BSA from “A” to “Z”. From decades old Currency Transaction Reporting (CTR) and various recordkeeping requirements, to institutional risk assessment and client due diligence (CDD) expectations, students from the novice appointee to the tenured BSA professional will find the discussions and interactions to be of great value.

DAY ONE – The “Basics”

- Statutory and Regulatory Requirements
- Enforcement Actions and the “Lessons Learned”
- Acronym Review and Sources of Information
- USA PATRIOT Act requirements and implications
- Currency Transaction Reporting
- Exemption “opportunities” from CTR reporting

DAY TWO – The “Current”

- Federal BSA/AML Exam Expectations
- Institutional Risk Assessments
- High-Risk Products, Services and Geographies
- Client Due Diligence (CDD)
- High-Risk Clients and Entities
- Suspicious Transactions, Terrorist Financing, and other Money Laundering Techniques
- Suspicious Activity Reporting (SAR)

This seminar assists financial institutions in meeting the annual training requirements imposed by the BSA regulations, and provides attendees with the opportunity to network with other professionals interested in BSA compliance.

The Manual

Attendees receive a detailed seminar manual with narrative explanations of regulatory requirements and examination procedures. The manual is an excellent resource for future reference.

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Speaker

Mark W. Dever, AAP, CAMS, is a Senior Consultant at PBS. Prior to joining the firm in 1996, Mr. Dever was vice president and manager of cash management operations for a multi-billion dollar regional bank holding company with several affiliates. He has extensive experience in many areas including the automated clearing house (ACH), domestic wire transfer, affiliate bank post-acquisition conversions and consolidations, bank operation centralizations, and payment system risk. He teaches a variety of PBS seminars including the ACH Processing and Compliance, Anti-Money Laundering and Bank Secrecy Act, and Managing Customer Information seminars. He has lectured at regional and national seminars, and at graduate schools of banking hosted by various bank associations and national industry groups. He has served on the faculty of both the OTS’ Compliance I School, and the FDIC’s Advanced Consumer Protection School. He has also taught undergraduate business and management classes in a community college setting. Mr. Dever is an Accredited Automated Clearing House Professional (AAP), and a Certified Anti-Money Laundering Specialist (CAMS).