ACCOUNT INFORMATION FORM

DATE _____

This Account Information Form supersedes all prior Account Information Forms. To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each person or entity that opens an account with CU Investment Solutions, Inc. When you open an account, we will ask for your name, address, date of birth, telephone number, and other information that will allow us to identify you. We may also ask to see your driver's license or other identifying documents. For new members other than individuals, we will ask you to provide certain corporate entity documents. The account holder should notify the firm of any future changes or incorrect information contained on this account form.

Please type or print

Customer Name	Tax ID #		Manager/President
Telephone No.	Facsimile No.		
Mailing Address	City	State	Zip Code
Legal Address (if different)	City	State	Zip Code
Routing & Transit Number (if applicable)	List Corporate Membership(s)	☐ State Charter	■ Federal Charter (Check One)

Settlement, Delivery Instructions
Do you safekeep with your Corporate?
■ Yes ☐ No

If NO:
Safekeeping Agent: _____ DTC #: _____
ABA #: _____ Special Instructions: _____

Authorized individual (to execute security order)

Name	Title	Name	Title

Prospectus Email Notification
(ISI will email prospectus to this email address)

I, hereby certify the above named individuals have been duly authorized on behalf of _____Credit Union to execute security orders. These individuals are authorized to give written or oral instructions with respect to any security order.

By:
_____
Title Officer

Percentage of total investments

_____ Corporate CU Deposits
_____ U.S./Treasuries
_____ Federal Agencies
_____ Mortgage-Backed Securities
_____ Collateralized Mortgage Obligations/REMICs
_____ Negotiable CDs
_____ Mutual Funds
_____ Bankers Acceptances
_____ Asset-Backed Securities
_____ U.S. Corporation Corporate Bonds
_____ Municipal Bonds
_____ Other

How frequently do you purchase and sell securities?
☐ Daily ☐ Weekly ☐ Monthly ☐ Quarterly ☐ Annually ☐ Other

What is the estimated average size of each transaction?
☐ Under $1,000,000 ☐ $1 million - $5 million ☐ $5 million - $20 million ☐ Over $25 million

Investments with maturities/average lives less than 12 months $_____

Investments with maturities/average lives 1-3 years $_____

Investments with maturities/average lives more than 3 years $_____

Total Assets $_____ Total Net Capital $_____
Size of Investment Portfolio $_____ Capital/Assets Ratio: _____%

For ISI use only

Investment/Officer	Rep/Principal	Received
Account Number	Accepted / OFAC Screened	Date Entered

Member SIPC □ Member FINRA
For information regarding SIPC, please call 202.371.8300 or www.sipc.org