

# **MODEL for a COLLABORATIVE RESEARCH PROPOSAL**

**Permission to reprint the proposal on the following pages was kindly given by**

**Ron Kiene**, University of South Alabama  
and  
**David Kieber**, SUNY College of Environmental Science and Forestry

Recent PhDs are increasingly embarking on interdisciplinary research careers which involve collaborations with colleagues at different institutions. Developing collaborative proposals for funding is a particularly difficult task and many grads are not adequately prepared for this during their time as graduate students. For this reason, it was thought that posting a good example of a collaborative research proposal would be useful.

The proposal on the following pages was identified as being a particularly strong collaborative proposal during a recent round of proposal review within the National Science Foundation's Antarctic Program.

While each agency requires different forms and has its own requirements, most of the ingredients for an outstanding proposal are sufficiently universal that the proposal on the following pages should provide a sound starting point.

For more tips on proposal development, visit  
<http://www.nsf.gov/od/opp/antarct/workshop/tipsProposal.cfm>

**NSF proposals are confidential in nature  
and  
cannot be distributed without the permission of the PI's.**

I want to particularly thank **Ron Kiene** and **David Kieber** for their willingness to display their proposal in such a public forum. It is a wonderful reminder that aquatic scientists are part of an extended community of friends and colleagues.

Note: NSF- or institution-specific information and forms have been deleted to protect confidentiality and reduce the size of this file.



## COVER SHEET FOR PROPOSAL TO THE NATIONAL SCIENCE FOUNDATION

PROGRAM ANNOUNCEMENT/SOLICITATION NO./CLOSING DATE/if not in response to a program announcement/solicitation enter NSF 02-2					<b>FOR NSF USE ONLY</b>	
<b>NSF 02-086</b>			<b>06/03/02</b>		<b>NSF PROPOSAL NUMBER</b>	
FOR CONSIDERATION BY NSF ORGANIZATION UNIT(S) (Indicate the most specific unit known, i.e. program, division, etc.)					<b>0230499</b>	
<b>OPP - ANTARCTIC BIOLOGY &amp; MEDICINE</b>						
DATE RECEIVED	NUMBER OF COPIES	DIVISION ASSIGNED	FUND CODE	DUNS# (Data Universal Numbering System)	FILE LOCATION	
EMPLOYER IDENTIFICATION NUMBER (EIN) OR TAXPAYER IDENTIFICATION NUMBER (TIN)		SHOW PREVIOUS AWARD NO. IF THIS IS <input type="checkbox"/> A RENEWAL <input type="checkbox"/> AN ACCOMPLISHMENT-BASED RENEWAL		IS THIS PROPOSAL BEING SUBMITTED TO ANOTHER FEDERAL AGENCY? YES <input type="checkbox"/> NO <input checked="" type="checkbox"/> IF YES, LIST ACRONYM(S)		
NAME OF ORGANIZATION TO WHICH AWARD SHOULD BE MADE <b>SUNY College of Environmental Science and Forestry</b>			ADDRESS OF AWARDEE ORGANIZATION, INCLUDING 9 DIGIT ZIP CODE <b>SUNY College of Environmental Science and Forestry P. O. Box 9 Albany, NY. 122010009</b>			
AWARDEE ORGANIZATION CODE (IF KNOWN) <b>0028514000</b>						
NAME OF PERFORMING ORGANIZATION, IF DIFFERENT FROM ABOVE			ADDRESS OF PERFORMING ORGANIZATION, IF DIFFERENT, INCLUDING 9 DIGIT ZIP CODE			
PERFORMING ORGANIZATION CODE (IF KNOWN)						
IS AWARDEE ORGANIZATION (Check All That Apply) (See GPG II.C For Definitions) <input type="checkbox"/> FOR-PROFIT ORGANIZATION <input type="checkbox"/> SMALL BUSINESS <input type="checkbox"/> MINORITY BUSINESS <input type="checkbox"/> WOMAN-OWNED BUSINESS						
TITLE OF PROPOSED PROJECT <b>Collaborative Research: Impact of Solar Radiation and Nutrients on Biogeochemical Cycling of DMSP and DMS in the Ross Sea, Antarctica</b>						
REQUESTED AMOUNT \$ <b>338,730</b>		PROPOSED DURATION (1-60 MONTHS) <b>36</b> months		REQUESTED STARTING DATE <b>04/01/03</b>		SHOW RELATED PREPROPOSAL NO., IF APPLICABLE
CHECK APPROPRIATE BOX(ES) IF THIS PROPOSAL INCLUDES ANY OF THE ITEMS LISTED BELOW						
<input type="checkbox"/> BEGINNING INVESTIGATOR (GPG I.A)			<input type="checkbox"/> HUMAN SUBJECTS (GPG II.C.11) Exemption Subsection _____ or IRB App. Date _____			
<input type="checkbox"/> DISCLOSURE OF LOBBYING ACTIVITIES (GPG II.C)			<input type="checkbox"/> INTERNATIONAL COOPERATIVE ACTIVITIES: COUNTRY/COUNTRIES INVOLVED (GPG II.C.9)			
<input type="checkbox"/> PROPRIETARY & PRIVILEGED INFORMATION (GPG I.B, II.C.6)			_____			
<input type="checkbox"/> HISTORIC PLACES (GPG II.C.9)			<input type="checkbox"/> HIGH RESOLUTION GRAPHICS/OTHER GRAPHICS WHERE EXACT COLOR REPRESENTATION IS REQUIRED FOR PROPER INTERPRETATION (GPG I.E.1)			
<input type="checkbox"/> SMALL GRANT FOR EXPLOR. RESEARCH (SGER) (GPG II.C.11)			_____			
<input type="checkbox"/> VERTEBRATE ANIMALS (GPG II.C.11) IACUC App. Date _____			_____			
PI/PD DEPARTMENT <b>Department of Chemistry</b>			PI/PD POSTAL ADDRESS <b>417 Jahn Laboratory 1 Forestry Drive Syracuse, NY 13210 United States</b>			
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## **A. PROJECT SUMMARY**

**COLLABORATIVE RESEARCH: IMPACT OF SOLAR RADIATION AND NUTRIENTS ON BIOGEOCHEMICAL CYCLING OF DMSP AND DMS IN THE ROSS SEA, ANTARCTICA**

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For font size and page formatting specifications, see GPG section II.C.

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NSF Support) (not to exceed 15 pages) <b>(Exceed only if allowed by a specific program announcement/solicitation or if approved in advance by the appropriate NSF Assistant Director or designee)</b>	0	_____
D	_____	_____
References Cited	2	_____
E	_____	_____
Biographical Sketches (Not to exceed 2 pages each)	5	_____
F	_____	_____
Budget (Plus up to 3 pages of budget justification)	1	_____
G	_____	_____
Current and Pending Support	1	_____
H	_____	_____
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I	_____	_____
Special Information/Supplementary Documentation	_____	_____
J	_____	_____
Appendix (List below. ) <b>(Include only if allowed by a specific program announcement/ solicitation or if approved in advance by the appropriate NSF Assistant Director or designee)</b>	_____	_____
Appendix Items:		

\*Proposers may select any numbering mechanism for the proposal. The entire proposal however, must be paginated. Complete both columns only if the proposal is numbered consecutively.

**C. PROJECT DESCRIPTION**

**RESULTS FROM PRIOR NSF SUPPORT**

**1. University of South Alabama (RPK).**



**DMSO**



Station number



*a*

*a*

*a*

*Phaeocystis*

*Phaeocystis*

*Phaeocystis*

***Nutrient impacts on DMSP production and cycling.***

Fraction of added <sup>35</sup>



*Effects of light on bacterial processes in the DMSP/DMS cycle.*

*Unique aspects of the Ross Sea environment with respect to DMSP/DMS cycling.*

*Phaeocystis antarctica*



*P. antarctica*

**1. Determine effects of light intensity and quality on phytoplankton DMSP production and lyase activity.**

*a*



3. Determine DMS photolysis rates.

*in situ*

$\mu$

*situ*

V h talo

*in*

*ms in preparation*

*in situ*

$\mu$

*Phaeocystis*

4. Determine yield of DMS from DMSPp in algae and bacteria, and effect of surface mixed layer (dmixe(oyie)(l)-h ) [m



*Phaeocystis*

*a*                      *a*

*Phaeocystis*

*a*

**6. Determine contribution of DMSP cycling to carbon budget in the Ross Sea**

*Phaeocystis*

*a*

*Phaeocystis*

**TIMING, CRUISE LOGISTICS AND COORDINATION WITH COLLABORATORS**

*Nathaniel B. Palmer*

*Phaeocystis*



—

*DMS turnover rate constant.*

*ase activity and fluorescence.*

$\mu$

*ight measurements.*

*Miscellaneous measurements.*

## **COLLABORATION AND RESEARCH RESPONSIBILITIES**

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Kiene, R.P. and T.S. Bates. 1990. Biological removal of dimethyl sulphide from sea water. *Nature* 345:702-705.

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eb: <http://www.esf.edu/chemistry/ieber/ieber.htm>

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**Ph.D.** University of Miami, Rosenstiel School of Marine and Atmospheric Science, Chemical Oceanography, 1988

**M.S.** University of Delaware, College of Marine Studies, Marine Science, 1983

**B.S.** Rutgers University, Cook College, Environmental Studies with minors in Chemistry and Mathematics, 1980

**Professional Experience:**

**2002-present.** Professor, Chemistry Department, State University of New York, College of Environmental Science and Forestry.

**1997-2002.** Associate Professor, Chemistry Department, State University of New

**Synergistic Activities:**

Developed experiments for Instrumental Analysis class that focused on Environmental Chemistry; Organized and chaired symposium on aquatic photochemistry at the American Chemical Society (ACS) PACIFICHEM 2000 meeting; SUNY ESF coordinator for ACS Project SEED--summer research experience for economically disadvantaged students; Member of the Education Committee for the Syracuse chapter of ACS; NSF-sponsored workshop participant to assess the future of Chemical Oceanography research in the United States.



**Budget Justification - Collaborative Research: Impact of solar radiation on the biogeochemical cycling of DMSP and DMS in the Ross Sea, Antarctica**

Ronald P. Kiene, PI, Department of Marine Sciences, University of Mississippi

He requests a 50% release during the academic year for research activities. He requests 1 month of summer salary in the first year of the project and 2 months in Y's 2 & 3. The PI will supervise the full time post-doc and the full time graduate student throughout the calendar year. This project represents 16.7% of the PI's research effort (2 mo./y).

Salary support for a full time post-doctoral associate is requested for each of the first two years of the project. During the time before the first cruise the post-doc will carry out preliminary experiments and refine methods for use during the Antarctic cruises, and between cruises they will be involved in data processing and interpretation. We are very fortunate to have in place an outstanding post-doc, Dr. Doris Slezak, who joined my group in February 2002 (see her C.V. in Supplementary Documents). Dr. Slezak has extensive experience with the DMSP/DMS cycle and in particular she was among the first to study the influence of UVR on this cycle. She has already participated on a research cruise with my group and become proficient with the use of the <sup>35</sup>S-DMSP/DMS tracers. Her current funding is cobbled together from several sources and lasts only through June 03.

Three years of stipend support (\$17,000 in Y1, \$17,680 in Y2 and \$18,387 in Y3) is requested for a full time Ph.D. student who will work directly on this project as part of their dissertation research.

Support is also requested (\$3360 in Y1) to support undergraduate students to work on this project either during the summer, or if possible, on the Antarctic cruises. Fringe benefits are set at 25% of salaries, and apply only to the PI salaries; students and post-docs salaries are not subject to fringe-benefits at Univ. of South Alabama. Salaries are incremented 4% per year in years 2 & 3.

**Equipment** – No equipment is requested as part of the regular NSF budget.

Travel (the travel 00 in Y1, \$3,640 in Y2) is budgeted for the hotel and subsistence costs associated with travel to the point of departure of the N.B. Palmer (Lyttelton New Zealand) and for the return trip to the US from McMurdo Station. These costs are estimated at \$125 per person per day x 7 days total travel x 4 persons = 3,500. Travel costs in years 2 & 3 are incremented 4% over the previous year to account for inflation. No foreign travel is requested for year 3 because there will not be a field expedition that year. Air travel associated with the Antarctic research will be arranged and paid for by the Antarctic Services Contractor (Raytheon).

**Materials and Supplies** – We request \$5500, \$5,720 and \$4,000 in years 1, 2 & 3 for supplies needed to carry out research during the year in preparation for the Antarctic cruises and to process samples after the cruises. These estimates include the costs for include high purity compressed gases to run two independent GC-FPD systems, plus independent HP-Helium sparging lines used for stripping volatile sulfur compounds, radioisotopes to be used in the synthesis of high specific activity <sup>35</sup>S-DMSP and <sup>14</sup>C-DMSP, parts and consumables for the gas chromatograph systems. The amount for supplies is incremented 4% for year 2 and reduced to \$4,000 for year 3 to reflect the lack of field effort that year. Additional supplies will be provided to this project for use on the cruises by the Antarctic Services Contractor.

**Other Direct Costs** – Shipping costs \$2,000, and \$2,080 in years 1, & 2 are requested for costs associated with shipping research equipment to and from the US west coast (Los Angeles) where the equipment will move into the Antarctic Support network.



## F. BUDGET JUSTIFICATION AND PERSONNEL (D. J. Kieber)

**Personnel.** The PI requests two Ph.D. students will participate on the first cruise year of the proposed the iron measurements and experiments, and the other student will be responsible for the DMS photolysis experiments including action spectra studies. Tuition, which is listed under "Other," is requested for both graduate students in years 1-3 at \$5600/yr. From 1-3 undergraduate chemistry majors will be involved in the research effort as part of their senior research. The undergraduates will assist in the set up and execution of the various experiments that are proposed and they will be responsible for conducting specific measurements during the cruises (e.g., nutrients, chlorophyll, etc as needed by the research team).

**Travel and Shipping.** Funds are requested to cover (1) travel expenses for the PI and the students to present results at one scientific meeting per year in each year of this project (ca. \$2000/yr) and (2) travel/per diem costs for four personnel to participate in the Ross Sea cruises. This foreign travel (\$3,500 in Y1, \$3,640 in Y2) is budgeted for the hotel and subsistence costs associated with travel to the point of departure of the N.B. Palmer (Lyttleton New Zealand) and for the return trip to the US from McMurdo Station. These costs are estimated at \$125 per person per day x 7 days total travel x 4 persons = 3,500. Travel costs in years 2 are incremented 4% over the previous year to account for inflation. No foreign travel is requested for year 3 because there will not be a field expedition that year. Air travel associated with the Antarctic research will be arranged and paid for by the Antarctic Services Contractor (Raytheon). Funds are also requested to cover the cost of shipping equipment and supplies to and from the PI's home laboratory to California (ca. \$2,000/yr 1 and 2). Shipping costs are listed under "Other", and are based on estimates from the PI's previous field studies in Antarctica.

**Supplies, Equipment and Maintenance.** Funds are requested for supplies needed for this project including high purity chemicals, high purity gases, liquid nitrogen, Teflon and stainless steel fittings, Teflonware, quartz and borosilicate glassware, quartz spectrophotometric cells, syringes and pipettes, computer disks, computer hardware and software, water purification cartridges, sampling filters, replacement lamps for the irradiation systems, shop services (e.g., fabrication of glassware), etc. Funds for equipment maintenance are also requested, especially for unforeseen breakdown of computer hardware (boards, drives, etc.) The total supply money requested is based on a conservative estimate of the supplies needed to properly conduct the experiments and multiple analyses that are outlined in this proposal. During the field studies, \$4,500/yr in supply monies is requested. In year 3, \$4,000 is requested to process samples after the second cruise. Additional supplies will be provided to this project for use on the cruises by the Antarctic Services Contractor.

**Medical and Dental Exams.** Costs associated with medical and dental exams, listed under "other," required for travel to Antarctica, are budgeted at \$500 per person per year (\$2000 total for 4 persons in Y1). These costs are incremented 4% in Y 2 to account for inflation.





## Current and Pending Support

(See GPG Section II.D.8 for guidance on information to include on this form.)

The following information should be provided to \*Transfer of Support

Project/Proposal Title: Impact of Photochemistry on Carbon Cycling in the Sea

Source of Support: National Science Foundation

Total Award Amount: \$257,544

Total Award Period Covered: 05/01/01 – 04/30/04

Location of Project: SUNY College of Environmental Science and Forestry, Syracuse, NY

Person-Months Per Year Committed to the Project.

Cal:

Acad: 1.35

Sumr: 2.00

Support:  Current  Pending  Submission Planned in Near Future  \*Transfer of Support

Project/Proposal Title: Collaborative Research: Production and Dynamics of DMSP and Related Compounds in Response to Oxidative Stress in Marine Phytoplankton

Source of Support: National Science Foundation

Total Award Amount: \$276,525

Total Award Period Covered: 07/01/02 – 06/30/05

Location of Project: SUNY College of Environmental Science and Forestry, Syracuse, NY

Person-Months Per Year Committed to the Project.

Cal:

Acad: 0.63

Sumr: 1.00

Support:  Current  Pending  Submission Planned in Near Future  \*Transfer of Support

Total Award Amount: \$309,022

Total Award Period Covered: 01/01/03 – 12/31/07

Location of Project: SUNY College of Environmental Science and Forestry, Syracuse, NY

Person-Months Per Year Committed to the Project.

Cal:

Acad: 0.63

Sumr: 1.00

Support:  Current  Pending  each inverbon Dioxide from Dissolved Organic Matter: A New Approach to

Constraining a Major Term in Marine Carbon Cycling

Source of Support: Woods Hole Oceanographic Institution / National Science Foundation

Total Award Amount: \$48,620

Total Award Period Covered: 09/02/04 – 08/31/05

Location of Project: SUNY College of Environmental Science and Forestry, Syracuse, NY

Person-Months Per Year Committed to the Project.

Cal:

Acad: 0.45

Sumr:

Support:  Current  Pending  Submission Planned in Near Future  \*Transfer of Support

Project/Proposal Title: Collaborative Research: Impact of Solar Radiation and Nutrients on Biogeochemical Cycling of DMSP and DMS in the Ross Sea, Antarctica

Source of Support: National Science Foundation

Total Award Amount: \$338,730

Total Award Period Covered: 04/01/03 – 03/31/06

Location of Project: SUNY College of Environmental Science and Forestry, Syracuse, NY

Person-Months Per Year Committed to the Project.

Cal:

Acad: 0.75

Sumr: 2.00

\*If this project has previously been funded by another agency, please list and furnish information for immediately preceding funding period.

NSF Form 1239 (10/98)

USE ADDITIONAL SHEETS AS NECESSARY

## FACILITIES, EQUIPMENT & OTHER RESOURCES

### FACILITIES:

#### Computer:

The PI has 5 pentium PC computers and the laboratory is on a LAN that is also connected to the internet via a T-1 line. These computers are available for students and technicians and the PI and also will be used for data collection by the instruments. Internet access is available on all the computers. The DISL has adequate computer, printer, copier and technical support for research activities.

#### Office:

The PI has adequate office space to carry out the proposed research.

#### Other: \_\_\_\_\_

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**MAJOR EQUIPMENT:** List the most important items available for this project and, as appropriate, identify the location and pertinent capabilities of each.

R. Kiene's laboratory has 2 Shimadzu gas chromatograph systems (GC-9A and 14A) each equipped with a flame photometric detector for DMS analysis. An FID is also available for hydrocarbon analysis on the GC-14A. A new GC-MS system (Shimadzu QP 5000 with EI) is also available. A Waltz Water-PAM fluorometry system has just recently been obtained to aid in characterizing photosynthetic parameters of natural waters. In addition, Kiene's lab has 2 HPLC systems, one of which is capable of binary gradient control and peak data processing (E-Lab). Available detectors include an ISCO V<sup>4</sup> UV/Vis monitor and a Shimadzu RF-535 Fluorescence detector. A Dionex DX-120 ion chromatograph is available for anion analysis. Other equipment includes a Gilson fraction collector, Beckman Microcentrifuge, Turner TD-700 benchtop fluorometer, Visi-prep solid phase extraction manifold, Keithly picoammeter, Marhauzer motorized micromanipulator, pH meter, Mettler DL-21 autotitrator with redox electrode, Eppendorf microcentrifuge, HP 8453 diode array spectrophotometer and several water baths.

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**OTHER RESOURCES:** Provide any information describing the other resources available for the project. Identify support services such as consultant, secretarial, machine shop, and electronics shop, and the extent to which they will be available for the project. Include an explanation of any consortium/contractual/subaward arrangements with other organizations.

The Dauphin Island Sea Lab (DISL) maintains a community-use instrument lab equipped with a Shimadzu TOC-5000 total carbon analyzer, Carlo Erba CHN analyzer, Dionex ternary gradient HPLC and a Shimadzu scanning spectrofluorometer. There are also facilities (Skalar Instruments) for autoanalysis of inorganic nutrients (NO<sub>3</sub><sup>-</sup>, NH<sub>4</sub><sup>+</sup>, PO<sub>4</sub><sup>3-</sup> etc). A dedicated technician is available to assist with running samples on these instruments and costs for analysis are charged on a per-sample basis.

## **H. FACILITIES, EQUIPMENT AND OTHER RESOURCES (D. J. Kieber)**

Department, including Fourier transform NMR spectrometers (e.g., 500 MHz), two-photon and picosecond laser spectrometers, and a femtosecond laser system; all available on a service basis.



**BIOGRAPHICAL SKETCH (Doris Slezak)**

Post-Doctoral Associate  
Department of Marine Sciences  
University of South Alabama

e-mail: [dslezak@disl.org](mailto:dslezak@disl.org)



**Five relevant publications:**

- Slezak D., & G.J. Herndl (subm.): Impact ultraviolet and visible radiation on the cell content and concentration of dimethylsulfoniopropionate in *Emiliana huxleyi* (strain L). Mar. Ecol. Prog. Ser.
- Slezak D., A. Brugger & G.J. Herndl (2001): Impact of solar radiation on the biological removal of dimethylsulfoniopropionate and dimethylsulfide in marine surface waters. Aquat. Microb. Ecol. 25:87-97
- Griebler C., & D. Slezak (2001): Microbial activity in aquatic environments measured by dimethyl sulfoxide reduction and intercomparison with commonly used methods. Appl. Environ. Microbiol. 67:100-109
- Brugger A., D. Slezak, I. Obernosterer & G.J. Herndl (1998): Photolysis of dimethylsulfide in the Northern Adriatic Sea: dependence on substrate concentration, irradiance and DOC concentration. Mar. Chem. 59: 321-331.
- Slezak D., S. Puskaric & G.J. Herndl (1994): Potential role of acrylic acid in bacterioplankton communities in the sea. Mar. Ecol. Prog. Ser. 105: 191-197

**Five other publications:**

- Griebler, C. B. Mindl, D. Slezak and M. Geiger-Kaiser (*in press 4/02*): Distribution patterns of attached and unattached bacteria in pristine and contaminated shallow aquifers studied with an in situ sediment exposure microcosm (ISSEM). Aquat. Microb. Ecol.
- Griebler C., & D. Slezak (2000): Microbial DMSO reduction is widespread among microorganisms and is therefore proposed as a reliable activity parameter. Verh. Internat. Verein. Limnol. 27:2492-2497
- Riegman R., W. Stolte, A.A.M. Noordeloos & D. Slezak (2000): Nutrient uptake and alkaline phosphatase (EC 3:1:3:1) activity of *Emiliana huxleyi* (Strain L) during growth under N and P-limitation in continuous cultures. J. Phycol. 36:87-96
- Unanue M., B. Ayo, M. Agis, D. Slezak, G.J. Herndl & J. Iriberry (1999): Ectoenzymatic activity and uptake of monomers in marine bacterioplankton described by a biphasic kinetic model. Microb. Ecol. 37: 36-48
- Herndl G.J., A. Brugger, S. Hager, E. Kaiser, I. Obernosterer, B. Reitner & D. Slezak (1997): Role of ultraviolet-B radiation on bacterioplankton and the availability of dissolved organic matter. Plant Ecology 128: 42-51

**Research Collaborators last 4years:**

Christian Griebler (Germany), Dan Danielopol (Austria), Roel, Riegman (Netherlands)

**Graduate Students Co-Advised:**

Albert Brugger (M.S. 1996)