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**U.S. DEPARTMENT OF STATE  
DIRECTORATE OF DEFENSE TRADE CONTROLS**

**APPLICATION/LICENSE FOR PERMANENT EXPORT OF UNCLASSIFIED DEFENSE  
ARTICLES AND RELATED UNCLASSIFIED TECHNICAL DATA**

\*Transaction Number:

Please note that an Asterisk (\*) next to a field in the documents designates a mandatory field.

**No classified information can be included in this application. Classified information must be sent separately to PM/DDTC in accordance with Defense Security Service guidelines.**

Classified information is being sent under separate cover

To select and open a document, highlight a form and select the "Open Document" button. The document that you selected will open.

Required Documents

DSP-5

Included Documents

Optional Documents

22 CFR § 126.13 Certification Letter  
22 CFR § 127.11 Exception to Policy Letter  
Basic Ordering Agreement  
Contract  
DSP-83  
Firearms and Ammunitions Import Permit  
Firearms and Ammunitions Letter of Explanation  
Letter of Intent  
Other Amplifying Data  
Part 130 Report  
PM/DDTC Sec 126.8 Prior Approval  
Precedent (identical/similar) Cases  
Product Brochures  
Purchase Order  
Supplementary Explanation of Transaction  
Technical Data to Support Hardware License  
Technical Drawings, Schematics, or Blue Prints

SEAL _____ Signature _____  License is hereby granted to the applicant for the described commodity to be permanently exported from the United States. This license may be revoked, suspended or amended by the Secretary of State without prior notice whenever the Secretary deems such action advisable.	DATE ISSUED _____ LICENSE NO. _____ LICENSE VALID FOR _____ MONTHS FROM ABOVE DATE
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**UNITED STATES OF AMERICA DEPARTMENT OF STATE**  
**APPLICATION/LICENSE FOR PERMANENT EXPORT OF UNCLASSIFIED DEFENSE ARTICLES AND RELATED UNCLASSIFIED TECHNICAL DATA**

<b>*1. Date Prepared</b> _____ 02/28/2010	<b>*2. PM/DDTC Applicant/Registrant Code</b> _____	<b>*3. Country of Ultimate Destination:</b> _____  NOTE: You may only select 1 country as the ultimate destination if the commodity(ies) being shipped include Hardware type.	<b>*4. Probable Port of Exit from U.S.:</b> _____ _____
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<b>5. Applicant's Name, Address, ZIP Code, Telephone Number</b> *Applicant is: <input type="checkbox"/> Government <input type="checkbox"/> Manufacturer <input type="checkbox"/> Exporter <input type="checkbox"/> Subsidiary  *Name _____ *Attention _____ *Address _____ *City _____ *State _____ * ZIP Code _____ *Telephone # _____ Ext. _____	<b>6. Name, agency and telephone number of U.S. Government personnel (not PM/DDTC) familiar with the commodity.</b> Name _____ Telephone # _____ Ext. _____ Agency _____ Add _____  <b>*7. Name and telephone numbers of applicant contact if U.S. Government needs additional information.</b> *Name _____ *Telephone # _____ Ext. _____ Add _____
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**8. Description of Transaction:**

\*a. This application represents:  ONLY completely new shipment  ONLY the unshipped balance under license numbers

b. This application has related license numbers:

c. This application is in reference to an agreement:

\*d. Commodity is being financed under:  Foreign Military Sale  Foreign Military Financing  Grant Aid Program  Not Applicable

\*e. This application is related to a disclosure filed with Defense Trade Controls Compliance  No  Yes

Enter Compliance Disclosure Number: \_\_\_\_\_

Line Item #	*9. Quantity	*10. Commodity	*11. USML Category Number																					
1	Unit Type _____	Defense Article Type _____	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:30%;">Category</td> <td style="width:15%;">Sub</td> <td style="width:55%;">Commodity Code</td> </tr> <tr> <td colspan="3">Item is SME and DSP-83 is required <input type="checkbox"/></td> </tr> <tr> <td colspan="3">Is a DSP-83 attached? _____</td> </tr> <tr> <td colspan="3">If SME, and DSP-83 is not attached, state why. _____</td> </tr> <tr> <td colspan="3"><b>*12. \$ Value</b></td> </tr> <tr> <td style="width:50%;">Unit Price</td> <td colspan="2">Line Item Total</td> </tr> <tr> <td>_____</td> <td colspan="2">_____</td> </tr> </table>	Category	Sub	Commodity Code	Item is SME and DSP-83 is required <input type="checkbox"/>			Is a DSP-83 attached? _____			If SME, and DSP-83 is not attached, state why. _____			<b>*12. \$ Value</b>			Unit Price	Line Item Total		_____	_____	
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<b>*12. \$ Value</b>																								
Unit Price	Line Item Total																							
_____	_____																							
Add <input type="checkbox"/>		*13. TOTAL VALUE (Sum of All Pages) \$ _____																						

**14. Name and address of foreign end-user**

\* Name

\*Address

\*City

\*Country

Add

**15. Manufacturer of Commodity**

Same as Block 5

\*Name

\*Address

\*City

State  ZIP Code

\*Country

Add

**16. Name and address of foreign consignee**

Same as Block 14

\*Name

\*Address

\*City

\*Country

Add

**17. Source of Commodity**

Same as Block 5  Same as Block 15

\*Name

\*Address

\*City

State  ZIP Code

\*Country

Add

**18. Name and address of foreign intermediate consignee**

None

\* Name

\*Address

\*City

\*Country

\*Role

Add

**19. Name and address of Seller in United States**

Same as Block 5  Same as Block 15  Same as Block 17

\* Name

\*Address

\*City

\*State  \*ZIP Code

Add

**\* 20. Specific purpose for which the material is required, including specific Program/End Item.**

Select at least one:

Off-Shore  Request for Prior Approval (22 CFR 126.8)

Brokering (22 CFR 129)  Other (Please Provide Details)

▲

▼

**21. Name and address of consignor and/or freight forwarder in United States**

Same as Block 5

\* Name

\*Address

\*City

\*State  \*ZIP Code

Add

DSP-5

**22. Applicant's statement**

I

an empowered official (ITAR 120.25) or an official of a foreign government entity in the U.S., hereby apply for a license to complete the transaction described above; warrant the truth of all statements made herein; and acknowledge, understand and will comply with the provisions of Title 22 CFR, 120-130, and any conditions and limitations imposed.

I am authorized by the applicant to certify the following in compliance with 22 CFR 126.13:

(1) Neither applicant, its chief executive officer, president, vice presidents, other senior officers or officials (e.g., comptroller, treasurer, general counsel) nor any member of its board of directors is:

(a) the subject of an indictment for or has been convicted of violating any of the U.S. criminal statutes enumerated in 22 CFR 120.27 since the effective date of the Arms Export Control Act, Public Law 94-329, 90 Stat. 729 (June 30, 1976); or

(b) ineligible to contract with, or to receive a license or other approval to import defense articles or defense services from, or to receive an export license or other approval from any agency of the U.S. Government;

(2) To the best of the applicant's knowledge, no party to the export as defined in 22 CFR 126.7 (e) has been convicted of violating any of the U.S. criminal statutes enumerated in 22 CFR 120.27 since the effective date of the Arms Export Control Act, Public Law 94-329, 90 Stat. 729 (June 30, 1976); or is ineligible to contract with, or to receive a license or other approval to import defense articles or defense services from, or to receive an export license or other approval from any agency of the U.S. Government.

**\*22 CFR 126.13 Certification (Select one)**

- a. I am authorized by the applicant to certify that the applicant and all the parties to the transaction can meet in full the conditions of 22 CFR § 126.13 as listed above.
- b. I am authorized by the applicant to certify to 22 CFR § 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR § 126.13 as listed above. A request for an exception to policy, as described in Section 127.11 of the ITAR, is attached.
- c. I am authorized by the applicant to certify to 22 CFR § 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR § 126.13 as listed above. However that party has met the conditions imposed by the Directorate of Defense Trade Controls in order to resume standard submission of applications, not requiring an exception to policy as described in Section 127.11 of the ITAR.
- d. I am not authorized by the applicant to certify the conditions of 22 CFR § 126.13. The applicant and all of the parties to the transaction can meet in full the conditions of 22 CFR § 126.13 as listed above. Please see the attached letter from an official that is authorized by the applicant to certify to the conditions of 22 CFR § 126.13.
- e. I am not authorized by the applicant to certify the conditions of 22 CFR § 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR § 126.13 as listed above. A request for an exception to policy, as described in Section 127.11 of the ITAR, and a letter from an official that is authorized by the applicant to certify to the conditions of 22 CFR § 126.13 are attached.
- f. I am not authorized by the applicant to certify the conditions of 22 CFR § 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR § 126.13 as listed above. However that party has met the conditions imposed by the Directorate of Defense Trade Controls in order to resume standard submission of applications, not requiring an exception to policy as described in Section 127.11 of the ITAR. Please see the attached letter from an official that is authorized by the applicant to certify to the conditions of 22 CFR § 126.13.

**\*Compliance with 22 CFR 130 (Select one)**

- This transaction does not meet the requirements of 22 CFR 130.2
- This transaction meets the requirements of 22 CFR 130.2. The applicant or its vendors **have not** paid, nor offered, nor agreed to pay, in respect of any sale for which a license or approval is requested, political contributions, fees or commissions in amounts as specified in 22 CFR 130.9(a).
- The applicant or its vendors **have** paid, or offered, or agreed to pay, in respect of any sale for which a license or approval is requested, political contributions, fees or commissions in amounts as specified in 22 CFR 130.9(a). Information required under 22 CFR 130.10 is attached.
- I am not authorized by the applicant to certify the conditions of 22 CFR 130.9(a). Please see the attached letter for such certification.

\*Signature

**23. License to be to: (Enter name, address and phone number)**

[This block is inactive on electronic form.]

- Same as Block 5
- Hold for Pickup

Name

Address

City

State  ZIP Code

Telephone #

**CONDITIONS OF ISSUANCE**

1. This license is issued under the conditions cited in 22 CFR 120 - 130, including the provisos as applicable, that:

- A. It shall not be construed as implying U.S. Government approval or commitment to authorize future exports of any article (equipment or technical data) on the Munitions List, or a U.S. Government commitment with regard to any proposed manufacturing license or technical assistance agreements which may result from an authorized export.
- B. If a license is issued for technical data only, it does not authorize the export of any hardware; if a license is issued for hardware only, it does not authorize the export of any technical data, unless specifically covered by an exemption.

The issuance of this license does not release the licensee from complying with other requirements of U.S. law and regulations.

2. The prior written approval of the Department of State must be obtained before U.S. Munitions List articles exported from the U.S. under license or other approval may be resold, diverted, transferred, transshipped, reshipped, reexported to, or used in any country, or by any end-user, other than that described on the license or other approval as the country of ultimate destination or the ultimate end-user.

**RETURN OF LICENSE**

This license must be returned to PM/DDTC, SA-1, 12th Floor, Directorate of Defense Trade Controls, Bureau of Political-Military Affairs, U.S. Department of State, Washington, DC 20522-0112 when: (1) the total value authorized has been shipped; (2) the applicant states that there will be no further shipments; (3) the date of expiration is reached; or (4) when requested by the Directorate of Defense Trade Controls.

**ENDORSEMENT**

Indicate below which ITEM on the face of the license is BEING EXPORTED and maintain a CONTINUING BALANCE of the remaining value:

SHIPMENT DATE	QUANTITY	COMMODITY (Include classification)	SHIPMENT VALUE	SED NO.	INITIALS	PORT OF EXIT/ENTRY
TOTAL AUTHORIZED VALUE:			<input type="text"/>			
REMAINING BALANCE:			<input type="text"/>			

**NOTE:** Continuation of additional shipments must be authenticated by use of continuation sheets in the U.S. Customs handbook.