





10 Status of applicant

Please select status,  as applicable

- Individual, Hindu undivided family, Company, Partnership Firm, Government, Association of Persons, Trusts, Body of Individuals, Local Authority, Artificial Juridical Persons, Limited Liability Partnership

11 Registration Number (for company, firms, LLPs, etc.)

Registration number input field

12. Country of Citizenship

Country of citizenship input field

ISD Code of the Country of Citizenship

ISD code input field

13 Source of Income

- Salary, Income from Business / Profession, Income from House property, Business/Profession code

Please select status,  as applicable

- Capital Gains, Income from Other sources, No Income

14 Representative or Agent of the Applicant in India

Full name, address of the Representative or Agent

Full Name (Full expanded name: initials are not permitted)

Please select title,  as applicable, Shri/Mr, Smt/Mrs, Kumari/Ms, M/s

Last Name / Surname, First Name, Middle Name input fields

Address

Flat/Room/Door/Block No., Name of Premises/Building/Village, Road/Street/Lane/Post Office, Area/Locality/Taluka/Sub-Division, Town/City/District, State/Union Territory, Pincode/Zip code

15 Documents submitted as Proof of Identity(POI) and Proof of Address (POA)

I/We have enclosed [ ] as proof of identity, [ ] as proof of address, and [ ] as mandatory certified documents

[Please refer to the instructions (as specified in Rule 114 of I.T. Rules, 1962) for list of mandatory certified documents to be submitted as applicable]

16 KYC details\* [To be filled in by Foreign Institutional Investor or a Qualified Foreign Investor, as prescribed under the regulations issued by the Securities and Exchange Board of India (SEBI)]

["Control" as defined under SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 1997 "Beneficial owner" as defined in the para 5.1 of SEBI circular dated December 31, 2010 on Anti Money Laundering.]

(a) In case of Individuals

Please select  as applicable

- Marital Status: Single, Married, Divorced, Widow/Widower; Citizenship Status: Foreigner, Person of Indian origin, Overseas citizen of India; Occupation details: Private sector service, Public sector/Govt. service, Business, Professional, Agriculturist, Retired, Housewife, Student, Others

(b) In case of non Individuals

Please select  as applicable

- Private Company, Public Company, Body Corporate, Financial Institution, Non Government Organization, Charitable Organization

(c) Gross Annual Income - INR

Gross Annual Income input field

Networth (Assets less liabilities) in INR

Networth input field

(d) In case of a Public Company, whether listed on a stock exchange

Yes  No Please select  as applicable

If yes, then indicate name of the stock exchange

Stock exchange name input field

(e) In case of Non-Individuals

Does it have few persons or persons of the same family holding beneficial ownership and control.

Yes  No Please select  as applicable

["Control" :Control shall include the right to appoint majority of the directors or to control the management or policy decisions exercisable by a person or persons acting individually or in concert, directly or indirectly, including by virtue of their shareholding or management rights or shareholders agreements or voting agreements or in any other manner.

"Beneficial owner" means the natural person who ultimately owns or controls the applicant and/or the person on whose behalf a transaction is being conducted, and includes a person who exercises ultimate effective control over a juridical person]

(f) Is the entity involved / providing any of the following services

Please select  as applicable

- Foreign exchange, Money Changer Services; Gaming/Gambling/Lottery services (Casinos and Betting Syndicates); Money Lending, Pawning

(g) Whether the applicant or the applicant's authorised signatories/trustees/office bearers is

- (i) a politically exposed person; (ii) related to a politically exposed person

[For definition of politically exposed person refer to guidelines issued under the Prevention of Money Laundering Act (PMLA)]

(h) Taxpayer Identification Number in the country of residence

TIN input field

17 I/We [ ], the applicant, in the capacity of [ ] do hereby declare that what is stated above is true to the best of my/our information and belief.

Place [ ]

Date DD MM YY YY

Signature / Left Thumb Impression of Applicant (inside the box)



## INSTRUCTIONS FOR FILLING FORM 49AA

- Form to be filled legibly in **BLOCK LETTERS** and preferably in **BLACK INK**. Form should be filled in **English only**.
- Each box, wherever provided, should contain only one character (alphabet / number / punctuation sign) leaving a blank box after each word.
- 'Individual' applicants should affix two recent colour photographs with white background (size 3.5 cm x 2.5 cm) in the space provided on the form. The photographs should not be stapled or clipped to the form. The clarity of image on PAN card will depend on the quality and clarity of photograph affixed on the form.
- Signature / Left hand thumb impression should be provided across the photo affixed on the left side of the form in such a manner that portion of signature/impression is on photo as well as on form.
- Signature / Left hand thumb impression should be **within the box** provided on the right side of the form. The signature should not be on the photograph affixed on right side of the form. If there is any mark on this photograph such that it hinders the clear visibility of the face of the applicant, the application will not be accepted.
- Thumb impression, if used, should be attested by a Notary Public or on authorized officer in respective country of residence under official seal and stamp.
- AO code (Area Code, AO Type, Range Code and AO Number) of the Jurisdictional Assessing Officer must be filled up by the applicant. These details can be obtained from the Income Tax Office Or IT PAN Service Centers (managed by UTIITSL) Or UTIITSL website www.utiitsl.com
- Guidelines for filling the Form49AA:

### 1. Full Name :

Please select appropriate title. Do not use abbreviations in the First and the Last name/Surname

→ For example RAVIKANT should be written as:

Last Name / Surname	R	A	V	I	K	A	N	T											
First Name																			
Middle Name																			

→ For example SURESH SARDA should be written as:

Last Name / Surname	S	A	R	D	A														
First Name	S	U	R	E	S	H													
Middle Name																			

→ For example POONAM RAVI NARAYAN should be written as:

Last Name / Surname	N	A	R	A	Y	A	N												
First Name	P	O	O	N	A	M													
Middle Name	R	A	V	I															

→ For example SATYAM VENKAT M. K.RAO should be written as:

Last Name / Surname	R	A	O																
First Name	S	A	T	Y	A	M													
Middle Name	V	E	N	K	A	T				M	K								

→ For example M.S.KANDASWAMY (MADURAI SOMASUNDRAM KANDASWAMY) should be written as:

Last Name / Surname	K	A	N	D	A	S	W	A	M	Y											
First Name	M	A	D	U	R	A	I														
Middle Name	S	O	M	A	S	U	N	D	R	A	M										

Applicant other than 'Individuals' may ignore above instructions.

Non-Individuals should write their full name starting from the first block of Last Name/Surname. If the name is longer than the space provided for the last name, it can be continued in the space provided for First and Middle Name

→ For example XYZ DATA CORPORATION (INDIA) PRIVATE LIMITED should be written as:

Last Name / Surname	X	Y	Z		D	A	T	A		C	O	R	P	O	R	A	T	I	O	N		(	I	N	D	)
First Name	I	A	)		P	R	I	V	A	T	E		L	I	M	I	T	E	D							
Middle Name																										

→ For example MANOJ MAFATLAL DAVE (HUF) should be written as:

Last Name / Surname	M	A	N	O	J		M	A	F	A	T	L	A	L		D	A	V	E		(	H	U	F	)
First Name																									
Middle Name																									

In case of Company, the name should be provided without any abbreviations. For example, different variations of 'Private Limited' viz. Pvt Ltd, Private Ltd, Pvt Limited, P.Ltd, P. Ltd., P Ltd are not allowed. It should be 'Private Limited' only. In case of sole proprietorship concern, the proprietor should apply for PAN in his/her own name. Name should not be prefixed with any title such as Shri, Smt, Kumari, Dr., Major, M/s etc.

### 2. Abbreviation of full name to be printed on the PAN card

Individual applicants should provide full/abbreviated name to be printed on the PAN card. Name, if abbreviated, should necessarily contain the last name. For example:

→ SATYAM VENKAT M.K.RAO which is written in the Name field as:

Last Name / Surname	R	A	O																
First Name	S	A	T	Y	A	M													
Middle Name	V	E	N	K	A	T				M	K								

Can be written as in 'Name to be printed on the PAN Card' column as SATYAM VENKAT M.K.RAO or S.V.M.K.RAO or SATYAM V.M.K.RAO For non individual applicants, this should be same as last name field in item no 1 above.

### 3. Have you ever been known by any other name?

If applicant selects 'Yes', then it is mandatory to provide details of the other name. Instructions in Item No. 1 with respect to name apply here. Title should be similar to the title mentioned in Item No. 1.

4. Gender This field is mandatory for Individuals. Field should be left blank in case of other applicants.

### 5. Date of Birth/Incorporation/ Agreement /Partnership or Trust Deed/Formation of Body of Individuals/ Association of Persons

Date cannot be a future date. Date: 2nd August 1975 should be written as:

D	D	M	M	Y	Y	Y	Y
0	2	0	8	1	9	7	5

Relevant date for different categories of applicants is:

Individual: Actual Date of Birth; Company: Date of Incorporation;

Association of Persons: Date of formation/creation; Trusts: Date of creation of Trust

Deed; Partnership Firms: Date of Partnership Deed; LLPs: Date of Incorporation/registration; HUFs: Date of creation of HUF and for ancestral HUF date can be 01-01-0001 where the date of creation is not available.

### 6. Father's Name

Applicable to Individuals only. Instructions in Item No.1 with respect to name apply here. Married woman applicant should give father's name and not husband's name.

### 7. Address – Residence and office

**R - Residence Address:**

For Individuals, HUF, AOP, BOI or AJP, residential address is mandatory. Other applicants should leave this field blank.

**O - Office Address:**

(1) Name of Office and address to be mentioned in case of individuals having source of income as salary or Business/profession [ Item No.13]

(2) In case of Firm, LLP, Company, Local Authority and Trust, name of office and complete address of office is mandatory.

For all categories of applicants it is necessary to mention complete address and the details of Town/City/District, State/Union Territory,

and PINCODE are mandatory.

In case a foreign address is provided then it is mandatory to provide Country Name along with ZIP Code of the country.

### 8. Address for communication

Individuals/HUFs/AOP/BOI/AJP may indicate either 'Residence' or 'Office' and other applicants should necessarily indicate 'Office' as the Address for Communication. All communication will be sent at the address indicated in this field.

### 9. Telephone Number and E mail ID

1. Telephone Number should include country code (ISD Code) and STD Code or Mobile No. should include country code (ISD Code).

For example : (i) Telephone number 2355705 of Delhi should be written as:

Country code	9	1	STD CODE									Telephone Number/Mobile number	2	3	5	5	7	0	5
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Where '91' is the country code of India and 11 is the STD code of Delhi

(ii) Mobile number 9102511111 of India should be written as :

Country code	9	1	STD Code									Telephone Number / Mobile number	9	1	0	2	5	1	1	1	1	1	1
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Where '91' is the country code of India.

2. It is mandatory for the applicants to mention either their "Telephone number" or valid "e mail-id" so that they can be contacted in case of any discrepancy in the application and/or for receiving PAN through e mail. (3) Application status updates are sent using the SMS facility on the mobile numbers mentioned in the application form.



<b>10. Status of Applicant</b>							
This field is mandatory for all categories of applicants. In case of 'Limited Liability Partnership', the PAN will be allotted in 'Firm' status.							
<b>11. Registration number</b>							
Not applicable to Individuals and HUFs. Mandatory for 'Company'. Company should mention registration number issued by the Registrar of companies or the concerned Government Authority of Country of residence							
<b>12. Country of Citizenship:</b> This field is mandatory for all categories of applicants.							
<b>13. Source of Income:</b> It is mandatory to indicate at least one of the sources of incomes, as mentioned in the form. In case, the income from Business/profession is selected by the applicant then an appropriate business profession code should be mentioned. Please refer the table given below to select the business/profession code:							
<b>Code</b>	<b>Business/Profession</b>	<b>Code</b>	<b>Business/Profession</b>	<b>Code</b>	<b>Business/Profession</b>	<b>Code</b>	<b>Business/Profession</b>
1	Medical Profession and Business	2	Engineering	3	Architecture	4	Chartered Accountant/Accountancy
5	Interior Decoration	6	Technical Consultancy	7	Company Secretary	8	Legal Practitioner and Solicitors
9	Government Contractors	10	Insurance Agency	11	Films, TV and such other entertainment	12	Information Technology
13	Builders and Developers	14	Members of Stock Exchange, Share Brokers and Sub-Brokers	15	Performing Arts and Yatr a		
16	Operation of Ships, Hovercraft, Aircrafts or Helicopters	17	Plying Taxis, Lorries, Trucks, Buses or other Commercial Vehicles				
18	Ownership of Horses or Jockeys	19	Cinema Halls and Other Theatres	20	Others		
<b>14. Name and address of Representative Assessee</b>							
Section 160 of Income Tax Act, 1961 provides that any person (assessee) can be represented through Representative Assessee. Therefore this column should be filled in by representative assessee only as specified in Section 160 of the Income-Tax Act, 1961, such as, an agent of the non resident, guardian or manager of a minor, lunatic or idiot, Court of in Wards, Administrator General, Official Trustee, receiver, manager, trustee of a Trust including Wakf. This field will contain particulars of Representative Assessee. This field is mandatory if applicant is minor, deceased, idiot, lunatic or mentally retarded. Column 1 to 13 will contain details of person on whose behalf this application is submitted. Proof of Identity and Proof of address is also required for representative assessee.							
<b>15. Proof of Identity and Proof of Address documents</b>							
It is mandatory to attach proof of identity and proof of address with PAN application. Documents should be in the name of applicant. List of documents which will serve as proof of identity and address for each status of applicant is as given below: ** ** Document acceptable as proof of identity and address as per Rule 114 of Income Tax Rules, 1962 <b>For Individuals and HUF</b> <b>For proof of Identity:</b> —i> Copy of passport or ii> Copy of Person of Indian Origin (PIO) card issued by Government of India, or iii> Copy of Overseas Citizen of India (OCI) card issued by Government of India Or iv> Copy of other national or citizenship Identification Number or Taxpayer Identification Number duly attested by "Apostille" (in respect of countries which are signatories to the Hague Convention of 1961) or by the Indian Embassy or High Commission or Consulate in the country where the applicant is located. <b>For Proof of Address:</b> —i> Copy of Passport Or ii> Copy of Person of Indian Origin (PIO) card issued by Government of India Or iii> Copy of overseas Citizen of India (OCI) card issued by Government of India, Or iv> Copy of other national or citizenship Identification Number or Taxpayer Identification Number duly attested by "Apostille" (in respect of countries which are signatories to the Hague Convention of 1961) or by the Indian Embassy or High Commission or Consulate in the country where the applicant is located Or v> Copy of Bank Account statement in the country of Residence Or vi> Copy of Non-resident External (NREO) bank account statement in India Or vii> Copy of certificate of Residence in India or Residential permit issued by the State Police Authorities. Or viii> copy of Registration certificate issued by the Foreigner's Registration office showing Indian address Or ix> Copy of Visa granted & copy of appointment letter or contract from Indian company & certificate (in original) of Indian address issued by the employer.							
<b>Other than Individual and HUF ( Copy of )</b>							
<b>For proof of Identity:</b> —i> Copy of Certificate of Registration issued in the country where the applicant is located, duly attested by "Apostille" (in respect of the countries which are signatories to the Hague Convention of 1961) or by the Indian Embassy or High Commission or Consulate in the country where the applicant is located OR ii> Copy of registration certificate issued in India or of approval granted to set up office in India by Indian Authorities. <b>For Proof of Address:</b> —i> Copy of certificate of Registration issued in the country where the applicant is located, duly attested by "Apostille" (in respect of the countries which are signatories to the Hague Convention of 1961) or by the Indian Embassy or High Commission or Consulate in the country where the applicant is located OR ii> Copy of registration certificate issued in India or of approval granted to set up office in India by Indian Authorities.							
<b>16. KYC Details</b>							
It is mandatory to provide KYC details in case of an application filled by a Foreign Institutional Investor or a Qualified Foreign Investor, as prescribed under the regulations issued by Securities and Exchange Board of India (SEBI). Please refer the guidelines issued by the Securities and Exchange Board of India (SEBI) and Prevention of Money Laundering Act for filling these details.							
<b>17. Signature/Thumb impression.</b>							
Application must be signed by (i) the applicant Or(ii) Karta in case of HUF or (iii) Director of a Company or (iv) Authorized Signaturee in Case of AOP, Body of Individuals, Local Authority and Artificial Judicial Person Or (v) Partner in case of Firm/LLP, Or (vi) Trustee Or (vii) Representative assessee in case of Minor/deceased/idiot/lunatic/mentally retarded. Applications not signed in the given manner and in the space provided are liable to be rejected.							
<b>GENERAL INFORMATION FOR PAN APPLICANTS</b>							
a. Applicants may obtain the application form for PAN (Form 49AA) from any IT PAN Service centers (managed by UTIITSL) or any other stationary vendor providing such forms or freely downloaded from the Income Tax Department website <a href="http://www.incometaxindia.gov.in">www.incometaxindia.gov.in</a> / UTIITSL website ( <a href="http://www.utiitsl.com">http://www.utiitsl.com</a> ).							
b. The fee for processing PAN application is ₹.85/ (plus service tax, as applicable). In case, the PAN card is to be dispatched outside India then additional dispatch charge of ₹.850 will have to be paid by applicant.							
c. Those already allotted a ten digit alphanumeric PAN shall not apply again as having or using more than one PAN is illegal. However, request for a new PAN card with the same PAN or/and Changes or Correction in PAN data can be made by filling up 'Request for New PAN Card or/and Changes or Correction in PAN Data' form available from any source mentioned in (a) above. The cost of application and processing fee is same as in the case of Form 49AA.							
d. Applicant will receive an acknowledgment containing a 9 digit unique number on acceptance of this form. This acknowledgment number can be used for tracking the status of the application							
<b>ACKNOWLEDGEMENT</b>							
Received ₹.94/ ( inclusive of applicable taxes ) + 850/- (Outside India Dispatch Charges) With thanks from Shri/Smt/Kum/M/s							
Application form 49 AA Sr no.							
Date of Receipt							
Processing Fee Coupon no.							
Service- tax Regn no: (ST/BAS/STC/BEL/420/2004-2005) PAN Service Center Code: --- PAN Service Center Name:---							
Authorized Signatory (With date stamp)							
UTI Infrastructure Technology & Services Ltd. P. B NO 20, Plot no 3, Sector-11 CBD- Belapur, Navi Mumbai- 400614 Telephone: (022) 67931300 Fax : (022) 67931399 Email ID :- utiitsl.gsd@utiitsl.com							
UTI Infrastructure Technology & Services Ltd. Ground Floor, Jeevan Tara Building Opp Patel Chowk Metro Station 5, Parliament Street, New Delhi- 110001 Telephone : (011) 23741282-86 Fax: (011) 23741280 Email ID :- pan.delhi@utiitsl.com							
UTI Infrastructure Technology & Services Ltd 29, Netaji Subhash Road, Ground Floor, Opp Gilander House & Standard Chartered Bank, Kolkata- 700001 Telephone: (033) 22108959, 2242 - 4774/4810/4783 Fax: (033) 22435217 Email ID :- Kolkata@utiitsl.com, pan.kolkata@utiitsl.com							
UTI Infrastructure Technology & Service Ltd 45, Justice Basheer Ahmed Building, Second Floor Second Line Beach, Chennai- 600001 Telephone:-(044) 25341224/ 1265/ 1356 Fax : (044)- 25341346 Email ID:- chennai@utiitsl.com /isw.chennai@utiitsl.com							