# KANSAS CORPORATION COMMISSION

# Procedures for Safety Compliance



Transportation Division 1500 SW Arrowhead Road Topeka, KS 66604-4027

June 2014

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# **Kansas Corporation Commission**

# PHONE AND E-MAIL DIRECTORY

| NAME             | TITLE & LOCATION                       | PHONE          | E-MAIL ADDRESS         |
|------------------|--|----------------|------------------------|
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Please call the Kansas Corporation Commission if you have questions about any of the following:

Interstate Authority.....Intrastate Authority.....Private Carrier Authority.....DOT Numbers.....Unified Carrier Registration.....Miscellaneous Questions.........Please call (785) 271-3145

You may visit out website at kcc.ks.gov. When you get to the KCC homepage, click on Motor Carriers, this will show information regarding Safety, Publications and Helpful Links.

To access KCC registration information click on Motor Carrier Forms & Applications. Each application has an instruction sheet and phone numbers to call with questions or concerns regarding any application.

Kansas Trucking Connection 1500 SW Arrowhead Road Topeka, KS 66604-4027 www.truckingKS.org

# KANSAS

CORPORATION COMMISSION

KATHLEEN SEBELIUS, GOVERNOR

BRIAN J. MOLINE, CHAIR
ROBERT E. KREHBIEL, COMMISSIONER
MICHAEL C. MOFFET, COMMISSIONER

January 2, 2006

The Kansas Corporation Commission (KCC) and the Kan sas Highway Patrol (KHP) are joining efforts to enhance the Kansas Safet y Compliance Program with one goal in mind, to reduce the number of injury /fatality accidents involving Commercial Motor Vehicles. This joint effort will result in form ation of a Civil Assessment Penalty Program for non compliance with safety regulations. Under the program, drivers and carriers may expect to see the following changes:

**New KHP Policy "Driver":** Effective January 1, 2006, KHP of ficers have been trained and instructed to issue misdemeanor citations to drivers for the applicable out-of-service (OOS) violations of the Federal Motor Carrier Safety Regulations (FMCSR) discovered during roadside inspections as determined by the CVSA, North American Standard Out-Of-Service Criteria. This change in polic ye mphasizes the driver's role, underst anding and responsibility, and holds them accountable and liable for areas under their purview.

**New KCC Civil Assessment Project " Motor Carrier":** Effective April 1, 2006, the KCC will assess civil penalties to all motor carriers for the applicable OOS violations of the FMCSR's discovered duri ng roadside inspections as deter mined by the CV SA, North Americ an Standard Out-Of-Service Criteria. This new program emphasizes the responsibility of motor carriers, and holds them accountable and liable for areas under their purview.

If a driver is issued a misdemeanor citation for an OOS violation, there will be no addit ional civil penalty assessed to the m otor carrier for the s ame OOS violation. KCC will only issue one civil penalty fee for each OOS violation category. For example:

- The tractor of a combination vehicle is placed OOS for an air brake lining with a thickness less than 1/4 inch, as well as OOS for brake adjustment limits and the trailer is also placed OOS for brake adjustment limits, the company will be issued three OOS violations but only fined for one brake OOS.
- Scenario two, a driver is placed OOS for three OOS violations discovered during a roadside inspection. The OOS violations include an 11-hour rule, a 14-hour—rule and a 70-hour rule violation. The driver will be issued a misdemeanor citation for the 11-hour OOS violation, the company will be issued three OOS violations, and because the driver will be cited for the 11-hour OOS violation, the company will not be issued a civil penalty.

As stated above, the KC C and KHP are joining effort s to reduce the num ber of injur y/fatality accidents involving Commercial Motor Vehicles. This joint enforcement project will allow both the KCC and KHP to focus our resources on unsafe drivers and motor carriers, resulting in a more effective, efficient and s afe environment for the motoring public. If you have an y questions regarding t his program, fe el free to contact one of the agencies a s indicated below. We appreciate your continued support in making the hi ghways in the state of Kansas safer for everyone.

Sincerely,

Mike J. Hoeme, Director Transportation Division

(785) 271-3333 (785)

Daniel D. Meyer, Captain Kansas Highway Patrol, Troop I 296-7189

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# Important FMCSA Websites

This page highlights links in question-and-answer format on specific subject matter most frequently request by the public. The variety of topics featured can assist you in finding information you need to know about a particular Federal Motor Carrier Safety Administration program or activity. Please look for the question(s) you are interested in and go to the appropriate website. We hope you find this information helpful.

- 1) What is the Federal Motor Carrier Safety Administration's official Website address? <a href="https://www.fmcsa.dot.gov/spanish/index.htm">www.fmcsa.dot.gov</a> or <a href="http://www.fmcsa.dot.gov/spanish/index.htm">http://www.fmcsa.dot.gov/spanish/index.htm</a>
- 2) How can I locate the nearest field office? http://www.fmcsa.dot.gov/aboutus/aboutus.htm
- 3) Where can I obtain information about Federal safety regulations and interpretations? <a href="http://www.fmcsa.dot.gov/rulesregs/fmcsrhome.htm">http://www.fmcsa.dot.gov/rulesregs/fmcsrhome.htm</a>
- 4) Where can I find information about the transportation of Hazardous materials? <a href="http://hazmat.dot.gov">http://hazmat.dot.gov</a>
- 5) How do I obtain a US DOT number? www.fmcsa.dot.gov/factsfigs/formspubs.htm.
- 6) Where can I find statistics and analysis regarding the truck and bus industry? http://ai.volpe.dot.gov/
- 7) How can I obtain a motor carrier's Profile? <a href="http://www.safersys.org">http://www.safersys.org</a>
- 8) Where can I find carrier safety ratings, inspections, and accident summary data? <a href="http://www.safersys.org">http://www.safersys.org</a>
- 9) How do I report safety violations? http://www.fmcsa.dot.gov/pdfs/saftviolationrpt.pdf
- 10) What must I do to start a trucking business? www.fmcsa.dot.gov/factsfigs/formspubs.htm
- 11) Where can I file forms and pay fees for registration, insurance, and fines? <a href="http://div.dot.gov/">http://div.dot.gov/</a>
- 12) How can I change the name and address of my business online? <a href="http://div.dot.gov/">http://div.dot.gov/</a>
- 13) Where can I find the latest information about safety programs? http://www.fmcsa.dot.gov/safetyprogs/saftprogs.htm
- 14) How can I learn about the "Share the Road Safety" program? www.sharetheroadsafely.org
- 15) How can I obtain the latest research and analysis on truck and bus safety? <a href="www.fmcsa.dot.gov">www.fmcsa.dot.gov</a> and <a href="http://ai.volpe.dot.gov/">http://ai.volpe.dot.gov/</a>
- 16) Where can I find consumer information about moving household goods? <a href="https://www.fmcsa.dot.gov/factsfigs/moving.htm">www.fmcsa.dot.gov/factsfigs/moving.htm</a>

# MOTOR CARRIERS WHO NEED A CERTIFICATE, LICENSE, OR PERMIT

**Private Carrier Definition:** Any person who provides transportation of

property or passengers, by commercial vehicle

and is not a for hire motor carrier

**Common Carrier Definition:** Any person who holds himself out to the public

as willing to undertake for-hire to transport by motor vehicle, from place to place, the property of others who may choose to employ him

# **Authority Types Defined:**

**Pri-D** A motor carrier based in Kansas operating beyond 25 miles from their office headquarters

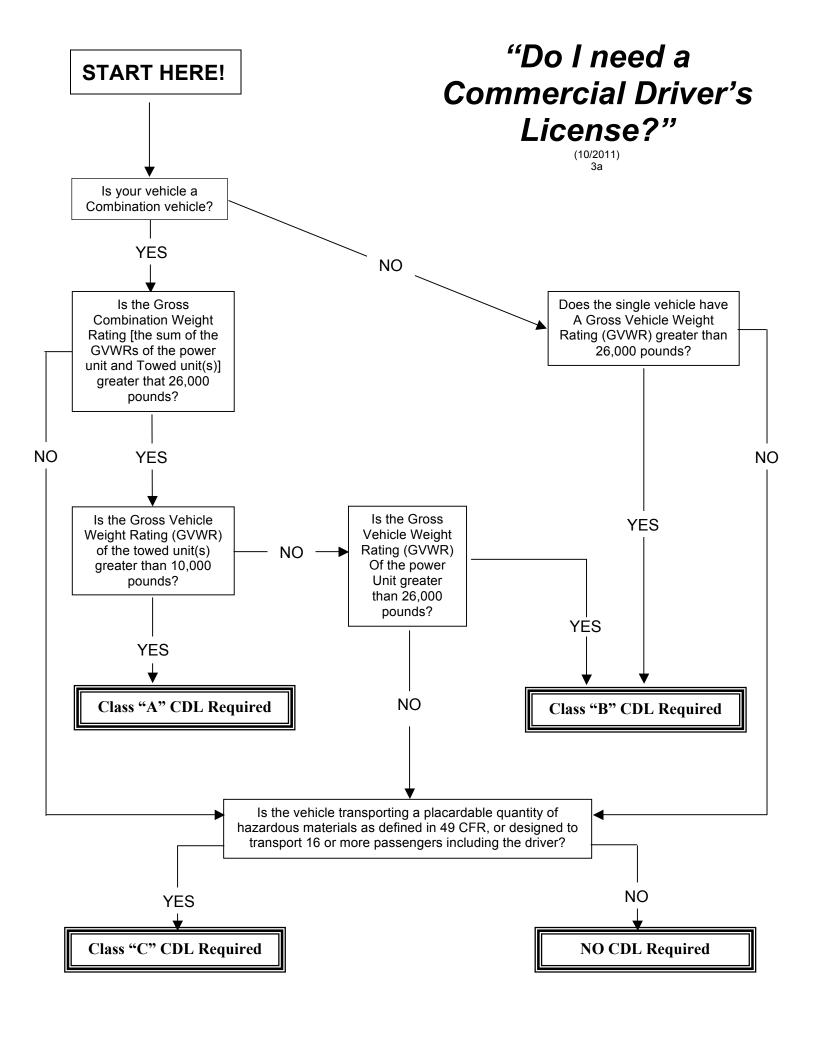
**Pri-F** A motor carrier based outside of Kansas operating beyond 25 miles from their office headquarters

**Kan-C** A person transporting property or passengers for-hire point to point in Kansas

# Kansas Corporation Commission and The Federal Motor Carrier Safety Administration (FMCSA) Title 49 Part 390.5 as adopted by the KCC in 82-4-1, defines Commercial Motor Vehicle as:

Any self-propelled or towed motor vehicle used on a highway in interstate and/or intrastate commerce to transport passengers or property when the vehicle;

- (1) Has a gross vehicle weight rating or gross combination weight rating, or gross vehicle weight or gross combination weight, of 4,536 kg (10,001 pounds) or more, whichever is greater; or
- (2) Is designed or used to transport more than 8 passengers (including the driver) for compensation; or
- (3) Is designed or used to transport more than 15 passengers, including the driver, and is not used to transport passengers for compensation; or
- (4) Is used in transporting material found by the Secretary of Transportation to be hazardous under 49 U.S.C. 5103 and transported in a quantity requiring placarding under regulations prescribed by the Secretary under 49 CFR, subtitle B, chapter I, subchapter C.



# Commercial Driver's License CDL CLASSES, EXEMPTIONS, RESTRICTIONS & ENDORSEMENTS

See below for enforcement statutes

# CDL CLASSES - 8-234b

- Class A Combination vehicles with GVWR of 26,001 lbs. or more AND towed vehicle(s) have a combined GVWR over 10,000 lbs.
- Class B Single vehicles with GVWR of 26,001 lbs. or more, **OR** such vehicle towing a vehicle with GVWR of 10,000 lbs. or less.
- Class C Single vehicles with GVWR under 26,001 lbs., **OR** such vehicle towing a vehicle with GVWR over 10,000 lbs. **IF** vehicles combined GVWR is less than 26,001 bs. Includes vehicles:
  - \* Designed to transport 16 or more passengers including driver.
  - \* Used to transport hazardous materials required to be placarded.

# CDL EXEMPTIONS - 8-2,127

- (a) Farm vehicles, provided:
  - (1) Vehicle is registered as a farm truck or truck tractor.
  - (2) Vehicle is transporting agricultural products, farm machinery, or farm supplies to or from a farm.
  - (3) Vehicle isn't being used in contract or common carrier operation.

AND

- (4) Vehicle is operated within 150 air miles of farm(s) owned or leased by the vehicle's registered owner.
- (b) Fire fighting equipment.
- (c) Military vehicles operated by military persons.
- (d) Vehicles used solely for private and noncommercial use.

### **Interstate Farmers:**

MO/KS-Same as above except must be 18 YOA and not required to be Placarded if Class A or B CMV

NE/KS-Same as above except must be 18 YOA and not a TT/ST combo.

OK/KS-Same as above.

# CDL RESTRICTIONS - 8-2,127

The below codes are seen on the computer printout of a DL/CDL, but are normally spelled out on the DL/CDL.

- B Corrective lenses required
- C Mechanical aid required
- D Prosthetic aid required
- E Automatic transmission only
- F Outside mirrors
- G Daylight hours only
- H For employment only
- I Limited other
- K Intrastate travel only (CDL only)
- L Without airbrakes only (CDL only)
- M No CDL class A busses only
- N No CDL class A & B busses only
- O No tractor trailer combination
- V Medical variance (SPE)
- Z No Full air brake equipped CMV (CDL only)
- J-1 Outside business areas only
- J-2 Under age 16
- J-3 No freeway driving
- J-4 Limited to within 25 miles from home
- J-5 Within city limits only
- J-6 Required a licensed driver in front seat
- J-7 Moped only

# CDL ENDORSEMENTS - 8-2,135

- H Hazardous materials (If required placards)
- T Double and triple trailers
- P Passenger vehicles (busses)
- N Tank vehicles (liquids/gases, more than 119 gal tank for liq. or 1,000 bs water wt. for gases)
- X Combination tank and Hazardous materials vehicles
- S School bus

# CDL STATUTES

- **8-2,129** Driver of commercial vehicle may possess only one CDL, except during 10 day period beginning when issued a new driver's license.
- 8-2,132(a) Operator of a commercial vehicle must have CDL proper class and endorsements for the vehicle being driven. (Use KSA 8-2,132(a) for NTA and appropriate CFR on MVE-2)
- **8-2,132(b)** No person shall drive a commercial motor vehicle while their driving privilege is suspended, revoked or canceled, while subject to a disqualification. (Use KSA 8-2,132(b) for NTA and 383.23(a)(2) on MVE-2)
- 8-2,132(c) No person shall drive a commercial motor vehicle in violation of an out-of-service order. (Use KSA 8-2,132(c) for NTA and appropriate CFR on MVE-2)
- 8-2,136(a) Notwithstanding any other provisions of this act, a driver shall not drive, operate or be in physical control of a commercial motor vehicle while having alcohol in such driver's system. (Use KSA 8-2,136(a) for NTA and 392.5(a) on MVE-2)
- 8-2,136(b) Commercial driver shall be placed out of service for 24 hours for any amount of alcohol in their system or for refusing to submit to a test to determine blood alcohol content. (Use KSA 8-2,132(c) for NTA and 392.5(c) on MVE-2 for violation of OOS order)
- **8-2,142** Driver disqualified from operating a commercial vehicle for:

Ref. Veh. Law book

- 8-2,144(a) A driver of a commercial vehicle shall be considered as driving while under the influence of alcohol if a blood alcohol test indicates an alcohol level of .04 or more. (Use KSA 8-2,144(a) for NTA and 392.5(a) on MVE-2)
- 8-2,128 DEFINITIONS OF "SERIOUS TRAFFIC VIOLATIONS"
  - (1) Excessive speeding is defined as 15 miles per hour or more over the posted speed limit;
  - (2) reckless driving, as defined under K.S.A. 8-1566, and amendments thereto;
  - (3) a violation of any state or local law relating to motor vehicle traffic control, other than a parking violation, arising in connection with an accident or collision resulting in death to any person.
  - (4) changing lanes of traffic illegally or erratically, as defined under K.S.A. 8-1548, and amendments thereto;
  - (5) following another vehicle too closely, as defined under K.S.A. 8-1523, and amendments thereto;
  - (6) a violation of subsection (a) of K.S.A. 8-2,132, and amendments thereto; or (7) any other violation of a state or local law relating to motor vehicle traffic control, other than a parking violation, which the secretary determines by rule and regulation to be serious

# UNIFIED CARRIER REGISTRATION SYSTEM

# **NOTICE**

Any motor carrier or other entity that is required to obtain a USDOT, FF, MC or MX number and/or is required to register with FMCSA, or should be registered as an interstate or international commerce carrier, is subject to the UCR Agreement. This includes all interstate for-hire motor carriers transporting property or passengers, interstate motor private carriers of property, brokers, freight forwarders, and leasing companies.

Motor Carrier: The Unified Carrier Registration (UCR) System requires individuals and companies that operate commercial motor vehicles in interstate or international commerce to register their business and pay an annual fee based on the size of their fleet. A commercial motor vehicle (as defined under 49 USC Section 31101) means a self-propelled vehicle used on the highways in commerce principally to transport passengers or cargo, if the vehicle: (1) Has a gross vehicle weight rating or gross vehicle weight of at least 10,001 pounds, whichever is greater; (2) Is designed to transport more than 10 passengers, including the driver; or (3) Is used in transporting material found by the Secretary of Transportation to be hazardous under section 5103 of this title and transported in a quantity requiring placards under regulations prescribed by the Secretary under section 5103.

**Small vehicle**: Interstate for-hire motor carriers transporting property or passengers and interstate motor private carriers of property operating only small vehicles (gross vehicle weight rating or gross vehicle weight of 10,000 pounds or less, or designed to transport 10 passengers or less, including the driver) are subject to pay UCR fees. If the carrier only operated these small vehicles, the fleet size for UCR purposes would be zero and the carrier would register and pay the lowest fee category (Bracket B1).

**Other entity**: Brokers, freight forwarders, or leasing companies are required to pay the UCR fee at the lowest fee category (Bracket B1).

|         | UCF   | R Fee Bracket  |   |
|---------|---|--|---|
| Bracket | Number of self-<br>propelled CMVs owned<br>or operated by motor<br>carrier, or motor private<br>carrier of property | Fee per company for<br>motor carrier, or<br>motor private carrier<br>of property | Fee per company for<br>broker, freight<br>forwarder or leasing<br>company |
| B1      | 0-2   | \$76   | \$76  |
| B2      | 3-5   | \$227  |   |
| В3      | 6-20  | \$452  |   |
| B4      | 21-100  | \$1,576  |   |
| B5      | 101-1,000   | \$7,511  |   |
| В6      | 1,001 and more  | \$73,346   |   |

Registration begins October 1. States may begin enforcement January 1 of the following year.

Online registration can be processed at <a href="www.ucr.in.gov">www.ucr.in.gov</a>. In the right-hand column, click on Start UCR Registration. Click the radio button for New Applicant or Renew Your Unified Carrier Registration (UCR). From here, each screen will ask pertinent information to your company.

If online service is not available, registration may be completed by mail or in person with the Kansas Corporation Commission, 1500 SW Arrowhead Road, Topeka, Kansas 66604. The application must be completed and attached with the payment. The Kansas Corporation Commission accepts checks, credit card (Visa/MasterCard/Discover/American Express), and cash if making the payment in person.

If you have questions, please do not hesitate to contact our office at 785.271.3145. Our office hours are 8 am to 5 pm, Monday – Friday.

# For a complete description of each item, see pages 4a & 5. Driver Application for Employment (Part 391.21) pages 8&9 2. \_\_\_\_ Pre-employment check of driving record, Motor Vehicle Record (MVR)(Part 391.23(a)(1) and (b)) pages 10&10a 3. \_\_\_\_ Pre-employment driver Safety Performance History check (Part 391.23(a)(2) pages 11&12 Annual MVR check for three years of diving record (Part 391.25(a) every year pages 10&10a 5. \_\_\_\_ Physical qualifications for drivers, Medical examiner's certificate (Part 391.43) (NOTE: The driver must have a copy of the medical examiner's certificate in his/her possession while driving, Part 391.41, unless they are an interstate CDL driver who must submit a copy of the medical examiner's certificate to the State of CDL). It is suggested you retain a copy of the medical examination report form in a separate confidential medical file. http://www.fmcsa.dot.gov/sites/fmcsa.dot.gov/files/docs/Medical Examination Report for Commercial Driver Fitness \_Determination\_649-F%286045%29.pdf http://www.fmcsa.dot.gov/sites/fmcsa.dot.gov/files/docs/Medical\_Examiners\_Certificate.pdf Driver's road test - Certifications of road test (Part 391.31) or copy of CDL except for tank and double/triple combination vehicles (Part 391.33) pages 14&15 Carriers Driver Statement of On-duty Hours (Part 395.8(j)(2)) page 16 7. Multiple-Employer Driver only: Driver Qualification File checklist page 17 9. \_\_\_\_ Annual driver's certification of violations (Part 391.27) page 18 10. Annual review of driving record (Part 391.25) (c) (2) page 18 11.\_\_\_\_ CDL drivers only: Pre-employment & random alcohol and controlled substance testing (382.301) and (382.305) 12. \_\_\_\_ *CDL drivers only:* Entry –level driver training certification (380.503) page 18a PART 393 – EMERGENCY EQUIPMENT (Equipment required in a CMV) Three (3) reflective triangles. 1. A mounted 5 B:C fire extinguisher or two (2) 4 B:C fire extinguishers that are properly filled and accessible, or a mounted 10 B:C fire extinguisher for CMVs used to transport Hazardous Materials requiring a placard. Spare fuses if the vehicle does not have circuit breakers. PART 395 - HOURS OF SERVICE OF DRIVERS Retention of record of duty status (logbook) for six months at principal place of business (395.8(k)(1)) Retention of time records for six months of short haul operations (100 air miles for CDL vehicles and 150 air mile for non-CDL vehicles) showing starting time, ending time and total hours working (395.1(e)) pages 20a & 20b PART 396 - INSPECTION, REPAIR AND MAINTENANCE Records of maintenance and inspection must be maintained on each commercial vehicle for one year plus six months after vehicle leaves carrier's control (396.3) pages 27,28 & 29 Daily post-trip inspection on each CMV must be completed and maintained for ninety days (396.11) page 30 2. \_\_\_\_ An annual inspection must be completed on each commercial vehicle (396.17) PART 390 - ACCIDENT FILE Accident record file maintained for 3 years after an accident occurs (390.15) page 31 PART 390 - MCS-150 1.\_\_\_\_ Registration required for Intrastate and Interstate before you begin operations using CMVs. Every 12 months must be updated (390.19). Marking of vehicle required (390.21) Legal Name & USDOT (your number) 3. \_\_\_\_ & K.S.A. 8-143e requires vehicles registered at the County for more than 12M to include: City, State and gross

Part 391 - DRIVER OUALIFICATION FILE - CHECK LIST

registered weight (GRW)

# INSTRUCTIONS FOR COMPLETION OF FORMS

# 1. MOTOR CARRIER COMPLIANCE CHECKLIST (page 4):

This form provides a one page checklist for recording completion of: the required driver qualification forms; hours of service document retention requirements; vehicle inspection requirements; accident information; and updating the MCS-150. Alcohol and controlled substance program documents may be recorded, but must be retained in a separate file if this driver qualification file is not handled in a confidential manner (page 6a).

# 2. APPLICATION FOR EMPLOYMENT (pages 8 & 9):

(Part 391.21) An employment application must be completed by every applicant seeking to drive a commercial motor vehicle. The application for employment shall be made on a form furnished by the motor carrier (a copy of the form is on pages 8 & 9). Each application form must be completed and signed by the applicant and must contain the information as outlined in Section 391.21.

Before an application is submitted, the motor carrier shall inform the applicant that the information he/she provides may be used and the applicant's prior employers may be contacted for the purpose of investigating the applicant's background. The form must be retained in the driver's qualification file for three years after the person's employment by the motor carrier ceases.

# 3. CHECK OF DRIVING RECORD - MOTOR VEHICLE RECORD (MVR) (pages 10 & 10a):

(Part 391.23) (a) (1) Each motor carrier is required to investigate the driving record, for the preceding three years, of each driver-applicant. The appropriate agency of every state in which the driver held a motor vehicle operator's license or permit during those three years should be contacted within thirty days of the date employment begins. The Kansas MVR request form is found on page 10 of this book or may be obtained online at: <a href="http://www.accesskansas.org/ssrv-mvr-ltd/">http://www.accesskansas.org/ssrv-mvr-ltd/</a>. A copy of the response of each state agency showing the driver's driving record or certifying that no driving record exists for that driver, shall be retained in the carrier's files as part of the driver's qualification file. The responses must be retained in the file for three years after employment by the motor carrier ceases. (pages 10 & 10a)

NOTE: Part 391.25 (a) requires the motor carrier to obtain the driver's MVR annually.

# 4. REQUEST FOR CHECK OF PREVIOUS EMPLOYER (pages 11 & 12):

(Part 391.23) (a)(2) and (c) (1) Each motor carrier must investigate the driver's safety performance history during the preceding three years. The investigations may consist of personal interviews, letters or any method of obtaining information that the carrier deems appropriate and shall be made within thirty days of the date his/her employment begins. The information that must be checked is the prospective driver's accident history for the previous three years and whether the driver has ever tested positive or refused a DOT required drug or alcohol test. A written record must be kept with respect to each past employer who was contacted along with the past employer's name and address, the date he/she was contacted, and his/her comments with respect to the driver. The record shall be retained in the driver's safety performance history file for three years after the person's employment with the motor carrier ceases. (pages 11 & 12)

# 5. DRIVER'S PHYSICAL EXAMINATION:

(Parts 391.41, 391.43 & 391.45) All persons operating a commercial motor vehicle are required to obtain a physical examination at least every twenty-four months that shall be recorded on the FMCSA medical examination report form and medical examiner's certificate. The forms may be found at the following websites: Medical Examiner's Certificate: <a href="http://www.fmcsa.dot.gov/sites/fmcsa.dot.gov/files/docs/Medical\_Examiners\_Certificate.pdf">http://www.fmcsa.dot.gov/sites/fmcsa.dot.gov/files/docs/Medical\_Examiners\_Certificate.pdf</a> Medical Examination Report form:

http://www.fmcsa.dot.gov/sites/fmcsa.dot.gov/files/docs/Medical\_Examination\_Report\_for\_Commercial\_Driver\_Fitness\_Determination\_649-F%286045%29.pdf

All INTERstate medical examinations must be conducted by a medical examiner listed on the National Registry of Certified Medical Examiners. All INTRAstate medical examinations must be conducted by a qualified medical examiner.

A copy of the medical examiner's certificate shall be retained in the driver qualification file for three years from the date of execution **except for** INTERstate CDL drivers who need to file a copy with the State CDL department. The employer must verify the Interstate CDL driver's certification by obtaining an MVR annually. All other Non-Interstate CDL operators must carry a copy of the certificate at all times. If the medical examination report form is provided to the company by the driver, it should be retained in a confidential file because it contains medical information.

### INSTRUCTIONS FOR COMPLETION OF FORMS (CONTINUED )

### 6. RECORD AND CERTIFICATE OF ROAD TEST (Pages 14 & 15):

(Part 391.31) A road test shall be given by a person who is competent to evaluate and determine whether the prospective driver who takes the test has demonstrated that he/she is capable of safely operating the vehicle and the associated equipment that the motor carrier intends to assign him/her. The test evaluator shall rate the performance of the driver at each operation or activity that is part of the test. After the evaluator completes the form, the person who administered the test shall sign it. The original copy of the road test is retained in the driver qualification file. The information must be retained by the Motor Carrier for three years after the person's employment by them ceases. (pages 14 & 15)

**NOTE:** (Part 391.33) Driver's who have a CDL can provide a copy of the CDL and a motor carrier may accept the CDL as equivalent to a road test **except** in the case of a tank vehicle or a double/triple trailer.

# 7. RECORD OF VIOLATIONS CERTIFICATE (page 18):

(Part 391.27) At least every twelve months a motor carrier shall require each driver it employs to prepare and furnish it with a list of all violations of motor traffic laws and ordinances (other than violations involving only parking) of which the driver has been convicted or, on account of which he has forfeited bond or collateral during the preceding twelve months. If the driver has not been convicted of, or forfeited bond or collateral on account of, any violation, which must be listed, he/she shall so certify. The motor carrier shall retain the list or certificate or a copy of it in its files as part of the driver's qualification file. (page 18)

# 8. RECORD OF ANNUAL REVIEW CERTIFICATE (page 18):

Each motor carrier shall, at least once every twelve months review the driving record of each driver it employs to determine whether that driver meets minimum requirements for safe driving following review of the driver's MVR and his list of violations or is disqualified to drive pursuant to Section 391.15. The review shall be signed and dated by the reviewer and the form placed in the driver qualification file. The records may be removed from the driver's qualification file three years after date of execution. (page 18)

# 9. CARRIERS DRIVER STATEMENT OF ON-DUTY HOURS (page 16):

(Part 395.8(j)(2)) Motor carriers, when using a driver for the first time or intermittently, shall obtain from the driver a signed statement giving the total time on duty during the immediately preceding seven days and the time at which such driver was last relieved from duty prior to beginning work for such carrier. The driver also provides information regarding other compensated work for any other employers. The hours of on-duty time are retained at the driver's home terminal until the twentieth day of the succeeding calendar month and retained an additional six months at the carrier's principal place of business. (page 16)

# 10. DRIVER QUALIFICATION FILE FOR MULTIPLE - EMPLOYER DRIVER (page 17):

(Part 391.63) Motor carriers using a multiple-employer, casual or occasional driver shall obtain from the driver his social security number and expiration date of his medical examiner's certificate and complete the information on page 17 required by Part 391.63 and 391.51(d). The driver's hours of on-duty time are to be retained at the driver's home terminal until the twentieth day of the succeeding calendar month and retained an additional six months at the carrier's principal place of business. (page 17)

# DRIVER QUALIFICATION FILE FOR KANSAS CORPORATION COMMISSION COMPLIANCE

According to Part 391.51(a) except as provided in Subpart G, each motor carrier shall maintain a driver qualification file for each driver it employs.

### RECORD RETENTION

The motor carrier must retain the following information in the driver qualification file for three years after the person's employment by the motor carrier ceases, except for item numbers 3, 6, 7 and 8. NOTE: Item numbers 3, 6, 7 and 8 listed below may be removed from the file after three years from the date the forms were executed Part 391.51(d).

- 1. Application for Employment (Part 391.21)
- 2. State agencies response concerning the driver's driving record (MVR) (Part 391.23) (a) (1)
- 3. The response of each state agency to the Annual Driver Record (MVR) inquiry required by (Part 391.25) (a)
- 4. The Record of Road Test form and Certificate of Road Test (Part 391.31(g))

  NOTE: In lieu of the road test and certificate, a copy of the commercial drivers license (CDL) may be accepted as equivalent to the driver's road test except for tank and double/triple vehicles (Part 391.33)
- 5. Entry-level driver training certification (Part 380.503)
- 6. Driver's Medical Examiner's Certificate (Part 391.43(d))
- 7. Review of Driving Record/Certification of violations (Part 391.25 (c) (2) and 391.27) (d)
- 8. A letter granting a waiver of a physical disqualification if required (Part 391.49)

# ALCOHOL AND CONTROLLED SUBSTANCE TESTING RECORDS

A DRIVERS INVESTIGATION HISTORY SEPARATE CONFIDENTIAL FILE FOR ALCOHOL AND CONTROLLED SUBSTANCE TESTING INFORMATION IS REQUIRED. (Part 382.401). See Part 382.401(b) for record retention requirements.

- 1. Controlled Substance Test Records
  - (a) Chain of Custody form Employer copy
  - (b) Test result from Medical Review Officer (MRO)
  - (c) Medical statement regarding driver's inability to provide adequate urine specimen
  - (d) Record of failure to conduct controlled substance testing within 32-hour mandate
  - (e) Documentation of driver refusal to test for controlled substances
  - (f) A positive test cancels the medical examination and the driver must be re-examined
- 2. Alcohol Test Records
  - (a) Breath Alcohol Test form including test results Employer copy
  - (b) Medical Statement regarding driver's inability to provide adequate breath
  - (c) Record of failure to conduct alcohol testing within 2-hour and 8-hour mandate
  - (d) Documentation of driver refusal to test for alcohol
- 3. Inquires to previous employers (past three years) for alcohol and drug testing information. (Part 40.25 & Part 391.23) (c)
- 4. Certificate of receipt for educational materials on drug and alcohol testing requirements including the company drug and alcohol testing policy. (Part 382.601)
- 5. Documents presented by the driver to dispute the results of alcohol or controlled substance tests administered under this part. (Part 382.401(c)(2)(v))
- 6. Documentation that all driver supervisors have received 60 minutes of training on alcohol misuse and 60 minutes of training on controlled substance use. This training is generally provided by your drug/alcohol testing consortium or you can go to: <a href="http://ndwa.org/training.php">http://ndwa.org/training.php</a>.
- 7. Records related to substance abuse professional evaluations.

# SUPPLIERS OF FORMS REQUIRED BY FEDERAL MOTOR CARRIER SAFETY REGULATIONS

(NOTE: The list of private vendors below is not intended to be all-inclusive, is not endorsed as to the quality of the vendors. Any carrier may wish to conduct their own investigation as to sources.)

Kansas Motor Carriers Association 2900 South Topeka Boulevard Topeka, Kansas 66611 (785) 267-1641

Jack-Bilt Corporation 906 Central Kansas City, Missouri 64106 (816) 842-5070

Kansas Corporation Commission 1500 SW Arrowhead Road Topeka, Kansas 66604-4027 (785) 271-3145

Commercial Motor Vehicle Office Interstate Registration Plan (IRP) Docking State Office Building 915 Harrison Rm 150 PO Box 12003 Topeka, Kansas 66612-2003 (785) 296-6541 Federal Motor Carrier Safety Administration 1303 SW First American Place Ste 200 Topeka, Kansas 66604-4059 (785) 271-1260

Motor Fuel Tax (IFTA)
Kansas Department of Revenue
Docking State Office Bldg., Motor Fuel
915 Harrison Street
Topeka, Kansas 66625-8000
(785) 296-4041

J. J. Keller & Associates, Inc. 145 W Wisconsin Avenue Neenah, Wisconsin 54956 (800)-558-5011

LabelMaster 5724 N. Pulaski Road Chicago, Illinois 60646-6797 (800) 621-5808



# APPLICATION FOR EMPLOYMENT

| 1 /  |  | Street Add  | ress   |              |
|--|--|---|--|--------------|
|  |  |   |  |              |
|  |  |   |  |              |
| (First)  |  | (Last)  |  |              |
| Addresses  |  | He  | ow Long  |              |
| Date of Birth  |  | Social Secu   | urity Number   |              |
| Addresses for Past T   |  |   | Dotos  |              |
|  |  |   |  |              |
| (Street)   | (City) (State) (Zip)   | ET IF MORE SPACE  | Dates(From)  | (To)         |
|  | ,  |   | ,  |              |
|  | EXPERIENCE AN  | D QUALIFICATI   | IUNS-DRIVERS   |              |
| Drivers License  |  |   |  |              |
| (Sta   | (License I   | Number)   | (Expiration Date)  |              |
|  | (Date) lenied a license, permit or privileg nit or privilege ever been suspende                                    |   | (Penalty)  or vehicle Yes Yes  | No _<br>No _ |
|  |  |   | tement-giving details)   | _            |
|  | to either of the two previous qu   |   |  |              |
|  | -  | ING EXPERIEN  | CE   |              |
| (If the answer is yes  | DRIV  Type of Equipment I  | ING EXPERIEN  |  | `Miles       |
| (If the answer is yes  Class of Equipment  | DRIV  Type of Equipment  | ING EXPERIENCE  Pates  From To                          | Approximate Number of (Total)  | Miles        |
| Class of Equipment Straight Truck  | DRIV  Type of Equipment I  (Van Tank Flat Etc) F   | ING EXPERIENCE  Pates From To                           | Approximate Number of (Total)  | Miles        |
| Class of Equipment Straight Truck Tractor & Semi Traile                          | DRIV  Type of Equipment I  (Van Tank Flat Etc) F   | ING EXPERIENCE  Pates  From To                          | Approximate Number of (Total)  | Miles        |
| Class of Equipment Straight Truck Tractor & Semi Traile                          | DRIV  Type of Equipment I  (Van Tank Flat Etc) F   | ING EXPERIENCE  Pates From To                           | Approximate Number of (Total)  | Miles        |
| Class of Equipment Straight Truck Tractor & Semi Traile                          | Type of Equipment I (Van Tank Flat Etc) F  er  ACCIDENT RECORD FOI  Nature of the Accident                         | ING EXPERIENCE  Pates From To                           | Approximate Number of (Total)  REE YEARS OR MORE                     |              |
| Class of Equipment Straight Truck —— Tractor & Semi Traile Other —— Dat          | Type of Equipment I (Van Tank Flat Etc) F  er  ACCIDENT RECORD FOI  Nature of the Accident (Head-on Rear-end Upset | ING EXPERIENCE  Pates From To  R THE PAST THI  Ect)  Fa | Approximate Number of (Total)  REE YEARS OR MORE  atality Injury Nor | 1-Injury     |
| Class of Equipment Straight Truck Tractor & Semi Traile Other  Dat Last Accident | Type of Equipment I (Van Tank Flat Etc) F  er  ACCIDENT RECORD FOR Nature of the Accident                          | ING EXPERIENCE  Pates From To  R THE PAST THI  Ect)  Fa | Approximate Number of (Total)  REE YEARS OR MORE  atality Injury Nor | 1-Injury     |

# **Employment History**

All drivers applying to drive in intrastate or interstate commerce must provide the following information on employers during the **preceding three years**. List mailing address, street number, city, state and zip code.

Applicants applying to drive a "**commercial motor vehicle**" as defined by Part 383, in intrastate or interstate commerce shall also provide an additional seven years information on those employers for whom the applicant driver operated such vehicle.

(NOTE: list employers in reverse order starting with the most recent. Add another sheet as necessary.)

| E   | mployer   |  | Date   |
|---|---|--|--|
| Name  |   | From: Month  | Year To: Month Year  |
| Address   |   | Position Held  |  |
| City  | State   | Zip Code   | Salary/Wages   |
| Contact Person  |   |  | Phone Number (include area code)   |
| **Was Your Job De   | et To The FMCSR's esignated As A Safe   | s While Employed?  Yes ety-Sensitive Function In Ar  | ny DOT-Regulated Mode Subject To The Drug  |
| E   | mployer   |  | Date   |
| Name  |   | From: Month  | Year To: Month Year  |
| Address   |   | Position Held  |  |
| City  | State   | Zip Code   | Salary/Wages   |
| Contact Person  |   |  | Phone Number (include area code)   |
| **Was Your Job De   | et To The FMCSR's esignated As A Safe   | s While Employed?  Yes ety-Sensitive Function In Art 49 CFR Part 40?  Yes  | ny DOT-Regulated Mode Subject To The Drug  |
|   | _   |  | FOR EMPLOYMENT HISTORY)  |
|   | то в  | E READ AND SIGNED B  | Y APPLICANT  |
| my knowledge. I au<br>medical history and<br>inquiries regarding r<br>extended.) I hereby<br>inquiries and releasi<br>that false or mislead | other related matter<br>medical history will<br>release employers,<br>ng information in coing information given | te such investigations and ir<br>rs as may be necessary in an<br>I be made only if and after a<br>health care providers and connection with my applicate | Ill entries on it are true and complete to the best of equiries of my personal, employment, financial or criving at an employment decision. (Generally, a conditional offer of employment has been other persons from all liability in responding to ion. In the event of employment, I understand crview(s) may result in discharge. I understand, company. |
| Date  |   | App  | olicant's Signature  |

Division of Vehicles 915 SW Harrison St. Topeka, KS 66612



Titles & Registration 785-296-3621 Driver Control 785-296-3671 www.ksrevenue.org

Nick Jordan, Secretary Donna Shelite, Director Sam Brownback, Governor

| <b>I. Requester's Information:</b> (Providing daytime phone nu Requester's Name:  | •   |  |  |  |
|---|---|--|--|--|
| Organization (if applicable):   |   |  |  |  |
| Address:  |   |  |  |  |
| City:   | -   |  |  |  |
| II. Record you are requesting: Provide as much information  ☐ Vehicle registration/title information  |   |  |  |  |
| Name:   | Name:   |  |  |  |
| Address:  | Address:  |  |  |  |
| City: State:  | City: State:  |  |  |  |
| Make/Model:   | DL No:  |  |  |  |
| Year: Plate No:   | Date of Birth:  |  |  |  |
| VIN:  |   |  |  |  |
| III. Fees: Please submit your payment with this form.  (FEE)  Vehicle Registration Record \$10.00  Vehicle Title History (microfilm review) \$25.00  Certified Title History (microfilm review) \$30.00   | Include a check or money order.)  Motor Vehicle Record Certified Motor Vehicle Record Clearance Letters                 | (FEE)<br>\$10.00<br>\$15.00<br>\$15.00 |  |  |
| ☐ Vehicle Information for Dealers \$1.00 per page   | ☐ Drivers License Folders ☐ Certified Driver's License Folders  | \$20.00                                |  |  |
| If you have selected code "J", indicate the second code that would investigative agency or security service can obtain DMV records a Second Code:  If you have selected code "M", indicate the intended use of the recuse is specifically authorized by Kansas law and is related to the or | is long as the request falls within one of the other except<br>quested record(s). (Anyone can obtain DMV records if the | ions listed.)                          |  |  |
| V. Signature – Before signing this document, read this Under the Drivers' Privacy Protection Act of 1994, as amended (18 U.S.C Revenue cannot be released unless the request for information falls within of this form.   | . § 2721), personal information obtained by the Kansas Departs  | ment of                                |  |  |
| It is unlawful for personal information to be used for any purpose not permake false representation in order to obtain personal information from DM   |   | ny person to                           |  |  |
| Civil action may be brought against you by the owner of the personal info actual damages of not less than \$2,500.00, punitive damages, reasonable as the court determines to be appropriate.   |   |  |  |  |
| In addition, Kansas law (K.S.A. 21-3914 and K.S.A. 45-220(c)(2)) prohib given or received for the purpose of selling or offering for sale any proper  |   | to be sold,                            |  |  |
| I declare that I am eligible and have the express authority to sign for an Privacy Protection Act of 1994, as amended. I further declare that any property or service.  |   |  |  |  |
| Requester's Signature:  | Date:   |  |  |  |

### **Instructions:**

- 1. Complete this form including signature and date. (You may make copies of this form.)
- 2. The department will provide records upon a direct match. If you have not provided enough information to establish a direct match, the department will contact you requesting additional information.
- 3. Provide a completed form for each request. (You may make copies of this form.)
- 4. Submit the required payment, see front page for fee amounts, by check or money order along with this form to:

For vehicle registration/title records:
Kansas Department of Revenue
Titles and Registration
915 SW Harrison
Topeka, KS 66626-0001
Phone: (785) 296-3621

For driver's license records:
Kansas Department of Revenue
Driver Control
PO Box 12021
Topeka, KS 66612-2021
Phone: (785) 296-3671

For apportioned vehicle records: Kansas Department of Revenue Motor Carrier Services 915 SW Harrison Topeka, KS 66612-1588 **Phone:** (785) 296-6541

5. Make check or money order payable to the "Division of Vehicles". No refunds will be issued for requests made in error, or requests for records not on file.

# Exception codes for completion of section IV on the first page of this form.

- A. I am requesting my own record.
- B. I have written consent from the individual to whom the requested information pertains, to obtain records on their behalf. (Please attach form TR/DL 301.)
- C. I work for or am acting on the behalf of a government agency and am requesting this information to fulfill the functions of that agency.
- D. I am requesting this information in connection with matters of: motor vehicle or driver safety and theft; motor vehicle emissions; motor vehicle product alterations, recalls, or advisories; performance monitoring of motor vehicles, motor vehicle parts and dealers; motor vehicle market research activities, including survey research; and removal of non-owner records from the original owner records of motor vehicle manufacturers.
- E. I am an employee, agent or contractor of a legitimate business. I am requesting record information in order to verify the accuracy of personal information submitted by the individual in question. If the information I have is incorrect, I am requesting to obtain corrected information. This information will be used to pursue legal remedies against or recover on a debt or security interest against the individual in question.
- F. I am going to use this information in connection with a civil, criminal, administrative, or arbitral proceeding in a Federal, State, or local court or agency or before a self-regulatory body. This may include the service of process, investigation in anticipation of litigation, and the execution or

- enforcement of judgments and orders, or pursuant to an order of a Federal, State, or local court.
- G. I am involved in a research project to produce statistical reports. The personal information obtained will not be published, re-disclosed or used to contact the individual in question.
- H. I am an agent, employee or contractor for an insurer, an insurance support organization or I am self- insured. The information requested will be used in connection with a claims investigation, antifraud activities, rating or underwriting.
- I am requesting record information to provide notice to owners of towed or impounded vehicles.
- J. I work for a licensed private investigative agency or a licensed security service. (See section IV on the front of this form.)
- K. I am an employer or an agent or insurer working on the behalf of an employer of licensed commercial drivers. I am requesting records information in order to obtain or verify information relating to a holder of a commercial driver's license.
- L. I am requesting records of individuals who have given the state the express consent to release personal information by "opting in" their records.
- M. I will use the information requested in a manner that is specifically authorized by Kansas law and is related to the operation of a motor vehicle or public safety. (See section VI on the front of this form.)

# SAFETY PERFORMANCE HISTORY RECORDS REQUEST

| PART 1:                            | TO B  | E COMPLE                      | TED BY PROSPEC                                | TIVE EMPLOYEE                         |  |
|------------------------------------|---|-------------------------------|---|---------------------------------------|--|
| I, (Print Name) _                  |   |                               |   |                                       |  |
| Hereby authorize                   | First   | M.I.                          | Last  | Soc                                   | ial Security Number                                  |
| ·                                  | er:   |                               |   | Email:                                | Date of Birth  |
|                                    |   |                               |   |                                       |  |
| City, State, Zip:                  |   |                               |   | Fax No.:                              |  |
| To release and for Substances Test | orward the information re<br>ing records within the pre   | quested by s<br>evious 3 year | ection 3 of this docume<br>s from(employments | ent concerning my A                   | cohol and Controlled                                 |
| To:                                | Prospective Employer:                                     |                               |   |                                       |  |
|                                    | Attention:  |                               |   |                                       |  |
|                                    | Street:   |                               |   |                                       |  |
|                                    | City, State, Zip:   |                               |   |                                       |  |
|                                    | th §40.25(g) and 391.23(<br>uch as fax, email, or lette   |                               | f this information must                       | be made in a writter                  | form that ensures                                    |
| Prospective emp                    | loyer's fax number:                                       |                               | <del></del> .                                 |                                       |  |
| Prospective emp                    | loyer's email address: _                                  |                               |   | <del></del>                           |  |
|                                    | Applicant's   | s Signature                   |   |                                       | Date   |
| This information                   | is being requested in cor                                 | mpliance with                 | §40.25(g) and 391.23                          |                                       |  |
| PART 2:                            | ТО  | BE COMPI                      | ETED BY PREVIOU                               | IS EMPLOYER                           |  |
|                                    |   |                               | CIDENT HISTORY                                | DO EMILEO TEIX                        |  |
| The applicant na                   | med above was employe                                     | ed by us. Yes                 | s □ No □                                      |                                       |  |
| Employed as                        |   | from (m                       | n/y)  | to (m/y)                              |  |
| 1. Did he/she de<br>Bus 🗆 Cargo T  | rive motor vehicle for you ank 🖸 Doubles/Triples          | ı? Yes □ 1<br>□ Other (S      | lo □ If yes, what type pecify)                | e? Straight Truck                     | Tractor-Semitrailer                                  |
|                                    | eaving your employ: Discept performance history to        |                               |   |                                       | ם  |
|                                    | complete the following for<br>B years prior to the applic |                               |   |                                       | .15(b)) that involved the accident register data for |
| Date<br>1.                         |   | ion                           | # Injuries                                    | # Fatalities                          | Hazmat Spill   |
|                                    |   |                               | · · · · · · · · · · · · · · · · · · ·         | · · · · · · · · · · · · · · · · · · · |  |
| 3.                                 |   |                               |   |                                       |  |
| Please provide in                  | nformation concerning ar<br>rers or retained under int    |                               |   |                                       | rted to government                                   |
|                                    |   |                               |   |                                       |  |
| Any other remark                   | (S:   |                               |   |                                       |  |
| -                                  |   |                               |   |                                       |  |
|                                    |   |                               |   | <del></del>                           |  |
|                                    |   | Signatur                      | e·  |                                       |  |
|                                    |   |                               | c   |                                       |  |
| }                                  |   |                               |   |                                       |  |

### PREVIOUS EMPLOYER - COMPLETE PAGE 2 PART 3

| PART 3:  | TO BE COMPLETED BY PREVIOUS EMPLOYER   |
|--|--|
|  | DRUG AND ALCOHOL HISTORY   |
| If driver was not sucheck here $\square$ , fill isign, and return.                                 | ubject to Department of Transportation testing requirements while employed by this employer, please n the dates of employment from to to, complete bottom of Part 3,   |
| Driver was subject   | to Department of Transportation testing requirements from to   |
| YES 🗆<br>2. Has this per   | rson tested positive or adulterated or substituted a test specimen for controlled substances?  |
| controlled se  | NO  Son refused to submit to a post-accident, random, reasonable suspicion, or follow-up alcohol or substance test?  NO  Solution  |
| 4. Has this per  | rson committed other violations of Subpart B of Part 382, or Part 40?  |
| <ul><li>5. If this perso rehabilitation documentat</li><li>YES □</li><li>6. For a driver</li></ul> | n has violated a DOT drug and alcohol regulation, did this person complete a SAP-prescribed n program in your employ, including return-to-duty and follow-up tests? If yes, please send ion back with this form.  NO  who successfully completed a SAP's rehabilitation referral and remained in your employ, did this |
|  | equently have an alcohol test result of 0.04 or greater, a verified positive drug test, or refuse to be tested?  |
| •  | e questions, include any required DOT drug or alcohol testing information obtained from prior previous previous 3 years prior to the application date shown on page 1.   |
| Name:  |  |
|  |  |
|  |  |
| City, State, Zip:  |  |
| Part 3 Completed   | by (Signature): Date:  |
| PART 4a:   | TO BE COMPLETED BY PROSPECTIVE EMPLOYER  |
| This form was (ch  | eck one)   Faxed to previous employer   Mailed   Emailed   Other   |
| By:  | Date:  |
| PART 4b:   | TO BE COMPLETED BY PROSPECTIVE EMPLOYER  |
| Complete below w   | rhen information is obtained.  |
| Information receiv   | ed from:   |
| Recorded by:   | Method: □ Fax □ Mail □ Email □ Telephone   |
| Date:  | □ Other  |
| INSTR  | UCTIONS TO COMPLETE THE SAFETY PERFORMANCE HISTORY RECORDS REQUEST   |

# PAGE 1 PART 1: Prospective Employee

- Complete the information required in this section
- Sign and date
- Submit to the Prospective Employer

# PAGE 2 PART 4a: Prospective Employer

- · Complete the information
- Send to Previous Employer

# PAGE 1 PART 2: Previous Employer

- Complete the information required in this section
- Sign and date
- Turn form over to complete SIDE 2 SECTION 3

# PAGE 2 PART 3: Previous Employer

- Complete the information required in this section
- · Sign and date
- Return to Prospective Employer

# PAGE 2 PART 4b: Prospective Employer

- · Record receipt of the information
- Retain the form

### 7

# Medical Examination Report FOR COMMERCIAL DRIVER FITNESS DETERMINATION

649-F (6045)

| Driver's Name (Last, First, Mido                                     | dle)  | Social Security N   | O.   | Birthdate  | Age               | Sex              | New Certification   ☐ Date of Exam   |
|--|---|---------------------|--|--|-------------------|------------------|--|
| (  | ,   |                     |  | M/D/Y  | 3                 | $\square$ M      | Recertification  Follow-up   |
| Address  | City, State, Zi   | p Code              | Work Tel:  | :( )   | Driver            |                  | <u> </u>   |
| 2. HEALTH HISTORY Driv   | ver completes thi   | s section, but med  | cal examin   | er is encourage                                      | ed to discus      | s with c         | driver.  |
| Muscular disease Shortness of breath                                 | or illnesses  on (except corrective lensor balance other cardiovascular content/bypass, angioplasty, iication | dition              | Lung diseas Kidney diseas Kidney diseas Liver diseas Digestive pr Diabetes or diet pills insulin Nervous or medica | oblems elevated blood sug psychiatric disorder ation | gar controlled to | oy:<br>e depress | Sleep disorders, pauses in breathing while asleep, daytime sleepiness, loud snoring  Stroke or paralysis Missing or impaired hand, arm, foot, let finger, toe Spinal injury or disease |
|  |   |                     |  |  |                   |                  |  |
|  |   |                     |  |  |                   |                  |  |
| I certify that the above informat<br>Medical Examiner's Certificate. | ·   | nd true. I understa |  |  | -                 |                  | tion may invalidate the examination and my   |
|  | ts on Health Hist   |                     |  |  |                   |                  | driver any "yes" answers and potential haz<br>. )  |
| Medical Examiner's Comment medications, including over-the-          | -counter medication   | ons, while driving. | Tillo diocuo   | olon made bo a                                       |                   |                  | ,  |
|  | counter medication  | ons, while driving. | Tillo discus   |  |                   |                  | ,  |

# RECORD OF ROAD TEST

| Driver's Name  |             | Address                        |                         |                |
|--|-------------|--------------------------------|-------------------------|----------------|
| License No State   | • •         | ment Driven: Truck             | Tractor                 | _ Trailer      |
| Checked From   | To          |                                | Date                    | ···            |
| For those items that apply, place a checkmark if di unsatisfactory. Explain unsatisfactory items under |             | nce is satisfactory, mark wi   | th an X if driver's     | performance is |
| PART 1-PRE-TRIP INSPECTION AND EMERGENCY   | EQUIPMENT   | E. LIGHTS                      |                         |                |
| Checks general condition approaching unit  |             | Knows lighting regulations     |                         | 4.             |
| Looks for leakage of coolants, fuel, lubricants  |             | Uses proper headlight beam     |                         |                |
| Checks under hood - oil water, general condition of  |             | Dim lights when meeting or     | following other traffic | ·              |
| engine compartment, steering   |             | Adjusts speed to range of he   | adlights                |                |
| Checks around unit - tires, lights, trailer hookup, brake and  |             | Proper use of auxiliary lights |                         |                |
| light lines, body, doors, horn, windshield wipers  |             | PART 3 - COUPLING A            | ND UNCOUPLING           |                |
| Tests brake action, tractor protection valve, and parking  |             | Lines up units                 |                         |                |
| (hand) brake   |             | Hooks brake and light lines p  | properly                |                |
| Knows use of jacks, tools, emergency warning devices, tire   | chains,     | Secures trailer against move   | ment                    |                |
| fire extinguisher, spare fuses and four-way flashers   |             | Backs under slowly             |                         |                |
| Checks instruments   |             | Tests hookup with power        |                         |                |
| Cleans windshield, windows mirrors, lights, reflectors   |             | Checks hookup visually         |                         |                |
| PART 2-PLACING VEHICLE IN MOTION AND USE   | OF CONTROLS | Handles landing gear propert   | у                       |                |
| A. MOTOR   |             | Proper hook-up of full trailer |                         |                |
| Starts motor without difficulty  |             | Secures power unit against r   | novement                |                |
| Allows proper warm-up  |             | PART 4 - BACKING AND           | PARKING                 |                |
| Understands gauge on instrument panel  |             | A. BACKING                     |                         |                |
| Maintains proper engine speed while driving  |             | Gets out and checks before     | backing                 |                |
| Basic knowledge of motor - gas, diesel   |             | Looks back as well as uses i   | mirror                  |                |
| Does not abuse motor   |             | Gets out and rechecks condi-   | tions on long back      |                |
| B. CLUTCH AND TRANSMISSION   |             | Avoids backing from blind sid  | de                      |                |
| Starts loaded units smoothly   |             | Signals when backing           |                         |                |
| Uses clutch properly   |             | Controls speed and direction   | properly while backi    | ng             |
| Times gearshifts properly  |             | B. PARKING (City)              |                         |                |
| Shifts gears smoothly  |             | Does not hit nearby vehicles   | or stationary object    | s              |
| Uses proper gear sequence  |             | Parks proper distance from o   | curb                    | <del></del>    |
| C. BRAKES  |             | Sets parking brake, puts in g  | ear, chocks wheels,     |                |
| Understands operating principles or air brakes   |             | Shuts off motor                |                         |                |
| Knows proper use of tractor protection valve   |             | Checks traffic conditions and  | l signals when pullin   | 9              |
| Understands low air warning  |             | out from parked position       | n                       | •              |
| Tests brakes before starting trip  |             | Parks in legal and safe locati | ion                     |                |
| D. STEERING  |             | C. PARKING (Road)              |                         |                |
| Controls steering wheel  |             | Parks off pavement             |                         | <del></del>    |
| Good driving posture and good grip on wheel  | ·           | Avoids parking on soft shoul   | der                     |                |
|  |             | Uses emergency warning sig     | nals when required      |                |
|  |             | Secures unit properly          |                         |                |

# **RECORD OF ROAD TEST (CONCLUDED)**

| PART 5 · SLOWING AND STOPPING                                |               | F. SPEED(CONCLUDED)  |             |
|--|---------------|--|-------------|
| Uses gears property ascending                                |               | Speed consistent with basic ability  |             |
| Gears down properly descending                               |               | Adjusts speed properly to road, weather, traffic conditions, legal limits                  |             |
| Stops and restarts without rolling back                      |               | Slows down for rough roads   |             |
| Tests brakes at top of hills                                 |               | Slows down in advance of curves, intersections, etc.                                       |             |
| Uses brakes properly on grades                               |               | Maintains consistent speed   |             |
| Uses mirrors to check traffic to rear                        |               | G. COURTESY AND SAFETY   |             |
| Signals following traffic                                    |               | Uses defensive driving techniques  |             |
| Avoids sudden stops  |               | Yields right-of-way for safety   |             |
| Stops smoothly without excess fanning                        |               | Goes ahead when given right-of-way by others   |             |
| Stops before crossing sidewalk when coming out of driveway   |               | Does not crowd other drivers or force way through traffic<br>Allows faster traffic to pass |             |
| or alley Stops clear of pedestrian crosswalks                |               | Keeps right and in own lane  |             |
| PART 6 - OPERATING IN TRAFFIC PASSING AND T                  | TIBNING       | Uses horn only when necessary  |             |
| A. TURNING   | VIIIIIV       | Generally courteous and uses proper conduct  |             |
| Gets in proper lane well in advance                          |               | PART 7 - MISCELLANEOUS   |             |
| Signals well in advance                                      |               | A. GENERAL DRIVING ABILITY AND HABITS  |             |
| Checks traffic conditions and turns only when way is clear   |               | Consistently alert and attentive   |             |
| Does not swing wide or cut short while turning               |               | Adjusts driving to meet changing conditions  |             |
| B. TRAFFIC SIGNS AND SIGNALS                                 |               | Performs routing functions without taking eyes from road                                   |             |
| Approaches signal prepared to stop if necessary              |               | Checks instruments regularly while driving   |             |
| Obeys traffic signal   |               | Willing to take instructions and suggestions   |             |
| Uses good judgment on yellow light                           |               | Adequate self-confidence in driving  |             |
| Starts smoothly on green                                     |               | Is not easily angered  |             |
| Notices and heeds traffic signs                              |               | Positive attitude  |             |
| Obeys "Stop" signs   |               | Good personal appearance, manner, cleanliness  | ****        |
| C. INTERSECTIONS   |               | Good physical stamina  |             |
| Adjusts speed to permit stopping if necessary                |               | B. HANDLING OF FREIGHT   |             |
| Checks for cross traffic regardless of traffic controls      |               | Checks freight properly  |             |
| Yields right-of-way for safety                               |               | Handles and loads freight properly   | <del></del> |
| D. GRADE CROSSINGS   |               | Handles bills properly  Receive design lend on required                                    |             |
| Adjusts speed to conditions                                  |               | Breaks down load as required  C. RULES AND REGULATIONS                                     |             |
| Makes safe stop, if required                                 |               | Knowledge of company rules   |             |
| Selects proper gear  E. PASSING                              | <del></del> ' | Kknowledge of regulations: federal, state, local   | <u> </u>    |
| Passes with sufficient clear space ahead                     |               | Knowledge of special truck routes  |             |
| Does not pass in unsafe location: hill, curve, intersection  |               | D. USE OF SPECIAL EQUIPMENT (Specify)  |             |
| Signals change of lanes                                      |               |  |             |
| Warns driver being passed                                    |               |  |             |
| Pulls out and back with certainty                            |               | REMARKS:   |             |
| Does not tailoate  |               |  |             |
| Does not block traffic with slow pass                        |               |  |             |
| Allows enough room when returning to right lane              |               |  |             |
| F. SPEED   |               |  |             |
| Speed consistent with basic ability                          | <del></del>   |  |             |
| Adjusts speed properly to road, weather, traffic             |               |  |             |
| conditions, legal limits                                     |               |  |             |
| GENERAL PERFORMANCE: Satisfactory                            | : Nec         | eds Training Unsatisfactory Unsatisfactory   |             |
| CHARGE FOR THE   |               | : Other  |             |
| QUALIFIED FOR: Truck Tractor-S                               | emitrailer    |  |             |
|  |               |  |             |
|  | Signature     | of Examiner  |             |
| CERTIFICATION OF ROAD TEST                                   |               |  |             |
| CENTIFICATION OF ROAD TEST                                   |               |  |             |
|  |               | , the person who gave it must complete the following certification in duplica              |             |
|  |               | f Road Test shall be retained in the driver qualification file of the person               | who was     |
| examined, and duplicate copies provided to the person exa    | mined. Secti  | on 391.31(e)(f)(g)(1)(2) of the Federal Motor Carrier Safety Regulations                   |             |
|  |               |  |             |
| Driver's Name  |               | Type of Power Unit   |             |
| Social Security Number                                       |               | Type of Trailer(s)   |             |
| Operator's or Chauffeur's License Number                     |               | If Passenger Carrier, Type of Bus  |             |
| This is to endify that the above named driver was given a se | ad tost under | my supervision on19, consisting of approximately   | ,           |
|  |               | sufficient driving skill to operate safety the type of vehicle listed above.               |             |
| · ·  |               |  |             |
| Signature of examiner  | <del></del>   | Organization   |             |
| Title  |               | Address of examiner  |             |
|  |               |  |             |

# CARRIERS DRIVER STATEMENT OF ON-DUTY HOURS (For Newly - Hired or Intermittent Drivers)

**INSTRUCTIONS:** Motor carriers when using a driver for the first time shall obtain from the driver a signed statement giving the total on-duty time during the immediately preceding seven days and time at which such driver was last relieved from duty prior to beginning work for such carrier. Rule 395.8(j)(2) Federal Motor Carrier Safety Regulations.

**NOTE:** Hours for any compensation work during the preceding seven days, include work for a nonmotor carrier entity, must be recorded on this form. Driver Name (Print) Social Security Number \_\_\_\_\_ Motor Vehicle Operator's License Number Type of License Issuing State Compensated work time (prior 7 days) 6 7 **Total Hours** I hereby certify that the information given above is correct to the best of my knowledge and belief, and that I was last relieved from work at: \_\_\_ (A.M.) (P.M.) ON TIME MONTH YEAR Are you currently working for another employer? (Yes) (No) At this time do you intend to work for another person while still employed by this company? (Yes) (No) I hereby certify that the information given above is true and I understand that once I become employed with the company, if I begin work for any additional employer(s) for compensation that I must inform this company immediately of such employment activity. (395.2 (8) and (9)) **Driver Signature** Date Witness: \_\_ **Company** Date

# DRIVER QUALIFICATION FILE FOR MULTIPLE - EMPLOYER DRIVER

**Instructions:** If a motor carrier employs a person who is not regularly-employed (as defined in 390.5) to drive a motor vehicle for a single trip or on a casual or occasional basis or a multiple-employer driver, the motor carrier shall comply with all requirements of Part 391, except the carrier need not:

- (1) Require the person to furnish an application for employment (390.21);
- (2) Make an inquiry into the person's driving record during the preceding three years to the appropriate state agency(s), and an investigation of the person's employment record during the preceding three years (391.23);
- (3) Perform annual review of the person's driving record (391.35); or
- (4) Require the person to furnish a record of violation or a certificate (391.27).

Driver qualification form must be completed and signed by driver's qualifying carrier

| DRIVER  | QUALIFICATION                                       | FILE CHECK L        | IST                                     |    |
|---|---|---------------------|---|----|
| N CD  |   | G : 16              | 2 '4 N 1                                |    |
| Name of Driver  |   | Social              | Security Number                         |    |
| Signature of Driver   | r   |                     | Date                                    |    |
| I certify that the above named drive vehicle operated by the below name Safety Regulations. His or her current Medical Certificate expires:  This certificate expires on: | ed carrier and is fully or<br>rent medical examiner | qualified under Pa  | art 391, Federal Motor Carri<br>res on: | er |
|   | (Date not later than ex                             | piration date of me | dical certificate)                      |    |
| Issued by:(Name of Carrier)   | (Address)   | (State)             | (Zip Code)                              |    |
| (Signature of Carrier)  | (   | Title)              | (Date)                                  |    |

# MOTOR VEHICLE DRIVER'S CERTIFICATION OF VIOLATIONS AND ANNUAL REVIEW OF DRIVING RECORD

**MOTOR CARRIER INSTRUCTIONS:** Each motor carrier shall at least once every twelve months, require each driver it employs to prepare and furnish it with a list of all violations of motor vehicle traffic laws and ordinances (other than violations involving only parking) of which the driver has been convicted, or on account of which he/she has forfeited bond or collateral during the preceding twelve months (Section 391.27). Drivers who have provided information required by Section 383.31 need not repeat that information on this form.

**DRIVER REQUIREMENTS:** Each driver shall furnish the list as required by the motor carrier above. If the driver has not been convicted of, or forfeited bond or collateral on account of any violation which must be listed, he/she shall so certify (Section 391.27).

| COMPLETED BY DRIVER - CERTIFICATION OF VIOLATIONS  |                                |                            |  |  |  |  |
|--|--------------------------------|----------------------------|--|--|--|--|
|  |                                |                            |  |  |  |  |
| Name of Driver: (Print)  |                                |                            |  |  |  |  |
| Social Security Number   |                                |                            |  |  |  |  |
| Home Terminal (City as   | Home Terminal (City and State) |                            |  |  |  |  |
| Driver's License Number  |                                | State                      | Expiration Date  |  |  |  |
| I certify that the following is a true and complete list of traffic violations required to be listed (other than those I have provided under Part 383) for which I have been convicted or forfeited bond or collateral during the past one year. (If you have had no violations, please so state below.) |                                |                            |  |  |  |  |
| DATE   | OFFENSE                        | LOCATION                   | TYPE OF VEHICLE OPERATED   |  |  |  |
|  |                                |                            |  |  |  |  |
|  |                                |                            | or forfeited bond or collateral on account of any be listed during the past twelve months. |  |  |  |
| Date Of Certification  |                                | Driver's Signature         |  |  |  |  |
| COMPLETE   | D RV MOTOR CAI                 | RRIFR - ANNIJAI            | REVIEW OF DRIVING RECORD   |  |  |  |
|  |                                |                            | olations listed above and other information  |  |  |  |
|  |                                |                            | tions. Complete the information request below.   |  |  |  |
| I hereby reviewed the driv   | ing record of the above-nar    | med driver in accordance v | with Section 391.25 and find that he/she (check one):                                      |  |  |  |
| ☐ Meets minimum requirements for safe driving ☐ Is disqualified to drive a motor vehicle pursuant to Section 391.15  |                                |                            |  |  |  |  |
| Does not adequately me   | eet satisfactory safe-driving  | performance                |  |  |  |  |
| Action taken with driver:  |                                |                            |  |  |  |  |
| Reviewed by:   | Signature                      |                            | Date   |  |  |  |
|  | Signature                      |                            | <del></del>  |  |  |  |
|  | Printed Name                   |                            | Title  |  |  |  |
| Motor Carrier Name   |                                | Motor Carrier Address      |  |  |  |  |

MAINTAIN THIS DOCUMENT IN THE DRIVER'S QUALIFICATION FILE. THIS DOCUMENT MAY BE PURGED AFTER THREE YEARS FROM THE DATE OF EXECUTION.

# Entry-level driver training requirements

# Title 49 Part 380.501 Applicability:

All entry-level drivers who drive in interstate commerce and are subject to the CDL requirements must comply with the rules of this subpart, except drivers who are subject to the jurisdiction of the Federal Transit Administration or who are otherwise exempt under 390.3(f) of this subchapter.

# Title 49 Part 380.503 Entry-level driver-training requirements:

Entry-level driver training must include instruction addressing the following four areas:

- (a) *Driver qualification requirements*. The Federal rules on medical certification, medical examination procedures, general qualifications, responsibilities, and disqualifications based on various offenses, orders, and loss of driving privileges (part 391, subparts B and E of this subchapter).
- (b) *Hours of service of drivers*. The limitations on driving hours, the requirement to be off-duty for certain periods of time, record of duty status preparation, and exceptions (part 395 of this subchapter). Fatigue countermeasures as a means to avoid crashes.
- (c) *Driver wellness*. Basic health maintenance including diet and exercise. The importance of avoiding excessive use of alcohol.
- (d) Whistleblower protection. The right of an employee to question the safety practices of an employer without the employee's risk of losing a job or being subject to reprisals simply for stating a safety concern (29 CFR part 1978).

| Certificate of Training for Entry Level Commercial Drivers  |  |  |  |  |  |
|---|--|--|--|--|--|
| Driver's: First Name, Middle Initial, & Last Name   |  |  |  |  |  |
| I certify that the above named driver has completed the training requirements set forth in the Federal Motor Carrier Safety Regulations for Entry Level Driver Training in accordance with Title 49 CFR 380.503 |  |  |  |  |  |
| • Driver qualification requirements (49 CFR 391)  |  |  |  |  |  |
| <ul> <li>Hours of Service of Drivers (49 CFR 395)</li> <li>Driver Wellness</li> </ul>   |  |  |  |  |  |
| • Whistleblower Protection (29 CFR 1978)  |  |  |  |  |  |
|   |  |  |  |  |  |
| Name of Safety Director Date Certificate issued   |  |  |  |  |  |
|   |  |  |  |  |  |
|   |  |  |  |  |  |
| (Insert your: Company Name & Company Address)   |  |  |  |  |  |

# PART 395 – Hours of Service Regulations for (Property) Carrier Drivers

Effective October 1, 2005: A motor carrier of property cannot allow or require any driver to drive:

- (1) More than 11 hours following 10 consecutive hours off duty; or
- (2) After being on duty 14 hours; or
- (3) After being on duty more than 60/70 hours in any 7/8 consecutive days

A motor carrier operating vehicles every day of the week cannot allow or require any driver to drive after being on duty more than 70 hours in any 8 consecutive days;

It is recommended that motor carriers and drivers keep a summary (recap) of drivers' hours worked and hours available. This will prevent hours of service violations;

Every motor carrier must require every driver to make a record of duty status (log), in duplicate, for each 24-hour period;

Motor carriers and drivers who do not complete and keep records of duty status (logs) or who make false records of duty status (logs) can be prosecuted;

The driver must give or send by mail the original of the driver's record of duty status (log) to his/her motor carrier within 13 days after completing the record. The motor carrier must then keep the record of duty status (log) for 6 months;

A driver may restart a 7/8 consecutive day period after taking 34 or more consecutive hours-off-duty

**Local Driver Provision:** Drivers may extend the 14-hour on-duty period by 2 additional hours if they:

- (1) Are released from duty at the normal work reporting location for the previous 5 duty tours, and
- (2) Return to the normal work reporting location and are released from duty within 16 hours, and
- (3) Have not used this exception in the previous 7 days, except following a 34-hour restart of a 7/8 day period

"New" Sleeper Berth Guidelines: No longer allows drivers to split sleeper berth unless using option #3

- Option #1: Drivers must have at least 10 consecutive and uninterrupted hours off duty;
- Option #2: Drivers must have at least 10 consecutive and uninterrupted hours in the sleeper berth;
- Option #3: CMV drivers using the sleeper berth provision must take at least 8 consecutive hours in the sleeper berth, plus 2 consecutive hours either in the sleeper berth, off duty, or any combination of the two;
- Option #4: Drivers must have at least 10 consecutive hours sleeper berth and off duty time combined and uninterrupted;

Drivers using option #3 have to count the two hour period of sleeper berth or off-duty toward their 14 hour day. Drivers must understand, when using option #3, the driving time immediately before and after each 8 hour rest period, when added together shall not include any driving time after the 11th hour

"New" Short-Haul Provision Guidelines: A driver does not have to make a record of duty status (log book) if they can comply with the following short-haul requirements:

- Allows drivers of property carrying CMV's "Non CDL" to operate within a 150 air-mile radius of their normal work reporting location;
- Drivers may drive up to 11 hours after coming on duty following 10 or more consecutive hours off duty;
- Drivers are not required to keep Log Books, but must maintain time records;
- Drivers shall not drive after the 14 hour after coming on duty 5 days a week or after the 16 hour after coming on duty 2 days a week
- Employers must maintain and retain time records for a period of 6 months showing the time the duty period began, ended, and total hours on duty each day in place of RODS;

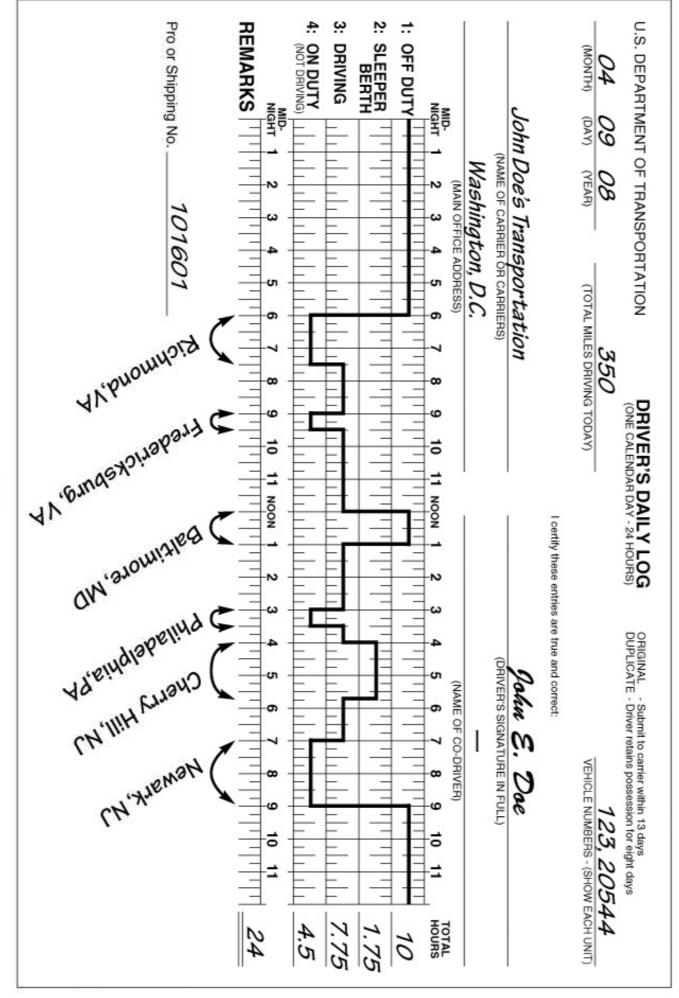
<u>100 air-mile radius Guidelines:</u> A driver does not have to make a record of duty status (log book) if they can comply with the following requirements:

- (1) The driver operates within a 100 air-mile radius of the normal work reporting location;
- (2) The driver returns to the work-reporting station and is released from work within 12 consecutive hours;
- (3) At least 10 consecutive hours off duty separate each 12 consecutive hours on duty;
- (4) The driver does not exceed 11 hours maximum driving time following 10 consecutive hours off duty; and
- (5) The motor carrier maintains and retains for 6 months accurate and true time records showing the following:
  - (i) The time the driver reports for duty each day
  - (ii) The total number of hours the driver is on duty each day
  - (iii) The time the driver is released from duty each day
  - (iv) The total time for the preceding 7 days for drivers used the first time or multiple-employer drivers

# PART 395 – Hours of Service Regulations for (Passenger) Carrier Drivers

- A motor carrier cannot allow or require any driver to drive:
- (1) More than 10 hours following 8 consecutive hours off duty; or
- (2) After being on duty 15 hours; or
- (3) After being on duty more than 60 hours in any 7 consecutive days
- A motor carrier operating vehicles every day of the week cannot allow or require any driver to drive after being on duty more than 70 hours in any 8 consecutive days
- It is recommended that motor carriers and drivers keep a summary (recap) of drivers' hours worked and hours available. This will prevent hours of service violations
- Every motor carrier must require every driver to make a record of duty status (log), in duplicate, for each 24-hour period
- Motor carriers and drivers who do not complete and keep records of duty status (logs) or who make false records of duty status (logs) can be prosecuted
- The driver must give or send by mail the original of the driver's record of duty status (log) to his/her motor carrier within 13 days after completing the record. The motor carrier must then keep the record of duty status (log) for 6 months
- A driver does not have to make a record of duty status (log) if the following apply:
- (1) The driver operates within a 100 air-mile radius of the normal work reporting location;
- (2) The driver returns to the work-reporting station and is released from work within 12 consecutive hours;
- (3) At least 8 consecutive hours off duty separate each 12 consecutive hours on duty;
- (4) The driver does not exceed 10 hours maximum driving time following 8 consecutive hours off duty; and
- (5) The motor carrier maintains and retains for 6 months accurate and true time records showing the following:
  - (i) The time the driver reports for duty each day
  - (ii) The total number of hours the driver is on duty each day
  - (iii) The time the driver is released from duty each day
  - (iv) The total time for the preceding 7 days for drivers used the first time or multipleemployer drivers

# A Completed Log



# **DRIVER'S TIME RECORD (150 air-mile radius)**

| Driver's Name (print)  | Employee No MonthYear  |
|--|--|
| DRIVERS MAY PREPARE THIS REPORT INSTEAD OF "DRIVERS DAILY LOG" IF THE FOLLOWING APPLIES: *For Drivers NOT required to have a CDL. *Operates within 150 air-mile radius of the normal work reporting location. *Returns to normal work reporting location and is released from work within 14 consecutive hours. *At least 10 consecutive hours off duty separates each 14 hours on duty. | INTERMITTENT DRIVERS  Shall complete this form for 7 days preceding any day driving is performed. This includes the preceding month. |

| Date | Start Time | End Time | Total<br>Hours | Truck<br>Number | Trip Information TO - FROM |
|------|------------|----------|----------------|-----------------|----------------------------|
| 1    |            |          |                |                 |                            |
| 2    |            |          |                |                 |                            |
| 3    |            |          |                |                 |                            |
| 4    |            |          |                |                 |                            |
| 5    |            |          |                |                 |                            |
| 6    |            |          |                |                 |                            |
| 7    |            |          |                |                 |                            |
| 8    |            |          |                |                 |                            |
| 9    |            |          |                |                 |                            |
| 10   |            |          |                |                 |                            |
| 11   |            |          |                |                 |                            |
| 12   |            |          |                |                 |                            |
| 13   |            |          |                |                 |                            |
| 14   |            |          |                |                 |                            |
| 15   |            |          |                |                 |                            |
| 16   |            |          |                |                 |                            |
| 17   |            |          |                |                 |                            |
| 18   |            |          |                |                 |                            |
| 19   |            |          |                |                 |                            |
| 20   |            |          |                |                 |                            |
| 21   |            |          |                |                 |                            |
| 22   |            |          |                |                 |                            |
| 23   |            |          |                |                 |                            |
| 24   |            |          |                |                 |                            |
| 25   |            |          |                |                 |                            |
| 26   |            |          |                |                 |                            |
| 27   |            |          |                |                 |                            |
| 28   |            |          |                |                 |                            |
| 29   |            |          |                |                 |                            |
| 30   |            |          |                |                 |                            |
| 31   |            |          |                |                 |                            |

To be prepared monthly by each DOT certified driver unless time record is exclusively kept on Driver's Daily Log. Indicate "days off." Check box if no driving is performed during this month and the first 7 days of the following month. Mail this report to your Division Manager of Administration.

# **DRIVER'S TIME RECORD (100 air-mile radius)**

| Driver's Name (print)  | _Employee No MonthYear   |
|--|--|
| DRIVERS MAY PREPARE THIS REPORT INSTEAD OF "DRIVERS DAILY LOG" IF THE FOLLOWING APPLIES: *Operates within 100 air-mile radius of the normal work reporting location. *Returns to normal work reporting location and is released from work within 12 consecutive hours. | INTERMITTENT DRIVERS  Shall complete this form for 7 days preceding any day driving is performed. This includes the preceding month. |
| *At least 10 consecutive hours off duty separate each 12 hours on duty.  |  |

| Date | Start Time | End Time | Total<br>Hours | Truck<br>Number | Trip Information TO - FROM |
|------|------------|----------|----------------|-----------------|----------------------------|
| 1    |            |          |                |                 |                            |
| 2    |            |          |                |                 |                            |
| 3    |            |          |                |                 |                            |
| 4    |            |          |                |                 |                            |
| 5    |            |          |                |                 |                            |
| 6    |            |          |                |                 |                            |
| 7    |            |          |                |                 |                            |
| 8    |            |          |                |                 |                            |
| 9    |            |          |                |                 |                            |
| 10   |            |          |                |                 |                            |
| 11   |            |          |                |                 |                            |
| 12   |            |          |                |                 |                            |
| 13   |            |          |                |                 |                            |
| 14   |            |          |                |                 |                            |
| 15   |            |          |                |                 |                            |
| 16   |            |          |                |                 |                            |
| 17   |            |          |                |                 |                            |
| 18   |            |          |                |                 |                            |
| 19   |            |          |                |                 |                            |
| 20   |            |          |                |                 |                            |
| 21   |            |          |                |                 |                            |
| 22   |            |          |                |                 |                            |
| 23   |            |          |                |                 |                            |
| 24   |            |          |                |                 |                            |
| 25   |            |          |                |                 |                            |
| 26   |            |          |                |                 |                            |
| 27   |            |          |                |                 |                            |
| 28   |            |          |                |                 |                            |
| 29   |            |          |                |                 |                            |
| 30   |            |          |                |                 |                            |
| 31   |            |          |                |                 |                            |

To be prepared monthly by each DOT certified driver unless time record is exclusively kept on Driver's Daily Log. Indicate "days off." Check box if no driving is performed during this month and the first 7 days of the following month. Mail this report to your Division Manager of Administration.

# OFF-DUTY AUTHORIZATION LETTER

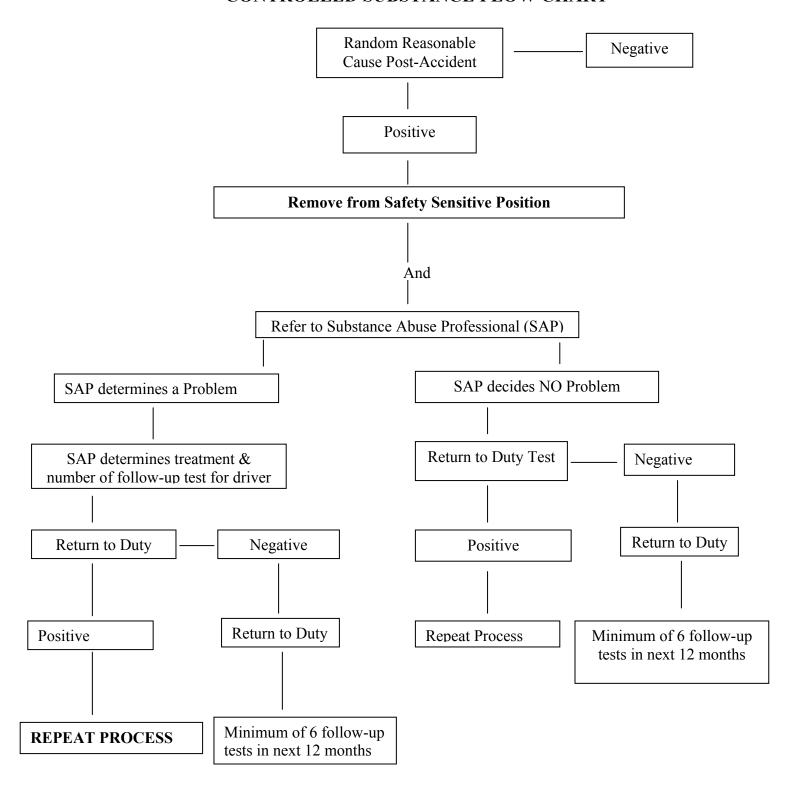
| COM              | PANY NAME:  |  |
|------------------|---|--|
|                  | etter authorizes our driver   |  |
| (Part 3<br>howev | arpose of the Federal Motor Carrier Safety Regulation (195) is to keep tired drivers from operating vehicles. For, it appears that en route stops for meals or other rows fatigue.                        | Under certain circumstances,           |
| logs as          | Fore, this letter is authorization for you to record your so Off-Duty, rather than On-Duty Not Driving as would ay be done only under all of the following circumstant                                    | d normally be the case. However,       |
| 1.               | Your vehicle must be parked in a safe and secure material traffic and theft or damage to the vehicle and cargo.   | anner so as to prevent obstruction of  |
| 2.               | The off-duty period must be no less than 30 minutes   | and no longer than 60 minutes.         |
| 3.               | During the off-duty period, you are relieved from re cargo.   | sponsibility from your vehicle and     |
| 4.               | During the off-duty period, you are free to leave the parked and to pursue activities of your choosing, as your vehicle is not impaired as required by Part 392 Federal Motor Carrier Safety Regulations. | long as your ability to safely operate |
| Dr               | iver Supervisor   | Date                                   |

# BREATH ALCOHOL AND CONTROLLED SUBSTANCE TESTING CONSORTIA

(Note: The list of private vendors below is not intended to be all-inclusive and is not endorsed as to the quality of the vendors. Any carrier may wish to conduct its own investigation as to sources.)

| <b>Business and Industry Health Group</b> |                                 | ProMed Physician Service           |                          |  |  |
|---|---------------------------------|------------------------------------|--------------------------|--|--|
| Kansas City, MO                           | 816.523.7770                    | 753 N West St                      |                          |  |  |
| Kansas City, MO                           | 816.842.1146                    | Wichita, KS                        | 316.648.3818             |  |  |
| Kansas City, MO                           | 816.231.3611                    |                                    | 316.648.3062             |  |  |
| Lenexa, KS                                | 913.894.6600                    |                                    |                          |  |  |
| Family Health Cent                        | er                              | <b>Southwest Medical</b>           | Southwest Medical Center |  |  |
| Derby, KS                                 | 316.788.3741                    | Liberal, KS                        | 620.624.1651             |  |  |
| <b>Industrial Med Cen</b>                 | ter                             | <b>Utilities Consultants</b>       |                          |  |  |
| Kansas City, MO                           | 816.241.4477                    | Wichita, KS                        | 316.262.2658             |  |  |
| Med Express/Nation                        | Med Express/National Lab Center |                                    | Drug Testing Network     |  |  |
| Memphis, TN                               | 901.795.1515                    | Vista, CA                          | 800.989.1206             |  |  |
|   | 800.526.6339                    |                                    |                          |  |  |
| The Consortium Inc                        | c. 785.232.1196                 | WPCI                               |                          |  |  |
| Topeka, KS                                | 800.888.0112                    | Scottsbluff, NE                    | 800.682.5176             |  |  |
| Mt. Carmel Medica                         | l Center                        | Foley Services                     |                          |  |  |
| Pittsburg, KS                             | 620.232.0275                    | Hartford, CT                       | 800.253.5506x0991        |  |  |
|   |                                 |                                    | 860.815.0991             |  |  |
| Global Med Techno                         | logies                          | Hire Right                         |                          |  |  |
| Denver, CO                                | 303.238.2000                    | Tulsa, Oklahoma                    | 866.205.6129             |  |  |
| Occupational Healt                        | h Services                      | Technology Two                     |                          |  |  |
| St. Joseph, MO                            | 816.233.7702                    | Dodge City, KS                     | 800.204.2980             |  |  |
| Kansas City, KS                           | 913.596.2774                    | Garden City, KS                    | 620.276.1706             |  |  |
| Shawnee Mission, K                        | S 913.495.9905                  |                                    |                          |  |  |
| Occupation Medicin                        | ne Associates                   | S&G Associates                     |                          |  |  |
| Kansas City, KS                           | 913.321.7557                    | Wichita, KS                        | 316.945.5535             |  |  |
| Olathe, KS                                | 913.829.4444                    |                                    |                          |  |  |
| <b>Pipeline Testing Co</b>                | nsortium                        | Kansas Drug Testing Inc            |                          |  |  |
| Hutchinson, KS                            | 620.669.8800                    | Topeka, KS                         | 785.266.5311             |  |  |
|   | 800.294.8758                    |                                    |                          |  |  |
| DOT Medical Exam                          | niners of Kansas                | HMA MedWorks LLC                   |                          |  |  |
| 843 S. Poplar                             | 316-613-3683                    | Wichita, KS                        | 316.686.0400             |  |  |
| Wichita, KS                               |                                 |                                    |                          |  |  |
| <b>Assured Occupational Solutions Inc</b> |                                 | Assured Occupational Solutions Inc |                          |  |  |
| 308 W Central                             | 316.321.3313                    | 400 W. 4th                         | 620.504.6614             |  |  |
| El Dorado, KS                             | 316.321.3288                    | McPherson, KS                      | 620.386.0825             |  |  |
| Stateline Mobile Testing                  |                                 | AccuTrace                          |                          |  |  |
| 1502 Main St                              | 785.890.5738                    | 5612 SW Green Oak                  | s Blvd.                  |  |  |
| Goodland, KS                              | 785.890.5503                    | Austin, TX                         | 817.563.4004             |  |  |

# CONTROLLED SUBSTANCE FLOW CHART



# CANNOT USE DRIVER UNTIL A NEGATIVE TEST HAS BEEN RECEIVED!!

#### ALCOHOL AND CONTROLLED SUBSTANCE TEST INFORMATION RELEASE

| From:  | Company   | Company  |   |  |  |  |
|--|---|--|---|--|--|--|
|  | ilialviauai   |  |   |  |  |  |
|  | City  | State  | Zip   |  |  |  |
| The applicant named below has applied for required by DOT Regulation 382.413 a palcohol and controlled substance test result driver's previous employer. Please detact waived any claim of liability against your to this inquiry. | rospective employer shall obtain<br>lts, within the preceding two yes<br>the the waiver below for your file | n information on t<br>ars, which are ma<br>s as proof that the | the driver's aintained by the applicant has |  |  |  |
|  | nt:<br>Number:<br>Driver  |  |   |  |  |  |
| <ol> <li>Number of positive controlled</li> <li>Number of refusals to be test</li> </ol>   | a concentration result of 0.04 od substance test resultsed  |  |   |  |  |  |
| By:(Signature of person supplying informati  |   | Date:  |   |  |  |  |
| (Signature of person supplying informati   | on)   |  |   |  |  |  |
|  | WAIVER  |  |   |  |  |  |
| (Former Employer)  |   | (Date)   |   |  |  |  |
| I hereby authorize you to release all information to each and every company (in connection with the application for emall liability of any type as a result of proviperson.  | or their authorized agents) whic ployment with said company. I  | h may request suc<br>hereby release yo                         | ch information ou from any and              |  |  |  |
| (Signature of Applicant)   | (Signatur   | e of Witness)  |   |  |  |  |

#### PART 396-INSPECTION, REPAIR AND MAINTENANCE

Every motor carrier must see that all its vehicles are regularly inspected, repaired and maintained.

All vehicle parts and accessories must be in a safe and proper working order at all times.

Pushout windows, emergency doors and emergency door-marking lights in buses must be inspected at least every 90 days.

Generally, motor carriers must see that the following maintenance records are kept on each commercial motor vehicle:

- (1) An identification of the vehicle including company number (if so marked), make, serial number, year and tire size. Also, if the carrier does not own the vehicle, the records must show the name of the person providing the vehicle.
- (2) A way to show the type and due date of the various inspections and maintenance operations to be performed.
- (3) A record of inspection, repairs and maintenance showing their date and type.
- (4) A lubrication record.
- (5) A record of tests conducted on pushout windows, emergency doors, and emergency door-marking lights on buses.

Maintenance records must be kept where the vehicle is either housed or maintained for a period of 1 year and for 6 months after the vehicle leaves the motor carrier's control.

A vehicle must not be driven if it is likely to break down or cause an accident.

Every motor carrier must require its drivers to complete a vehicle inspection report at the end of each day. The report must identify the commercial motor vehicle and list anything wrong that could affect its safe operation.

Before the vehicle is driven again, the motor carrier must repair any safety defects listed and sign the vehicle inspection report.

A copy of the last vehicle inspection report must be available to the driver. Every motor carrier must keep the original vehicle report for at least 3 months.

Before driving a commercial motor vehicle the driver must do the following:

- (1) Be satisfied that it is in safe operating condition;
- (2) Review the last inspection report; and
- (3) Sign the report if defects were listed and the report has been signed to show that repairs were made.

NOTE: Examples of several maintenance forms are in this folder.

### THIS PAGE IS TO BE COMPLETED ON COMPANY LETTERHEAD

| requirements<br>components<br>annual vehic | , hereby certify that I am knowledgeable in the for performing an annual vehicle inspection and I can identify defective in compliance with the regulations of the U. S. Department of Transportation for le inspections contained in 49 CFR 396, Appendix G. I hereby agree to comply governing annual vehicle inspections. |
|--|--|
| A qualified in applicable.                 | nspector must meet <b>one</b> or <b>more</b> of the following requirements. Please check those   |
|  | One-year experience as a mechanic or inspector in a motor carrier or intermodal equipment maintenance program.   |
|  | One-year experience as a mechanic or inspector in commercial motor vehicle maintenance at a commercial garage, fleet-leasing company or similar facility.  |
|  | <ul> <li>One-year of training and/or experience in truck manufacturer or similar<br/>commercially sponsored training designed to train in truck maintenance.</li> </ul>  |
|  | _ Successfully completed a state or federal sponsored training program which qualifies me to perform as a commercial vehicle safety inspector.   |
|  | — One-year experience as a commercial vehicle inspector for a state, provincial or federal government.   |
|  |  |
|  | Signature of Mechanic/Inspector  |
|  | hereby certify that  |
| compliance v                               | quirements for a qualified inspector to perform the annual vehicle inspection in with the regulations of the U. S. Department of Transportation for qualified ontained in 49 CFR Part 396.   |
| Dated this                                 | day of   |

#### **BRAKE INSPECTOR**

| , hereby certify that I understand the brake service or inspection tasks assigned me and can competently perform such brake service or inspections by virtue of my training or experience in compliance with the regulations or the United States Department of Transportation for brake inspections contained in 49 CFR Part 396.25. I hereby agree to compliant all such regulations governing brake service or inspections. |
|--|
| I am qualified to perform the following brake duties assigned by the motor carrier:  |
| Adjust and inspect brakes  |
| Replacement and repair of brake system components  |
| By reason of one or more of the following requirements: (Please check those that apply)  |
| One-year experience performing brake maintenance and/or inspection in a motor carrier or intermodal equipment brake service program.   |
| Successfully completed a state, federal, Canadian, or Labor Union sponsored apprenticeship or training program, which qualifies me to perform brake service and/or inspections.  |
| One year of brake-related training and/or experience in brake or vehicle manufacturer or similar commercial training program designed to train in brake maintenance and/or inspection.   |
| One year of experience performing brake maintenance and/or inspection similar to assigned duties at a commercial garage, fleet-leasing company, or similar facility.   |
| , hereby certify that has  |
| met the requirements for a qualified brake inspector to perform the assigned brake maintenance task in compliance with the regulations of the U. S. Department of Transportation for qualified brake inspectors contained in 49 CFR Part 396.25.   |
| Dated this day of  |

| INSPECTION AND MAINTENANCE RECORD - POWER UNIT |      |                |                     |     |     |                          |      |  |
|--|------|----------------|---------------------|-----|-----|--------------------------|------|--|
| Make   | Year |                | Model Serial Number |     |     |                          |      |  |
| Tire Size Ply Rating                           |      | Company Number |                     |     |     |                          |      |  |
| If Leased, Name of Leaser                      |      |                |                     |     |     |                          |      |  |
| Month  | Dov  | Venr           | Mileage             | Lub | Oil | Repair and Other Service | Cost |  |
| Monu   | Day  | 1 Cai          | Willeage            | Luo | Oil | Repair and Other Service | Cost |  |
|  |      |                |                     |     |     |                          |      |  |
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| INSPECTION AND MAINTENANCE RECORD - SEMI-TRAILER |                           |      |                         |                     |                               |                 |                 |              |      |
|--|---------------------------|------|-------------------------|---------------------|-------------------------------|-----------------|-----------------|--------------|------|
| Make   |                           | Y    | ear                     | Mo                  | odel                          | Serial          | Number          |              |      |
| Tire S   | ize                       | P    | ly Rating_              |                     | Company                       | / Number_       |                 |              |      |
| If Lea   | If Leased, Name of Leaser |      |                         |                     |                               |                 |                 |              |      |
| Month  | Day                       | Year | Light and<br>Reflectors | Wheels and<br>Tires | Running Gear<br>Undercarriage | Brake<br>System | Hose,<br>Tubing | Body<br>Etc. | Cost |
|  |                           |      |                         |                     |                               |                 |                 |              |      |
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# INSPECTION AND MAINTENANCE SCHEDULE **Next Inspection and Maintenance Schedule** Date

#### DRIVER'S VEHICLE INSPECTION REPORT

| Chec  | ck any defective item and give detail   | ls under "Rema | arks."              |      |
|-------|---|----------------|---------------------|------|
| Date  | :   |                |                     |      |
| Truc  | k/Tractor Number:   |                |                     |      |
|       | Service brakes including trailer bra  | ake            | Windshield wipers   |      |
|       | Parking (hand) brake  |                | Rear vision mirrors |      |
|       | Steering mechanism  |                | Coupling devices    |      |
|       | Lighting devices and reflectors   |                | Wheels and rims     |      |
|       | Tires   |                | Emergency equipment |      |
|       | Horn  |                |                     |      |
| Trail | ler(s) Number(s):   |                |                     |      |
|       | Brake connections   |                | Landing gear        |      |
|       | Brakes  |                | Lights - All        |      |
|       | Coupling chains   |                | Springs             |      |
|       | Coupling (King) pin   |                | Tarpaulin           |      |
|       | Doors   |                | Tires               |      |
|       | Hitch   |                | Wheels              |      |
| Rem   | arks:   |                |                     |      |
|       | Condition of the above vehicle is Above defects corrected Above defects need not be corrected | ·              | Driver's Signature  |      |
|       | Mechanic's Signature I  | <br>Date       | Driver's Signature  | Date |

#### ACCIDENT REGISTER

| Date of<br>Accident | City, town,<br>or other<br>location of<br>accident | Name of Driver | Number<br>Injured | Number of<br>Fatalities | Was Haz Matl<br>released? (Fuel<br>spilled from tank<br>not included) | Copies of accident reports other than purchased state reports. |
|---------------------|--|----------------|-------------------|-------------------------|---|--|
|                     |  |                |                   |                         |   |  |
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|                     |  |                |                   |                         |   |  |

## ENDORSEMENT FOR MOTOR CARRIER POLICIES OF INSURANCE FOR PUBLIC LIABILITY UNDER SECTIONS 29 AND 30 OF THE MOTOR CARRIER ACT OF 1980

| Issued to                 |  |                         | of -                          |                        |   |
|---------------------------|--|-------------------------|-------------------------------|------------------------|---|
| Dated at                  |  | this —                  | day of                        |                        | 20  |
| Amending                  | g Policy Number  |                         | Effective Date —              |                        |   |
| Name of                   | Insurance Company                                      |                         |                               |                        |   |
| Telephon                  | e Number ()  | C                       | Countersigned by              |                        |   |
|                           |  |                         | Countersigned by              | Authorized Co          | mpany Representative  |
| The polic shown:          | y to which this endorsemen                             | nt is attached provides | s primary or excess insurance | e, as indicated by the | he "X" inside the box, for the limits   |
|                           | This insurance is primary                              | and the company sha     | all not be liable for amounts | in excess of \$        | for each accident.  |
|                           | This insurance is excess the underlying limit of \$    |                         |                               | ccess of \$            | for each accident in excess of  |
| policy and                | d all its endorsements. The                            | e company also agrees   |                               | y an authorized repr   | to furnish the FMCSA a duplicate of said esentative of the FMCSA, to verify that  |
| Cancellat                 | ion of this endorsement ma                             | y be effected by the c  |                               | giving thirty-five day | ys notice in writing to the other party   |
|                           |  | DEFINITIO               | NS AS USED IN THIS EN         | DORSEMENT              |   |
|                           | NT includes continuous or insured neither expected i   |                         | conditions which results in   | bodily injury, prope   | erty damage, or environmental damage  |
|                           | VEHICLE means a land v<br>for transporting property, c |                         |                               | iler propelled or dra  | wn by mechanical power and used on a  |
| BODILY                    | INJURY means injury to                                 | he body, sickness, or   | disease to any person, inclu  | ıding death resulting  | g from any of these.  |
| discharge<br>carrier. The | , dispersal, release or escap                          | e into or upon the lan  | nd, atmosphere, watercourse   | e, or body of water,   | resources arising out of the accidental of any commodity transported by a motor in health, the natural environment, fish, |
| PROPER                    | TY DAMAGE means dam                                    | age to or loss of use o | of tangible property.         |                        |   |
| PUBLIC                    | LIABILITY means liabilit                               | y for bodily injury, pr | operty damage, and enviror    | nmental restoration.   |   |

The insurance policy to which this endorsement is attached provides automobile liability insurance and is amended to assure compliance by the

insured, within the limits stated herein, as a motor carrier of property, with Sections 29 and 30 of the Motor Carrier Act of 1980 and the rules and regulations of the Federal Motor Carrier Safety Administration.

In consideration of the premium stated in the policy to which this endorsement is attached, the insurer (the company) agrees to pay, within the

In consideration of the premium stated in the policy to which this endorsement is attached, the insurer (the company) agrees to pay, within the limits of liability described herein, any final judgment recovered against the insured for public liability resulting from negligence in the operation, maintenance or use of motor vehicles subject to the financial responsibility requirements of Sections 29 and 30 of the Motor Carrier Act of 1980 regardless of whether or not each motor vehicle is specifically described in the policy and whether or not such negligence occurs on any route or in any territory authorized to be served by the insured or elsewhere. Such insurance as is afforded, for public liability, does not apply to injury to or death of the insured's employees while engaged in the course of their employment, or property transported by the insured, designated as cargo. It is understood and agreed that not condition, provision, stipulation, or limitation contained in the policy, this endorsement, or any other endorsement thereon, or violation thereof, shall relieve the company from liability or from the payment of any final judgment, with the limits of liability herein described, irrespective of the financial condition, insolvency or bankruptcy of the insured. However, all terms, conditions and limitations in the policy to which the endorsement is attached shall remain in full force and effect as binding between the insured and the company. The insured agrees to reimburse the company for any payment made by the company on account of any accident, claim, or suit involving a breach of the terms of the policy, and for any payment that the company would not have been obligated to make under the provisions of the policy except for the agreement contained in this endorsement.

It is further understood and agreed that, upon failure of the company to pay any final judgment recovered against the insured as provided herein, the judgment creditor may maintain an action in any court of competent jurisdiction against the company to compel such payment.

The limits of the company's liability for the amounts prescribed in this endorsement apply separately, to each accident, and any payment under the policy because of any one accident shall not operate to reduce the liability of the company for the payment of final judgments resulting from any other accident.

The Motor Carrier Act of 1980 requires limits for financial responsibility according to the type of carriage and commodity transported by the motor carrier. It is the motor carrier's obligation to obtain the required limits of financial responsibility.

Form MCS-90

#### APPLYING KCC ECONOMIC REGULATIONS

(K.S.A. 66-1,109 & 82-4-30a(d) (UCR)) 5/2014

# **NOTE:** Effective July 1, 2014 until July 1, 2015, the following are exempt the KCC economic regulations.

Intrastate commercial motor carriers operating vehicles with a GVWR, GCWR, GVW or CGVW which do not equal or exceed 26,001 pounds, except commercial motor vehicles, regardless of weight, which are designed or used to transport 16 or more passengers, including the driver, or which are used in the transportation of hazardous materials and required to be placarded pursuant to 49 C.F.R. part 172, subpart F.

## IS THE MOTOR VEHICLE A: COMMON MOTOR CARRIER? (K.S.A. 66-1,108 & 66-1,110)

Any person who holds himself out to the public as willing to undertake for hire to transport by <u>commercial motor vehicle</u>, from place to place, the property of others who may choose to employ him.

#### IF YES, CONTINUE

OR

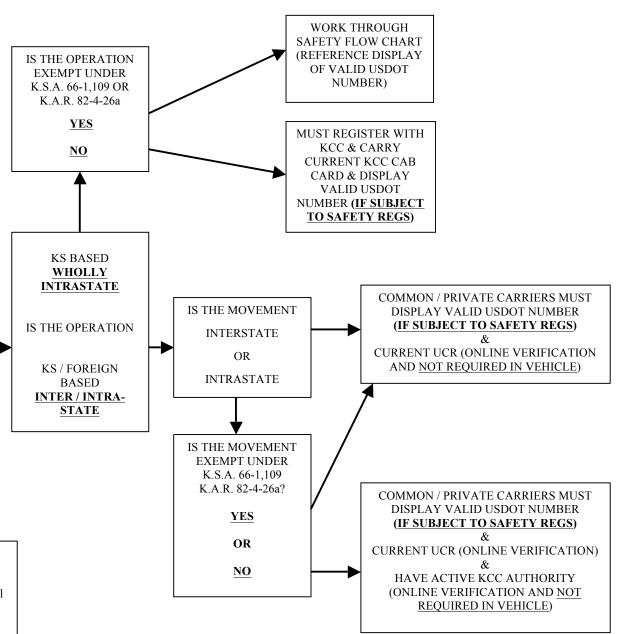
# IS THE MOTOR VEHICLE A: PRIVATE MOTOR CARRIER? (K.S.A. 66-1,108)

Any person who provides transportation of property or passengers, by <u>commercial motor vehicle</u> and is not a for hire motor carrier.

#### IF YES, CONTINUE

#### \*K.A.R. 82-4-26a

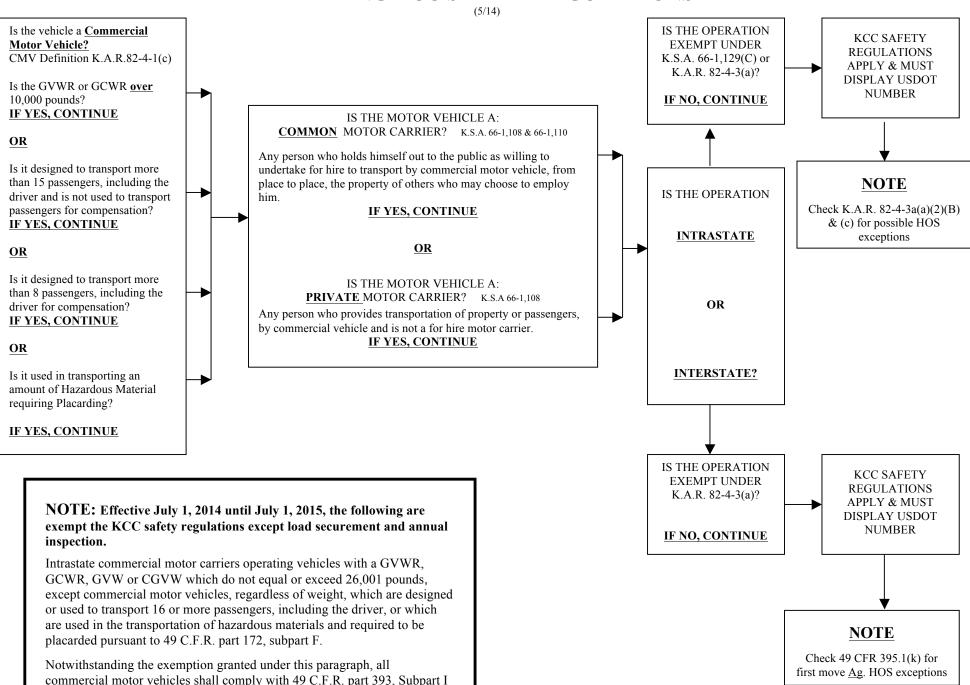
A private motor carrier engaged in the occasional transportation of personal property that is not for compensation and is not in the furtherance of a commercial enterprise shall not be required KCC authority.



#### KCC ECONOMIC EXEMPTIONS K.S.A. 66-1,109 (INTRASTATE ONLY)

- (a) Transportation by motor carriers wholly within the corporate limits of a city or village in this state or in this and another state, or between contiguous cities or villages in this state or in this and another state, or between a city or village in this or another state and the suburban territory in this state within three miles of the corporate limits thereof, or between cities and villages in this state and cities and villages in another state which are within territory designated as a commercial zone by the relevant federal authority, except that none of the exemptions specified in this subsection (a) shall apply to wrecker carriers.
- (b) A private motor carrier who operates within a radius of 25 miles beyond the corporate limits of its city or village of domicile, or who operates between cities and villages in this state and cities and villages in another state which are within territory designated as a commercial zone by the relevant federal authority.
- (c) The owner of livestock or producer of farm products transporting livestock of such owner or farm products of such producer to market in a motor vehicle of such owner or producer, or the motor vehicle of a neighbor on the basis of barter or exchange for service or employment, or to such owner or producer transporting supplies for the use of such owner or producer in a motor vehicle of such owner or producer, or in the motor vehicle of a neighbor on the basis of barter or exchange for service or employment.
- (d) (1) The transportation of children to and from school, (2) to motor vehicles owned by schools, colleges, and universities, religious or charitable organizations and institutions, or governmental agencies, when used to convey students, inmates, employees, athletic teams, orchestras, bands or other similar activities, or (3) motor vehicles owned by non-profit organizations meeting the qualification requirements of Section 501 (c) of the Internal Revenue Code of 1986, and amendments thereto, when transporting property or materials belonging to the owner of the vehicle.
- (e) A new vehicle dealer as defined by K.S.A 8-2401, and amendments there-to, when transporting property to or from the place of business such dealer.
- (f) Motor vehicles carrying tools, property or material belonging to the owner of the vehicle and used in repair, building or construction work, not having been sold or being transported for the purpose of sale.
- (g) Persons operating motor vehicles which have an ad valorem tax situs in and are registered in the state of Kansas, and used only to transport grain from the producer to an elevator or other place for storage or sale for a distance of not to exceed 50 miles. (the vehicle can only be used to transport grain 365 days a year)
- (h) The operation of hearses, funeral coaches, funeral cars or ambulances by motor carriers.
- (i) Motor vehicles owned and operated by United States and the District of Columbia, any state, any municipality or any other political subdivision of this state, including vehicles used exclusively for handling U. S. mail; and the operation of motor vehicles used exclusively by organizations operating public transportation systems pursuant to 49 U.S.C. sec. 5307, 5310, & 5311.
- (j) Any motor vehicle with a normal seating capacity of not more than the driver and 15 passengers while used for vanpooling or otherwise not for profit in transporting persons who, as a joint undertaking, bear or agree to bear all the costs such operations, or motor vehicle with a normal seating capacity of not more than the driver and 15 passengers for not-for-profit transportation by one or more employers of employees to and from the factories, plants, offices, institutions, construction sites or other places of like nature where such persons are employed or accustomed to work.
- (k) Motor vehicle used to transport water for domestic purposes or livestock consumption.
- (I) Transportation of sand, gravel, slag stone, limestone, crushed stone, cinders, calcium chloride, bituminous or concrete mixtures, blacktop, dirt or fill material to a construction site, highway maintenance or construction project or other storage facility and the operation of ready-mix concrete trucks in transportation of ready-mix concrete.
- (m) The operation of a vehicle used exclusively for the transportation of solid waste, as the same is defined by K.S.A 65-3402, and amendments thereto, to any solid waste processing facility or solid waste disposal area, as the same is defined by K.S.A. 65-3402 and amendments thereto.
- (n) The transporting of vehicles used solely in the custom combining business when being transported by persons engaged in such business.
- (o) The operation of vehicles used for servicing, repairing or transporting of implements of husbandry, as defined in K.S.A. 8-1427, and amendments thereto, by a person actively engaged in the business of buying, selling or exchanging of implements of husbandry, if such operation is within 100 miles of such person's established place of business in this state.
- (p) Transportation by taxi or bus companies operated exclusively within any city or within 25 miles of the point of its domicile in a city.
- (q) A vehicle being operated with a dealer license plate issued under K.S.A. 8-2406, and amendments thereto, and in compliance with K.S.A. 8-136, and amendments thereto, and vehicles being operated with a full-privilege license plate issued under K.S.A. 8-2425 and amendments thereto.
- (r) The operation of vehicles used for transporting materials used in the servicing or repairing of refractory linings of industrial boilers.
- (s) Transportation of newspapers published at least one time each week.
- (t) Transportation of animal dung to be used for fertilizer.
- (u) The operation of ground water well drilling rigs.
- (v) The transportation of cotton modules from the field to the gin; and
- (w) Custom Harvested silage, including but not limited to; corn, wheat and milo.
- (x) (Effective 7/1/2014 thru 7/1/2015) Commercial motor vehicles operating in intrastate commerce which do not equal or exceed a gross vehicle weight (GVW), gross vehicle weight rating (GVWR), or gross combination weight (GCW) or gross combination weight rating (GCWR) of 26,001 pounds, except commercial motor vehicles, regardless of weight, which are designed or used to transport 16 or more passengers, including the driver, or which are used in the transportation of hazardous materials and required to be placarded pursuant to 49 C.F.R. part 172, subpart F.

#### APPLYING KCC SAFETY REGULATIONS



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as adopted by K.A.R. 82-4-3i, and 49 C.F.R. part 396.17, as adopted by

K.A.R. 82-4-3j.

#### SAFETY EXEMPTIONS 66-1,129(c) (INTRASTATE ONLY)

# 66-1,129 Chapter 66.—PUBLIC UTILITIES Article 1.—POWERS OF THE STATE CORPORATION COMMISSION

**66-1,129. Motor carriers; safety rules and regulations adopted by commission; exceptions.** (a) The commission shall adopt rules and regulations necessary to carry out the provisions of this act. No public motor carrier of property, household goods or passengers or private motor carrier of property shall operate or allow the operation of any motor vehicle on any public highway in this state except within the provisions of the rules and regulations adopted by the commission. Rules and regulations adopted by the commission shall include:

- (1) Every vehicle unit shall be maintained in a safe and sanitary condition at all times.
- (2) Every driver of a public or private motor carrier, except the driver of a farm vehicle, operating as a carrier of intrastate commerce within this state, shall be at least 18 years of age. All such drivers shall be competent to operate the motor vehicle under such driver's charge.
- (3) Minimum age requirements for every driver of a motor carrier, operating as a carrier of interstate commerce, shall be consistent with federal motor carrier regulations.
- (4) Hours of service for operators of all motor carriers to which this act applies shall be fixed by the commission.
- (5) Accidents arising from or in connection with the operation of motor carriers shall be reported to the commission within the time, in the detail and in the manner as the commission requires.
- (6) Every motor carrier shall have attached to each unit or vehicle distinctive marking adopted by the commission.
- (7) Motor carrier transportation requirements that are consistent with continuation of the federal motor carrier safety assistance program and other federal requirements concerning transportation of hazardous materials.
- (b) No rules and regulations adopted by the commission pursuant to this section shall require the operator of any motor vehicle having a gross vehicle weight rating or gross combination weight rating of not more than 10,000 pounds to submit to a physical examination, unless required by federal laws or regulations.
- (c) Any rules and regulations of the commission, adopted pursuant to this section, shall not apply to the following, while engaged in the carriage of intrastate commerce in this state:
- 1) The owner of livestock or producer of farm products transporting livestock of such owner or farm products of such producer to market in a motor vehicle of such owner or producer, or the motor vehicle of a neighbor on the basis of barter or exchange for service or employment, or to such owner or producer transporting supplies for the use of such owner or producer, or in the motor vehicle of a neighbor on the basis of barter or exchange for service or employment.
- 2) The transportation of children to and from school, or to motor vehicles owned by schools, colleges, and universities, religious or charitable organizations and institutions, or governmental agencies, when used to convey students, inmates, employees, athletic teams, orchestras, bands or other similar activities.
- 3) (Effective 7/1/2014 thru 7/1/2015) Commercial motor vehicles operating in intrastate commerce which do not equal or exceed a gross vehicle weight (GVW), gross vehicle weight rating (GVWR), or gross combination weight (GCW) or gross combination weight rating (GCWR) of 26,001 pounds, except commercial motor vehicles, regardless of weight, which are designed or used to transport 16 or more passengers, including the driver, or which are used in the transportation of hazardous materials and required to be placarded pursuant to 49 C.F.R. part 172, subpart F. Notwithstanding the exemption granted under this paragraph, all commercial motor vehicles shall comply with 49 C.F.R. part 393, Subpart I as adopted by K.A.R. 82-4-3i, and 49 C.F.R. part 396.17, as adopted by K.A.R. 82-4-3j. Vehicles found to be in violation of 49 C.F.R. part 393, subpart I, as adopted by K.A.R. 82-4-3j, prior to October 1, 2014, shall be issued a warning citation. Vehicles found to be in violation of 49 C.F.R. part 396.17, as adopted by K.A.R. 84-4-3j, prior to July 1, 2015, shall be issued a warning citation. The provisions of this paragraph shall expire and have no effect on and after July 1, 2015.

#### The following paragraphs 3)(A) and (B) will remain in effect until July 1, 2014

- (A) Except for motor vehicles under subparagraph (B), motor vehicles, with a GVWR of 26,000 lbs. or less, carrying tools, property or material belonging to the owner of the vehicle, and used in repair, building or construction work, not having been sold or being transported for the purpose of sale, except vehicles transporting hazardous materials which require placards.
- (B) Except vehicles transporting hazardous materials which require placards, motor vehicles, with a GVWR of 26,000 lbs. or less, carrying tools, property or material belonging to the owner of the vehicle, and used in repair, building or construction work and such tools, property or material are being transported to or from an active construction site located within a radius of 25 miles of the principal place of business of the motor carrier.
- 4) Persons operating motor vehicles which have an ad valorem tax situs in and are registered in the state of Kansas, and used only to transport grain from the producer to an elevator or other place for storage or sale for a distance of not to exceed 50 miles.
- 5) The operation of hearses, funeral coaches, funeral cars or ambulances by motor carriers.
- 6) Motor vehicles owned and operated by the United States, the District of Columbia, any state, any municipality or any other political subdivisions of this state.
- 7) Any motor vehicle with a normal seating capacity of not more than the driver and 15 passengers while used for vanpooling or otherwise not for profit in transporting persons who, as a joint undertaking, bear or agree to bear all the cost of such operations, or motor vehicles with a normal seating capacity not more than the driver and 15 passengers for not-for-profit transportation by one or more employees to and from the factories, plants, offices, institutions, construction sites or other places of like nature where such persons are employed or accustomed to work.
- 8) Motor vehicles used to transport water for domestic purposes, as defined by subsection (c) of K.S.A. 82a-701, and amendments thereto, or livestock consumption.
- 9) The operation of vehicles used for servicing, repairing or transporting of implements of husbandry, as defined in K.S.A. 8-1427, and amendments thereto, by a person actively engaged in the business of buying, selling or exchanging implements of husbandry, if such operation is within 100 miles of such person's established place of business in this state, unless the implement of husbandry is transported on a CMV.

#### K.A.R. 82-4-3a(c)

(c) Any wrecker or tow truck, as defined by K.S.A. 66-1329 & amendments thereto, with a GVWR or GCWR of 26,000 or less, shell not be subject to <u>Log book</u> regulations while making an **INTRASTATE** movement.

#### Agriculture commodities exemption from HOS - K.A.R. 82-4-3a(a)(1)(B)

- (B) 49 C.F.R. 395.1(k) shall be deleted and replaced by the following: "(k)(1) The provisions of this regulation shall not apply to drivers transporting agricultural commodities or farm supplies for agricultural purposes in the state if the transportation meets the following conditions:
- "(A) Is limited to an area within a 100-air-mile radius from the source of the commodities or the distribution point for the farm supplies; and
- "(B) is conducted within the planting and harvesting seasons.
- "(2) 'Planting and harvesting seasons' means the time periods for planting and harvesting that occur between January 1 and December 31.
- "(3) 'Agricultural commodities' means the unprocessed products of agriculture, horticulture, and cultivation of the soil, including wheat, corn, hay, milo, sorghum, sunflowers, and soybeans. Agricultural commodities shall not include livestock and livestock products, milk, honey, poultry products, timber products, and nursery stock, nor shall the term include the transportation of hazardous materials of the type or quantity that requires the vehicle to be placarded.
- "(4) 'Farm supplies' means supplies or equipment for use in the planting or harvesting of agricultural commodities, but shall not include the transportation of hazardous materials of the type or quantity that requires the vehicle to be placarded.

# 66-1,129a Chapter 66.--PUBLIC UTILITES Article 1.--POWERS OF THE STATE CORPORATION COMMISSION

**66-1,129a.** (a) The commission, at any time for good cause shown, may suspend the operation of any motor carrier subject to economic or safety rules and regulations adopted by the commission. Upon notice and an opportunity to be heard in accordance with the provisions of the Kansas administrative procedure act, the commission may revoke, amend, initiate sanctions or fine any motor carrier who has a certificate, license or permit issued by the commission or is subject to the safety rules and regulations adopted by the commission. Any motor carrier suspended prior to a hearing must be afforded the opportunity of a hearing on the matter. If such a hearing is requested, the hearing shall be held within 10 days of the request.

(b) The director of the commission's transportation division, at any time for a good cause shown, may request the Kansas highway patrol to impound a motor carrier's vehicle or vehicles when that motor carrier has:

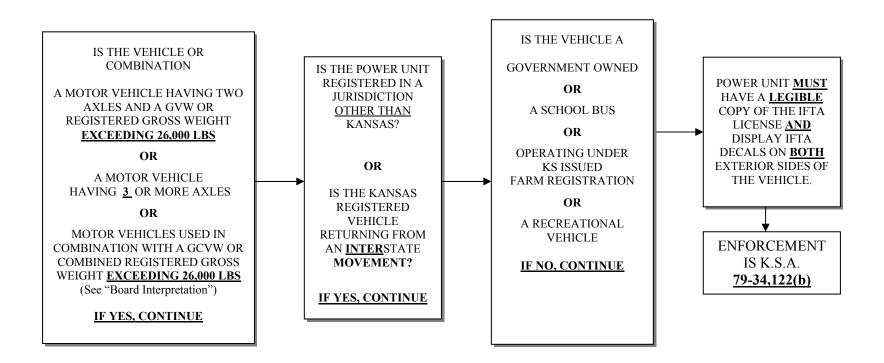
- (1) Failed to comply with an out-of-service order;
- (2) failed to comply with a cease or desist order;
- (3) failed to obtain commission authority to operate;
- (4) failed to pay a commission-assessed civil penalty; or
- (5) has otherwise failed to comply with a commission order. Any motor carrier whose vehicle is impounded prior to a hearing must be afforded the opportunity of a hearing on the matter. If such a hearing is requested, the hearing shall be held within 10 days of the request.
- (c) The commission is authorized to enter into any contracts or agreements necessary with the superintendent of the Kansas highway patrol, in order to provide facilities and personnel to accomplish the impounding of vehicles.
- (d) If the owner of a motor vehicle which has been impounded pursuant to this section refuses to pay any towing, impoundment, storage or other fess related to the impoundment of such vehicle or fails to take possession of such vehicle within 30 days following the date of the expiration of the impoundment period, such vehicle shall be deemed abandoned and the vehicle may be disposed of by the person having possession of such vehicle. If the person having possession of such vehicle is a public agency, disposition of such vehicle shall be in compliance with the procedures for notice and public auction provided by paragraph (2) of sub-section (a) of K.S.A. 8-1102, and amendments thereto. If the person having possession of such vehicle is not a public agency, disposition of such vehicle shall be in compliance with K.S.A. 8-1103 through 8-1108, and amendments thereto.

History: L. 2003, ch. 124 § July 1.

#### **APPLYING IFTA**

#### "International Fuel Tax Agreement"

10/2008 Page 35



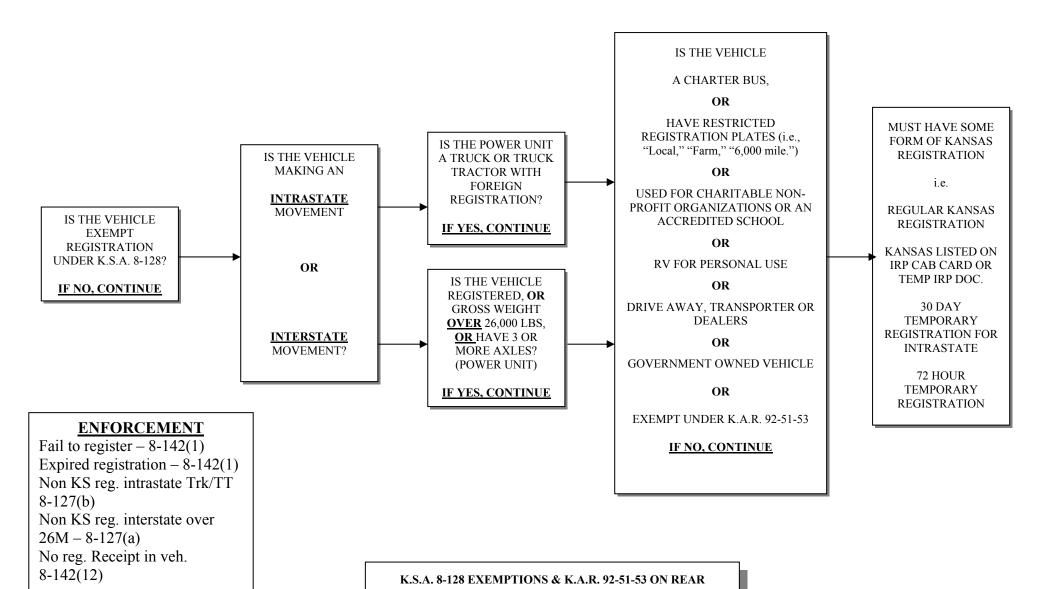
#### **BOARD INTERPRETATION:**

The Consensus Board Interpretation is that the axles of a trailing unit have no bearing on this definition. The part of the definition alluding to the number of axles applies only to the axles on the power unit, irrespective of any trailing units. The Board agreed that a power unit with two axles, pulling a trailing unit, with a combined gross or registered weight of 26,000 pounds or less is not a qualified motor vehicle. The first and second definitions of a qualified motor vehicle refer only to the power unit. The third definition refers to the combination of the power unit and the trailing unit.

#### APPLYING IRP REGISTRATION

"a.k.a. Apportioned Registration"

(10/2008) Page 36



#### K.S.A. 8-128

- a) The following need not be registered under this act, any
  - (1) Implement of husbandry;
  - (2) All-terrain vehicle:
  - (3) Work-site utility vehicle;
  - (4) Road roller or road machinery temporarily operated or moved upon the highways;
  - (5) Municipally owned fire truck;
  - (6) Privately owned fire truck subject to a mutual aid agreement with a municipality;
  - (7) School bus owned and operated by a school district or a nonpublic school which have the name of the municipality, school district or nonpublic school painted plainly thereon;
  - (8) Farm trailer used in carrying not more than 6,000 lbs. owned by a person engaged in farming, which trailer is used exclusively by the owner to transport agricultural products produced by such owner or commodities purchased by the owner for use on the farm owned or rented by the owner of such trailer
  - (9) Farm trailer used and designed for transporting hay or forage from a field to a storage area or from a storage area to a feedlot, which is only incidentally moved or operated upon the highways, except that this paragraph shall not apply to a farm semi trailer.
- b) Self-propelled cranes where the crane operator on a job site operates the controls of such crane from a permanent housing or module on the crane and the crane is not used for the transportation of property, except the property that is required for the operation of the crane itself and earth moving equipment which are equipped with pneumatic tires may be moved on the highways of this state from one job location to another, or to or from places of storage, delivery or repair, without complying with the provisions of the law relating to registration and display of license plates but shall comply with all the other requirements of the law relating to motor vehicles.
- c) Oil well servicing, oil well clean-out or oil well drilling machinery or equipment need not be registered under this act but shall comply with all the other requirements of the law relating to motor vehicles.
- d) A truck permanently mounted with a hydraulic concrete pump and placing boom may be moved on the highways of this state from one job location to another, or to or from places of storage delivery or repair, without being registered under this act, but shall comply with all the other requirements of the law relating to motor vehicles.

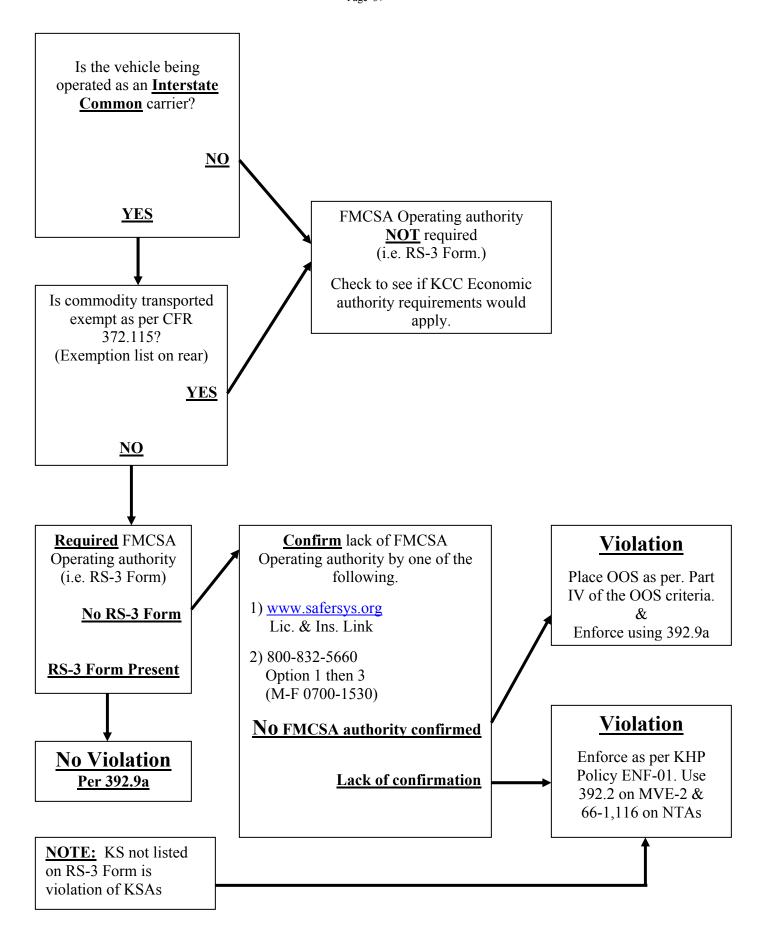
  The provisions of this subsection shall not apply to ready-mix concrete trucks.

#### K.A.R. 92-51-53 Vehicles exempt from apportioned registration.

- (a) Vehicles that are based in a state with which Kansas has an agreement for apportioned registration and that are owned by an individual engaged in farming and used by the owner to transport agricultural products produced by the owner or commodities purchased by the owner for farm use shall be exempt from apportioned registration.
  - (b) Motor vehicles based in Missouri that bear ``local" Missouri registration shall be exempt from apportioned registration in Kansas if the vehicles are operated not more than 25 miles from the vehicle's base point in Missouri. Kansas 72-hour truck registration, 30-day truck registration, local registration, and regular registration shall not be applicable for the operation of the vehicle beyond the 25-mile radius. If the owner of the Missouri based locally registered vehicle desires to operate beyond the 25-mile radius of the vehicle's base point, Missouri ``beyond local," which is also known as commercial, registration shall be secured.
  - (c) A commercial motor vehicle based in Missouri that bears Missouri ``beyond local," which is also known as commercial, registration shall be exempt from apportioned registration if the vehicle operation is restricted to any of the following:
  - (1) The corporate limits and a radius of four miles beyond the corporate limits of Elwood, Kansas, and to and from St. Joseph, Missouri to the St. Joseph municipal airport on U.S. highway 36, with the return trip to Missouri over the same highway;
  - (2) the corporate limits of Atchison, Kansas on U.S. highway 59, with the return trip to Missouri over the same highway;
  - (3) the corporate limits of Leavenworth, Kansas, Fort Leavenworth, Kansas, and the federal penitentiary by entry on Kansas highway 92 and U.S. highway 73, with the return trip to Missouri over the same highways; and
  - (4) the commercial zone of greater Kansas City as defined by the federal highway administration.

## **FMCSA OPERATING AUTHORITY**

(10/07/2005) Page 37



#### **EXEMPT FMCSA OPERATING AUTHORITY**

#### 49 U.S.C. 13506. Miscellaneous motor carrier transportation exemptions

- (a) In General.--Neither the Secretary nor the Board has jurisdiction under this part over--
  - (1) A motor vehicle transporting only school children and teachers to or from school;
  - (2) A motor vehicle providing taxicab service;
  - (3) A motor vehicle owned or operated by or for a hotel and only transporting hotel patrons between the hotel and the local station Of a carrier;
  - (4) A motor vehicle controlled and operated by a farmer and transporting--
    - (A) The farmer's agricultural or horticultural commodities and products; or
    - (B) Supplies to the farm of the farmer;
  - (5) A motor vehicle controlled and operated by a cooperative association (as defined by section 15(a) of the Agricultural Marketing Act (12 U.S.C. 1141j(a))) or by a federation of cooperative associations if the federation has no greater power or purposes than a cooperative association, except that if the cooperative association or federation provides transportation for compensation between a place in a State and a place in another State, or between a place in a State and another place in the same State through another State--
    - (A) For a nonmember that is not a farmer, cooperative association, federation, or the United States Government, the transportation (except for transportation otherwise exempt under this subchapter)--
      - (i) Shall be limited to transportation incidental to the primary transportation operation of the cooperative association or federation and necessary for its effective performance; and
      - (ii) May not exceed in each fiscal year 25 percent of the total transportation of the cooperative association or federation between those places, measured by tonnage; and
    - (B) The transportation for all nonmembers may not exceed in each fiscal year, measured by tonnage, the total transportation between those places for the cooperative association or federation and its members during that fiscal year;
  - (6) Transportation by motor vehicle of--
    - (A) Ordinary livestock;
    - (B) Agricultural or horticultural commodities (other than manufactured products thereof);
    - (C) Commodities listed as exempt in the Commodity List incorporated in ruling numbered 107, March 19, 1958, Bureau of Motor Carriers, Interstate Commerce Commission, other than frozen fruits, frozen berries, frozen vegetables, cocoa beans, coffee beans, tea, bananas, or hemp, or wool imported from a foreign country, wool tops and noils, or wool waste (carded, spun, woven, or knitted);
    - (D) Cooked or uncooked fish, whether breaded or not, or frozen or fresh shellfish, or byproducts thereof not intended for human consumption, other than fish or shellfish that have been treated for preserving, such as canned, smoked, pickled, spiced, corned, or kippered products; and
    - (E) Livestock and poultry feed and agricultural seeds and plants, if such products (excluding products otherwise exempt under this paragraph) are transported to a site of agricultural production or to a business enterprise engaged in the sale to agricultural producers of goods used in agricultural production;
  - (7) A motor vehicle used only to distribute newspapers;
  - (8)(A) Transportation of passengers by motor vehicle incidental to transportation by aircraft;
    - (B) Transportation of property (including baggage) by motor vehicle as part of a continuous movement which, prior or subsequent to such part of the continuous movement, has been or will be transported by an air carrier or (to the extent so agreed by the United States and approved by the Secretary) by a foreign air carrier; or
    - (C) Transportation of property by motor vehicle in lieu of transportation by aircraft because of adverse weather conditions or mechanical failure of the aircraft or other causes due to circumstances beyond the control of the carrier or shipper;
  - (9) The operation of a motor vehicle in a national park or national monument;
  - (10) A motor vehicle carrying not more than 15 individuals in a single, daily roundtrip to commute to and from work;
  - (11) Transportation of used pallets and used empty shipping containers (including intermodal cargo containers), and other used shipping devices (other than containers or devices used in the transportation of motor vehicles or parts of motor vehicles);
  - (12) Transportation of natural, crushed, vesicular rock to be used for decorative purposes;
  - (13) Transportation of wood chips;
  - (14) Brokers for motor carriers of passengers, except as provided in section 13904(d); or
  - (15) Transportation of broken, crushed, or powdered glass.
- (b) Exempt Unless Otherwise Necessary.--Except to the extent the Secretary or Board, as applicable, finds it necessary to exercise jurisdiction to carry out the transportation policy of section 13101, neither the Secretary nor the Board has jurisdiction under this part over--
  - (1) Transportation provided entirely in a municipality, in contiguous municipalities, or in a zone that is adjacent to, and commercially a part of, the municipality or municipalities, except--
    - (A) When the transportation is under common control, management, or arrangement for a continuous carriage or shipment to or from a place outside the municipality, municipalities, or zone; or
    - (B) That in transporting passengers over a route between a place in a State and a place in another State, or between a place in a State and another place in the same State through another State, the transportation is exempt from jurisdiction under this part only if the motor carrier operating the motor vehicle also is lawfully providing intrastate transportation of passengers over the entire route under the laws of each State through which the route runs;
  - (2) Transportation by motor vehicle provided casually, occasionally, or reciprocally but not as a regular occupation or business, except when a broker or other person sells or offers for sale passenger transportation provided by a person authorized to transport passengers by motor vehicle under an application pending, or registration issued, under this part; or
  - (3) The emergency towing of an accidentally wrecked or disabled motor vehicle.