

**THE RISE AND EFFECTIVENESS OF NEW CORPORATE GOVERNANCE STANDARDS**

**DECEMBER 12, 2000**

**FEDERAL RESERVE BANK OF NEW YORK**

**33 LIBERTY STREET**

**NEW YORK, NEW YORK**

8:30 – 9:00AM: Registration

9:00 -- 9:15AM: Welcome

*William J. McDonough, President, Federal Reserve Bank of New York*

9:15 – 10:15AM: Notable New Corporate Governance Standards

OECD Principles: *Seiichi Kondo, Deputy Secretary General, OECD*

BIS Principles: *Daniele Nouy, Secretary General, Basel Committee on Banking Supervision*

10:15 -- 10:30AM: Coffee Break

10:30 – 11:30AM: Roundtable on How U.S. Regulators View and Implement Corporate Governance Standards

Securities: *to be announced*

Banking: *Thomas C. Baxter, Jr., Executive Vice President and General Counsel, Federal Reserve Bank of New York*

State law: *William Allen, Director, NYU Center for Law & Business; former Chancellor of the Delaware Court of Chancery*

Moderator: *Ed Knight, Executive Vice President and Chief Legal Officer, NASD; former General Counsel, U.S. Department of the Treasury*

11:30AM -- 12:15PM: Regulatory Developments Outside the United States

Africa: *Willene Johnson, U.S. Executive Director, African Development Bank*

Asia: *Mark Dickens, Executive Director, Hong Kong Securities and Futures Commission*

Latin America: *Jose Luiz Osorio, President, Comissao de Valores Mobiliarios (Securities and Exchange Commission of Brazil)*

Europe: *Mario Giovanoli, General Counsel, Bank for International Settlements (invited)*

12:15PM -- 1:30PM Lunch break

1:30PM -- 2:15PM: Keynote Address - *Arthur Levitt, Chairman, Securities and Exchange Commission*

2:15PM -- 3:45PM: Roundtable on Institutional Shareholder Activism

*John Bogle, President, Bogle Financial Markets Research Center; founder, Vanguard Group*

*Peter Clapman, Senior Vice President and General Counsel - Investments, TIAA/CREF*

*William Patterson, Director of Investments, AFL-CIO*

*Susan Roth, Bank Analyst, Credit Suisse First Boston*

*Christian Strenger, DWS fund management, Frankfurt, Germany*

*Ralph Whitworth, managing member, Relational Investors LLC investment fund*

Moderator: *John Coates, Assistant Professor of Law, Harvard University*

3:45PM -- 4:00PM: Break

4:00PM -- 5:00PM: Conclusion -- Whether and How New Corporate Governance Standards Change

Corporate Practices

*Peter Crist, Vice Chairman, Korn/Ferry International*

*John McGuckin, Executive Vice President & General Counsel, Union Bank of California*

Moderator: *Richard Koppes, Counsel, Jones, Day, Reavis & Pogue; former Deputy Executive Office & General Counsel, CalPERS*

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Registration is FREE. To register, please copy, fill out, and fax this form to Ms. Joanne Lopresti, Legal Department, Federal Reserve Bank of New York, at (212) 720-1756. Or e-mail this information to [joanne.lopresti@ny.frb.org](mailto:joanne.lopresti@ny.frb.org). Questions? Call (212) 720-2799.

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**REGISTRATION**

**Name:** \_\_\_\_\_

**Title:** \_\_\_\_\_

**Organization:** \_\_\_\_\_

**Street Address:** \_\_\_\_\_

**City:** \_\_\_\_\_

**State:** \_\_\_\_\_

**Zip:** \_\_\_\_\_

**Phone:** \_\_\_\_\_

**Fax:** \_\_\_\_\_

**E-mail:** \_\_\_\_\_