

PRINT, COMPLETE AND EMAIL TO US. Questions? Email: WalshAMLEthics@gmail.com or phone: (866) 559-8085

SWORN DECLARATION FUTURES/SECURITIES **WORKSHEET COMPUTER BASED AML TRAINING**

Last name _____ First _____ Date of Birth _____

Company name _____ FCM _____

Street address _____

City _____ State/Province/Country _____ Zip/postal code _____

Phone # _____

Email _____

IMPORTANT: To prevent your Certificate from going to your spam folder, please add WalshAMLEthics@gmail.com to your address book.

Fax # (enter here if you prefer your certificate by fax) _____ date _____

ANTI-MONEY LAUNDERING TRAINING FUTURES AND SECURITIES COMPLIANCE NOTICE

As soon as we verify this Sworn Declaration for signature, date and payment, we record your Certification and email you your Certificate within three business days. Your Certificate, ***not this Sworn Declaration***, is your proof to your IB, FCM, NFA, CFTC and appropriate others you have successfully completed your Anti-Money Laundering Compliance Training requirement.

“I attest that I personally took this AML Compliance Training Course, studied the material and agree to comply with the Regulators’ and my firm’s AML rules.”

Print your name _____ signature _____ date _____

INVOICE

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AML Compliance Training \$25@year
Check or money order enclosed _____
Charge Visa card ____ or MasterCard _____
Card # _____
Exp. date _____ 3 digit code on back _____
or Amex card # _____
Exp. date _____ 4 digit code on front _____
Billing Zipcode _____
Name on card (print) _____
Signature _____

Please retain a copy of this document and a copy of your Certificate.