

RAND FINANCIAL SERVICES, INC. CUSTOMER ACCOUNT APPLICATION

Individual/Joint Account

Account Title			Social Security #	
Account Mailing Address			Telephone Number	Fax # or E-mail Address for Statements
City	State	Zip Code	Country	
Name <i>(Indicate beneficial owner if different from Account Title)</i>			Date of Birth	Marital Status Married Single
Home Address <i>(If different from Mailing Address)</i>			If married, Spouse's full name	
City	State	Zip Code	Home Telephone Number	
Current Employer <i>(Indicate if retired, and list last occupation)</i>			Years Employed	
Address			Business Telephone Number	
City	State	Zip Code	Nature of Business	Job Title
Spouse's Employer <i>(Indicate if retired, and list last occupation)</i>			Years Employed	
Address			Business Telephone Number	
City	State	Zip Code	Nature of Business	Job Title
Years of Commodity Futures or Options Trading Experience _____ Years of Securities or Securities Options Trading Experience _____				
Name of Brokerage Firms at which Customer(s) or Beneficial Owner(s) currently maintains or previously maintained an account.				
_____			Account Number _____	
_____			Account Number _____	
_____			Account Number _____	
Does any other person other than the customer control, manage or direct trading in this account? <input type="checkbox"/> Yes <input type="checkbox"/> No				
If yes, who maintains the Power of Attorney? Name _____				
Relationship to Customer _____				
Note: If this is a discretionary account, please complete Rand Financial Services, Inc.'s discretionary forms.				
Trading Objectives: <input type="checkbox"/> Speculative <input type="checkbox"/> Hedging			If Hedging, what is the nature of your business?	
If Hedge Account, indicate what commodities are to be hedged:				
Does anyone else have a financial interest of 10% or more in or guarantee the account? <input type="checkbox"/> Yes <input type="checkbox"/> No				
If yes, indicate whom and provide details: Name _____ Relationship _____				
Do you or the beneficial owner have an interest in any other trading accounts with other firms? <input type="checkbox"/> Yes <input type="checkbox"/> No				
If yes, indicate the name of the Firm and Account: _____				
Do you currently have or have you previously had any unsatisfied debit balances at a brokerage firm? <input type="checkbox"/> Yes <input type="checkbox"/> No				
If yes, indicate the name of the Firm and provide details: _____				
Have you ever been a party to any litigation, arbitration or reparations proceeding against any brokerage firm? <input type="checkbox"/> Yes <input type="checkbox"/> No				
Has Customer currently, or within the last ten years, been involved in any investigations or court proceedings (including bankruptcy) involving any governmental or regulatory agency or private party? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, please explain: _____				
Is the Customer or an immediate family member:				
A member of the National Futures Association or any Exchange?			<input type="checkbox"/> Yes	<input type="checkbox"/> No
Registered with the CFTC, NFA, SEC or NASD?			<input type="checkbox"/> Yes	<input type="checkbox"/> No
A principal or employee or related to any employee of any brokerage firm, CFTC, NFA or any Exchange?			<input type="checkbox"/> Yes	<input type="checkbox"/> No
If yes, please provide details: _____				

Rand Financial Services, Inc. requires financial statements from its customers to open a commodity trading account. Customers must complete the following *confidential* financial statement:

Liquid Assets:		Short Term Liabilities:	
Cash and cash equivalents	\$ _____	Accounts Payable	\$ _____
Marketable Securities	\$ _____	Loans/Notes Payable	\$ _____
Other Liquid Assets (Explain)	\$ _____	Other Short Term Liabilities (Explain)	\$ _____
Total Liquid Assets	\$ _____		
Other Assets:		Long Term Liabilities:	
Non Marketable Securities	\$ _____	Real Estate Mortgages	\$ _____
Notes and Receivables	\$ _____	Notes Payable	\$ _____
Cash value life insurance	\$ _____	Income taxes payable	\$ _____
Real Estate (principal residence)	\$ _____	Other taxes payable	\$ _____
Real Estate (excluding home)	\$ _____	Other Liabilities (Explain)	\$ _____
Other Assets (Explain)	\$ _____		
TOTAL ASSETS	\$ _____	TOTAL LIABILITIES	\$ _____
NET WORTH			
\$ _____			
(Total Assets less Total Liabilities)			

Current Annual Income: _____
____ \$100,000 or over ____ \$75,000 - \$100,000 ____ \$50,000 - \$75,000 ____ \$25,000 - \$50,000 ____ under \$25,000 (Please Specify)\$ _____

Bank References:

Name of Bank	Address (City/State)	Telephone	Account Number
_____	_____	_____	_____
_____	_____	_____	_____

The undersigned warrants and represents to Rand Financial Services, Inc. that the Application and Financial Statement completed above are true and correct, until written notice of material changes is delivered to Rand Financial Services, Inc.

The undersigned authorizes Rand Financial Services, Inc. ("Rand") to make whatever credit inquiries it deems necessary in connection with the undersigned's account application, or in connection with transactions in the undersigned's account with Rand. The undersigned authorizes any person or consumer reporting agency to compile and furnish to Rand any information it may have or obtain in response to such credit inquiries, and agrees that such information shall remain Rand's property whether or not an account is opened.

Title of Account _____ Date _____

Customer Signature _____

REQUEST FOR TAXPAYER IDENTIFICATION NUMBER / CERTIFICATE OF FOREIGN STATUS

Under penalties of perjury, I certify that:

Enter Taxpayer Identification Number _____ - _____ - _____

1. The number shown on this form is my correct taxpayer identification number (or, if marked "applied for", I am waiting for a number to be issued to me), and I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding.

OR

2. I am not a U.S. Citizen or U.S. Resident and I have not been, and do not plan to be, in the U.S. for more than 183 days during any calendar year and am not engaged, and do not plan to be engaged during the year, in a U.S. Trade or business that has effectively connected gains from Broker transactions or my country has a tax treaty with the U.S. that exempts transactions from U.S. taxes. _____ **(Initial please)**

Date _____

Signature _____

RAND FINANCIAL SERVICES, INC. CUSTOMER ACCOUNT APPLICATION

Joint Account

Account Title			Social Security #		
Account Mailing Address			Telephone Number	Fax # or E-mail Address for Statements	
City	State	Zip Code	Country		
Name <i>(Indicate beneficial owner if different from Account Title)</i>			Date of Birth	Marital Status Married Single	
Home Address <i>(If different from Mailing Address)</i>			If married, Spouse's full name		
City	State	Zip Code	Home Telephone Number		
Current Employer <i>(Indicate if retired, and list last occupation)</i>			Years Employed		
Address			Business Telephone Number		
City	State	Zip Code	Nature of Business		Job Title
Spouse's Employer <i>(Indicate if retired, and list last occupation)</i>			Years Employed		
Address			Business Telephone Number		
City	State	Zip Code	Nature of Business		Job Title
Years of Commodity Futures or Options Trading Experience _____ Years of Securities or Securities Options Trading Experience _____					
Name of Brokerage Firms at which Customer(s) or Beneficial Owner(s) currently maintains or previously maintained an account.					
_____			Account Number _____		
_____			Account Number _____		
_____			Account Number _____		
Does any other person other than the customer control, manage or direct trading in this account? ___ Yes ___ No					
If yes, who maintains the Power of Attorney? Name _____					
Relationship to Customer _____					
Note: If this is a discretionary account, please complete Rand Financial Services, Inc.'s discretionary forms.					
Trading Objectives: ___ Speculative ___ Hedging			If Hedging, what is the nature of your business?		
If Hedge Account, indicate what commodities are to be hedged:					
Does anyone else have a financial interest of 10% or more in or guarantee the account? ___ Yes ___ No					
If yes, indicate whom and provide details: Name _____ Relationship _____					
Do you or the beneficial owner have an interest in any other trading accounts with other firms? ___ Yes ___ No					
If yes, indicate the name of the Firm and Account: _____					
Do you currently have or have you previously had any unsatisfied debit balances at a brokerage firm? ___ Yes ___ No					
If yes, indicate the name of the Firm and provide details: _____					
Have you ever been a party to any litigation, arbitration or reparations proceeding against any brokerage firm? ___ Yes ___ No					
Has Customer currently, or within the last ten years, been involved in any investigations or court proceedings (including bankruptcy) involving any governmental or regulatory agency or private party? ___ Yes ___ No If yes, please explain: _____					
Is the Customer or an immediate family member:					
A member of the National Futures Association or any Exchange? <input type="checkbox"/> Yes <input type="checkbox"/> No					
Registered with the CFTC, NFA, SEC or NASD? <input type="checkbox"/> Yes <input type="checkbox"/> No					
A principal or employee or related to any employee of any brokerage firm, CFTC, NFA or any Exchange? <input type="checkbox"/> Yes <input type="checkbox"/> No					
If yes, please provide details: _____					

Rand Financial Services, Inc. requires financial statements from its customers to open a commodity trading account. Customers must complete the following confidential financial statement:

Liquid Assets:		Short Term Liabilities:	
Cash and cash equivalents	\$ _____	Accounts Payable	\$ _____
Marketable Securities	\$ _____	Loans/Notes Payable	\$ _____
Other Liquid Assets (Explain)	\$ _____	Other Short Term Liabilities (Explain)	\$ _____
Total Liquid Assets	\$ _____		
Other Assets:		Long Term Liabilities:	
Non Marketable Securities	\$ _____	Real Estate Mortgages	\$ _____
Notes and Receivables	\$ _____	Notes Payable	\$ _____
Cash value life insurance	\$ _____	Income taxes payable	\$ _____
Real Estate (principal residence)	\$ _____	Other taxes payable	\$ _____
Real Estate (excluding home)	\$ _____	Other Liabilities (Explain)	\$ _____
Other Assets (Explain)	\$ _____		
TOTAL ASSETS	\$ _____	TOTAL LIABILITIES	\$ _____
NET WORTH			
\$ _____			
(Total Assets less Total Liabilities)			

Current Annual Income: _____
____ \$100,000 or over ____ \$75,000 - \$100,000 ____ \$50,000 - \$75,000 ____ \$25,000 - \$50,000 ____ under \$25,000 (Please Specify)\$ _____

Bank References:

Name of Bank	Address (City/State)	Telephone	Account Number
_____	_____	_____	_____
_____	_____	_____	_____

The undersigned warrants and represents to Rand Financial Services, Inc. that the Application and Financial Statement completed above are true and correct, until written notice of material changes is delivered to Rand Financial Services, Inc.

The undersigned authorizes Rand Financial Services, Inc. ("Rand") to make whatever credit inquiries it deems necessary in connection with the undersigned's account application, or in connection with transactions in the undersigned's account with Rand. The undersigned authorizes any person or consumer reporting agency to compile and furnish to Rand any information it may have or obtain in response to such credit inquiries, and agrees that such information shall remain Rand's property whether or not an account is opened.

Title of Account _____ Date _____

Customer Signature _____

REQUEST FOR TAXPAYER IDENTIFICATION NUMBER / CERTIFICATE OF FOREIGN STATUS

Under penalties of perjury, I certify that:

Enter Taxpayer Identification Number _____ - _____ - _____

1. The number shown on this form is my correct taxpayer identification number (or, if marked "applied for", I am waiting for a number to be issued to me), and I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding.

OR

2. I am not a U.S. Citizen or U.S. Resident and I have not been, and do not plan to be, in the U.S. for more than 183 days during any calendar year and am not engaged, and do not plan to be engaged during the year, in a U.S. Trade or business that has effectively connected gains from Broker transactions or my country has a tax treaty with the U.S. that exempts transactions from U.S. taxes. _____ **(Initial please)**

Date _____

Signature _____