



## BANK COMPLIANCE CERTIFICATE - LENDING AND/OR OPERATIONS

NAME: \_\_\_\_\_ Last Four Digits of SS# \_\_\_\_\_

Financial Institution: \_\_\_\_\_ Tel# \_\_\_\_\_

*This certificate provides participants with a thorough knowledge of major regulatory requirements.*

**Required Courses:** You must complete Principles of Banking and all of the compliance issues listed under Bank Operations in order to earn the compliance in bank operations certificate. You must complete Principles of Banking and all of the compliance issues listed under Lending in order to earn the compliance in lending certificate.

**GRADE DATE COMPLETED CLASS DELIVERY OPTIONS**

|  |  |                       |  |  |  |
|--|--|-----------------------|--|--|--|
|  |  | Principles of Banking |  |  |  |
|--|--|-----------------------|--|--|--|

**Compliance in Bank Operations:**

|  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|
|  |  | Americans with Disabilities Act                  |  |  |  |  |
|  |  | Bank Bribery Act                                 |  |  |  |  |
|  |  | Bank Protection Act                              |  |  |  |  |
|  |  | Bank Secrecy Act                                 |  |  |  |  |
|  |  | Community Reinvestment Act (CRA)                 |  |  |  |  |
|  |  | Completing a Currency Transaction Report         |  |  |  |  |
|  |  | Electronic Funds Transfer Act: Reg E             |  |  |  |  |
|  |  | Expedited Funds Availability Act Reg CC          |  |  |  |  |
|  |  | Fair Credit Reporting Act                        |  |  |  |  |
|  |  | FDIC Deposit Insurance                           |  |  |  |  |
|  |  | Information Security and Red Flags               |  |  |  |  |
|  |  | Office of Foreign Asset Control (OFAC)           |  |  |  |  |
|  |  | Privacy: Regulation P                            |  |  |  |  |
|  |  | Reserve Requirements for Depository Institutions |  |  |  |  |
|  |  | Truth in Savings Act (Reg DD)                    |  |  |  |  |
|  |  | USA Patriot Act                                  |  |  |  |  |

**Compliance in Lending continued on next page**



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### Compliance in Lending:

**GRADE DATE COMPLETED CLASS DELIVERY OPTIONS**

|  |  |                       |   |   |   |   |
|--|--|-----------------------|---|---|---|---|
|  |  | Principles of Banking |  |  |  |  |
|--|--|-----------------------|---|---|---|---|

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|  |  |   |  |   |  |  |
|--|--|---|--|---|--|--|
|  |  | Americans with Disabilities Act         |  |    |  |  |
|  |  | Bank Protection Act                     |  |   |  |  |
|  |  | Bank Secrecy Act (BSA) for Lenders      |  |  |  |  |
|  |  | Community Reinvestment Act (CRA)        |  |  |  |  |
|  |  | Equal Credit Opportunity Act: Reg B     |  |  |  |  |
|  |  | Extending Credit to Bank Insiders Reg O |  |  |  |  |
|  |  | Fair Credit Reporting Act               |  |  |  |  |
|  |  | Fair Lending                            |  |  |  |  |
|  |  | Flood Disaster Protection Act           |  |  |  |  |
|  |  | Home Mortgage Disclosure Act            |  |  |  |  |
|  |  | Information Security and Red Flags      |  |  |  |  |
|  |  | Office of Foreign Assets Control (OFAC) |  |  |  |  |
|  |  | Privacy: Reg P                          |  |  |  |  |
|  |  | Real Estate Settlement Procedures Act   |  |  |  |  |
|  |  | Serving Your Military Customer          |  |  |  |  |
|  |  | Truth in Lending: Reg Z                 |  |  |  |  |
|  |  | USA PATRIOT Act                         |  |  |  |  |



= In class delivery



= Self-paced online training



= Instructor-led online



= Assisted Self Study