Hartford Funds SIMPLE IRA Investment Transmittal Form

HARTFORDFUNDS

This form is designed to make communicating investment needs for each payroll submission easier and to help organize investment allocations of employee salary reduction contributions.

Use your employee's IRA Application to complete the Investment Transmittal Form, converting the percentages from each employee's allocation to the dollar amount. All participants should be listed on the Investment Transmittal Form.

Please see the back of this form for information about enrolling in the automatic list billing program.

Contact Information:

Online:

www.hartfordfunds.com

Telephone:

Call 1-888-843-7824

Monday-Thursday Friday

8:00am-7:00pm ET 8:00am-6:00pm ET

Fax:

1-888-802-0039 Attn: Hartford Funds

(Note: Medallion Signature Guarantee

stamp cannot be faxed)

Section A - Employer Information				
Print Employer Name				
Mailing Address		City	State	Zip Code
First and last name of contact person				
Telephone number of contact person				
Section B - Broker Dealer				
Registered representative's first name, middle initial, last name				
Dealer firm				
Branch street address				
City	State	Zip Code	Phone	
Participant Fee: The yearly fee will be automatically deducted Hartford Funds SIMPLE IRA Disclosure Statement.	ed in early [December if not prepaid. The	fee schedule can	be found in Section 7 of the
otal Check Amount				
Please complete this form and attach a check payable to: Hartford Funds for the total amount indicated to the right Total plan contributions plus annual maintenance fee).		Employer SIMPLE IRA Contribution (from final page)		\$
Send to: Hartford Funds P.O. Box 55022 Boston, MA 02205-5022	Employee Salary reduction Contribution (from final page)			on \$
503(01), W/Y 02203-3022		TOTAL CHECK ENCLOSED		\$
		☐ Please	check if you have	started or amended an

Automatic List Bill Program on the back of this form.

	PLAN PARTICIP	ATION CONFIRI	MATION .		
A Plan Participation Confirmation (PPC) conta their previous contribution. The first PPC will It If a participant chooses to change the investment and remit it with the current payment.	oe mailed to the designa	ated contact person	for your group after	the initial contributio	n has been made.
☐ I would like to use the Hartford Funds Pla	n Participation Confirm	ation or add particip	ants to an existing	group.	
Existing Group #:					
I would like to use the Hartford Funds Pla Existing Account #:		_			
Please use the Hartford Funds codes (listed on					nsmittal Form.
Please type or print clearly. Please photocopy Example:	this form as needed for	additional participar	nts.)		
Participant Name	Social Security Number	Account Number	Hartford Funds Class # (List only one per line)	Employer Contribution	Employee Salary Reduction Contribution
John Smith		9101112	227	\$ 1,200.00	\$50.00
			221		\$50.00
		TOTAL CON	TRIBUTIONS	\$ 1,200.00	\$100.00
Participant Name	Social Security Number	Account Number	Hartford Funds Class # (List only one per line)	Employer Contribution	Employee Salary Reduction Contribution
				\$	\$
		TOTAL CON	ITRIBUTIONS	\$	\$

Participant Name	Social Security Number	Account Number	Hartford Funds Class # (List only one per line)	Employer Contribution	Employee Salary Reduction Contribution
				\$	\$
		TOTAL CON	ITRIBUTIONS	\$	\$

Participant Name	Social Security Number	Account Number	Hartford Funds Class # (List only one per line)	Employer Contribution	Reduction Contribution
				\$	\$
		TOTAL 004	ITDIDITIONS	¢	¢
		TOTAL CON	NTRIBUTIONS	φ	\$

Fund Name	Class A - Fund #	Class C - Fund #
Balanced Fund	210	212
Balanced Income Fund	1289	1291
Capital Appreciation Fund	214	237
Checks and Balances Fund	1591	1593
Conservative Allocation Fund	1674	1676
Core Equity Fund	215	243
Dividend and Growth Fund	223	248
Duration-Hedged Strategic Income Fund	1890	1891
Emerging Markets Equity Fund	1015	1016
Emerging Markets Local Debt Fund	1022	1023
Equity Income Fund	1658	1660
Floating Rate Fund	1209	1211
Floating Rate High Income Fund	1036	1037
Global All-Asset Fund	1541	1542
Global Alpha Fund	1252	1253
Global Capital Appreciation Fund	1205	1207
Global Real Asset Fund	1534	1535
Global Equity Income Fund	1574	1576
Growth Allocation Fund	1666	1668
Growth Opportunities Fund	1618	1620
Healthcare Fund	1610	1612
High Yield Fund	316	203
Inflation Plus Fund	1646	1648
International Capital Appreciation Fund	1563	1565
International Growth Fund	1273	1275
International Opportunities Fund	207	239
International Small Company Fund	1277	1279
Long/Short Global Equity Fund	1684	1685
MidCap Fund	937	238
MidCap Value Fund	1281	1283
Moderate Allocation Fund	1670	1672
Multi-Asset Income Fund	1904	1905
Quality Bond Fund	1259	1260
Real Total Return Fund	1897	1898
Short Duration Fund	1642	1644
Small Cap Core Fund	1201	1203
Small Company Fund	205	231
Strategic Income Fund	1598	1600
Total Return Bond Fund	217	254
Unconstrained Bond Fund	1638	1640
Value Opportunities Fund	1614	1616
World Bond Fund	1029	1030