

# Vertical structure of the open ocean: biology of the mixed layer

# Factors affecting primary productivity

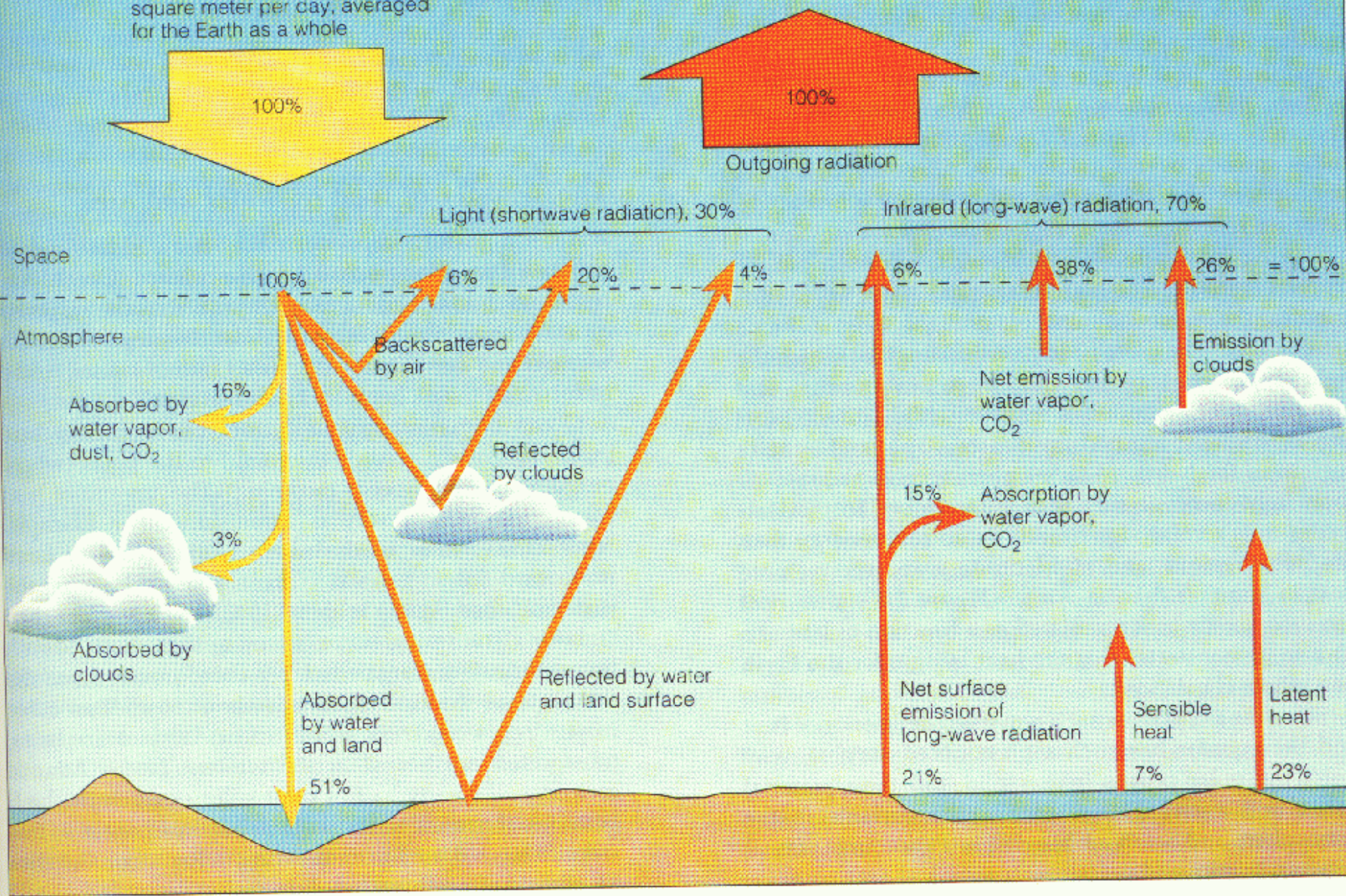
**Light intensity**

**Nutrients: N, P, S<sub>i</sub> & Fe**

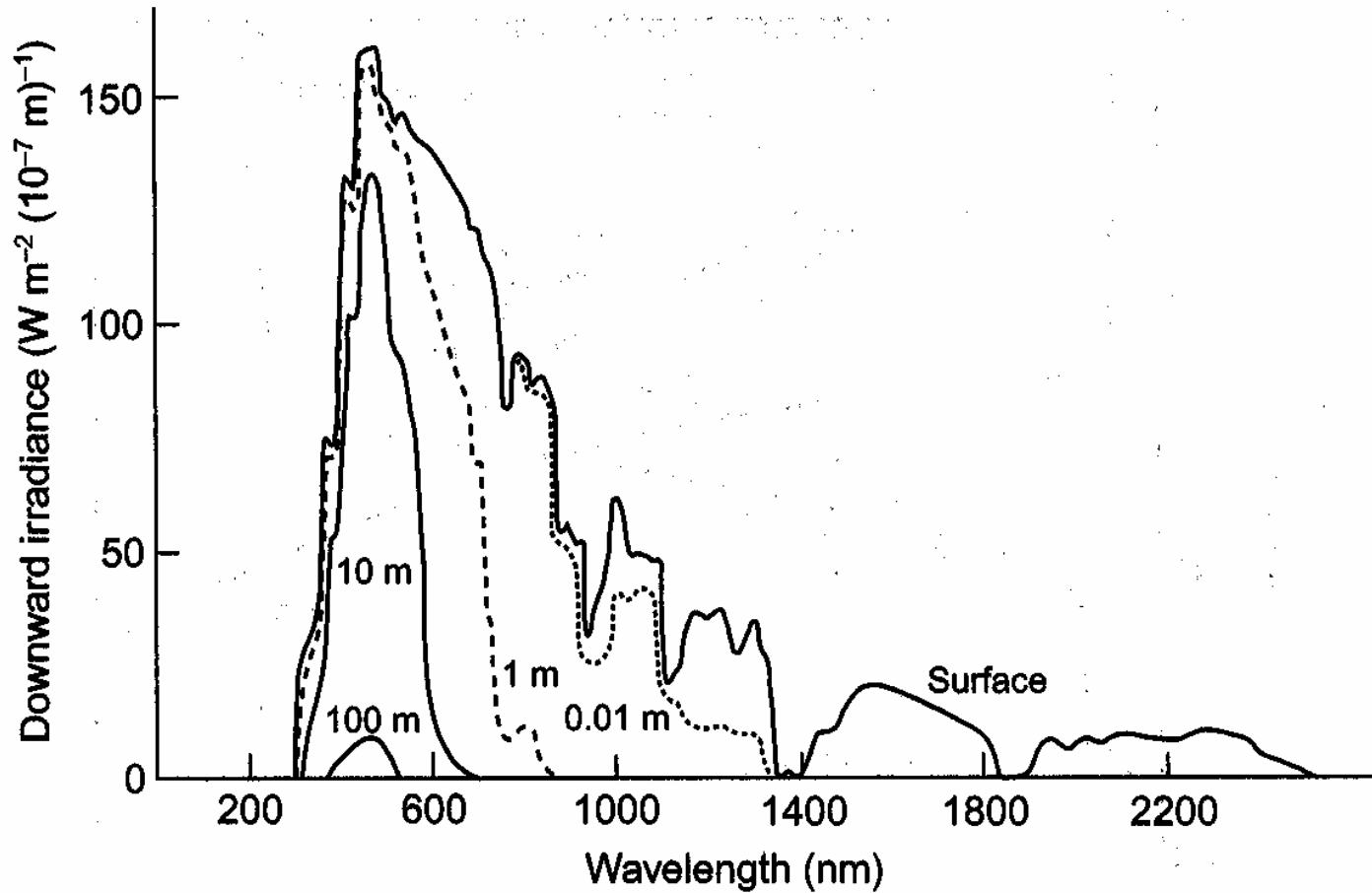
**Temperature**

**Grazing (zooplankton)**

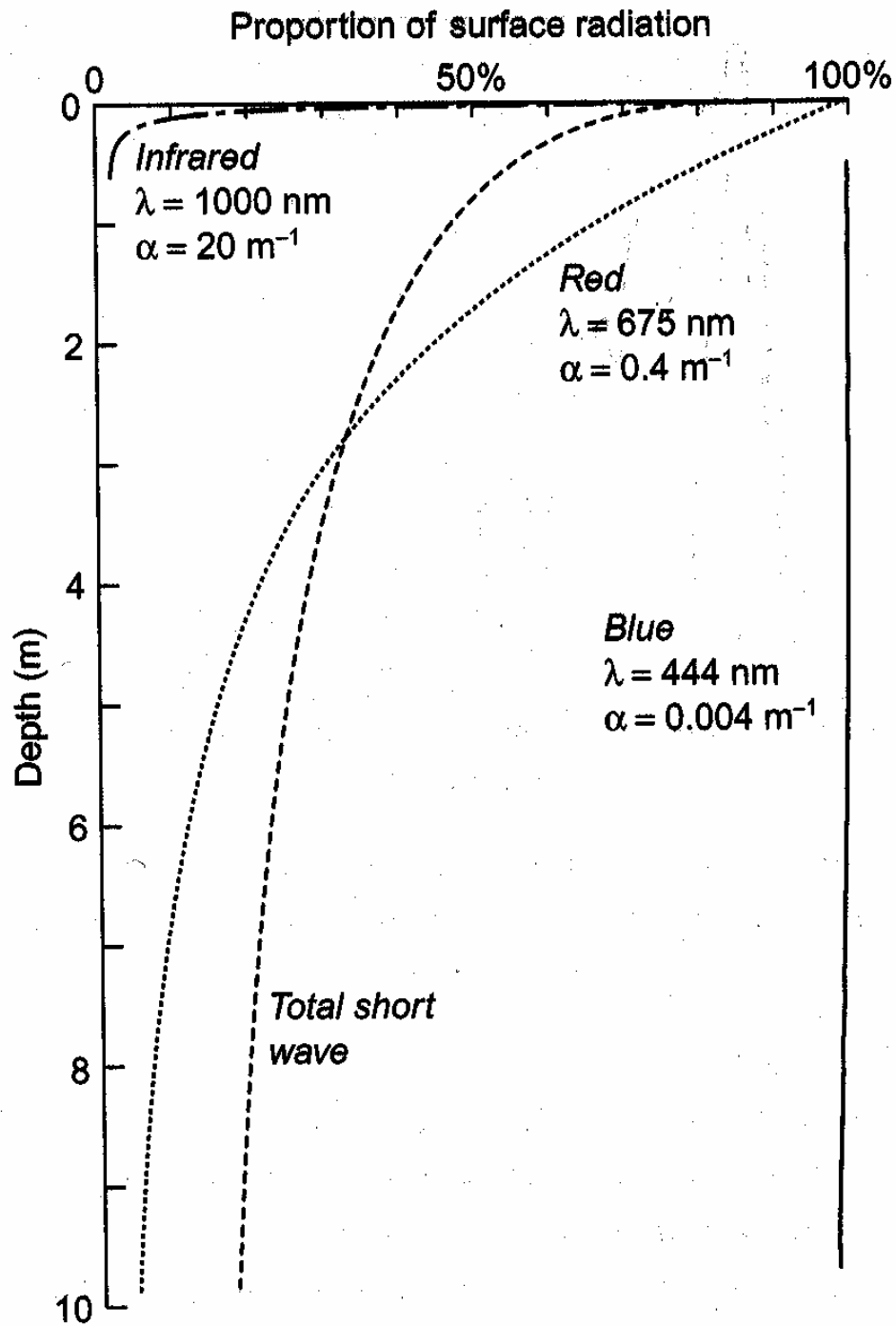
1000 square meter per day, averaged for the Earth as a whole

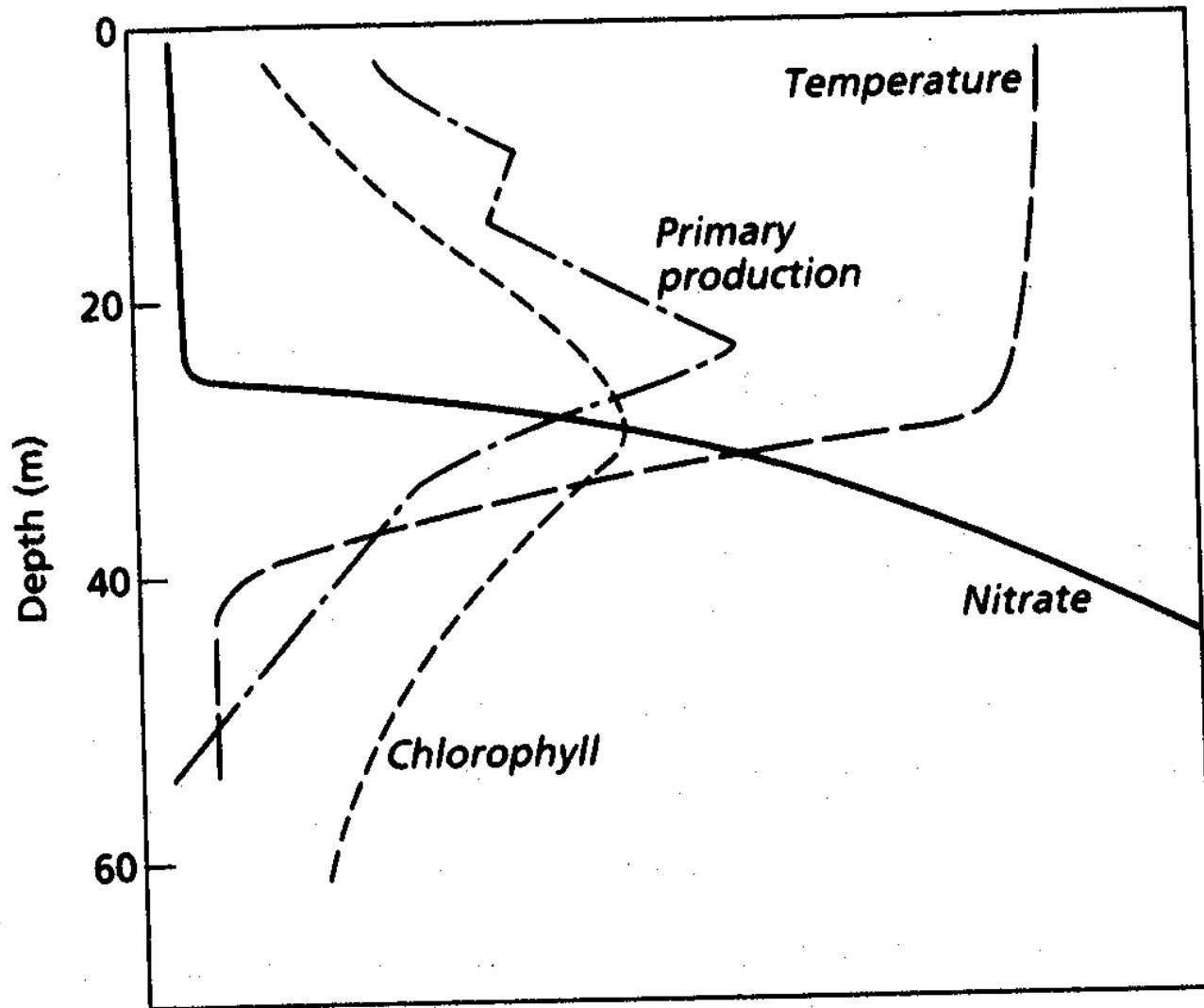


**Figure 7.6** The heat budget for the Earth. On an average day, about half of the solar energy arriving at the upper atmosphere is absorbed at the Earth's surface. Light (short-wave) energy absorbed at the surface is converted into heat. Heat leaves the Earth as infrared (long-wave) radiation. Since input equals output over long periods of time, the heat budget is balanced.

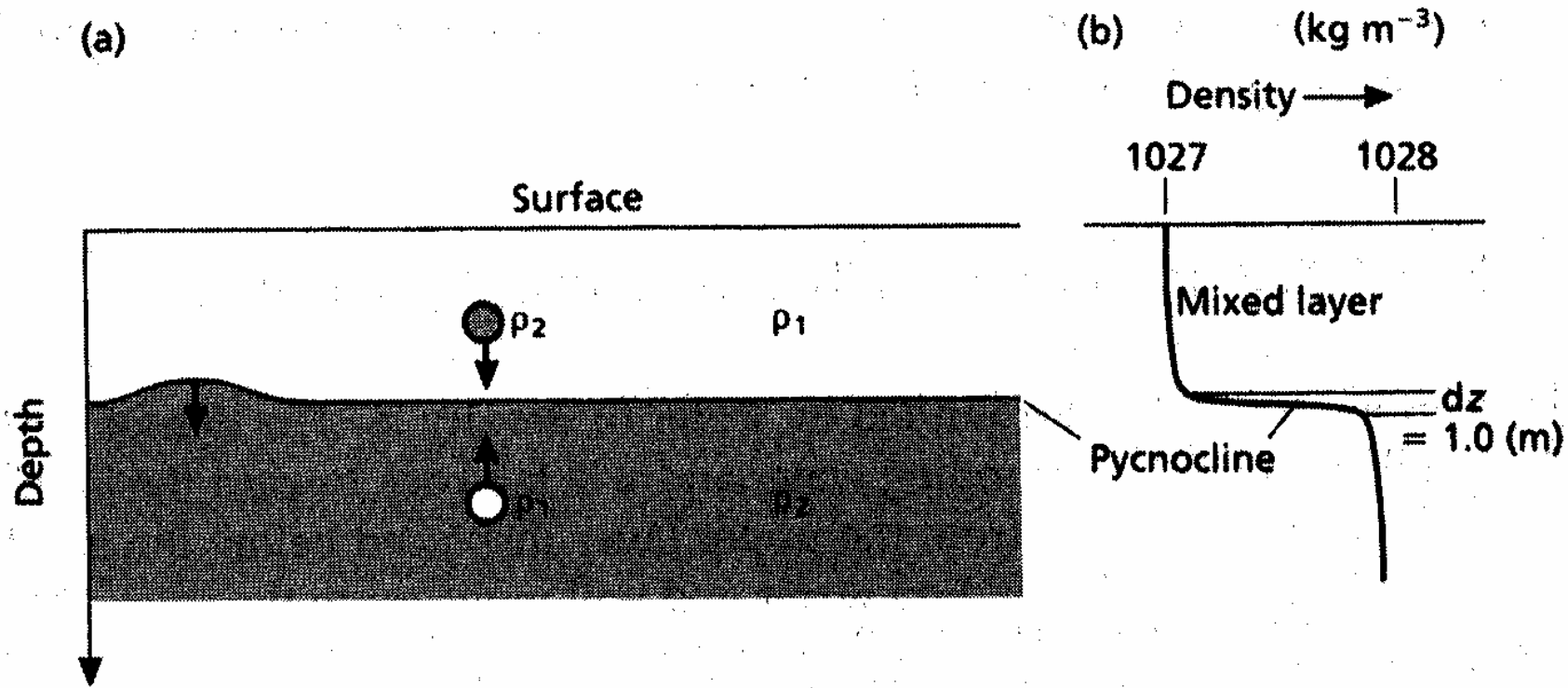


3.02 Spectrum of short-wave radiation reaching the sea surface and four depths. Note the progressive elimination of longer wavelengths as depth increases. From Lov (1976).





- Absorption of radiation resulting to heat gain through the top few meters of the ocean. On the other hand, heat loss is almost entirely from the top centimeter.
- Losses occur mainly through evaporation, infrared (long-wave) radiation, and conduction
- As the upper layer of the ocean is usually stirred up by wind waves or by convection that is generated by the loss of heat at the surface, the temperature in the stirred layer remains constant with depth. This is called (surface) mixed layer

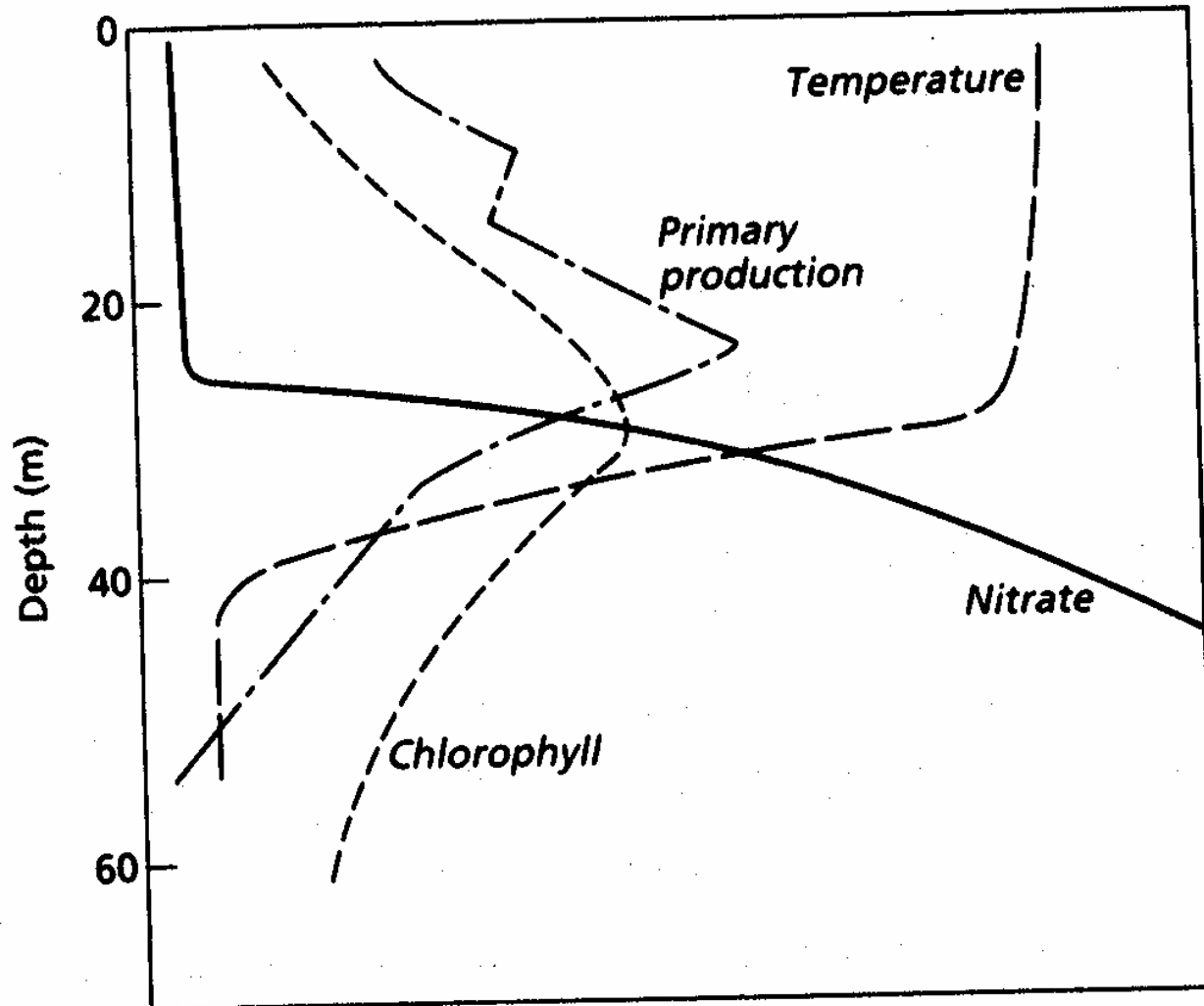


**Fig. 3.04** (a) A cross-section through two layers of homogeneous water having unequal density separated by a thin pycnocline. Displacements above or below the pycnocline give rise to the buoyancy forces indicated by the arrows. (b) A vertical profile of the density through the two layers.

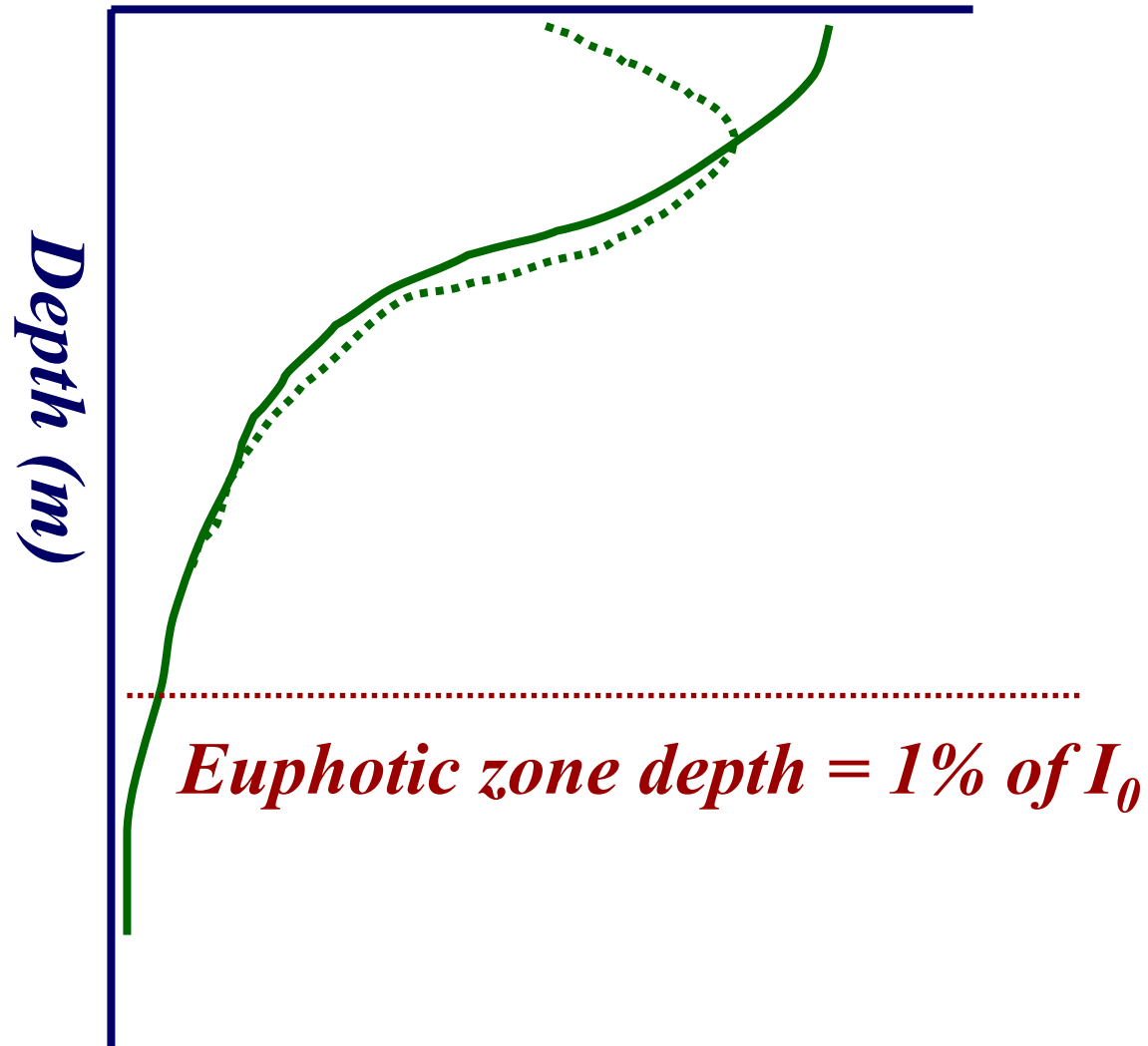


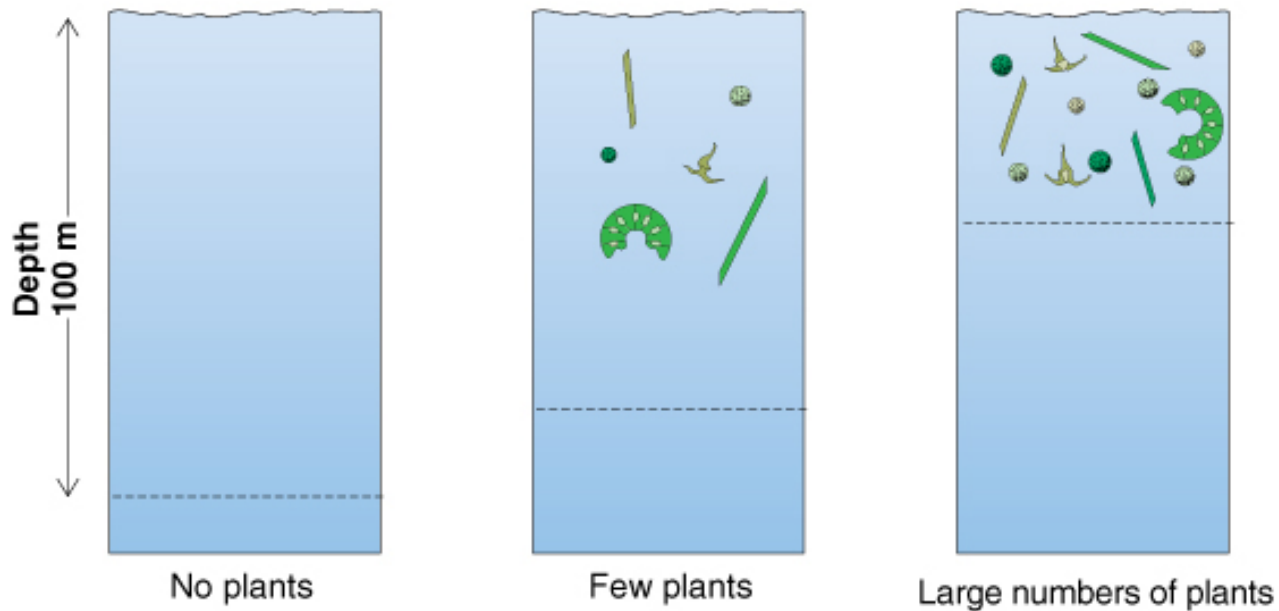
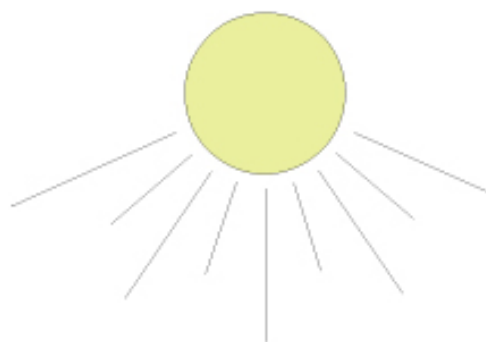
- The fact that energy must be supplied by the turbulent motions for vertical mixing to occur in the pycnocline presents a barrier to vertical transport
- This barrier has important biological consequences: the phytoplankton grow mostly in the upper layer but the supply of nutrients from the lower layer into the upper layer is, to some degree, blocked by the pycnocline

# Phytoplankton production in tropical and subtropical oceans



*Primary production (mgC/m<sup>3</sup>/d)*



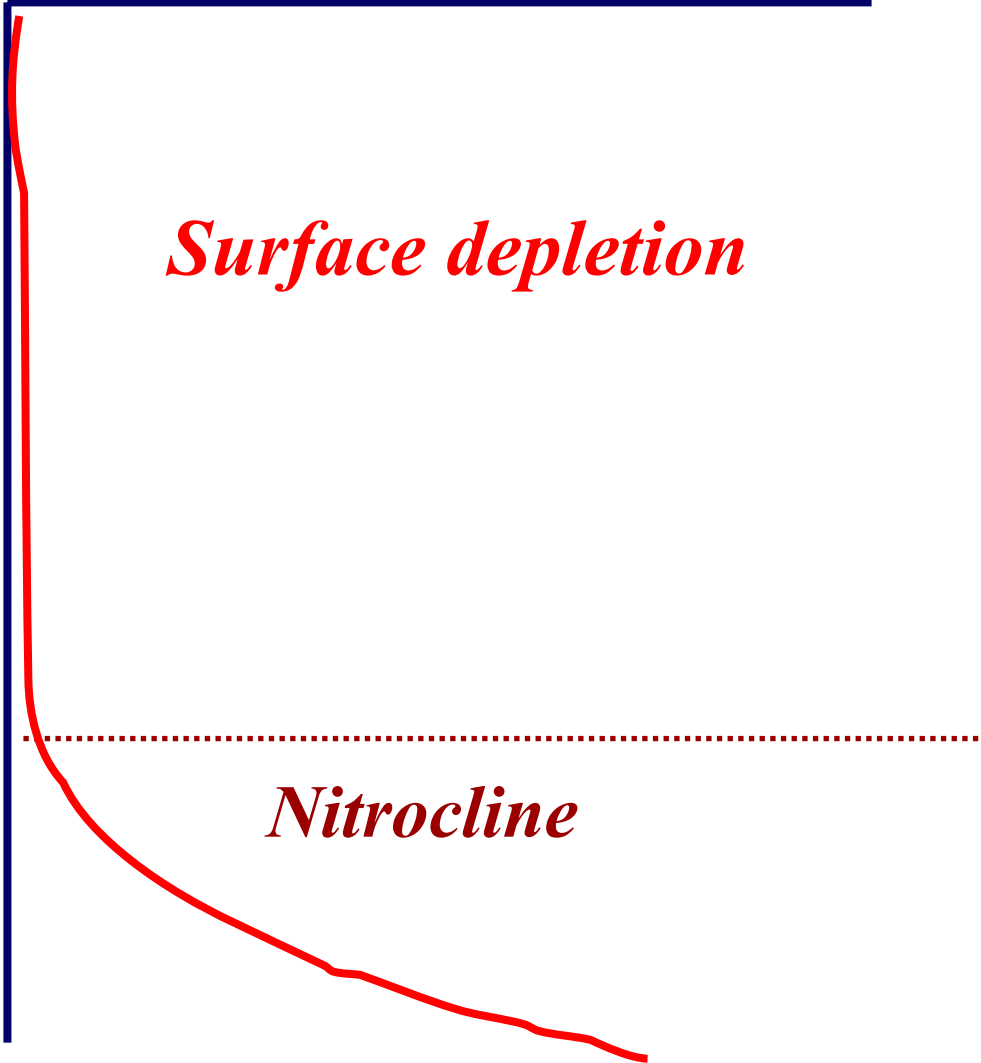


*$NO_3$  concs. ( $\mu M$ )*

*Depth (m)*

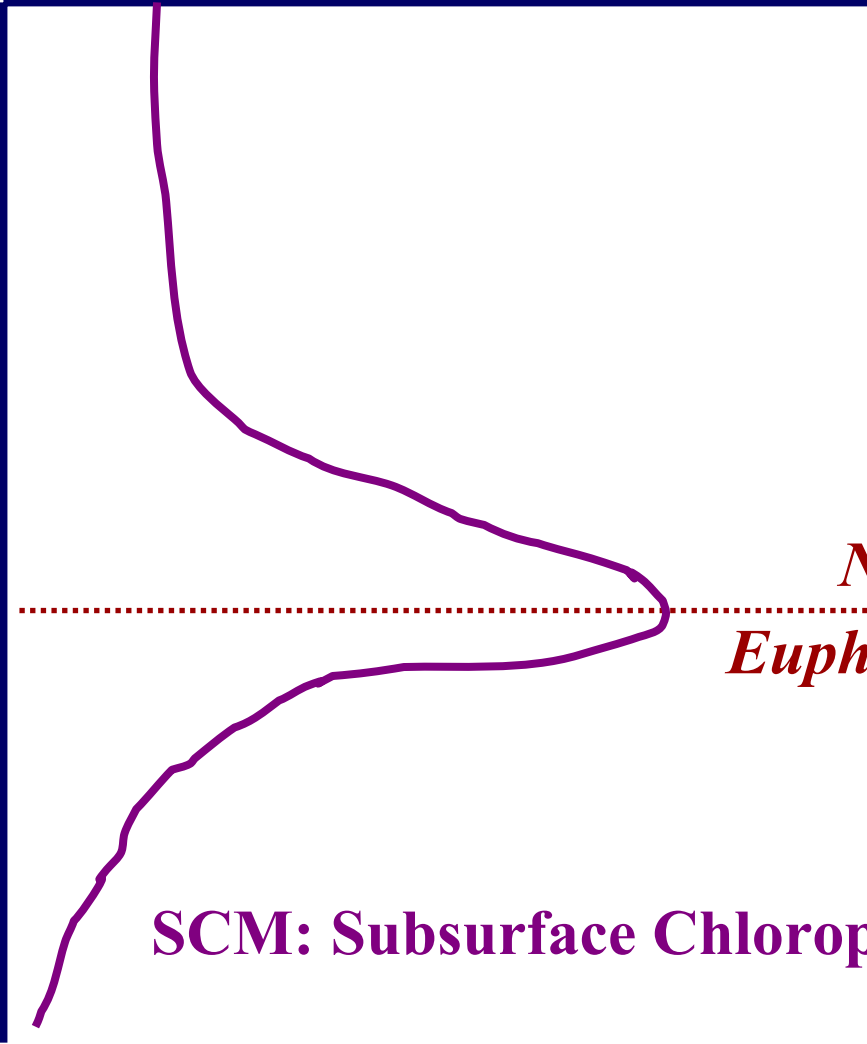
*Surface depletion*

*Nitrocline*



*Chlorophyll (mgC/m<sup>3</sup>)*

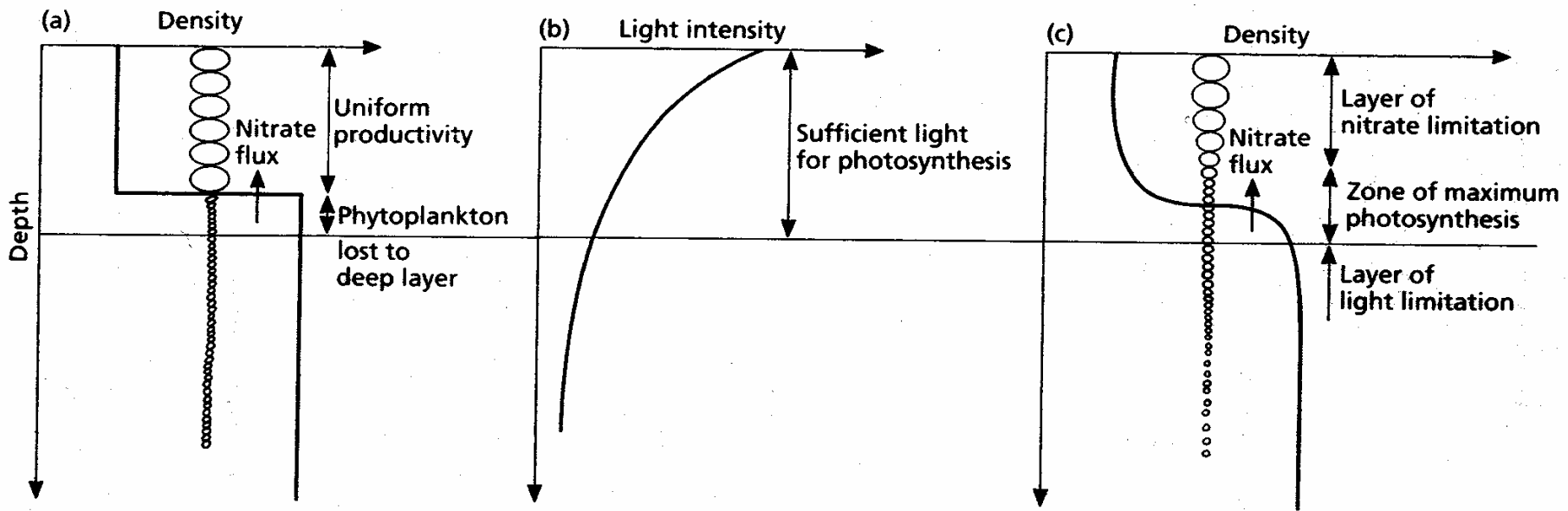
*Depth (m)*



*Nitrocline &  
Euphotic zone depth*

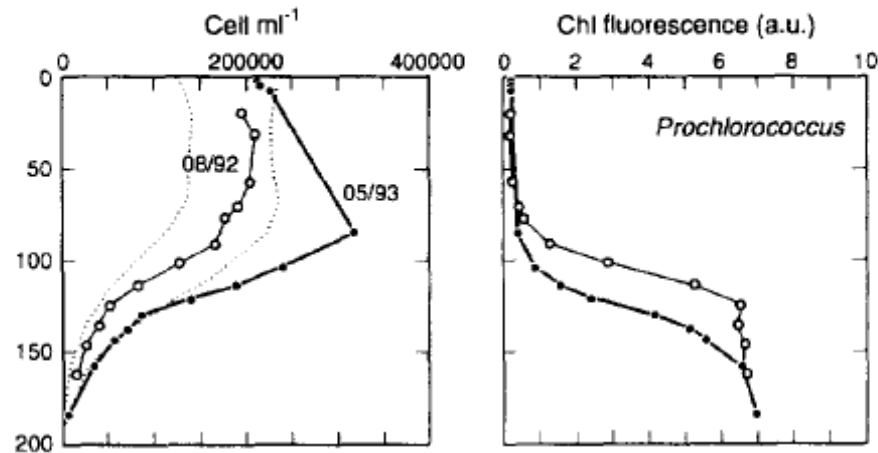
**SCM: Subsurface Chlorophyll Maximum**

The subsurface chlorophyll maximum and peak of primary production in tropical waters are a response to the slow turbulent diffusion of nitrate upwards through the thermocline.



**Fig. 3.06** (a) A vertical density profile through a thin pycnocline separating two homogeneous layers. The rate of vertical mixing, symbolized by the circles, changes abruptly through the pycnocline, and is high above and low below the pycnocline. (b) A vertical profile of the average light intensity with an indication of the depth where phytoplankton growth is light-limited. (c) A vertical density profile similar to (a) except that the density increases and the vertical mixing decreases gradually through the pycnocline.

L. Campbell *et al.*

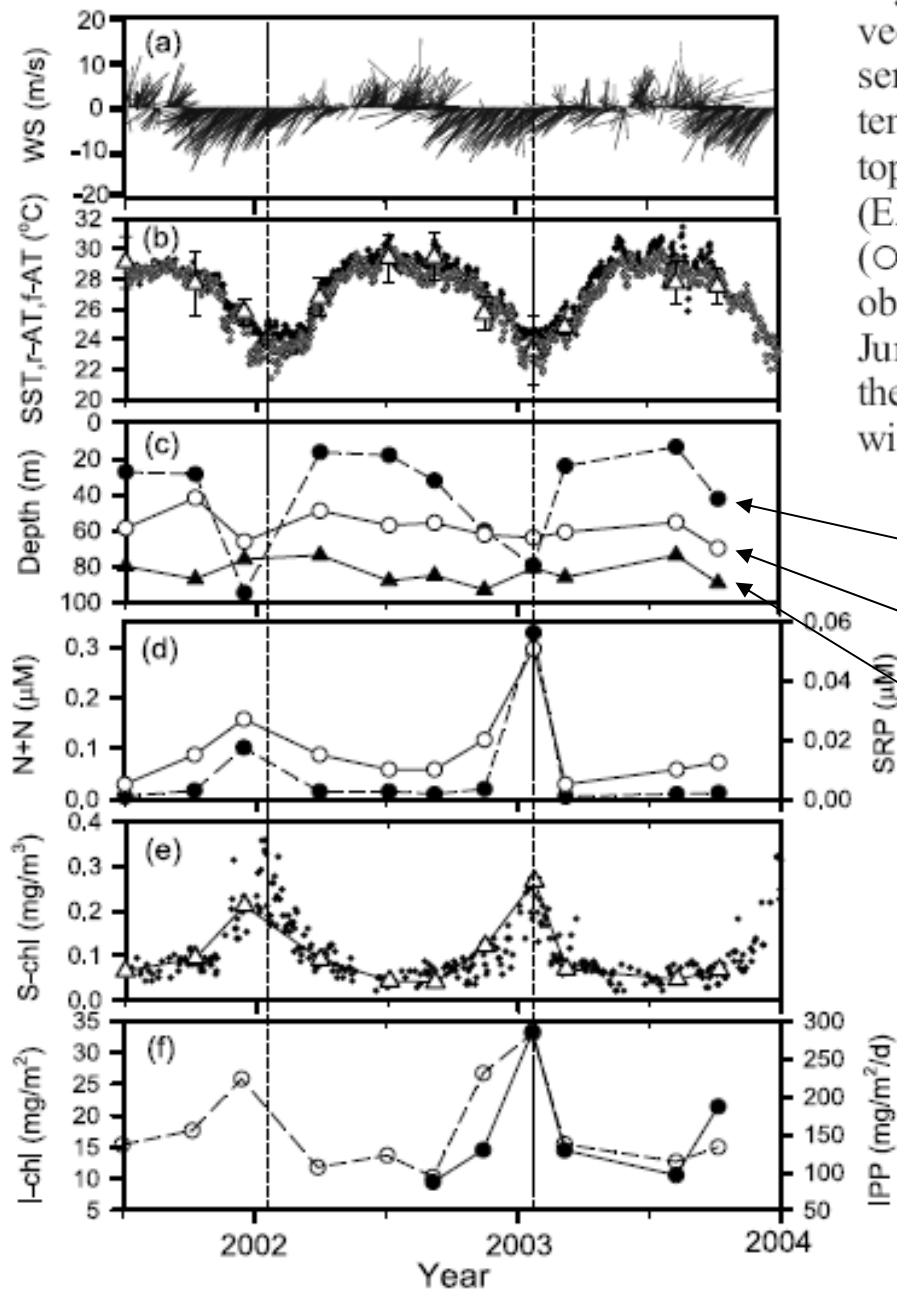


**SCM is caused by photoadaptation!**

**So the carbon to chl ratio for the surface and deep phytoplankton populations are different.**

**Therefore chl is not a good estimate of biomass!**





**Figure 2.** Variations in (a) wind speed (WS) and wind vector, (b) sea surface temperature (SST, ●), remotely sensed air temperature (r-AT, ○) and field observed temperature (f-AT, Δ), (c) mixed layer depth (MLD, ●), top of nutricline depth (TND, ○) and euphotic zone depth (EZD, ▲), (d) averaged mixed-layer (N+N) (●) and SRP (○) concentrations, (e) SeaWiFs-derived (●) and field observed S-chl (Δ), and (f) I-chl (○), and IPP (●) between June 2001 and Dec. 2004. The vertical dashed lines indicate the times of occurrence of maximum S-chl and I-chl in the winter of 2002 and 2003.

MLD – mixed layer depth

TND – top of nutricline depth

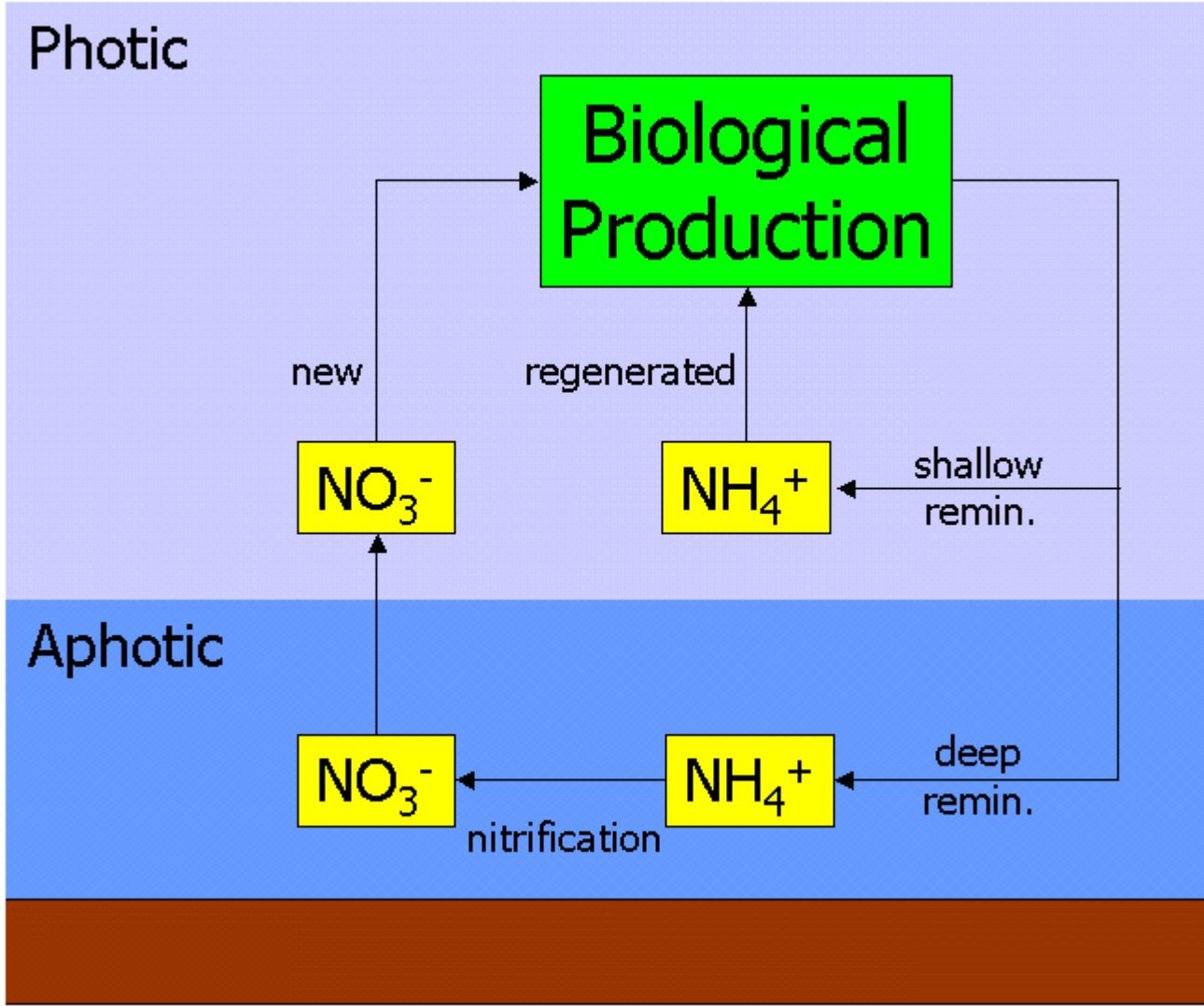
EZD – euphotic zone depth

- “new” and “regenerated” production (Dugdale and Goering 1967)
- the  $f$  ratio – the ratio of new production to total production (Eppley and Peterson 1979)

**New production – use “new” nitrogen, e.g.,  $\text{NO}_3^-$  and  $\text{N}_2$  (also = export production)**

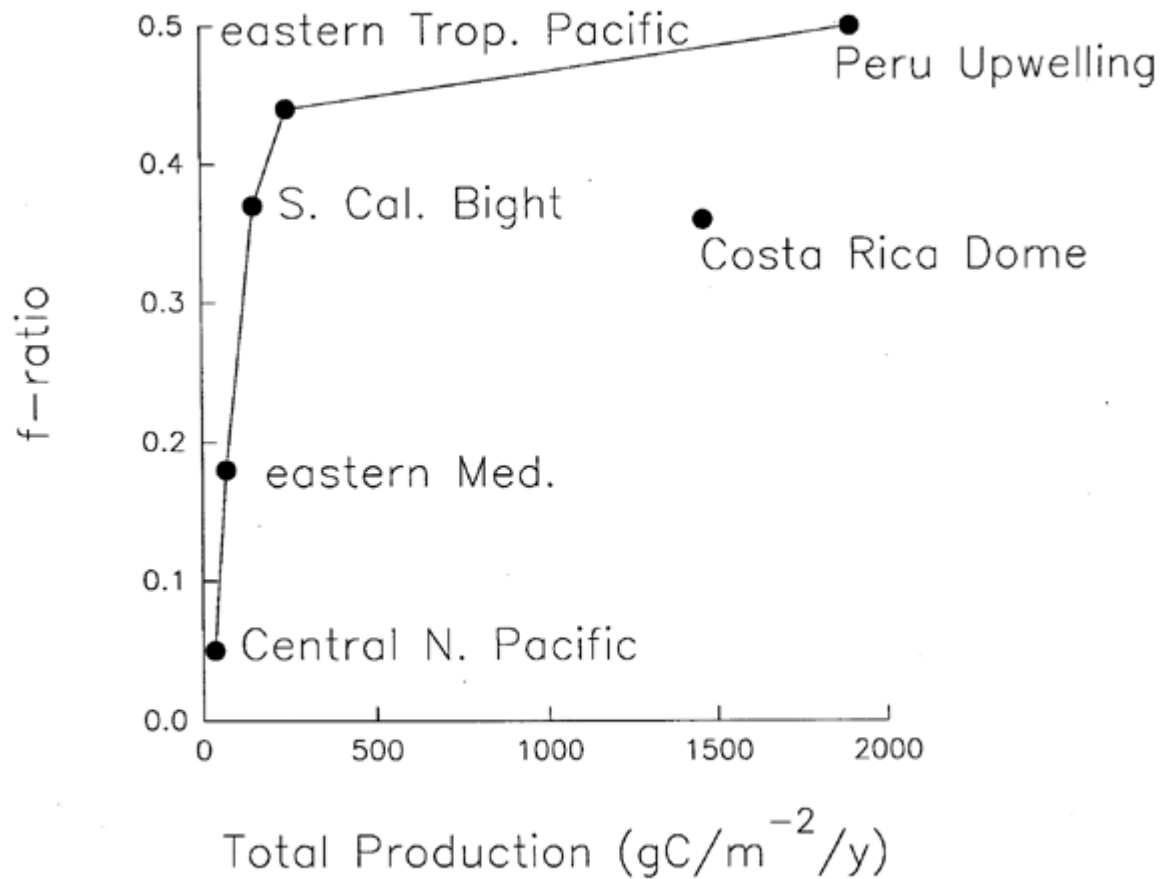
**Regenerated production – use regenerated nitrogen, e.g.,  $\text{NH}_4^+$  and urea.**

In steady-state conditions the nitrogen removed from the mixed layer by sinking, or by predators that leave the area, must be balanced by the vertical transport of new nitrogen



- If *Prochlorococcus* can not use nitrate, a major component of the oceanic phytoplankton is not directly related to the supply of nitrate from deep water. It is incapable of new production. Instead it is unusually efficient at harvesting light at low intensities near the base of the mixed layer, and utilizes recycled nitrogen in the form of ammonium excreted by other organisms.

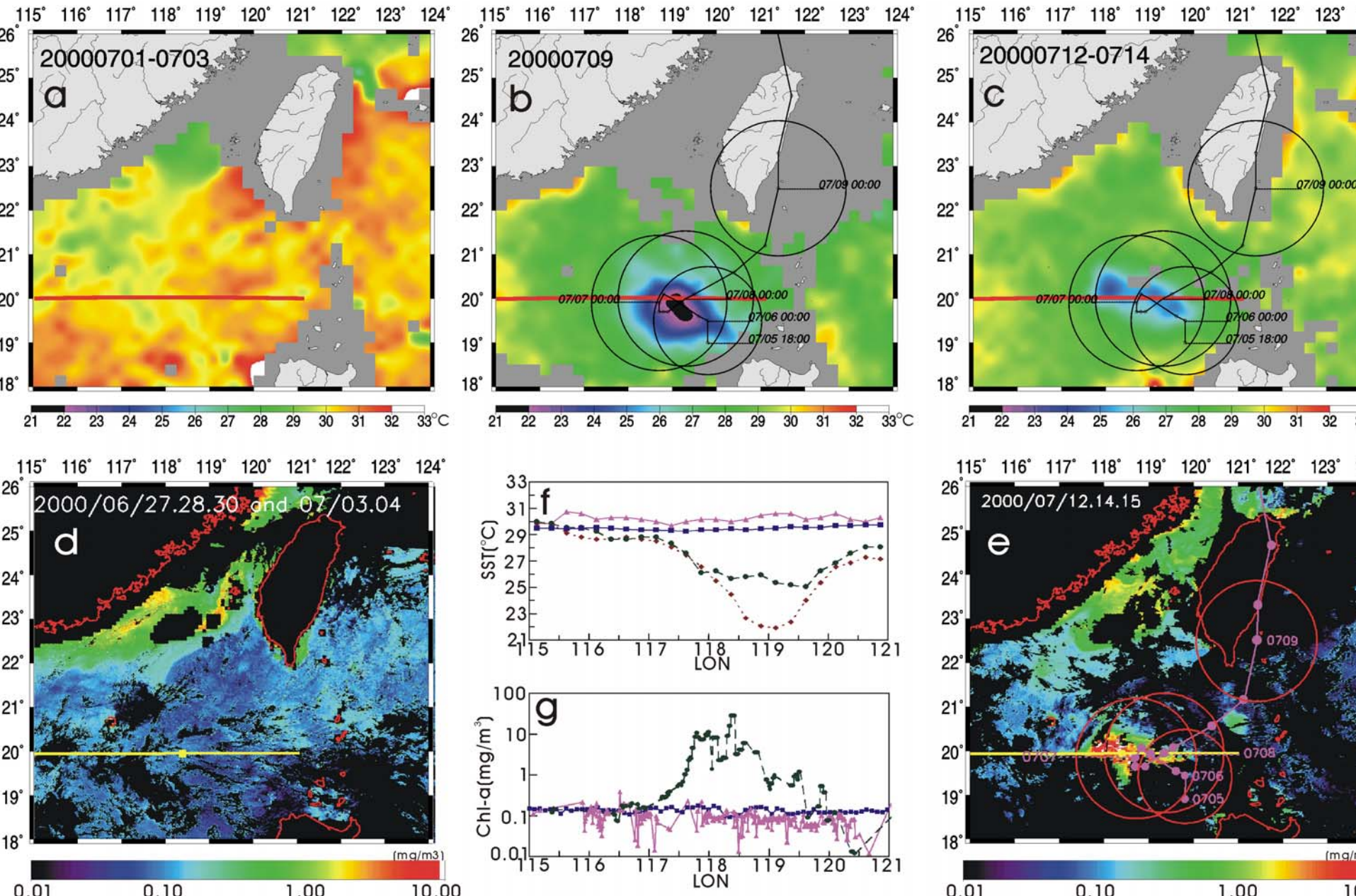
## New Production as a f(Total Production)



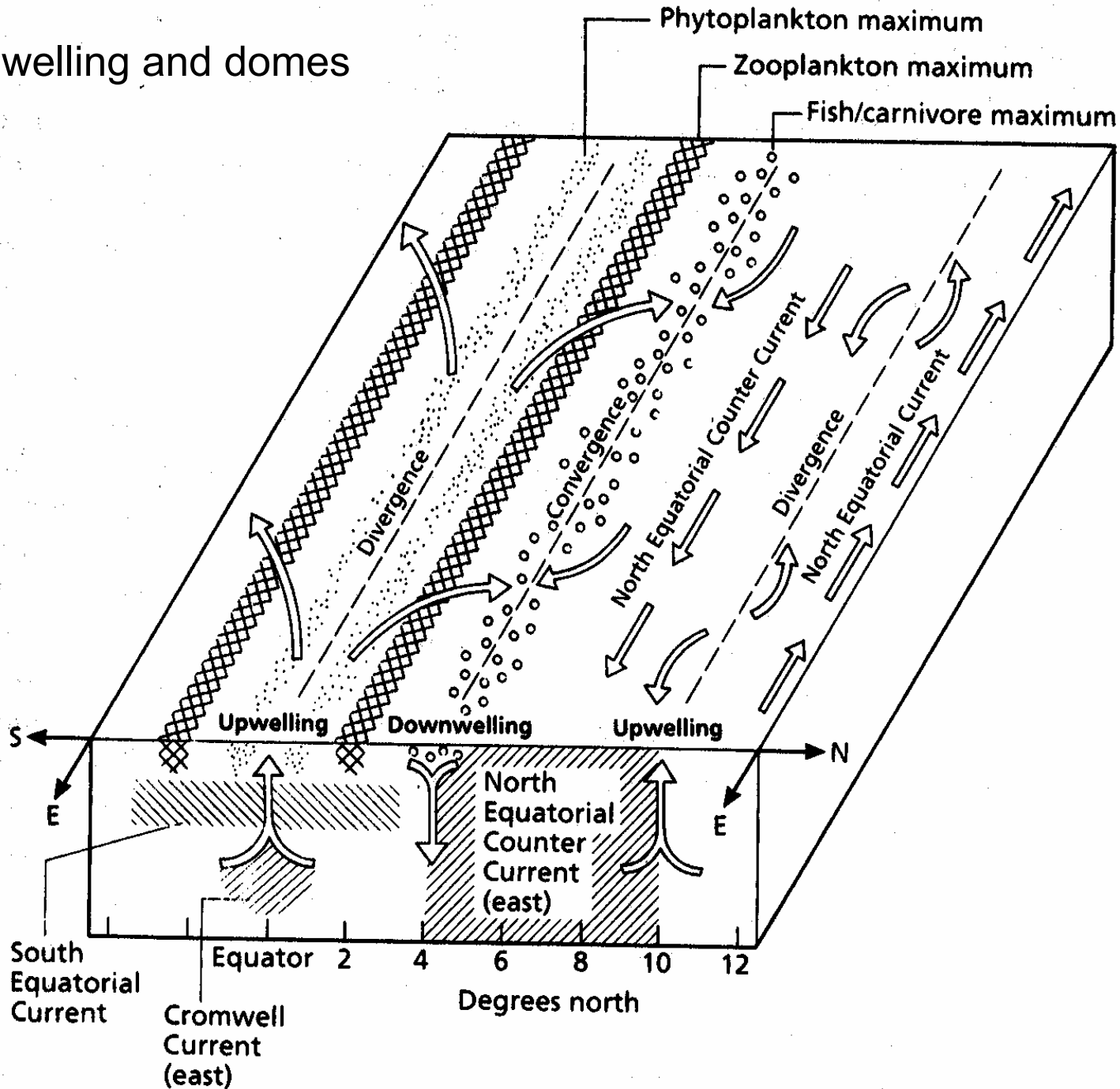
Eppley & Peterson, 1979

- The turbulent diffusion coefficient,  $K_v$ , is very difficult to measure directly. Calculated vertical eddy diffusivity in stratified tropical and subtropical waters, using various methods, was rather low, of the order of  $0.01-0.05 \times 10^{-4} \text{ m}^2 \text{ s}^{-1}$ .
- Using the equation  $F = K_v \text{ dN/dz}$ , it is calculated that the vertical fluxes of nitrate averaged  $0.1-0.2 \text{ mmol N m}^{-2} \text{ d}^{-1}$ , which is stoichiometrically equivalent to a carbon fixation rate around  $0.1 \text{ mmol C m}^{-2} \text{ d}^{-1}$ .
- This very low figure indicates that in the absence of special upwelling situation, the new production in oceanic waters is extremely low and is limited by the stable vertical structure with its pycnocline barrier and low level of vertical transport of nitrate and other nutrients.
- However, ....special mixing events
- Also nitrogen fixation!

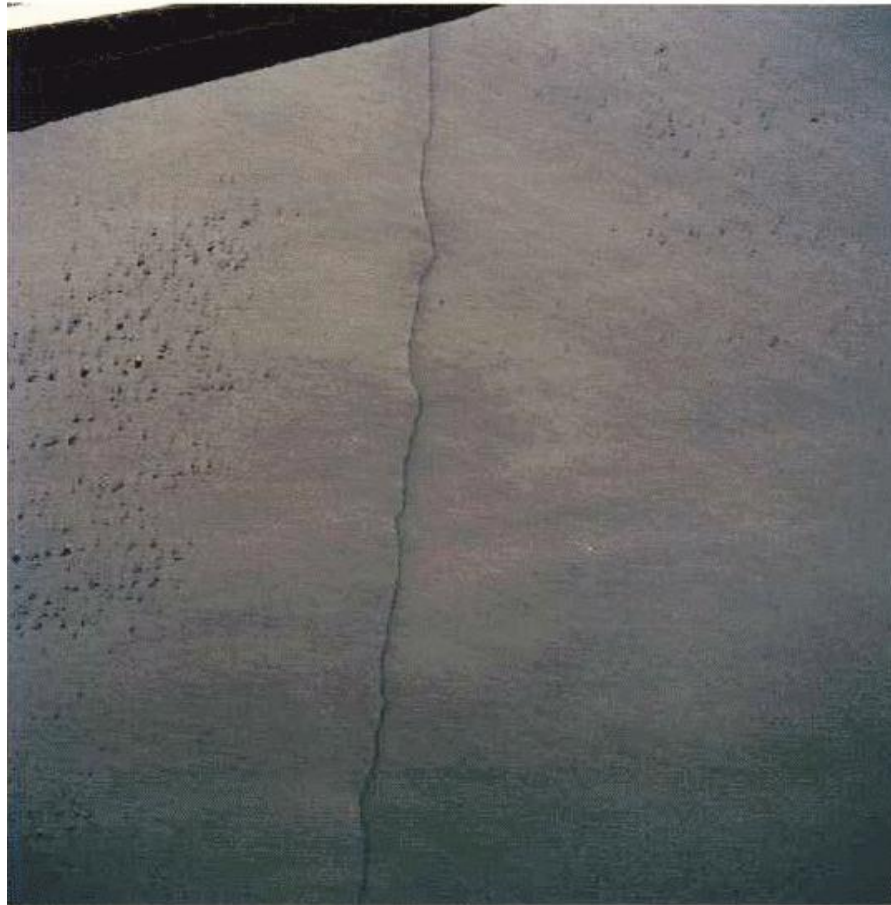
# New Evidence for Enhanced Ocean Primary Production Triggered by Tropical Cyclone (Lin et al., 2003c; *GRL*)



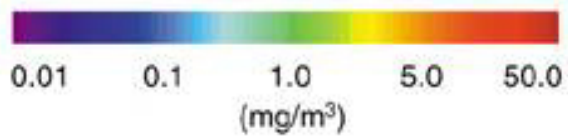
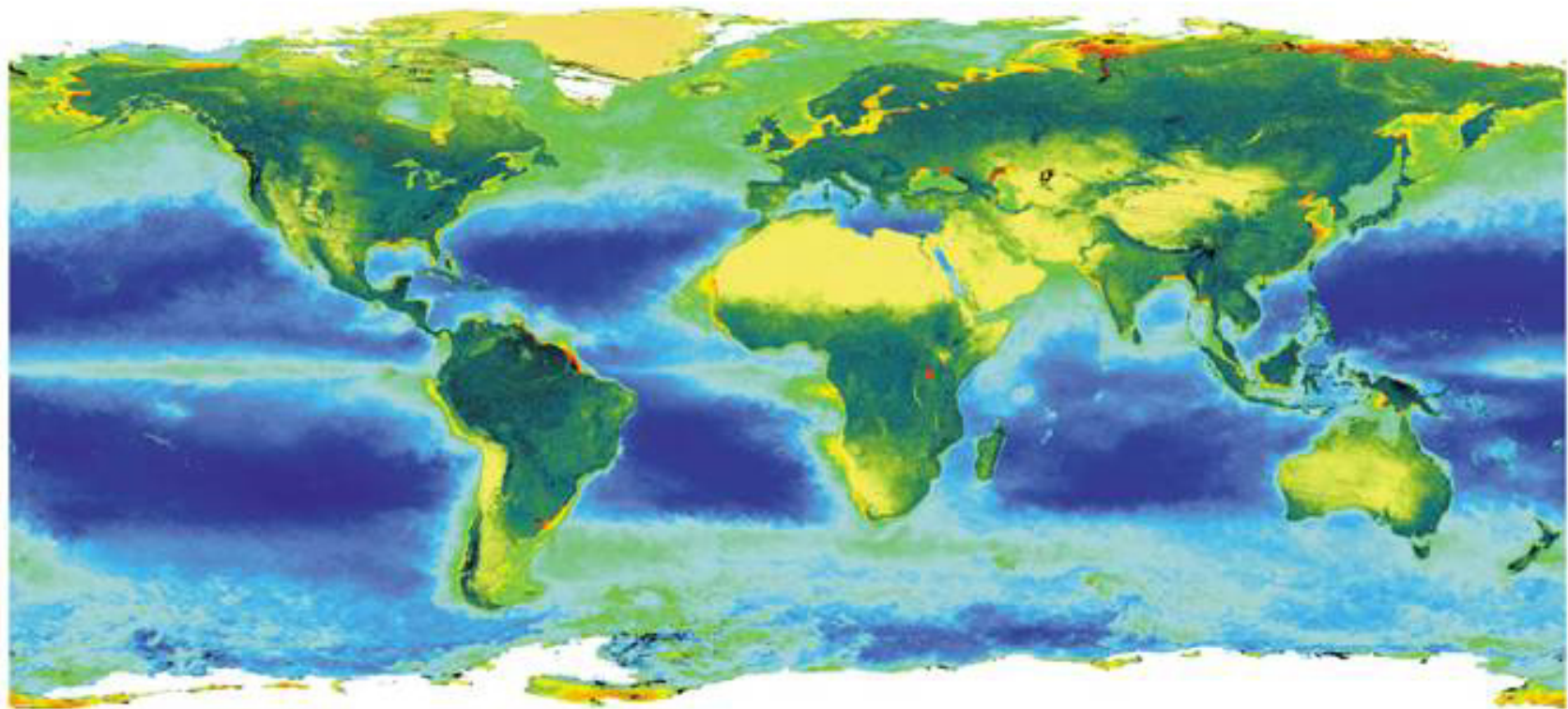
# Equatorial upwelling and domes



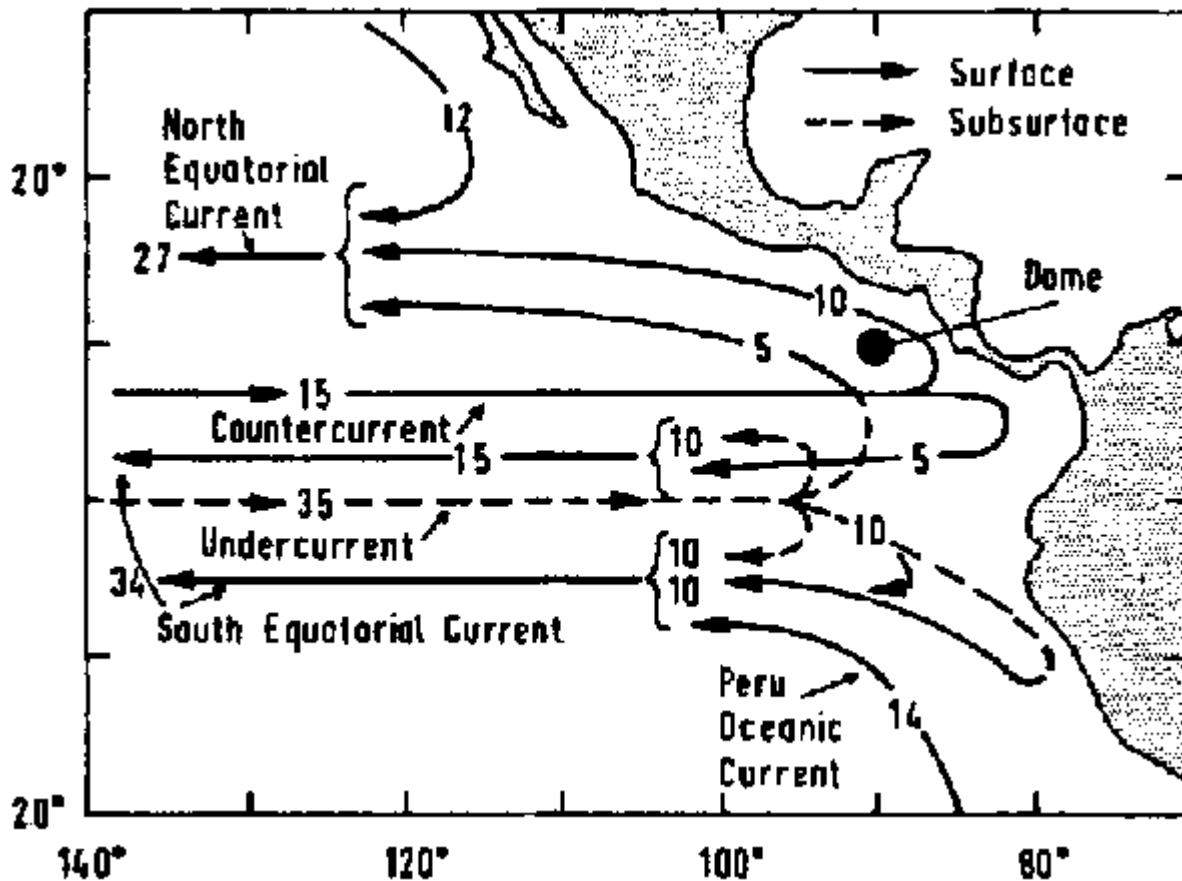




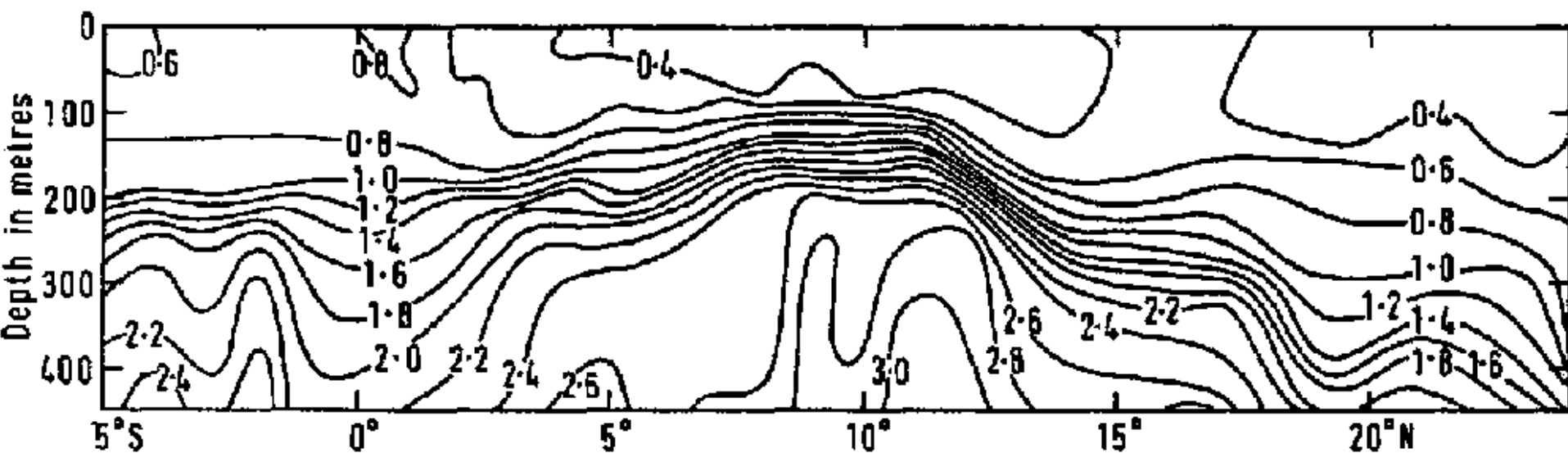
**A Line in the Sea**  
(Yoder et al., 1994. Nature 371: 689-692)



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Currents in the Eastern Tropical Pacific during the period June to December (Wyrтки, 1966); the figures represent transports in  $\text{cm}^3 \cdot 10^{12}/\text{sec}$



a section of the Costa Rica dome, in phosphorus ( $\mu\text{M}$ ) (Cromwell, 1958)

- Average pp in equatorial Pacific Ocean:  $325 \text{ g C m}^{-2} \text{ y}^{-1}$ , which is 4 time higher than old estimates
- Method improvement or a systematic increase?

## Typical primary production rates

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**Regions**

**Rates (mgC m<sup>-2</sup> d<sup>-1</sup>)**

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**Oligotrophic ocean**

**50-200**

**Shelves**

**500-2000**

**Coastal upwelling**

**2000-5000**

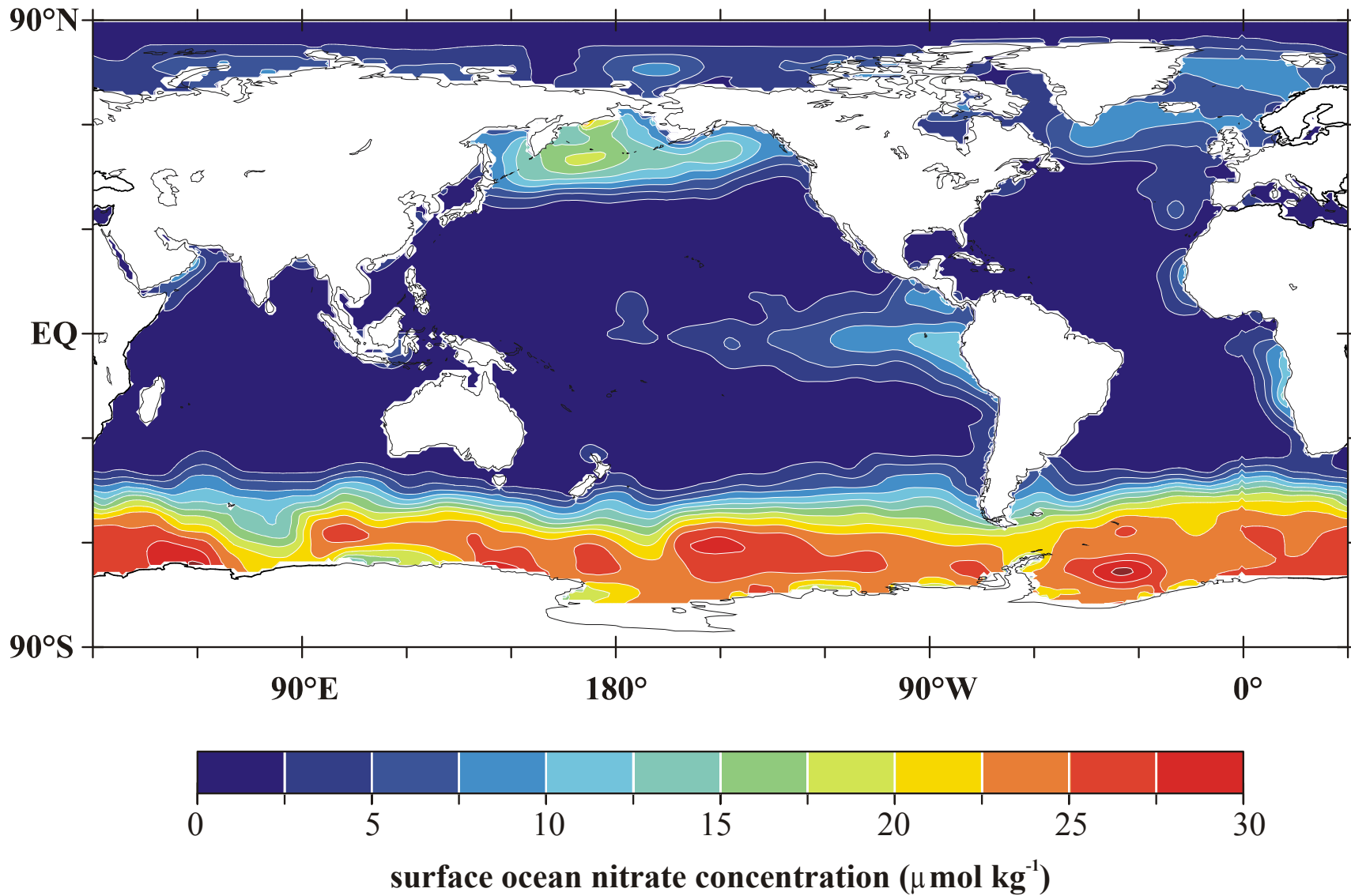
**Estuaries, Polar blooms**

**2000-10,000**

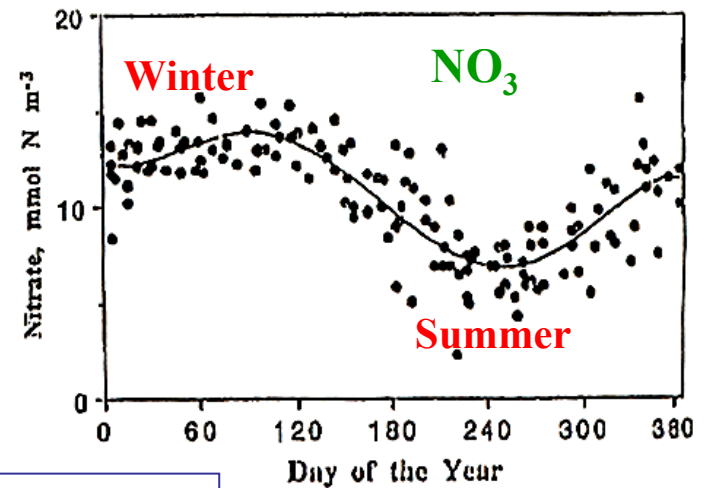
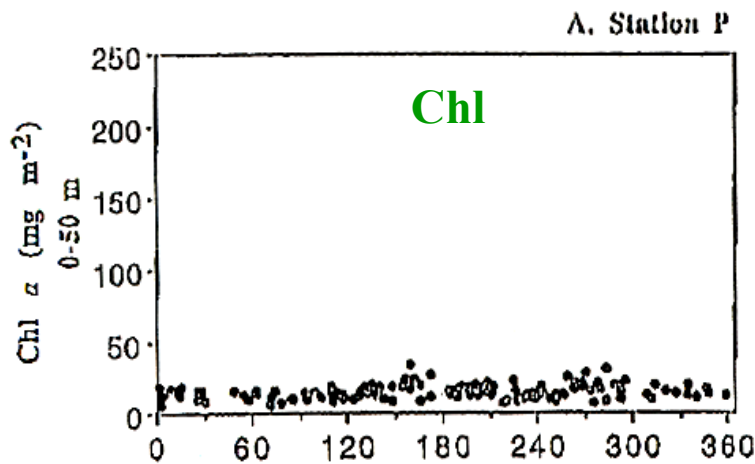
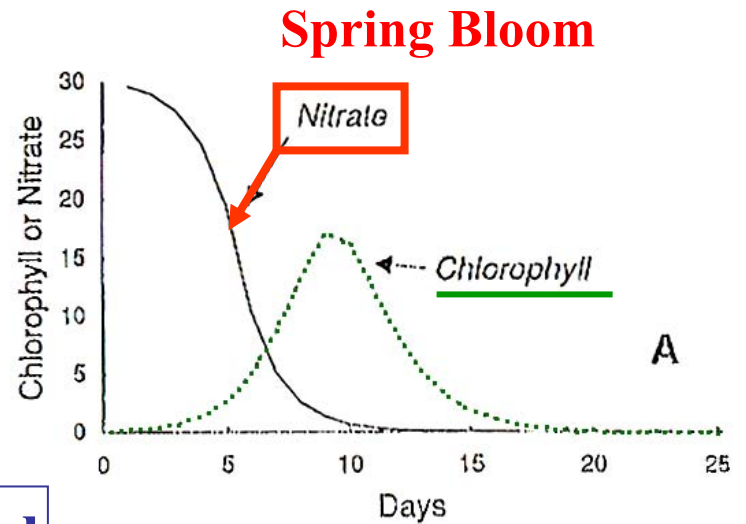
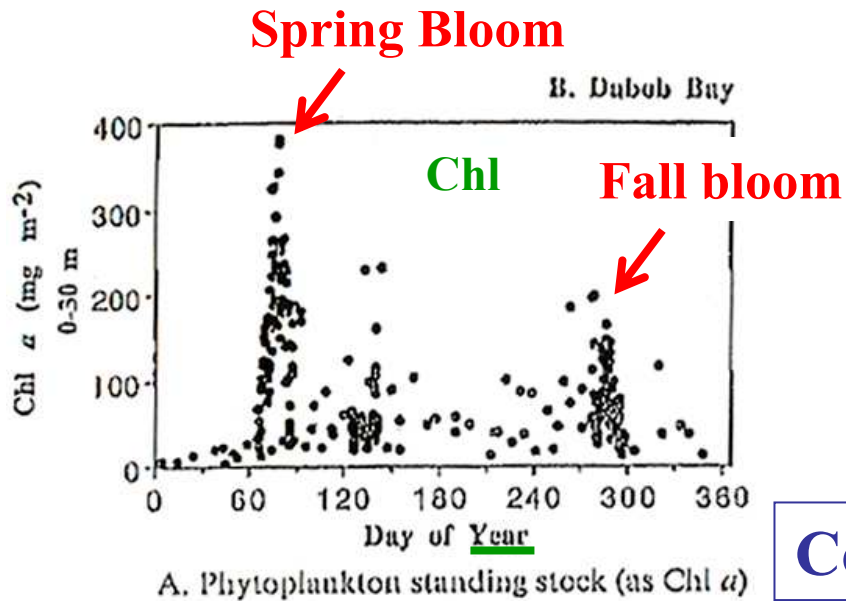
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The paradox of high nitrate  
and low chlorophyll scheme

# Nitrate concentrations in surface water - the "HNLC" regions

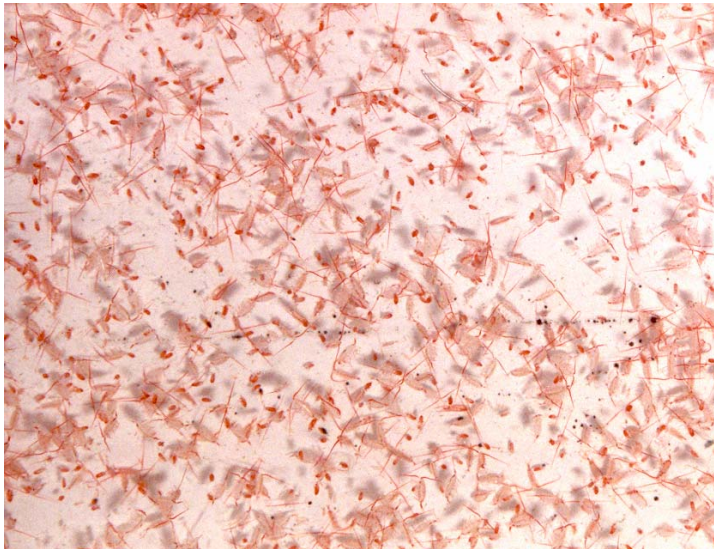




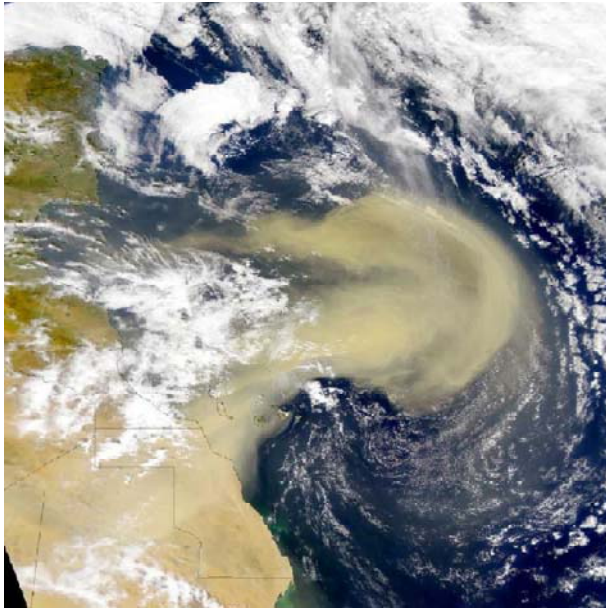


(from Frost, 1993)

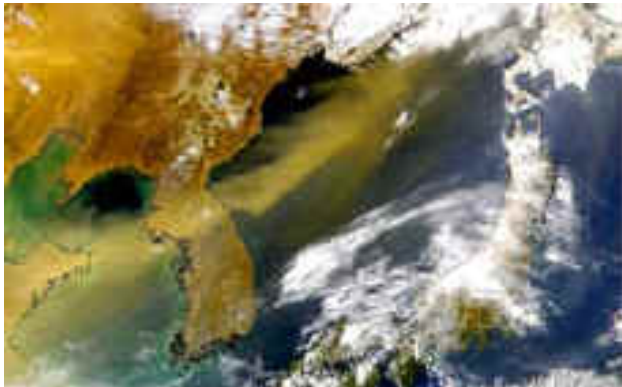
**Stn P – Open Ocean**



The traditional explanation for the lack of a spring phytoplankton blooms in the northeastern subarctic Pacific is that the grazing of larger Neocalanus species may control phytoplankton stocks and prevent blooms



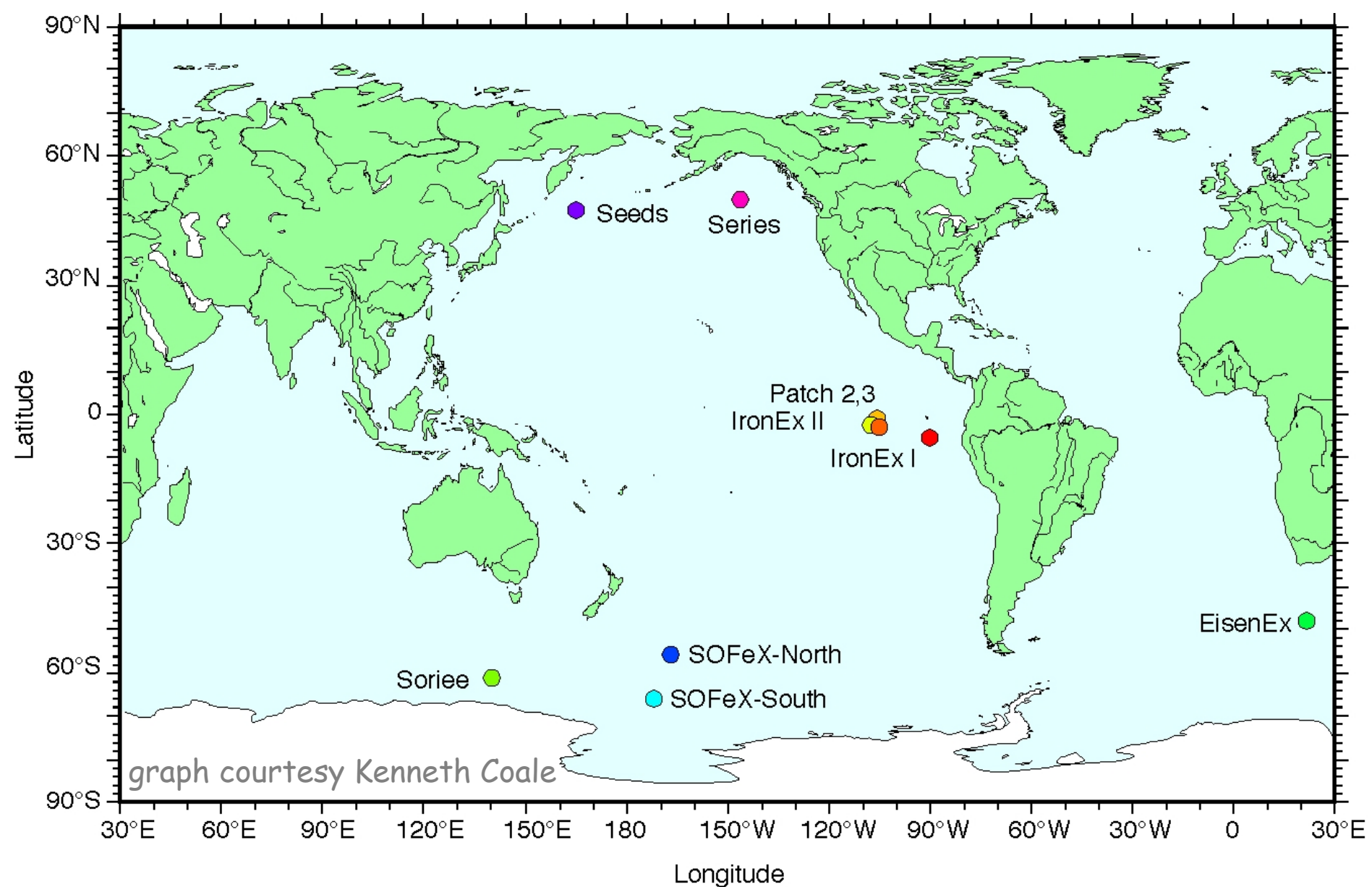
Martin (1990) proposed an alternative explanation, that the very low levels of available Fe limit phytoplankton production and result in the persistence of substantial concentrations of major nutrients in HNLC regions (Martin and Fitzwater, 1988; Martin et al., 1989).



“Give me a half tanker of iron, and I will give you an ice age.”

John Martin





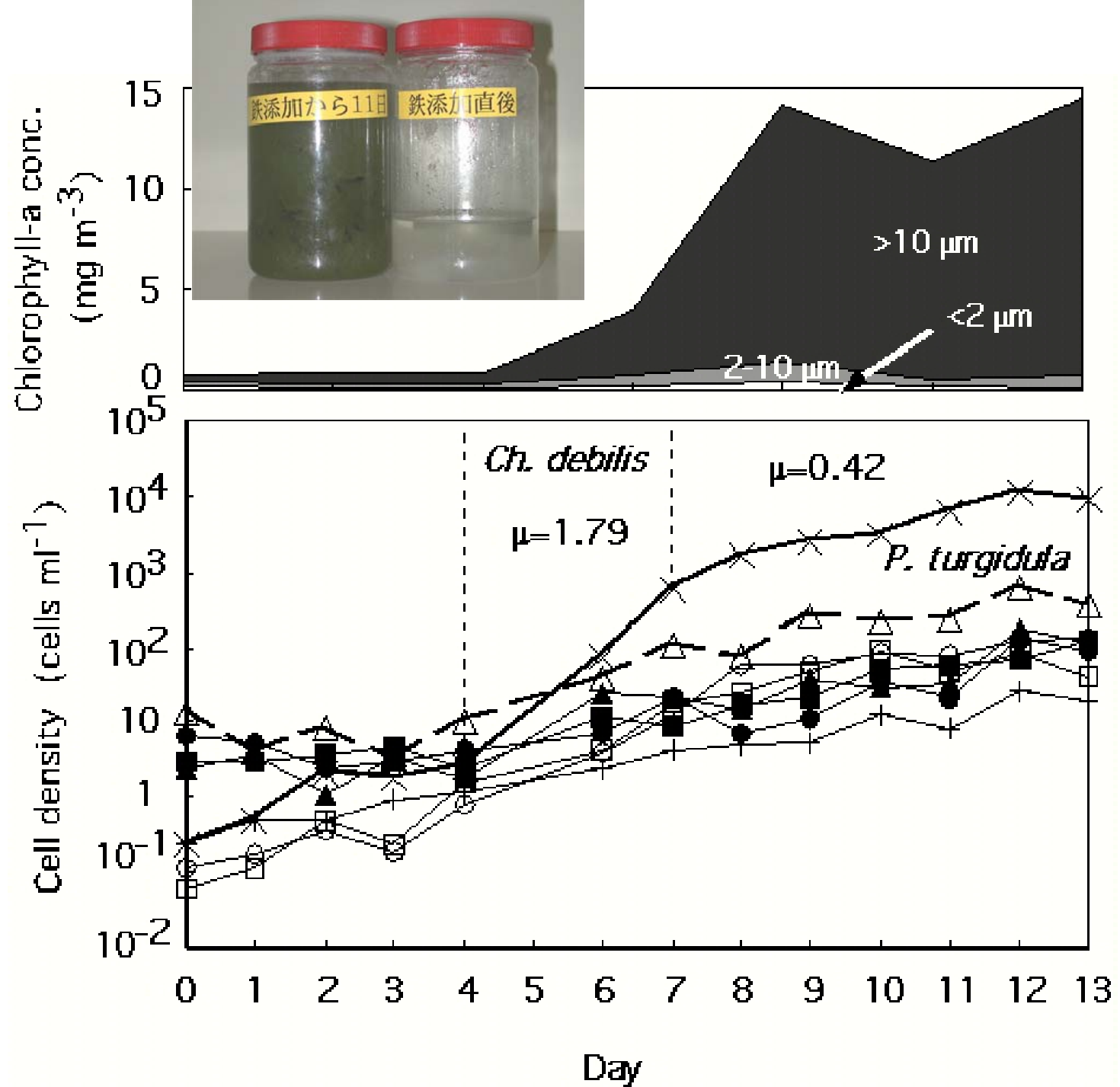
IronEx I ● IronEx II ● Control Expt. Patch 2 ● Control Expt. Patch 3 ● Soriee ●

EisenEx ● SOFeX-South ● SOFeX-North ● Seeds ● Series ●

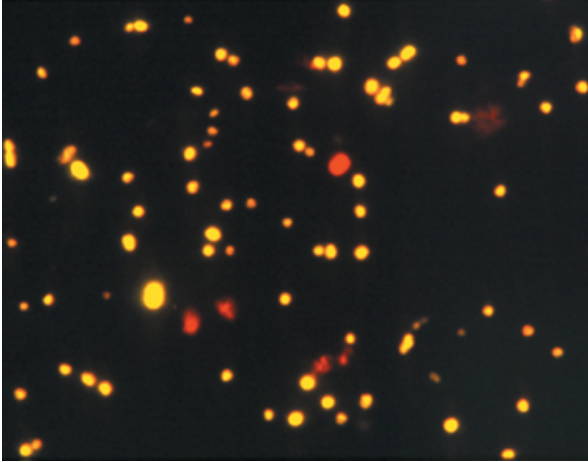
not yet shown: Eifex 2004 (Polarstern) and Seeds II 2004

# Time-series data of nutrients and Chl *a*

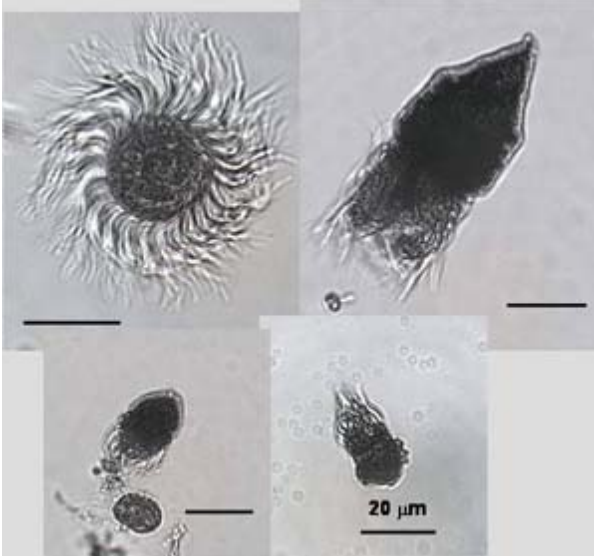




# Present view



- Low iron concentration prevent diatoms bloom, shifting phytoplankton community to picoplankton dominant



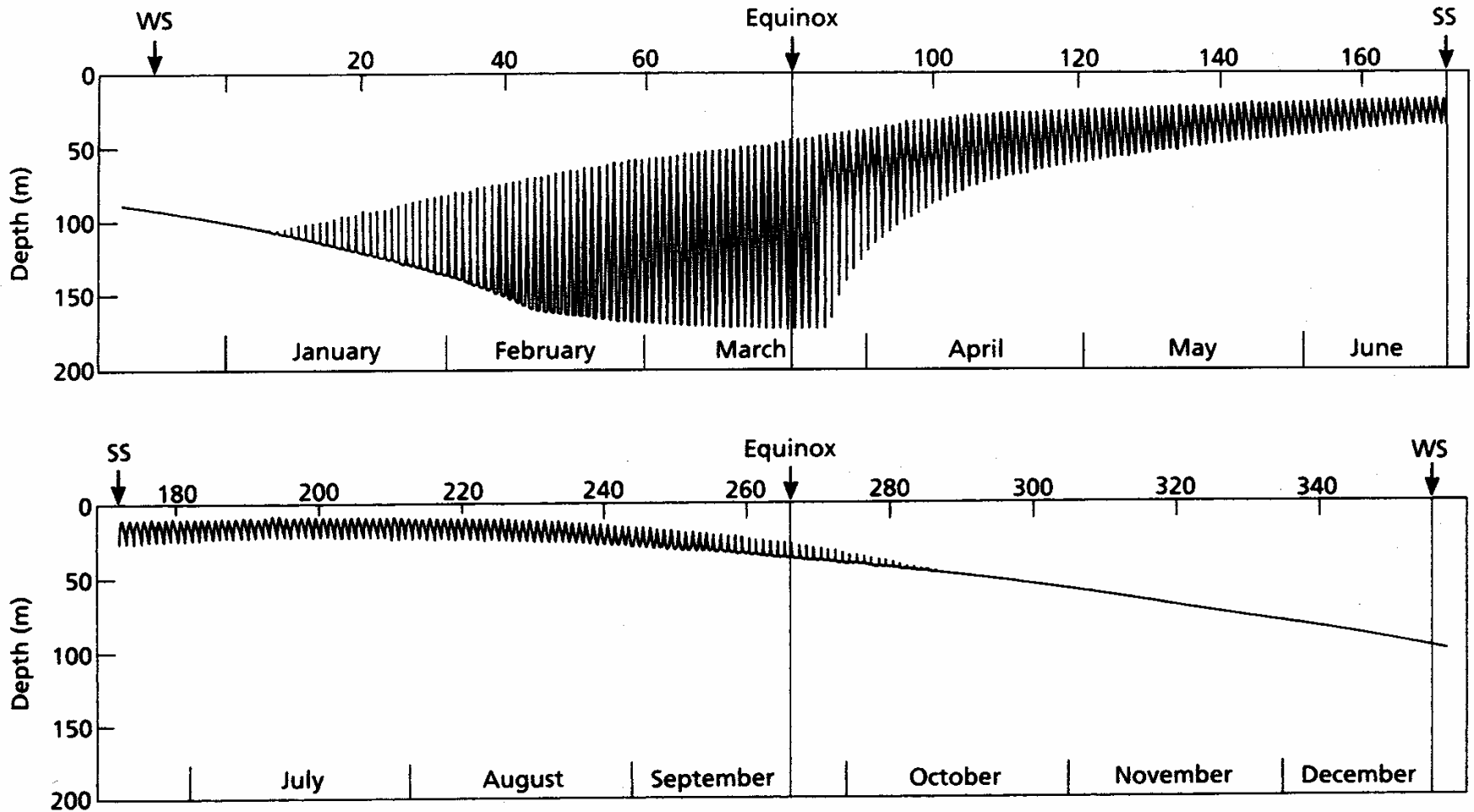
- Microzooplankton grazing controls picoplankton dominated phytoplankton stocks



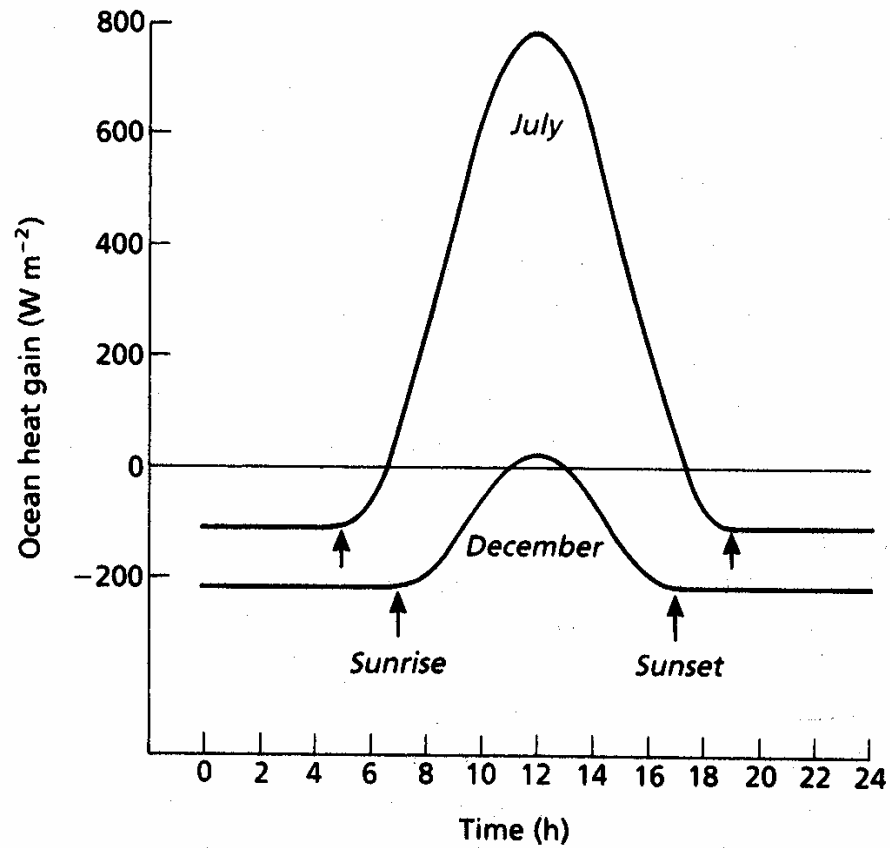
- Silicate?
- Light?
- Temperature?

# Vertical structure and phytoplankton production in temperate and polar waters

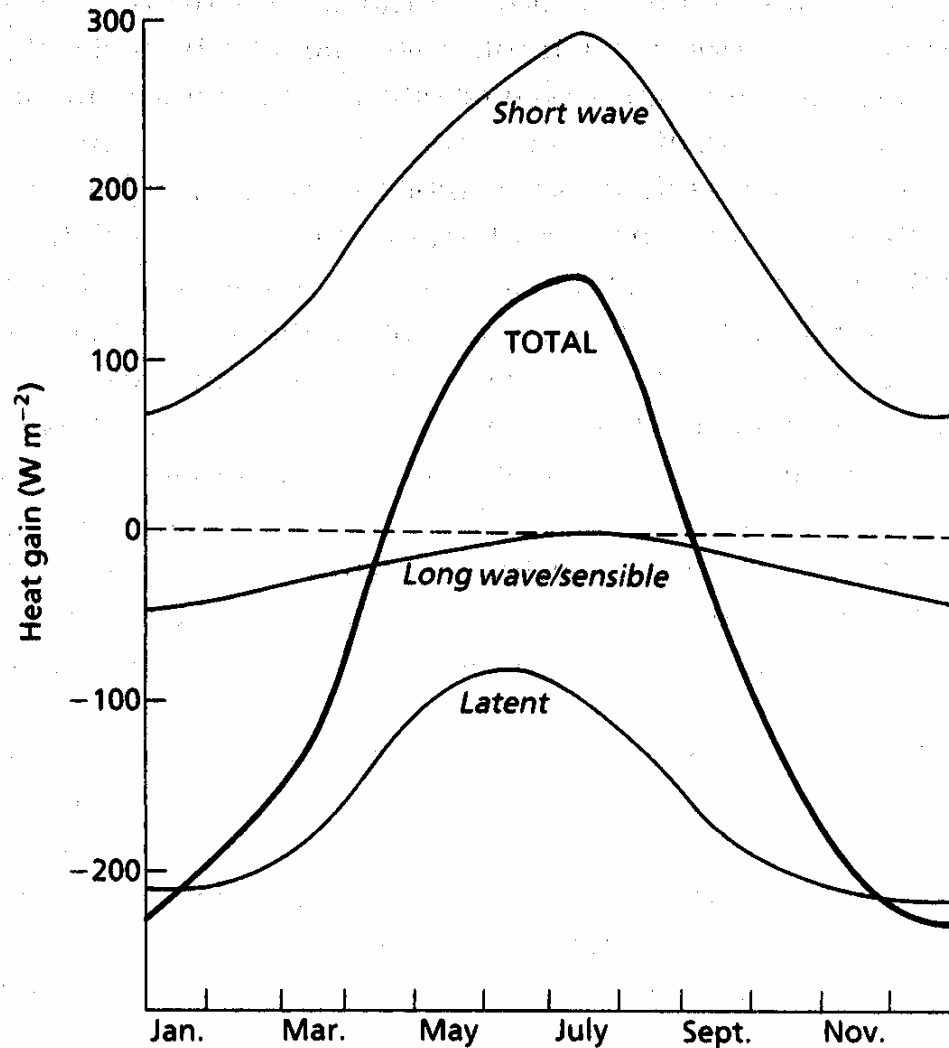
- The most important feature is the spring bloom – mainly resulted from seasonal variation of surface mixed layer depth and solar radiation



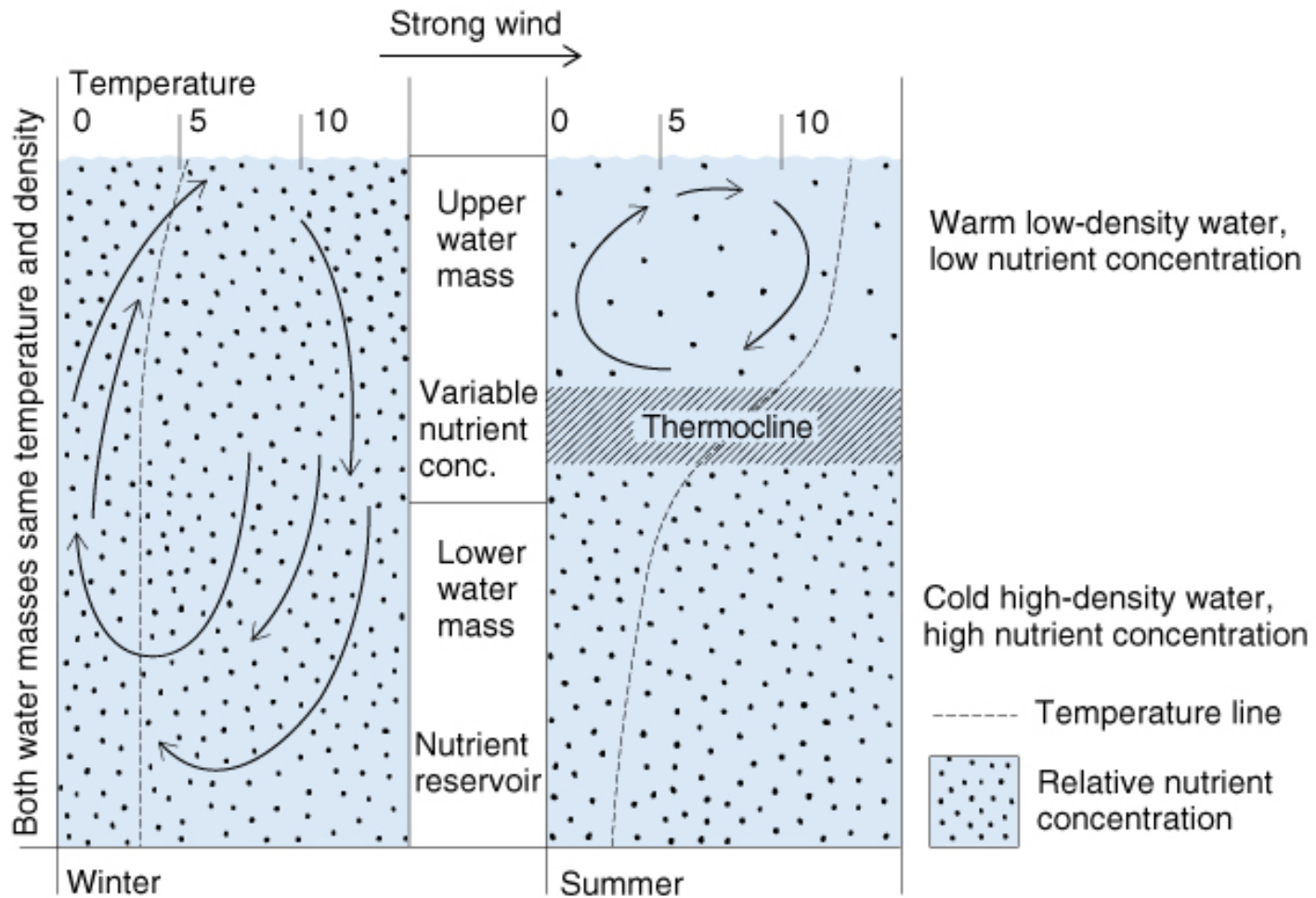
**Fig. 3.09** Model simulation of the diurnal variation of the mixed layer depth over the year at latitude 41° N. Note the diurnal movement of the thermocline from January to October. WS, winter solstice; SS, summer solstice. From Woods and Barkmann (1986).

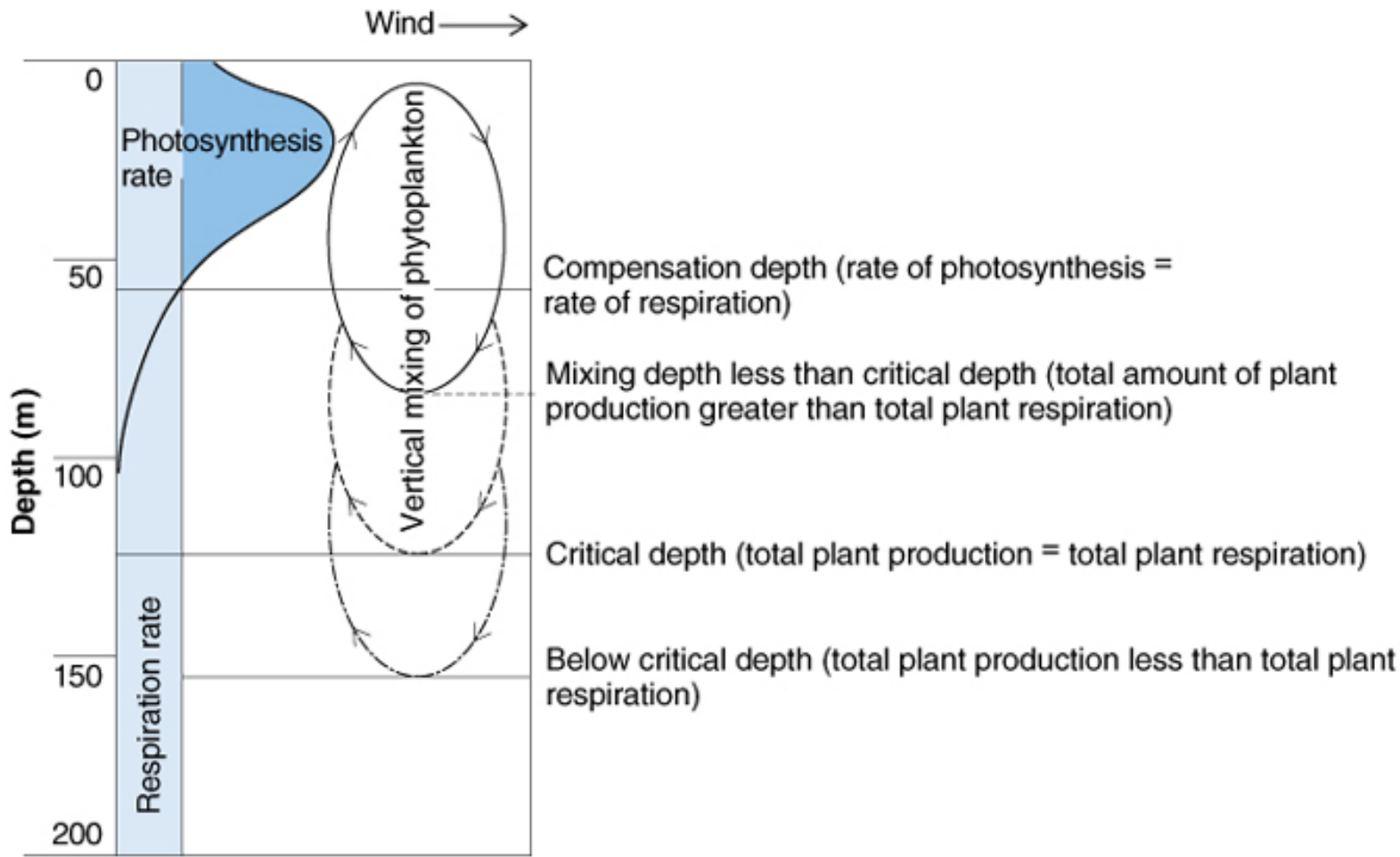


Diurnal changes in the net heat gain during an average day in July and December at  $40^{\circ}\text{N}$ ,  $40^{\circ}\text{W}$



Seasonal changes in net short-wave radiation, net long-wave radiation/sensible heat exchange, and latent heat exchange, and the total at 35°N 48°W





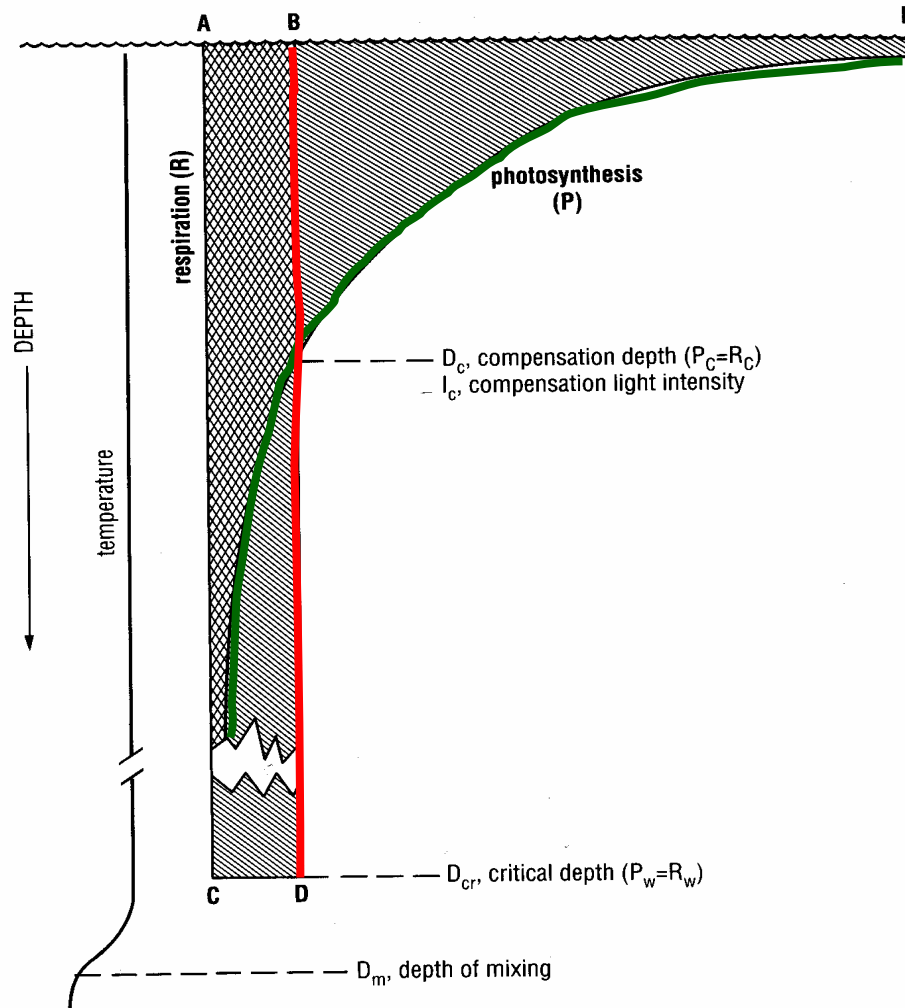


Figure 3.6 An illustration of the relationships among the compensation light depth, the critical depth, and the depth of mixing. At the compensation depth ( $D_c$ ), the light intensity ( $I_c$ ) is such that the photosynthesis of a single cell ( $P_c$ ) is equal to its respiration ( $R_c$ ); above this depth there is a net gain from photosynthesis ( $P_c > R_c$ ) and below it there is a net loss ( $P_c < R_c$ ). As phytoplankton cells are mixed above and below the compensation depth, they experience an average light intensity ( $I_d$ ) in the water column. The depth at which  $I_d$  equals  $I_c$  is the critical depth ( $D_{cr}$ ) where photosynthesis throughout the water column ( $P_w$ ) equals phytoplankton respiration throughout the water column ( $R_w$ ). The area bounded by points A, B, C and D represents phytoplankton respiration, and the area bounded by points A, C and E represents photosynthesis; these two areas are equal at the critical depth. When the critical depth is less than the depth of mixing ( $D_m$ ) (as illustrated in this figure), no net production takes place because  $P_w < R_w$ . Net production of the phytoplankton ( $P_w > R_w$ ) only occurs when the critical depth lies below the depth of mixing.

$$D_C : P = R \text{ (mgC m}^{-3} \text{ d}^{-1}\text{)}$$

$$D_{CR} : \int P = \int R \text{ (mgC m}^{-2} \text{ d}^{-1}\text{)}$$

$$D_m < D_{CR} \rightarrow \int P > \int R$$

$$\rightarrow \text{Bloom}$$






$$D_m > D_{CR} \rightarrow \int P < \int R$$

$$\rightarrow \text{No bloom}$$



Phytoplankton number per liter  
or zooplankton number 0-100 m

**Symbols**

-  Diatoms
-  Coccoliths
-  Dinoflag.
-  Nauplii
-  Copepods

 Critical depth

 Thickness mixed layer

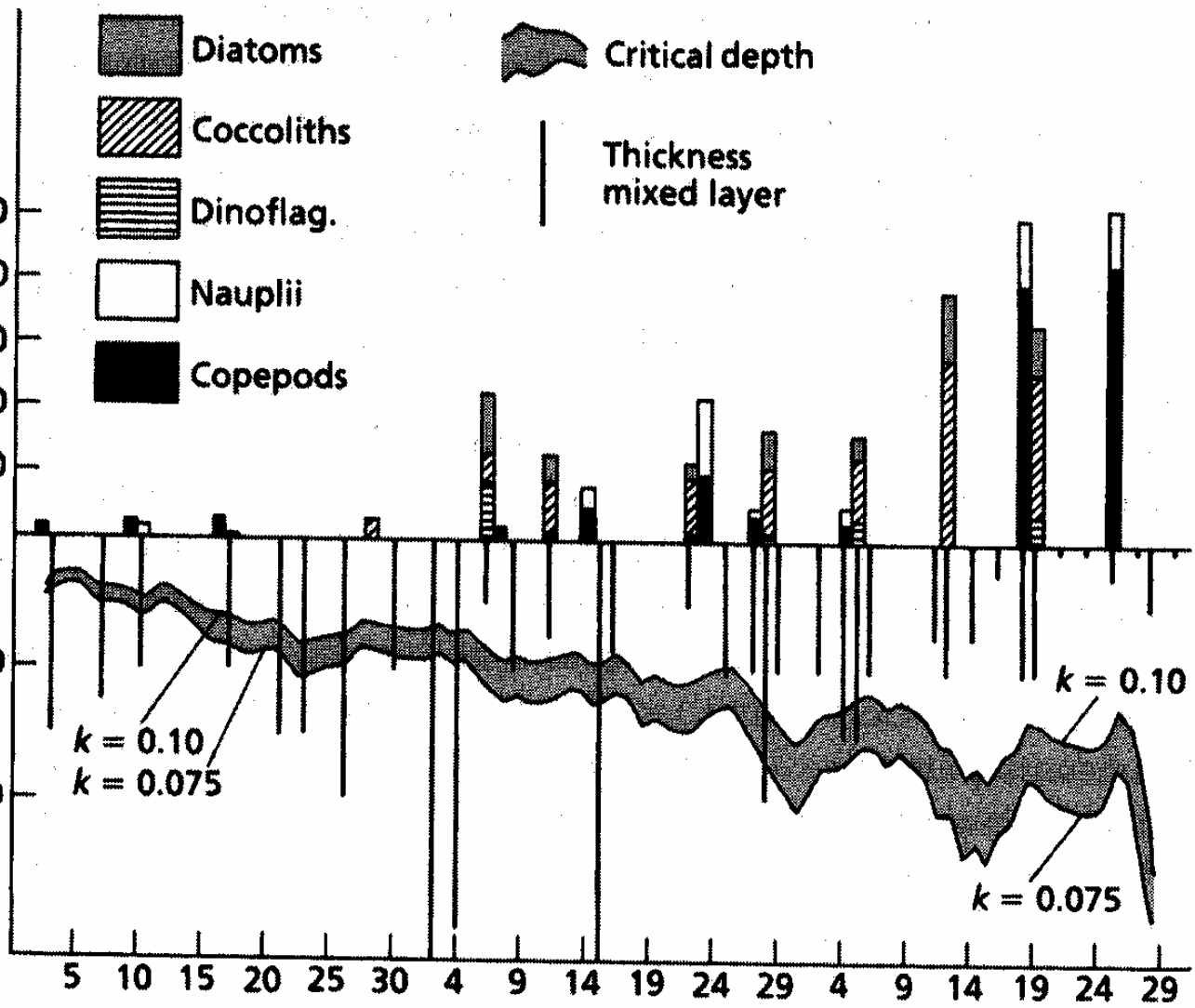
Depth (m)

50,000  
40,000  
30,000  
20,000  
10,000

100  
200

$k = 0.10$   
 $k = 0.075$

$k = 0.10$   
 $k = 0.075$



MARCH

APRIL

MAY 1949

# Large scale turbulence and phytoplankton performance

- Phytoplankton cells are at times carried from the surface to great depth on a time scale of 1-10 hours, and are thus exposed to a fluctuating light regime.
- Whether phytoplankton cells in a fluctuating light regime are more productive than they would be if exposed to light of a constant average value?
- Experimental evidence are contradictory, but the difference may have been caused by the fact that some biochemical processes in the cells acclimate rapidly to the changing light, while others change too slowly for there to be any noticeable response.
- In any case, the metabolic response of cells exposed to fluctuating light is not very different from the response of those exposed to the same amount of light delivered at a constant rate

# The poleward migration of the spring bloom

## Moving Vessel Profiler (MVP) Fish

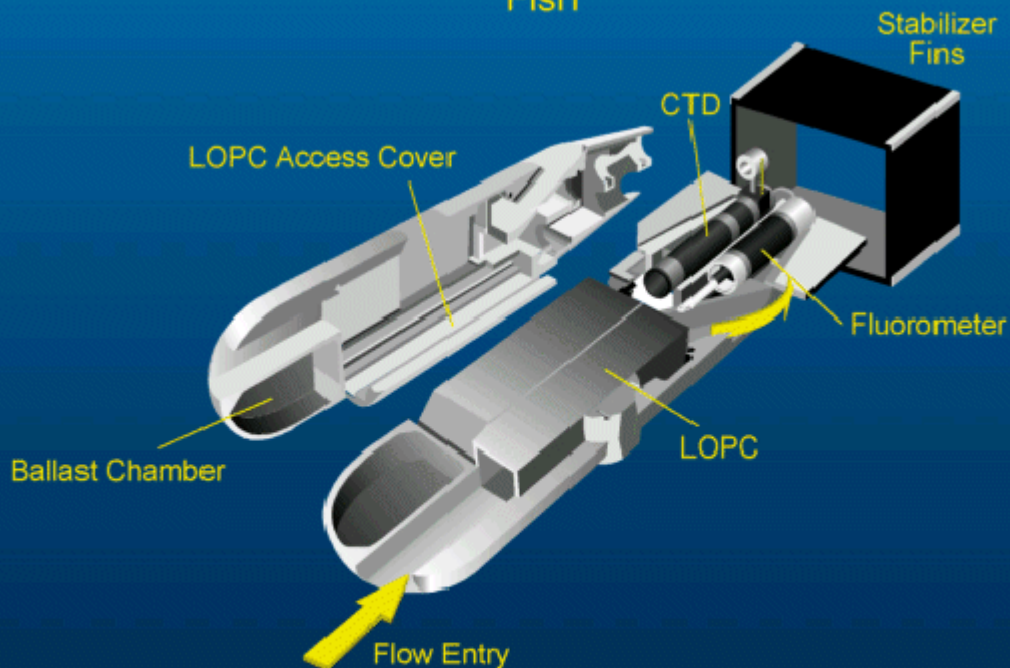
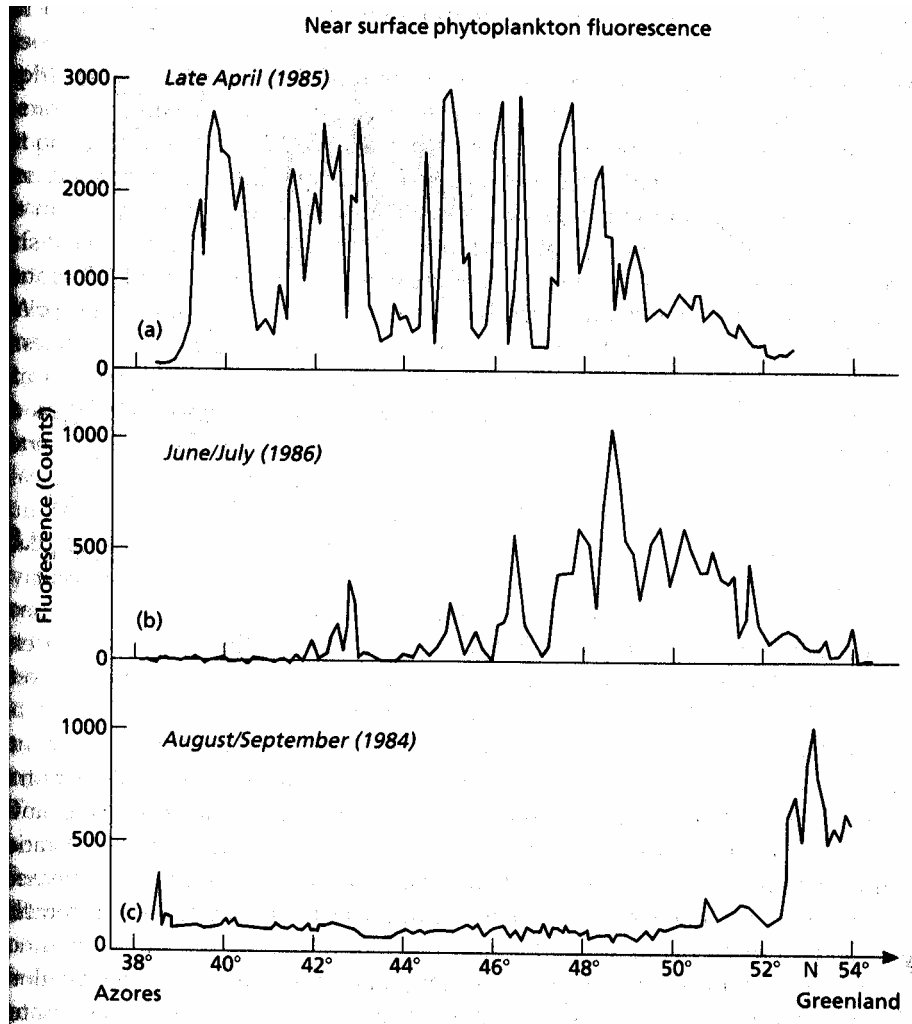


Fig. 13. The multi-sensor free-fall fish used with the Moving Vessel Profiler enabling continuous profiling to 250 m at full vessel speeds of 12-14 kts. The fish contains the LOPC, CTD, and fluorometer.

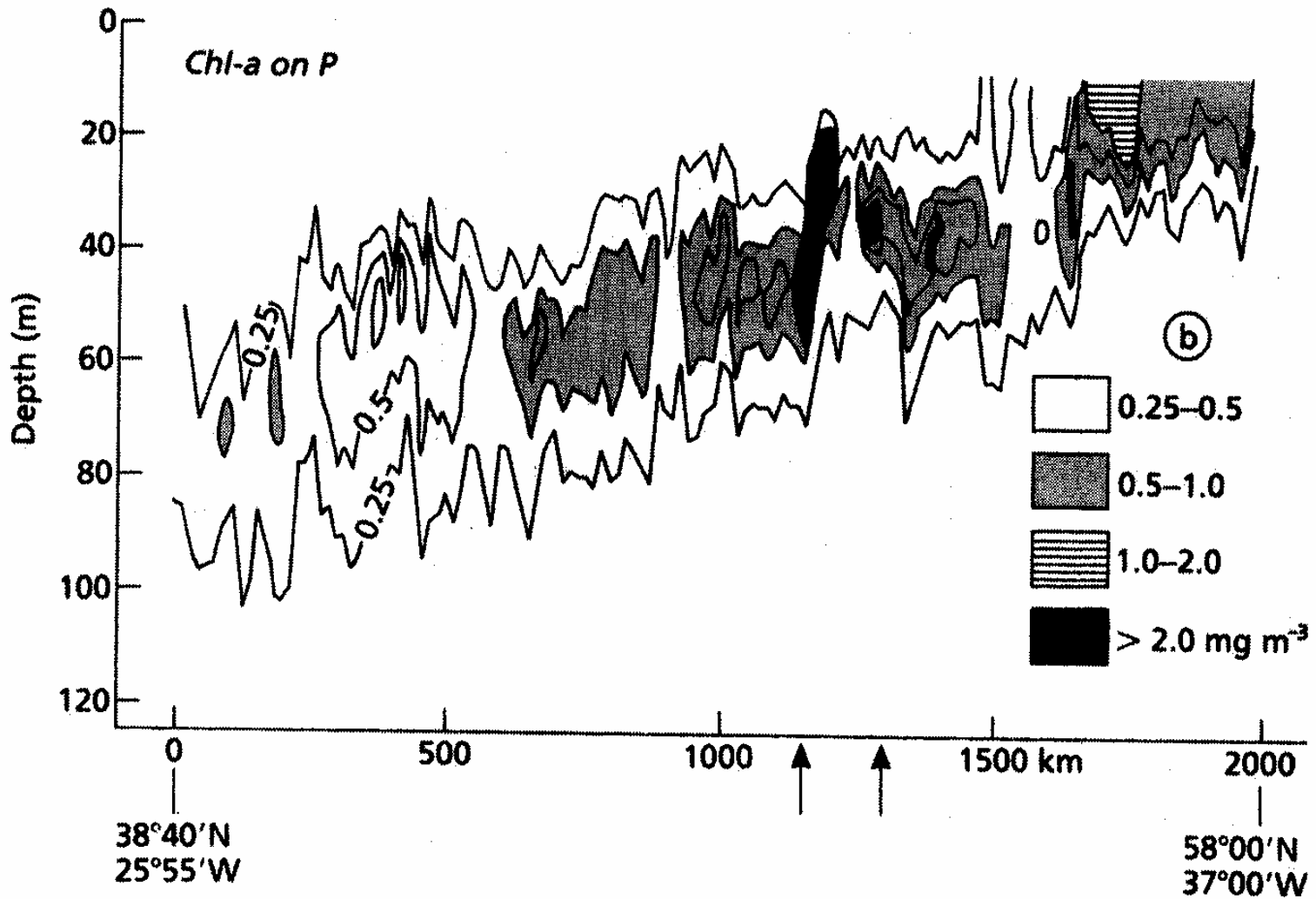
- SeaSoar is the world's leading open ocean towed undulating data acquisition vehicle. It is capable of undulating from the surface to 500 metres at tow speeds of up to 12 knots.





Horizontal migration of the near-surface chlorophyll maximum did not keep pace with the northward movement of the region of mixed-layer shallowing, but followed the slow propagation of the 12°C isotherm outcrop – there may be a temperature limitation of bloom process

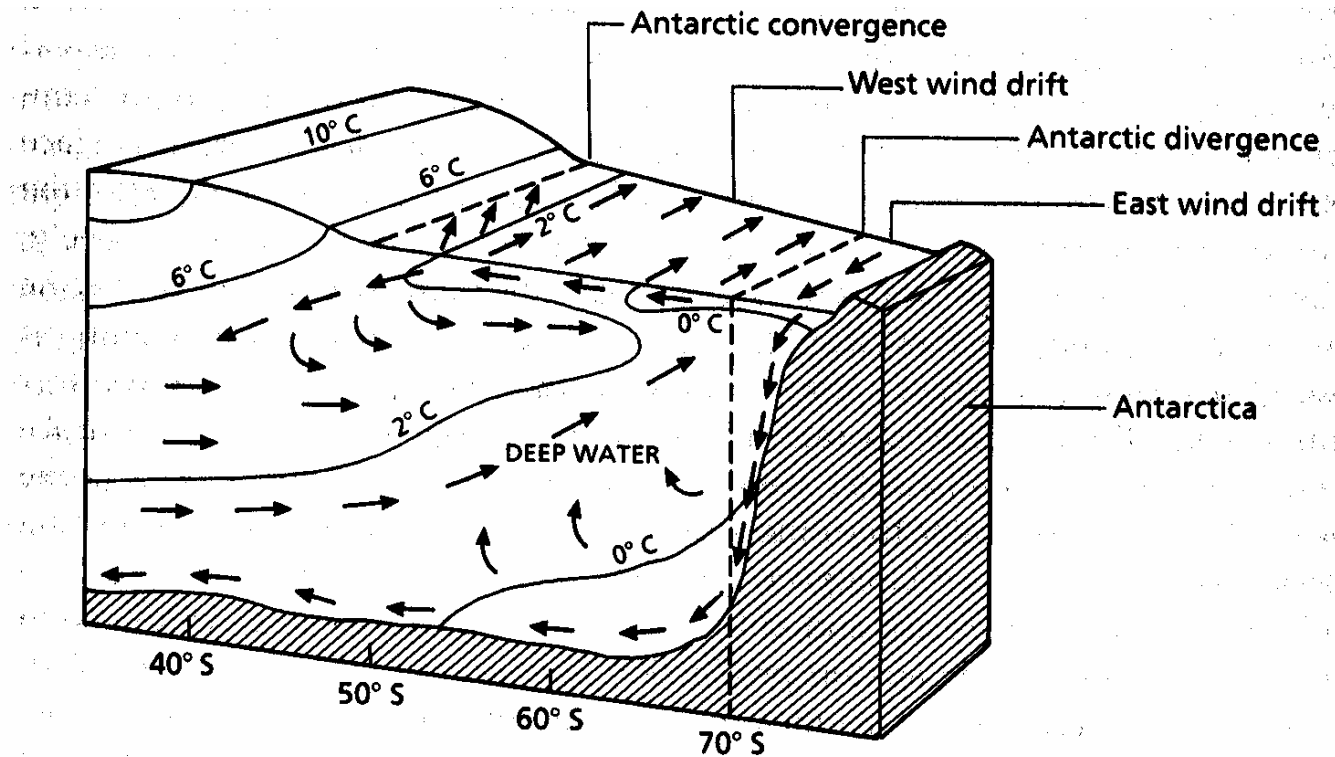
Near-surface chl fluorescence at 3 times of the year on a transect lying between the Azores and Greenland, showing poleward migration of chl maximum. Wolf and Woods (1988)



Vertical distribution of chlorophyll on a transect between the Azores and Greenland in late summer. Note that the zone of maximum chl gets progressively more shallow as one moves north (Wolf and Woods, 1988).

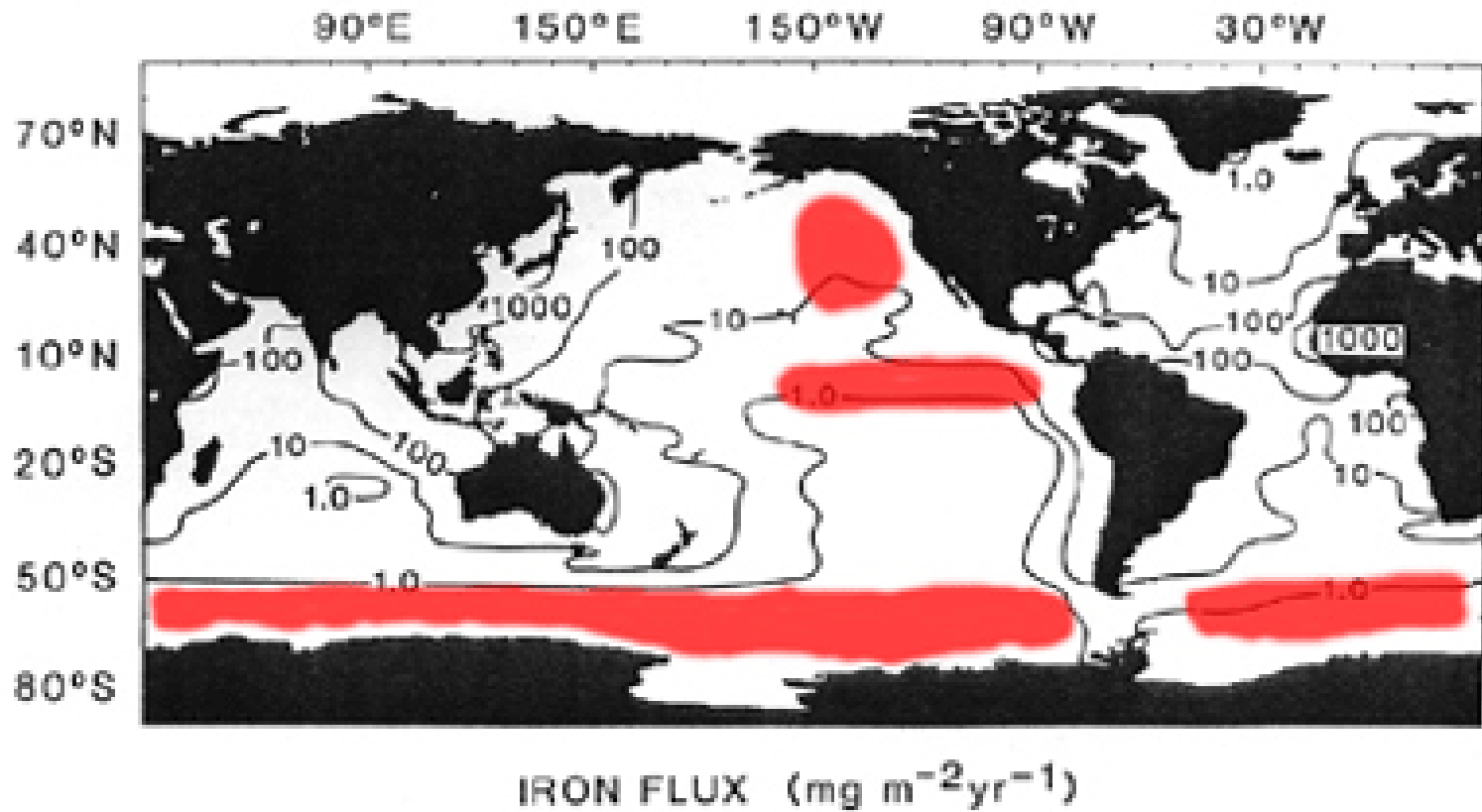
- North Pacific vs. North Atlantic
  - Shallower mld mainly due to lower salinity
  - Continued biological production throughout the winter
  - Mostly based on observation from Alaskan Gyre, and it is a HNLC region. There is a distinctive difference between the eastern and western subarctic Pacific (see Harrison et al. 1999, 2004, Liu et al. 2004, Suzuki et al. 2002)

# The Antarctic divergence



**Fig. 3.16** Diagram of the Southern Ocean to show the Antarctic divergence and the Antarctic convergence. Antarctica is on the right, and north is to the left.



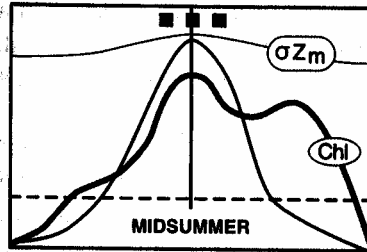


A HNLC region!

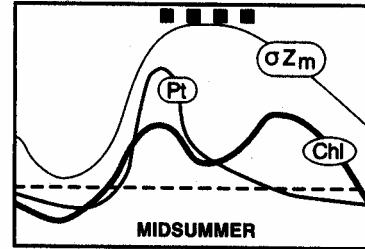
# An integrated world view of pp

- 4 biomes
  - westerlies
  - polar
  - trades
  - coastal boundary zone
  - exceptional regions
- 56 biogeochemical provinces based on the seasonal patterns of pp and chl accumulation
- 8 distinct groups of seasonal cycles

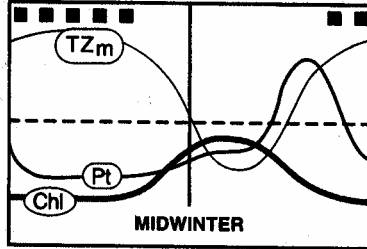
**MODEL 1: Polar Irradiance-Limited 'Summer' Production Peak**



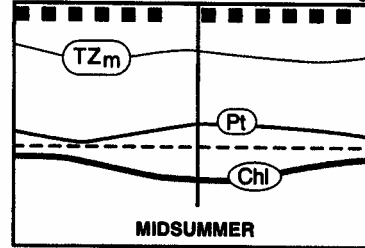
**MODEL 2: Mid-Latitude Nutrient-Limited Spring Production Peak**



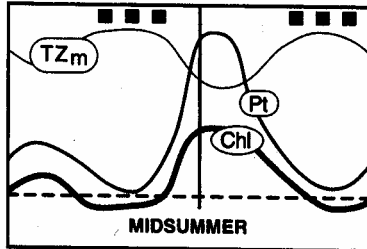
**MODEL 3: Subtropical Nutrient-Limited Winter-Spring Production Period**



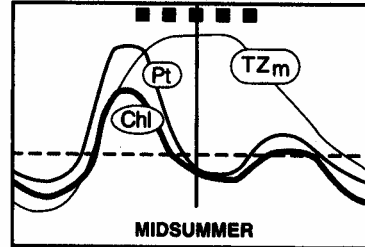
**MODEL 4: Tropics, Small Response of Production Rate to Trade Wind Forcing**



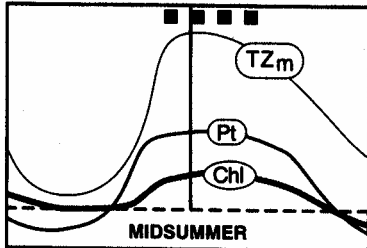
**MODEL 5: Large Amplitude Response of Production Rate to Monsoon Reversal**



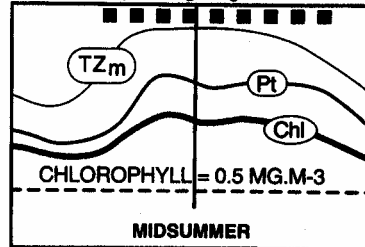
**MODEL 6: Canonical Nutrient-Limited Spring Bloom of Temperate Shelves**



**MODEL 7: Mid-Latitude Topographically-Forced Summer Production**

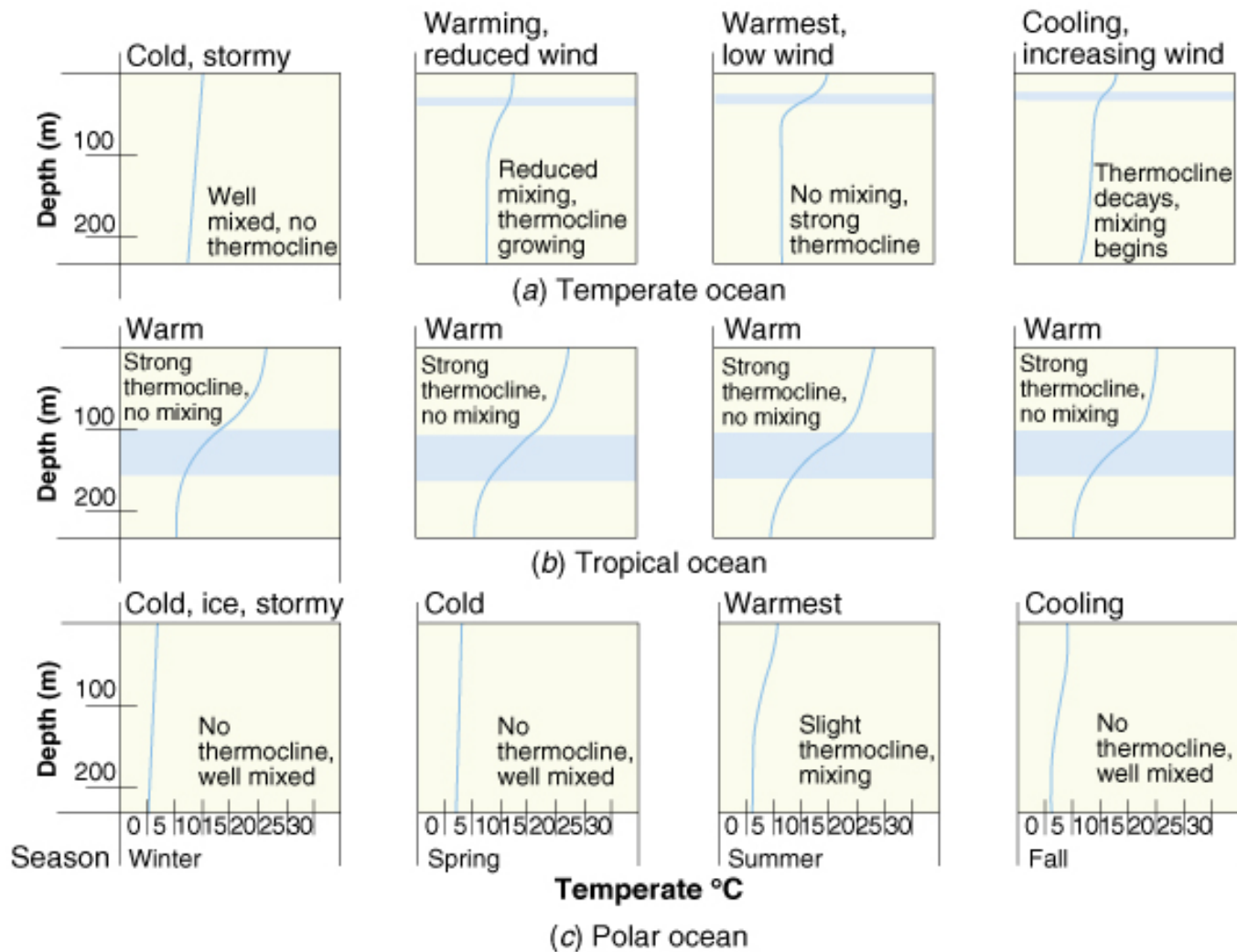


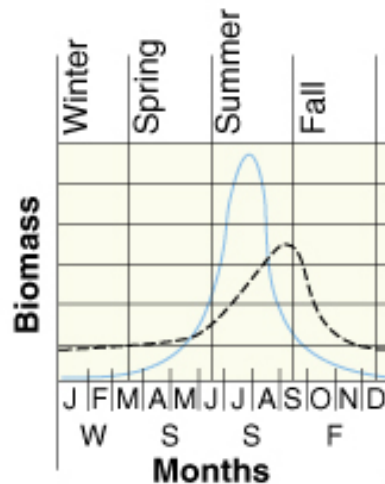
**MODEL 8: Intermittent Production Peaks in Coastal Upwelling Regimes**



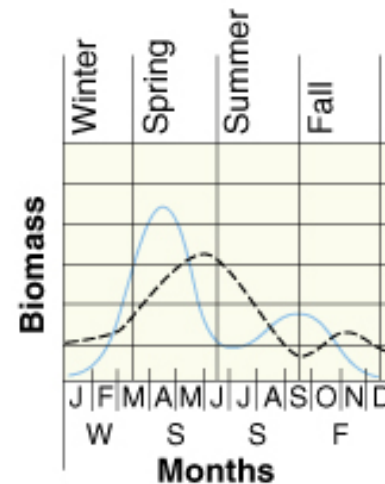
■■■■■■■■■■  
PYCNOCLINE IS ILLUMINATED

TIME OF YEAR →

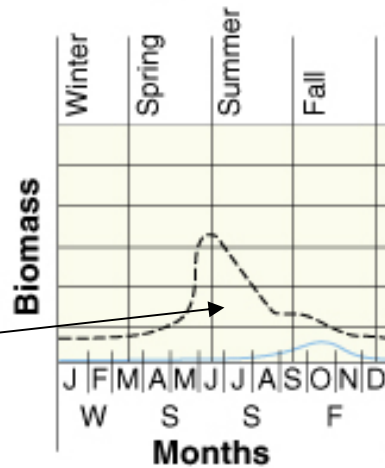




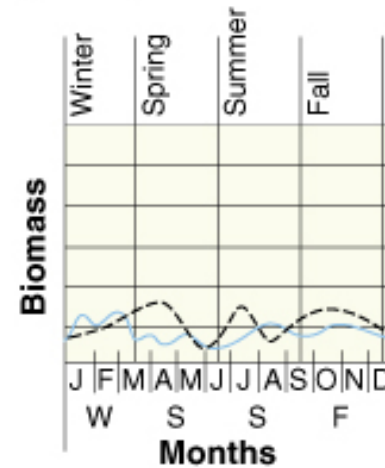
(a) Arctic



(b) Temperate North Atlantic



(c) Temperate North Pacific



(d) Tropical

HNLC condition

# Estimating global pp

- Using satellite data and bio-optical modeling
- Relatively accurate for chl estimate, but not pp
- Simplicity vs complexity

# Photosynthetic rates derived from satellite-based chlorophyll concentration

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## *Abstract*

We assembled a dataset of  $^{14}\text{C}$ -based productivity measurements to understand the critical variables required for accurate assessment of daily depth-integrated phytoplankton carbon fixation ( $PP_{\text{eu}}$ ) from measurements of sea surface pigment concentrations ( $C_{\text{sat}}$ ). From this dataset, we developed a light-dependent, depth-resolved model for carbon fixation (VGPM) that partitions environmental factors affecting primary production into those that influence the relative vertical distribution of primary production ( $P_z$ ) and those that control the optimal assimilation efficiency of the productivity profile ( $P^B_{\text{opt}}$ ). The VGPM accounted for 79% of the observed variability in  $P_z$  and 86% of the variability in  $PP_{\text{eu}}$  by using measured values of  $P^B_{\text{opt}}$ . Our results indicate that the accuracy of productivity algorithms in estimating  $PP_{\text{eu}}$  is dependent primarily upon the ability to accurately represent variability in  $P^B_{\text{opt}}$ . We developed a temperature-dependent  $P^B_{\text{opt}}$  model that was used in conjunction with monthly climatological images of  $C_{\text{sat}}$ , sea surface temperature, and cloud-corrected estimates of surface irradiance to calculate a global annual phytoplankton carbon fixation ( $PP_{\text{annu}}$ ) rate of  $43.5 \text{ Pg C yr}^{-1}$ . The geographical distribution of  $PP_{\text{annu}}$  was distinctly different than results from previous models. Our results illustrate the importance of focusing  $P^B_{\text{opt}}$  model development on temporal and spatial, rather than the vertical, variability.

# zooplankton

- Lack of data
- Usually abundant in the mixed layer, and most abundant just above the thermocline
- Complicated by vertical migration