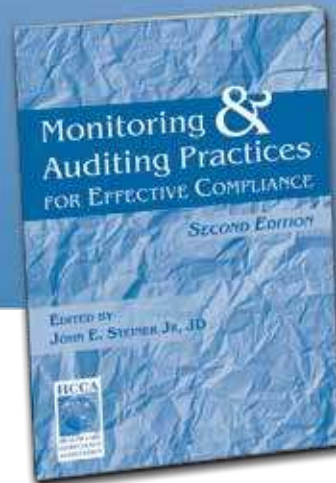


# Monitoring & Auditing Practices for Effective Compliance: SECOND EDITION

## Monitoring & Auditing Practices FOR EFFECTIVE COMPLIANCE



SECOND  
EDITION

*edited by John E. Steiner Jr, JD*

Chief Compliance Officer  
UK HealthCare, Lexington, KY



This book was prepared to assist compliance officers and compliance committee members with designing and maintaining an effective corporate compliance program. The new edition of this important resource adds a chapter on recent improvements in statistical sampling and a chapter on databases for Stark Law and Anti-Kickback statute compliance. Other key elements of compliance programs covered include:

- Developing an effective compliance team
- Developing a voluntary disclosure and refund process
- Preparing for a visit from an Independent Review Organization
- Structuring financial relationships with physicians
- Ensuring attorney-client privilege in the context of health care investigations
- And much more!

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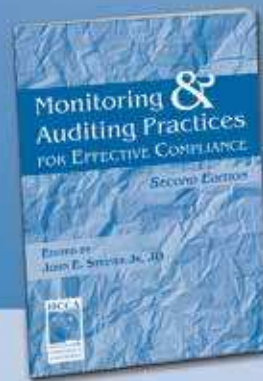


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## SECOND EDITION

See what's inside:

### Part I. Basic Compliance Monitoring and Auditing Issues

1. Developing an Effective Compliance Team
2. Keeping the Health Care Sampling Gains Going
3. Retrospective Versus Contemporaneous Reviews
4. The Attorney-Client Privilege in the Context of Health Care Compliance Investigations

### Part II. Voluntary Compliance Monitoring and Auditing

5. Financial Relationships With Physicians: Auditing and Monitoring Anti-Kickback Statute and Stark Law Compliance
6. Creating Databases of Financial Relationships
7. Developing a Voluntary Disclosure and Refund
8. Medicaid Program Provider Self-Audits

### Part III. Mandatory Compliance Monitoring and Auditing

9. Corporate Integrity Agreement Negotiations
10. Preparing for an Independent Review Organization Engagement

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