

PURCHASE ORDER FORM

Date & Time:	RFC Representative:
Client Name:	ID Card/Co. Registration No:
Address:	Telephone Number:
	Fax Number:
	Email:
Postcode:	

 Please **BUY** the following security and register on: **MSE Account No** **RFC Nominee**

Security:	ISIN Code/Symbol:
Currency:	
Quantity:	Quantity (words):
Price:	
Validity:	
Other instructions (if any):	

Please read terms and conditions overleaf before signing.

 Signature: _____
RFC Representative

 Signature: _____
Client/s
FOR OFFICE USE ONLY

Nature of Transaction:	Execution Only	<input type="checkbox"/>	
Assessment of Appropriateness	<input type="checkbox"/>	Transaction is appropriate for client	<input type="checkbox"/> Transaction is NOT appropriate however client decided to proceed with the transaction
	Advisory	<input type="checkbox"/>	
Assessment of Suitability	<input type="checkbox"/>	Transaction is suitable	<input type="checkbox"/> Transaction is NOT suitable and therefore cannot be executed in terms of regulations

Amendments		Execution Details	
Date		MSE Trade Date	
Price / Volume		Match Ref No	
Remarks		Quantity	
Initials		Price	
P/O Number			

Terms & Conditions

- This order form is subject to the General Client Agreement (and any addenda thereto) between me/us and Rizzo, Farrugia & Co. (Stockbrokers) Ltd. (RFC).
- In respect of amendments to my/our original instructions, authorisation is hereby being given to RFC to accept communications and orders from the undersigned (and my/our attorney, if appointed) given by telephone, fax or e-mail without confirmation. RFC is, however, free to ask for additional confirmation but is not bound to do so.
- RFC has the right to demand further particulars to establish my/our identity (or that of the attorney) and shall not incur liability if it refuses to execute such communications or orders where the identity of the person giving the instructions has not been established to the entire satisfaction of RFC.
- Please hold foreign securities in custody on my/our behalf. In this respect I/we agree to pay administration charges as specified in the agreement.
- I/We confirm that brokerage rates/other charges have been explained to me/us if asked to do so.
- In the event that I/we do not advance the appropriate funds for settlement to RFC or will not be in a position to advance the appropriate funds for settlement, consent is hereby granted to RFC, for title of the purchased securities which are the subject of the default to be credited to RFC's account in terms of Chapter 4 of the MSE Bye Laws.
- RFC shall execute these instructions on the basis of its Best Execution Policy. The Policy forms part of the General Client Agreement and is also available upon request.
- For the purposes of the Financial Markets Act (FMA), Prevention of Money Laundering and Funding of Terrorism Act (PMLFTA) and the Professional Secrecy Act (PSA), I/We hereby grant my/our consent to RFC to:
 - Make available to the Malta Stock Exchange upon request, all such information as may be necessary for ensuring compliance with the FMA and PMLFTA and;
 - Carry out enquiries into my/our Malta Stock Exchange Account/s kept at the Central Securities Depository (CSD) or holdings of securities registered at the CSD of Malta Stock Exchange plc.

Fee Schedule

1. Dealing charges for securities listed on the Malta Stock Exchange¹

		Shares & Convertible Bonds	Malta Government Stocks & Corporate Bonds
MINIMUM CHARGE		€15	€15
		%	%
On the first	€ 25,000 or currency equivalent	1.25	0.30
On the next	€ 35,000 or currency equivalent	1.00	0.25
On the next	€ 60,000 or currency equivalent	0.75	0.225
Over	€ 120,000 or currency equivalent	0.50	0.20

TREASURY BILLS

T-Bill trades attract a brokerage fee of 0.12% per annum on a pro-rata basis of the face value subject to a minimum of €25. No further fees/charges are applicable.

2. Dealing charges for securities listed on international stock exchanges

SHARES

Flat rate of **1%** on consideration applicable on all amounts subject to a **minimum of € 90 or equivalent** on each trade confirmation.

Any additional charges for shares listed on overseas Stock Exchanges which attract Stamp Duty when a trade is affected.

BONDS

Flat rate of **0.75%** on consideration subject to a **minimum of € 60 or equivalent** on each trade confirmation.

¹ Fixed Transaction charges and bank charges per trade confirmation levied by the Malta Stock Exchange also apply.