



Bank Number: 3211

Social Security Number: _____

**ACCOUNT HOLDER
INFORMATION**

Name _____

Legal Address _____

City, State, Zip Code _____

Country _____ Date of Birth _____

Home Phone _____ Business Phone _____

**IDENTITY
CERTIFICATIONS**

IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING NEW ACCOUNTS AT KEY

To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each customer who opens an account. Therefore, all new and existing customers are subject to the identity verification requirements.

When a customer opens an account with any entity within the KeyCorp family of companies, we will ask for their name, address and identification number, and, in the case of an individual, his or her date of birth. For trusts and estates, we may also obtain this information for individuals associated with the trust or estate. In all cases, Key is committed to protecting the privacy and identity of each of its customers.

Failure to supply this required information will prohibit us from completing this request.

(Please refer to List A, Customer Identifying Documents for Individuals for acceptable types of ID.)

What type of ID is this? _____ ID number _____

Indicate the following:

Which state/country issued it? _____ Expiration Date _____ Issue Date _____

ALL SECTIONS MUST BE COMPLETED

**OCCUPATION AND
INDUSTRY**

What is your occupation?
(Select and input information from list B)

What is your worker classification? Hourly Self-Employed Manager/Executive Student
(Circle one)

Retired Unemployed Salary/Non-Manager

Employer Name: _____

Which of the following best describes your employer industry?
(Circle one)

Public Administration Retail Trade Construction Services

Finance, Insurance & Real Estate Mining Manufacturing Wholesale Trade

Transportation, Communications, Electric, Gas & Sanitary Services Agriculture, Forestry, Fishing, Landscaping

Which of the following most accurately describes the specific Industry of your employer?
(Select and Input information from list C):

SOURCE OF INCOME

- What is your Source of Income? **(Circle all that apply)**
- | | | |
|--|--|---|
| <input type="checkbox"/> Salary/ Wages | <input type="checkbox"/> Royalties | <input type="checkbox"/> Pension |
| <input type="checkbox"/> Investments | <input type="checkbox"/> Lottery Winnings | <input type="checkbox"/> Real Estate |
| <input type="checkbox"/> No Income | <input type="checkbox"/> Public Assistance | <input type="checkbox"/> Trust |
| <input type="checkbox"/> Other | <input type="checkbox"/> Income From Family Owned Business | <input type="checkbox"/> Chose Not To Respond |

If other, please describe: _____

NRA / VISA / OFAC

What is your country (countries) of citizenship? _____

What is your country of permanent residence? _____

Do you have a temporary residence and/or own real property outside of the United States? YES NO

If yes, in which countries? _____

- What is your current Visa status? **(If applicable, circle one)**
- | | | | |
|-------------------------------------|----------------------------------|---|-----------------------------------|
| <input type="checkbox"/> Asylum | <input type="checkbox"/> Tourist | <input type="checkbox"/> Authorized Work Permit | <input type="checkbox"/> Training |
| <input type="checkbox"/> Diplomatic | <input type="checkbox"/> Visitor | <input type="checkbox"/> Student | <input type="checkbox"/> No Visa |

From what country did you obtain your Visa? _____

POLITICALLY EXPOSED PERSON

Are you, an immediate family member or close associate of a person who occupies or has occupied a high position in a foreign government? YES NO

Do you occupy, or have you occupied in the past, a high position for a foreign government? YES NO

Do any beneficial parties to the account currently occupy, or have occupied in the past, a high position for a foreign government? YES NO

POUCH ACTIVITY

Do you anticipate any delivery method that involves the use of carrier or courier to transport currency, monetary instruments and other documents physically from outside the United States? (If yes, proceed to questions below.) YES NO

If yes, for what country (countries) will the delivery method that involves the use of carrier or courier to transport currency, monetary instruments and other documents physically from outside the United States originate? _____

- If yes, estimated ANNUAL dollar amount of delivery method that involves monetary instruments and other documents physically the use of carrier or courier to transport currency, from outside the United States? (Choose one)
- | | |
|---|--|
| <input type="checkbox"/> \$1 - \$3,000 | <input type="checkbox"/> \$10,001 - \$25,000 |
| <input type="checkbox"/> \$3,001 - \$5,000 | <input type="checkbox"/> >\$25,000 |
| <input type="checkbox"/> \$5,001 - \$10,000 | |

- If yes, what is the likely purpose of the pouch activity?
- | | |
|--|---|
| <input type="checkbox"/> Business | <input type="checkbox"/> Family Residing Abroad |
| <input type="checkbox"/> Personal Travel | <input type="checkbox"/> Regular Payment Abroad |

SIGNATURES

Customer signature

Date

A. Customer Identifying Documents for Individuals (must have a photo and be unexpired to be a primary form of ID)

- U. S. Passport
- Foreign Passport (*including Mexican and Canadian*)
- U. S. Military ID Card
- U. S. State Driver's License
- Canadian Driver's License
- Mexican Driver's License
- Native American Tribal Identification Card
- Mexican Matricula Consular Identification Card
- U. S. State ID Card (*issued to residents of a U.S. State*)
- Permanent Resident Card (Green Card) (Form I-551)

B. List of Occupations

Architects

Athletes and related workers

Clergy

Clerical occupations, except equip operator

Computer, matheml, & operations research

Computing & office equipment operators

Construction laborers

Construction occupations, n.e.c.

Construction trades

Dentists

Disabled

Editors, rptrs, pr spec & announcers

Elec. assem., install., repair. occupations

Engineering & related technology & technician

Engineers and surveyors

Excavate, grading, paving and related occupations

Extractive occupations

Fabricators, assemblers & hand wkg occupations

Farm operators and managers

Fishers, hunters, and trappers

Fishery and related occupations

Food and bev. prep and svc. occupation

Forestry and logging occupations

Health technologists & technicians

Homemaker

Insurance, secure, real est. & serv sales

Lawyers and judges

Machine operators and tenders

Machine setup operators

Machine trades occupations, n.e.c.

Management related occupations

Material moving occup, except transportation

Military occupations

Miscellaneous manual occupations

Miscellaneous occupations

Miscellaneous personal svc occupation

Motor freight occupations

Natural scientists

Oc. In fabric, assem., repair of metal nec

Oc. In fabrication/repair of wood prod

Oc. In machine stone, clay, glass rel prod

Occupations in extraction of minerals

Occupations in metal fabricating, n.e.c.

Occupations in writing

Officials & administrators, public admin

Officials and administrators, other

Other agricultural & related occupations

Other health diagnosing & treating practice

Packaging and materials handling occupation

Painting, decorating and related occupation

Pharm, diet, therap, & phys assistants

Physicians

Plant and system operators

Precision production occupations

Private household occupations

Process. of petro, coal, nat/mfg gas

Processing Occupations, N.E.C.

Prod inspectors, testers, samplers

Protective service occupations

Registered nurses

Religious workers/clergy

Retired

Sales occupation, consumable commodities

Sales occupation, services

Sales occupations, commodities

Sales related occupations

Science technologists & technicians

Service occup, except private held & pro

Social and recreation workers

Social scientists & urban planners

Stenography, typing, filing, rel. occup.

Structural work occupations, n.e.c.

Student

Supervisors; clerical occupations

Supervisors; construction & extractive occup

Supervisors; marketing & sales occupations

Supervisors; mechanics & repairers

Supervisors; production occupations

Supervisors; service occupations

Supervisors; transport & material moving

Teachers, colleges & universities

Teachers, except college & university

Technic; except health, enginrg & sciences

Textile occupations

Transportation occupations

Unemployed

Unemployed w/income

Vehicle washers & equipment cleaners

Veterinarians

Vocational & educational counselors

Writers, artist, performers & related

C. List of Industries

Accounting, Auditing, and Bookkeeping Services
Agricultural production – crops
Agricultural production – livestock
Agricultural – Other Agricultural services
Amusement and recreational services
Amusement Parks
Apparel and accessory stores
Art Dealers
Automatic Merchandising Machine Operator
Automobile Parking
Automotive dealers
Automotive repair and services
Babysitting Bureaus
Barber shops, Barber colleges
Bars, Drinking Places (Alcoholic Beverages)
Beauty shops, salons, manicure/pedicure, cosmetology schools
Boat Dealers
Business Services – Other Miscellaneous
Carwashes
Casinos, Racinos, Card Rooms, Bookies, Lottery, and Bingo Parlors
Catalog and Mail – Order Houses
Civic, Social, and Fraternal Associations
Clothing alteration/repair and Laundry/Garment Service and Diaper Service
Coin-operated Amusement Devices including slot machines, arcade games, and jukeboxes
Communications
Computer programming, Data Processing, & Other Related Services
Construction – General building contractors
Construction – Heavy construction contractors
Construction – Special trade contractors
Diet and Weight Reducing Services
Drive-In Motion Picture Theaters
Dry-cleaning plants
Eating and drinking places – Other
Educational services
Electric, gas, and sanitary services
Engineering and management services
Finance – Branches and Agencies of Foreign Banks
Finance – Clearinghouses, Electronic Funds Transfer, Payment Processors
Finance – Commodity Contracts Brokers & Dealers
Finance – Depository institutions
Finance – Federal & Federally – Sponsored Credit Agencies
Finance – Foreign Trade and International Banking Institutions, International Trade Financing
Finance – Holding and other investment Offices
Finance – Investment Advice
Finance – Investors, NEC

Finance – Loan Brokers
Finance – Miscellaneous Business Credit Institution
Finance – Mortgage Bankers & Loan Correspondents
Finance – Non-Deposit Trust Facilities
Finance – Nondepository credit institutions
Finance – Personal Credit Institutions
Finance – Real Estate Investment Trusts
Finance – Security Brokers, Dealers & Flotation Companies
Finance – Security, commodity brokers and service
Finance – Services Allied With the Exchange of Securities or Commodities, NEC
Finance – Short Term Business Credit Institutions
Finance – Trusts – Educational, Religious, and Charitable
Finance – Trusts, Except Educational, Religious, and Charitable
Fishing, hunting, and trapping
Forestry
Formal Wear and Costume Rental
Fruit and vegetable markets
Furniture, home furnishings and equipment stores
Gasoline Service Stations (with or without convenience stores)
Grocery stores, supermarkets (with or w/o general merchandise, Convenience stores (with or w/o gas)
Health Services
Hotels, Motels, Motor Inns, Lodging
Hotels, rooming houses, camps, and other lodging places
Insurance agents, brokers and service
Insurance carriers
Laundry – Coin-operated Laundries and Drycleaning
Laundry – Garment Pressing, and Agents for Laundries and Drycleaners
Lawn and garden Services
Legal services
Liquor Stores
Manufacturing – Food and kindred products
Manufacturing – Apparel and other textile products
Manufacturing – Chemicals and allied products
Manufacturing – Electrical and electronic equipment
Manufacturing – Fabricated metal products
Manufacturing – Furniture and fixtures
Manufacturing – Industrial machinery and equipment
Manufacturing – Instruments and related products
Manufacturing – Leather and leather products

Manufacturing – Lumber and wood products
Manufacturing – Miscellaneous manufacturing industries
Manufacturing – Paper and allied products
Manufacturing – Petroleum and coal products
Manufacturing – Primary metal industries
Manufacturing – Printing and publishing
Manufacturing – Rubber and miscellaneous plastics products
Manufacturing – Stone, clay, glass, and concrete products
Manufacturing – Textile mill products
Manufacturing – Tobacco manufacturers
Manufacturing – Transportation equipment
Membership organizations – Other Miscellaneous
Mining – Coal mining and Services
Mining – Metal mining and Services
Mining and Quarrying of Nonmetallic minerals, except fuels
Mining – Oil and gas extraction
Motion Picture Theaters (except drive-in)
Motorcycle Dealers
Museums, art galleries, botanical & zoological gardens
Ornamental Shrub and Tree Services
Other food stores
Pawnshops
Personal Services – Other Miscellaneous
Pipelines, except natural gas
Political Organizations
Postal Service U.S.
Private households services
Process Services, Patent Agents, Notaries Public and Paralegal Services and Bail Bonding
Public Administration – Administration of economic programs
Public Administration – Environmental quality and housing
Public Administration – Executive, legislative, and general government
Public Administration – Finance, taxation, and monetary policy
Public Admin/International Affairs/Consulate, Diplomatic services – gov't, Embassy, Foreign mission
Public Administration – Justice, public order, and safety

Public Administration – National security and international affairs
Racing, Including Track Operations, Jockeys, Horse Trainers, and Race Car Owners and Drivers
Railroads
Real estate
Recreational Vehicle Dealers
Religious Organizations
Repair services – Miscellaneous
Restaurants, Cafeterias, Snack Beverage Bars, Food Svc Contractors, Caterers, Dinner Theaters
Retail trade – Building materials, hardware, garden supply, & mobile home
Retail trade – General merchandise stores
Retail trade – Other Miscellaneous Retail
Service Industries – Other Miscellaneous
Shoe Repair Shops and Shoeshine Parlors
Social services
Taxicabs
Tobacco Stores and Stands
Transportation – air
Transportation – Local and interurban passenger transit
Transportation – Motor freight transportation and warehousing
Transportation – Other Miscellaneous Transportation services
Transportation – Sightseeing Buses and Cable and Cog Railways
Transportation – Water Taxi
Transportation – Water transportation
Travel Agencies
Valet Parking Services
Veterinary Services
Wholesale trade – Beer and Ale
Wholesale trade – Flowers, Nursery Stock, and Florists Supplies
Wholesale trade – Fresh Fruits and Vegetables
Wholesale trade – other durable goods
Wholesale trade – other nondurable goods
Wholesale trade – Wine and Distilled Alcoholic Beverages
Wholesale – Jewelry, Watches, Precious Stones & Metals