



# Standard Bank Fundisa Application Form

## Collective Investments (Unit Trusts)

Before completing this form, please refer to the Standard Bank Fundisa Fund brochure which is available on [www.stanlib.com](http://www.stanlib.com).  
 Please submit the following to STANLIB: Completed and signed Application form, copy of the Client's South African ID/ Passport, copy of the Child/ nominated student's ID/ birth certificate.

### CLIENT DETAILS

TITLE	<input type="text"/>	INITIALS	<input type="text"/>
FIRST NAME	<input type="text"/>		
SURNAME	<input type="text"/>		
ID NUMBER/PASSPORT NUMBER	<input type="text"/> (COPY REQUIRED)		
CELLPHONE NUMBER	<input type="text"/>	-	<input type="text"/>
OTHER CONTACT NUMBER	<input type="text"/>	-	<input type="text"/>
POSTAL ADDRESS	<input type="text"/>		
CODE	<input type="text"/>		
PHYSICAL ADDRESS	<input type="text"/>		
CODE	<input type="text"/>		
EMAIL ADDRESS (IF YOU HAVE ONE)	<input type="text"/>		
SOURCE OF FUNDS	<input type="text"/>		
I HEREBY CONFIRM THAT THE MONTHLY INCOME OF THE CHILD/NOMINATED STUDENT'S HOUSEHOLD IS			
	<input type="checkbox"/>	0 - R15 000	<input type="checkbox"/>
			OVER R15 000

### CHILD/ NOMINATED STUDENT DETAILS

The minimum investment amount for each child/ nominated student is R40. Fundisa grants are strictly for the tertiary education of students from households earning less than **R180 000** per annum and who are younger than 35 years.

NAME/S	<input type="text"/>																	
SURNAME	<input type="text"/>																	
IDENTITY NUMBER	<input type="text"/>								GENDER	<input type="checkbox"/>	FEMALE	<input type="checkbox"/>	MALE					
DATE OF BIRTH	<input type="text"/>	-	<input type="text"/>	-	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	RACE	<input type="checkbox"/>	BLACK	<input type="checkbox"/>	COLOURED	<input type="checkbox"/>	INDIAN	<input type="checkbox"/>	WHITE
IS THE BENEFICIARY'S HOUSEHOLD INCOME MORE THAN R180 000 PER ANNUM? The beneficiary's household income refers to the combined income of the parents/ guardians of beneficiary.																		
<input type="checkbox"/> YES <input type="checkbox"/> NO																		
INVESTMENT AMOUNT (ONCE OFF)	R	<input type="text"/>	.	<input type="text"/>														
DEBIT ORDER AMOUNT (MONTHLY)	R	<input type="text"/>	.	<input type="text"/>														



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SURNAME

IDENTITY NUMBER  GENDER  FEMALE  MALE

DATE OF BIRTH  -  -  RACE  BLACK  COLOURED  INDIAN  WHITE  
D D M M Y Y Y Y

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INVESTMENT AMOUNT (ONCE OFF) R  .

DEBIT ORDER AMOUNT (MONTHLY) R  .

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DEBIT ORDER AMOUNT (MONTHLY) R  .

### DEBIT ORDER DETAILS FOR A ONCE OFF DEBIT

ONCE OFF DEBIT AMOUNT R  .

ONCE OFF DEBIT DATE  -  -   
D D M M Y Y Y Y

A maximum of R500 000 may be debited from a client's bank account within a 45 day period. I hereby authorise STANLIB Collective Investments Limited to arrange with my bank for the payment of the investment amounts as indicated above, including amendments that may be made during the life of the investment on the specific day as indicated. Debits can only be loaded 2 business days after receiving the completed information.



## DEBIT ORDER DETAILS FOR A RECURRING DEBIT ORDER

DEBIT ORDER PREFERRED DATE   COMMENCEMENT DATE   -   -      
D D M M Y Y Y Y

PAYMENT FREQUENCY  MONTHLY  QUARTERLY  BI-ANNUALLY  ANNUALLY

## BANKING DETAILS FOR A ONCE OFF/ RECURRING DEBIT ORDER

BANK

BRANCH  BRANCH CODE

ACCOUNT NUMBER

ACCOUNT TYPE  CHEQUE  SAVINGS  TRANSMISSION

STANDARD BANK ATM CARD NUMBER

Note: your Fundisa account will be linked to your ATM card allowing you to obtain balances.

ACCOUNT HOLDER'S ID NUMBER

ACCOUNT HOLDER'S NAME

SIGNATURE OF BANK ACCOUNT HOLDER/ AUTHORISED SIGNATORY

If the debit order is funded by a 3rd party (spouse included):

\* For individuals: Certified copy of the third party's identity document with a specimen signature.

\* For entities: Standard Bank – Bank account mandate \* Other banks – Letter from the bank confirming signing authority and a certified copy of identity document with a specimen signature of the signatory/ies.

## ANNUAL SERVICE CHARGE

STANLIB levies an annual service charge of 0.5% (Excl. Vat) on the market value of the portfolio.

## FINANCIAL SERVICES PROVIDER CHARGES

Please note:

- In the interest of 'best advice', STANLIB promotes the use of a professional Financial Adviser. Should a Financial Adviser be used by the Client, STANLIB will pay the initial Financial Adviser. If the Client chooses not to use a Financial Adviser service, no initial charge will be applied to the investment.
- Financial Adviser negotiates the initial charges with the Client and should depend on the level of professional advise/service rendered. STANLIB may at its sole discretion, vary the percentage of the initial charge that it pays to the Financial Adviser.
- The Client and Financial Adviser have agreed that the following upfront manager charge be levied on all new investments in this account and that this charge will be paid across to the Financial Adviser as commission.
- If the initial Financial Adviser charge is not specified, zero fees will be applied. If the initial Financial Adviser charge specified is higher than the maximum fee, the maximum will be applied.

% A MAXIMUM CHARGE OF 3.00% MAY BE LEVIED. IF NO FEE IS INDICATED, A DEFAULT FEE OF 0% WILL APPLY.

FINANCIAL ADVISER NAME

FINANCIAL ADVISER CODE

## TERMS AND CONDITIONS

- Electronic Transactions: the Client agrees that STANLIB shall be entitled to implement all instructions and applications of whatever nature received on their Internet site, by telephone, by fax or any other electronic medium and which appear to emanate from the Client. STANLIB are indemnified against any losses, claims or damages arising from acting on such instructions and/or applications, notwithstanding that it may later be proved that any such instruction was not given by the Client. The Client agrees that the electronic records of all instructions and applications processed by/or on behalf of him/her or which purport to be processed on behalf of the Client via STANLIB's Internet site, telefax, telephone or any other electronic medium shall constitute prima facie proof of the contents of such instructions and applications.
- Net Asset Value (NAV): Prices are calculated on a NAV basis, which is the total value of all assets in the Portfolio including any income accrual and less any permissible deductions from the Portfolio divided by the number of participatory interests in issue. Permissible deductions include brokerage, STT, auditor's fees, bank charges, trustee/custodian fees and the service charge levied by STANLIB Collective Investments Limited ("the Manager")
- Annual Service Charge (levied monthly incl. VAT): The annual service charge is accrued daily and levied monthly on the market value of the portfolio. The annual service charge is normally deducted from the income received by the portfolio.
- Income Distributions: Income distributions in terms of Fundisa will automatically be reinvested in the applicable account.
- Grant Allocation and Transfer: The grant allocation is restricted to a maximum of R600 per child/nominated student per year, which means any transfer of grant between children/nominated student has to meet this restriction. Any grant transfer to a NSFAS recognised tertiary education centre has to be done with a transfer of the corresponding investor money. When paying for



educational expenses, both the investor savings and grant portion can only be paid for the child/nominated student listed on the Fundisa account. Multiple payment allocations for one child/nominated student are NOT allowed.

6. General: The Fundisa Fund is not a bank deposit, but a collective investment scheme (unit trust) which is generally a medium to long term investment. The value of your investment may go down as well as up during the period of investment. Past performance is not necessarily a guide to future performance. Fundisa is traded at a price that is valued in terms of law, at the end of the day for that same day. Fundisa may borrow money and lend out some of its assets to earn more income. If any commission or incentives are paid, it will be included in the costs disclosed to you. The Fundisa Fund must be administered in terms of law. You can get information on what the law says from the place where you make your investment. The Manager of your investment is STANLIB Collective Investments (RF) Limited who is a member of the Association for Savings and Investment of South Africa (ASISA). If you are not satisfied with the manner in which your account is managed, you may contact the Association for Savings and Investment of South Africa on 011 325 6223 or send an email to [info@asisa.co.za](mailto:info@asisa.co.za) for assistance. The proceeds from Fundisa can only be used at public tertiary institutions and FET colleges that are recognised by NSFAS. The learner must start studying before the age of 35.

#### 7. Conflicts of Interest Disclosure

7.1 STANLIB shall, wherever possible avoid situations causing a conflict of interest. Where it is not possible to avoid such conflict: STANLIB shall advise the Client, of such conflict in writing at the earliest reasonable opportunity and shall mitigate the conflict of interest in accordance with its Conflict of Interest Management Policy. A copy of this Policy is available on the STANLIB website.

7.2 STANLIB is part of the Standard Bank Group of companies and both the Liberty Agency and SBFC intermediaries are permitted to sell various STANLIB products. Stonehouse Capital holds equity interests in various FSP's which may result in an unavoidable conflict of interest. Clients of StoneHouse Capital partners, are encouraged to familiarize themselves with the conflict of interest disclosures, as required by their FAIS license, prior to engagement.

7.3 The Client may at any time terminate the Financial Adviser's appointment. It is the Client's responsibility to advise STANLIB of such termination in writing. Upon receipt of such notification STANLIB will cease payment of any further service charge to the Financial Adviser. However the client understands that this will not result in a lower annual service charge as the full service charge will now be paid to STANLIB.

8. Query Support and Middle Office: STANLIB Compliance and Complaints, PO Box 202, Melrose Arch, 2076 Telephone: 0860 123 003

9. Complaints: Should the Client wish to lodge a complaint with STANLIB regarding the services being provided, the Client can locate STANLIB's Complaints procedure on [www.stanlib.com/contactus/pages/furtherqueries.aspx](http://www.stanlib.com/contactus/pages/furtherqueries.aspx), alternatively the Client can send an email to [rateus@stanlib.com](mailto:rateus@stanlib.com)

## NSFAS UNIVERSITIES & FET COLLEGES

### Eastern Cape

Buffalo City FET College  
East Cape Midlands FET College  
Ikhala FET College  
Ingwe FET College  
King Hintsa FET College  
King Sabatha Dalindyebo FET College  
Lovedale FET College  
Nelson Mandela Metropolitan University  
Port Elizabeth FET College  
Rhodes University  
University of Fort Hare  
Walter Sisulu University (Buffalo City Campus)  
Walter Sisulu University (Butterworth Campus)  
Walter Sisulu University (Mthatha Campus)  
Walter Sisulu University (Mthatha Campus)  
Walter Sisulu University (Queenstown Campus)

### Gauteng

Central Johannesburg FET College  
Ekurhuleni East FET College  
Ekurhuleni West FET College  
Sedibeng FET College  
South West Gauteng FET College  
Tshwane North FET College  
Tshwane South FET College  
Tshwane University of Technology  
University of Johannesburg  
University of Pretoria  
University of South Africa  
University of the Witwatersrand  
Vaal University of Technology  
Western College for FET

### Kwazulu-Natal

Coastal KZN FET College  
Durban University of Technology  
Elangeni FET College  
Esayidi FET College  
Majuba FET College  
Mangosuthu University of Technology  
Mnambithi FET College  
Mthashana FET College  
Thekwini FET College  
Umfolosi FET College  
Umgugundlovu FET College  
University of Kwazulu-Natal  
University of Zululand

### Limpopo

Capricorn FET College  
Lephalale FET College  
Letaba FET College  
Mopani South East FET College  
Sekhukhune FET College  
University of Limpopo (Ga-Rankuwa Campus)  
University of Limpopo (Turloop Campus)  
University of Venda  
Vhembe FET College  
Waterberg FET College

### Western Cape

Boland FET College  
Cape Peninsula University of Technology  
College of Cape Town  
False Bay FET College  
Northlink FET College  
South Cape FET College  
Stellenbosch University  
University of Cape Town  
University of the Western Cape  
West Coast FET College

### Free State

Central University of Technology  
Flavius Mareka FET College  
Goldsfields FET College  
Maluti FET College  
Motheo FET College  
University of Free State

### North -West

North West University  
Orbit FET College  
Taletso FET College  
Vuselela FET College

### Mpumalanga

Ehlanzeni FET College  
Gert Sibande FET College  
Nkangala FET College  
University of Mpumalanga (Siyabuswa Campus)



**DECLARATION**

I/We hereby apply for participatory interests (units) in the above selected portfolio(s) and understand that this investment will be subject to the Deeds governing the scheme(s) administered by STANLIB Collective Investments (RF) Limited.

I/We hereby agree to provide all documentation and information required in terms of the Financial Intelligence Centre Act, No. 38 of 2001, and understand that STANLIB is prohibited from processing any transaction on my behalf until all such documentation and information has been provided. Any money received by STANLIB that is not accompanied by the required documentation will be held in the money market portfolio until said documentation is received.

The Client and the Financial Adviser, by signing this form, state and declare that they have each read and understood the terms and conditions pertaining to the investment; including but not limited to Investment Objective, Information on Net Asset Value, Charges, Risk Factors, Income Accruals and declare that the STANLIB and Financial Adviser charges as indicated on this application form are correct; warrant that all statements given by each of them in this application form are true and correct in every aspect; and that such statements shall form the basis of the contract which is to be entered into with STANLIB as well as the contract between the Client and the Financial Adviser.

The Client understands that in terms of the Financial Advisory and Intermediary Services Act, 2002 ("FAIS"), his Financial Adviser must be mandated by a licensed Financial Services Provider ("FSP") as a representative with the necessary FAIS sub - categories to act on the Client's behalf and that it is also the Client's responsibility to determine whether his Financial Adviser has the necessary authorization. (FSB toll free number: 0800 110443). If a Financial Adviser is not mandated as required by the Financial Services Board, STANLIB is obliged by law to decline any instructions from such Financial Adviser. STANLIB may and will accept instructions on the strength of the Client's signature

SIGNATURE OF CLIENT/  
AUTHORISED SIGNATORY

DATE

		-			-				
D	D		M	M		Y	Y	Y	Y

SIGNED AT

SIGNATURE OF FINANCIAL ADVISER

DATE

		-			-				
D	D		M	M		Y	Y	Y	Y

SIGNED AT

