

**MUTUAL FUND SWITCH LETTER**

To: Kalos Capital, Inc.

In consideration of my investment objectives, I have made the decision to sell my shares of \_\_\_\_\_ mutual fund and invest the proceeds in \_\_\_\_\_.

I have made this decision for the following reason(s):

\_\_\_\_\_

\_\_\_\_\_

With my original purchase or with their liquidation of my mutual fund which I now intend to sell, I (  did/will,  did/will not) pay a sales charge (also called "a load"). I understand that I may be able to transfer the proceeds within the same family of funds at net asset value without incurring another sales charge. By investing in a different family of funds I may be subject to either another initial or deferred sales charge. I have concluded that this transaction is appropriate and suitable for me.

\_\_\_\_\_  
Name of Investor

\_\_\_\_\_  
Signature of Investor

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name of Registered Representative

\_\_\_\_\_  
Signature of Registered Representative

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name of Registered Principal

\_\_\_\_\_  
Signature of Registered Principal

\_\_\_\_\_  
Date