



## ApexHi Charitable Trust | Application pack 2015

### **This Application pack contains the following:**

- Funding principles
- Activities or sectors NOT considered for support
- Description of the ApexHi Charitable Trust's primary sectors of interest
- Application form

**Please use the application form provided, and supply information relevant to the grant being requested.**

**Using another application form and / or template is not acceptable and will negatively impact on the efficient and effective review of your application throughout the grantmaking process. All sections of the application form need to be completed in order for your application to be considered.**

**This form is an editable pdf form. Please complete all fields, then save your file.**

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Funding proposals are considered by the trustees of the ApexHi Charitable Trust four times during the year. Please refer to <http://www.tshikululu.org.za> for updates on the submission deadlines. Note that late submissions will not be considered for that specific funding cycle.

# ApexHi Charitable Trust Funding guidelines 2015

These guidelines provide the general principles and criteria the ApexHi Charitable Trust (hereafter known as “the Trust”) uses to determine your organisation’s eligibility for funding.

Please continue on to the next page for information regarding the Trust’s main sectors of interest.

## Funding criteria and principles

- To be considered for funding, your organisation **must** be registered as a Public Benefit Organisation with SARS and must have audited financial statements.
- Submission of a complete application with all the necessary documentation does **not** guarantee that funding will be approved.
- One of the Trust’s priorities is providing funding for small, community-based organisations that demonstrate strong potential to make a substantial impact in their community.
- **The Trust rarely finances the full cost of a project; it prefers to make a contribution towards a shortfall in the budget. If you continue with your application, please bear this in mind when making your funding request.**
- The Trust does provide multi-year funding, if necessary and appropriate.
- The Trust does provide funding for core/operational costs, as well as for implementation of monitoring and evaluation systems.
- Sustainability of projects is crucial, as are sound financial practices and systems.
- Applications for funding that include substantial consideration of the following themes will be advantaged in the selection process:
  - Capacity-building;
  - Thought leadership;
  - Innovative solutions;
  - Partnerships with government, other donors and other NGOs; and
  - Community engagement and ownership of projects.

The following activities and / or sectors are not considered for support by the Trust.

## Ineligible activities and / or sectors

- Individuals and/or individual bursaries/bursary funds;
- Overseas tours and exchanges;
- Sporting activities, sports and recreation clubs (except for specific projects that are focused on community development);
- Arts, culture and heritage (except for specific projects that are focused on community and youth development);
- Environmental, wildlife and/or animal welfare projects;
- Company promotions and membership subscriptions;
- Musical festivals/choirs and/or video and film productions;
- Political or quasi-political bodies;
- Religious organisations (except community outreach projects);
- Trade unions;
- General fundraising requests (only specific requests will be considered);
- Leveraged giving (meeting the costs of collecting donations from others);
- Endowment trusts;
- Commercial ventures (closed corporations and other for profit activities);
- Loans and investments
- Conferences;
- Grand projects which are beyond the capacity of the Trust to contribute to meaningfully; and
- Advertising in educational or other supplements.

# ApexHi Charitable Trust Funding guidelines 2015

If your organisation does not fulfil the funding criteria and / or does not implement projects within the Trust's primary sectors of interest, **please do not apply for funding at this time.**

## Areas of funding

The Trust seeks to invest in innovative, community-based organisations and/or projects in each of its focus areas. The Trust's programmes in the sector of **Education**:

### **School Leadership and Teacher Development**, aiming to:

- To increase the content and pedagogical knowledge of **new teachers** who have been in the profession for less than five years through practical and relevant on-site support and mentorship, as well as training;
- To improve the management capacity of **principals, school management teams** and **school governing bodies** through practical and relevant training and support;
- To strengthen the working relationships between **teachers, principals** and **district managers**; and
- Ultimately, to improve the learner results in schools impacted by programmes.

### **Early Childhood Development**, aiming to increase access to high-quality ECD services, both formally and informally, for as many children as possible, through:

- Home and community-based programming, including home visiting, playgroup, and toy library programmes. Preference will be given to projects that proactively include parents/caregivers in the ECD process.
- Training for children with disabilities. Funding will be provided to train ECD practitioners about early identification and intervention for children with disabilities. In addition, funding will be provided for trained practitioners providing support to parents with children with disabilities (e.g., through support groups, referrals, networking, training, etc.).

Please note that the Trust does not provide funding to individual ECD centres and funding applications directly from ECD centres will not be considered.

**Community Strengthening**, through which grants are awarded to organisations working in the welfare sector. The Trust will consider proposals focusing on the following target populations:

- The aged;
- The homeless and destitute;
- People with disabilities; and
- Interpersonal Violence.

The Trust will also consider proposals focusing on Orphans and Vulnerable Children (OVC). For OVC, proposals must focus on one or both of the following areas:

### **Support for institutional care with a developmental focus**

Over and above providing shelter, basic needs and education, organisations must have a developmental focus by offering programmes such as life skills, psychosocial support and re-integration into the community to help children cope with the "outside world" once they become adults.

### **Community-based and family-focused OVC programmes**

Organisations must offer programmes that strengthen the capacity of families and communities to care for their own children. These should not be once-off interventions (e.g. feeding schemes or ad hoc after-school support), but must work with families, for a limited period, to strengthen self-reliance and coping mechanisms. The end goal of these programmes must be to "graduate" families as quickly as possible.

Finally, the Trust also provides grants in support of **practical research** in the above areas of interest in order contribute to improved decision-making and knowledge-sharing in the development sector.

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**Please use the application form provided on the following pages. Using another application form and / or template is not acceptable and will negatively impact on the efficient and effective review of your application throughout the grantmaking process.**

**All sections of the application form need to be completed in order for your application to be considered.**

The ApexHi Charitable Trust is required by the BEE Codes of Good Practice No. 29617 of 2007, statement 700, section 3 (3.2) (3.2.2) and (3.2.3) to provide the ethnic and gender breakdown of all the beneficiaries of its corporate social investment. Please note that information collated through the application process regarding racial and gender demographics are for Tshikululu's reporting purposes only and will not affect the success or otherwise of this application.

Please keep a copy of your application, as your organisation will be required to report on the activities set out in the application should funding be approved.

Send your application form together with the attachments to the email address below. **Should you have any queries, please contact 011 544 0300.**

**By email:** [apexhitrust@tshikululu.org.za](mailto:apexhitrust@tshikululu.org.za)

Date of submission:	.....
Name of organisation:	.....
Date established:	.....
Name of project for which funding is being requested:	.....
Project reference number (only if you have previously received funding):	.....

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Section 1 – Organisation overview	
<b>Please provide whichever of the following numbers are applicable to your organisation:</b>	
Name of organisation:	.....
NPO number (from DSD):	..... PBO number (from SARS): .....
Company registration no.:	..... Trust registration no.: .....
1. Contact details	
Physical address including province and code:	..... ..... .....
Postal address including postcode:	..... ..... .....
Telephone number:	..... Fax number: .....
Organisation website:	.....
2. Details of contact person for this application	
Full name and designation:	(Please state title) .....
Direct tel. & cell no.:	..... Direct email: .....
3. Details of alternate contact person	
Full name and designation:	(Please state title) .....
Direct tel. & cell no.:	..... Direct email: .....

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Please provide information below for the specific project for which your organisation is requesting funding. If your organisation is requesting core / operational funding, please write 'core / operational funding' in the first box titled 'name of project' and then provide an explanation of how this funding will be used in the appropriate sections.

This section is vital to the grantmaking process and your opportunity of receiving funding so please ensure that the information you provide is as complete and as detailed as possible within the space provided.

Section 2 - Funding request	
Name of project:	.....
Planned project start date:	.....
Expected duration of project:	.....
1. Alignment with ApexHi Charitable Trust strategy	
In which focus areas of the Fund strategy does your project operate?	<b>Education</b>
	<input type="checkbox"/> School leadership and teacher development
Please tick only the most appropriate boxes.	<input type="checkbox"/> Early Childhood Development
	<b>Community Strengthening</b>
	<input type="checkbox"/> The aged
	<input type="checkbox"/> The homeless and destitute
	<input type="checkbox"/> Orphans and Vulnerable Children
	<input type="checkbox"/> People with disabilities
	<input type="checkbox"/> Victims of gender-based violence



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## Section 2 - Funding request continued

**2. Please describe the project for which you are requesting funding, including but not limited to: background, motivation for starting project, if and how a needs assessment was completed:**

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**3. Primary objective(s) of the project:**

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**Section 2 - Funding request continued**

**6. What is the anticipated project reach using this grant?**

Please note: "Direct beneficiary" refers to a person, group of persons or organisation that has direct contact with the intervention or project.

	Total	African	Coloured	Indian / Asian	White	Foreign National	Disability
Direct female beneficiaries							
Direct male beneficiaries							

**7. Describe your direct beneficiaries:**

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Section 2 - Funding request continued		
<b>10. Provincial distribution of project</b>		
<p>In which province(s) and <b>local municipalities</b> will you implement this project? If the project will take place in more than one province, please indicate the percentage of the requested funding that will go to each province. Underneath each selected province, please note in which local municipality (LM) the project will be implemented.</p>		
<input type="checkbox"/>	<b>Eastern Cape</b>	<input type="checkbox"/>
	Municipality: .....	<b>Mpumalanga</b>
		Municipality: .....
<input type="checkbox"/>	<b>Free State</b>	<input type="checkbox"/>
	Municipality: .....	<b>North West</b>
		Municipality: .....
<input type="checkbox"/>	<b>Gauteng</b>	<input type="checkbox"/>
	Municipality: .....	<b>Northern Cape</b>
		Municipality: .....
<input type="checkbox"/>	<b>KwaZulu-Natal</b>	<input type="checkbox"/>
	Municipality: .....	<b>Western Cape</b>
		Municipality: .....
<input type="checkbox"/>	<b>Limpopo</b>	<input type="checkbox"/>
	Municipality: .....	<b>National:</b>
		.....
<b>11. List any other donors that have granted funding to your organisation for this project in the last 12 months.</b>		
<b>Donor</b>	<b>Amount requested</b>	<b>Pending or approved</b>
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.....	.....	.....
.....	.....	.....
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## Section 3 - Organisation in-depth

### 1. Provincial distribution of organisation

In which province(s) does your organisation operate? Please check the appropriate boxes. If your organisation is a national one, please check the **national** box.

<input type="checkbox"/>	Eastern Cape	<input type="checkbox"/>	Mpumalanga
<input type="checkbox"/>	Free State	<input type="checkbox"/>	North West
<input type="checkbox"/>	Gauteng	<input type="checkbox"/>	Northern Cape
<input type="checkbox"/>	KwaZulu-Natal	<input type="checkbox"/>	Western Cape
<input type="checkbox"/>	Limpopo	<input type="checkbox"/>	National

### 2. Tell us about your Trustees, Directors or Members as per the table below:

Full name	Role or Designation	Race	Gender	Disability Y/N	Area of expertise





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<b>Section 3 - Organisation in-depth continued</b>			
<b>8. How are your beneficiaries represented at management level and how are they involved in decision-making?</b>			
<b>7. List any local, provincial, national and/or international affiliations/forums to which your organisation belongs:</b>			
<b>8. If you are an umbrella body, list the organisations that are affiliated to your organisation:</b>			
<b>9. Partnership with government - provide details as per the table below:</b>			
<b>Government department</b>	<b>Local, provincial, national</b>	<b>Directorate</b>	<b>Nature of relationship</b>



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<b>Section 4 - Your other projects</b>	
<b>1. Provide a brief description of one of the three primary projects that your organisation implements other than the project for which you are requesting funding.</b>	
<b>Name of project one:</b>	.....
Description:	..... ..... .....
Number of beneficiaries:	.....
Total project budget:	.....
<b>Name of project two:</b>	.....
Description:	..... ..... .....
Number of beneficiaries:	.....
Total project budget:	.....
<b>Name of project three:</b>	.....
Description:	..... ..... .....
Number of beneficiaries:	.....
Total project budget:	.....

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All applicants need to attach the documents listed below to their application. While the submission of these documents is unfortunately not a guarantee of funding, please note that incomplete applications will not be accepted.

If you have received a grant from the Trust before, you do not need to include a copy of your constitution/trust deed etc. unless it has changed since the since the original submission.

Section 5 - Attachments		
Document	Check if attached	Provide reason if not attached
A copy of a letter from SARS confirming your PBO status (e.g. Section 18a or Section 30).	<input type="checkbox"/>	
An ITA 34 or a Certificate of Good Standing from SARS	<input type="checkbox"/>	
Any other relevant registration certificates (e.g. NPO registration certificate)	<input type="checkbox"/>	
<b>Associations or Organisations:</b> a signed copy of your constitution.	<input type="checkbox"/>	
<b>Companies:</b> a signed copy of your Memorandum of Incorporation.	<input type="checkbox"/>	
<b>Trusts:</b> a signed copy of your Trust Deed and Letters of Authority.	<input type="checkbox"/>	
Latest annual report	<input type="checkbox"/>	
Latest set of unabridged, signed financial statements	<input type="checkbox"/>	
The most up-to-date Management Accounts for the current financial year	<input type="checkbox"/>	
If your organisation has an associated Trust or any other body that contributes to the finances of the organisation, please submit the audited financial statements of this body	<input type="checkbox"/>	
Organisational budget for current financial year and following financial year	<input type="checkbox"/>	
A detailed budget for the project for which you are requesting funding. Please ensure that the budget period is included and add any explanatory notes.	<input type="checkbox"/>	
Any internal or external evaluations of the impact of the work of the organisation or project.	<input type="checkbox"/>	
Your organisation's banking details (bank and account name and branch code), typed onto your letterhead and signed by two senior members of the organisation:	<input type="checkbox"/>	

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## The ApexHi Charitable Trust monitoring and evaluation indicators

All applicants should note that if your application for funding is successful, your organisation will be required to provide quantitative information as part of your reporting. Below you will find the approved indicators for each ApexHi Charitable Trust programmes, which you must report on. Please ensure that your grant proposal takes these indicators into account.

### Programme Indicators

#### Early Childhood Development

*Home and community-based programming*

- number of children being reached by programmes;
- number of new children being reached by programmes (i.e. children who have not previously accessed any ECD services);
- number of parents/caregivers trained/participating in programmes;
- number of parents/caregivers who complete programme; and
- number of children demonstrating expected developmental progress.

*ECD practitioner/caregiver disability training*

- Number of practitioners being trained in working with children with disabilities
- Number of practitioners successfully completing training course
- Number of parents being supported by trained practitioners
- Number of children reached by the programme, including children with disabilities

**NB:** These indicators are complemented by qualitative success stories and/or case studies outlining both positive developments and challenges during the reporting period.

#### School Leadership and Teacher Development

*To increase the content and pedagogical knowledge of new teachers who have been in the profession for less than five years through practical and relevant on-site support and mentorship, as well as training:*

- number of teachers (per grade and per subject) receiving training, on-site support and mentorship;
- number of teachers demonstrating improved subject knowledge or practice; and
- number of learners reached through supported teachers.

*To improve the management capacity of principals, school management teams and school governing bodies through practical and relevant training and support:*

- number of principals/school management team members/school governing body members/teachers attending training'
- number of principals/school management team members/school governing body members/teachers completing training;
- number of schools reached by the programme; and
- number of schools with improved management as measured by:
  - punctuality,
  - learner attendance,
  - teacher attendance, and
  - curriculum coverage.

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To strengthen the working relationships between teachers, principals and district managers and, ultimately, improve learner results in schools impacted by the programmes:

- number of school visits by district officials per school per quarter;
- quality of support received by schools (as rated by schools);
- number of schools reached by the programme;
- number of learners indirectly reached by the programme;
- matric results (for secondary schools); and
- grades three and six ANA results (for primary schools).

**NB:** These indicators are complemented by qualitative success stories and/or case studies outlining both positive developments and challenges during the reporting period.

## Community Strengthening

For all CS (Aged, Homeless and Destitute, People with Disabilities and Interpersonal Violence) grants, partners will be required to report on the following indicators

<b>Number of successfully assisted beneficiaries</b>	Interpersonal violence People with disabilities	Number of beneficiaries referred to other institutions for further assistance, which cannot be provided by the organisation
	Interpersonal violence People with disabilities	Number of beneficiaries graduated* from the programme
	Interpersonal violence Homeless and destitute	Number of beneficiaries reunited with families
	The aged	Number beneficiaries with improved health state

For OVC grants, partners will be required to report on the following indicators

<b>Institutional care</b>	<b>Family-focused</b>
Number of children reached	Number of children reached
Number of children reintegrated into families/communities	Number of families on the programme
	Number of families 'graduated' from the programme

**NB:** These indicators are complemented by qualitative success stories and/or case studies outlining both positive developments and challenges during the reporting period.