

Investec ISA 2014/2015 Transfer Form

(Stocks and Shares ISA only)

Investec Fund Centre Telephone 020 7597 1900

Please complete ALL parts (including the Transfer Authority Form) of this form in BLOCK CAPITALS and return to: Investec Fund Managers Limited, PO Box 9042, Chelmsford CM99 2XL.

This form can be used if you are transferring an existing Stocks and Shares ISA (or Cash ISA) into the Investec ISA. Please ensure that you read the Key Investor Information Document (KIID) for the share class of the fund(s) you want to invest in and the Supplementary Information Document (SID), which includes the Terms and Conditions for ISA investors. These can be found at www.investecassetmanagement.com. If you are a US person we will not be able to accept your application. If a financial intermediary has arranged this application commission may be payable to them if advice has not been given.

For hand and courier deliveries only, please return to: Investec Fund Managers Limited, Adams House, 2 Springfield Lyons Approach, Chelmsford, Essex, CM2 5LG

Online valuation service. If you would like a password so that you can access your valuation online, please supply your email address below and tick here

If this form is submitted via a financial intermediary, section 7 needs to be completed by that financial intermediary overleaf.

1. Personal details

You must complete this section. All fields within this section are mandatory, your application form cannot be processed without this information.

Title (Mr/Mrs/Miss/Other)	Date of birth	D D M M Y Y Y Y
Surname	Daytime telephone number	
Forenames	Email address	
Permanent residential address	National Insurance number*	
Postcode	<input type="checkbox"/> Tick here if you do not have a National Insurance (NI) number	

*You should be able to find your NI number on a payslip, form P45 or P60, a letter from the HM Revenue & Customs, a letter from the DWP, or pension order book.

2. Investec ISA investment

Only complete this section if you intend making additional contributions to this ISA during the current, or subsequent, tax years.

I apply to subscribe to the Investec Stocks and Shares ISA and for each subsequent tax year until further notice:

The maximum subscription amounts for 2014/15 Stocks and Shares ISA is £15,000. However, up to 30 June 2014 subscriptions are limited to a maximum of £11,880. This annual subscription limit increases from £11,880 to £15,000 on 1 July 2014. This means that up to 30 June 2014, investors can only subscribe up to the previously announced limits (overall £11,880) but, from 1 July 2014, they can top-up further (up to £3,120) to the new £15,000 limit. Investors subscribing for the first time in this tax year on or after this date may subscribe up to this higher amount. Monthly subscriptions are subject to a monthly limit of £1,250 for the whole period.

Please note that if you have a cash ISA in the same tax year as you subscribe to this Stocks and Shares ISA you cannot subscribe more than £15,000 in total into your Cash ISA and Stocks and ISA in that tax year (subject to a maximum of £11,880 up to 30 June 2014).

3. Investment details

Please enter below the Fund name(s) and share class type(s) that you wish to invest in and the proportion of the amount(s) to be transferred that you wish to invest in the Fund(s) of your choice. If you do not specify this information your application may be rejected. You will receive class 'A' GBP Net shares in your selected Fund(s).

Please see the relevant SID and/or the KIID(s) for the funds you are investing in for details, including minimum investment amounts (from £1,000 per fund) and share class availability.

Share class type: Please indicate for your selected Fund(s) in the relevant box above whether you wish to invest in Accumulation (Acc) or Income (Inc) shares (the share class availability of each Fund is detailed in the SID). If you elect to invest in Income Shares please see Section 4. Notwithstanding any indication, if a Fund you have selected only issues one type of share you will automatically receive that type. If you have not marked either box for a Fund which issues both Income and Accumulation shares you will automatically be invested in Accumulation shares.

While the Multi-Asset Protector Fund is eligible to be held within a Stocks and Shares ISA this may, in exceptional circumstances, change over time. Please read the SID for full details.

Previous tax year contributions: You can transfer all or a percentage of your previous tax year contributions invested with another ISA manager.

If you are transferring previous tax year contributions, please indicate whether the transfer is partial or total (insert a tick where applicable)

Current tax year contributions: You can only transfer current tax year contributions in total and we are unable to accept further subscriptions until the transfer is complete.

Full name(s) of chosen Fund(s)	Acc	Inc	Proportion of amount transferred
	<input type="checkbox"/>	<input type="checkbox"/>	%
	<input type="checkbox"/>	<input type="checkbox"/>	%
	<input type="checkbox"/>	<input type="checkbox"/>	%
Total			100 %

Please add together any amounts entered above in the Total box. These amounts must equal 100%.

Please use another sheet of paper if you need more space to list your chosen Funds.

4. Bank mandate for income distribution and/or redemption proceeds

Please complete this section if you wish to have either income distributions and/or redemption proceeds paid directly to your bank account.

Income distributions: If you have indicated in section 3 that you wish to invest in Income shares you MUST complete this section with your bank details. If you do not provide your bank details in this section, then you will automatically be invested in Accumulation shares until such time as you provide your bank details to us.

Redemption proceeds: If you do not complete this section then any future redemption proceeds will be paid by cheque. The payment of redemption proceeds is subject to Investec having verified your identity. In certain circumstances we may need to request additional information to verify your identity. If this is necessary we will write to request the information and this may delay the payment of your proceeds.

Please note, we do not make any third party payments. The bank account indicated must be in the name of the investor and we will only make income payments and redemption payments, as applicable, to this account.

Redemption payments will only be made directly into your bank account in this way if the details you provide are the same as those previously supplied. We can only make redemption payments by electronic bank transfer if we hold proof of your bank account on file. Please contact us if you are unsure of whether we hold your current bank details.

Bank/building society name	Branch sort code	<input type="text"/>	<input type="text"/>	<input type="text"/>
Branch address	Bank account number	<input type="text"/>		
	or			
Postcode	Building society roll number	<input type="text"/>		
	Account holder name	<input type="text"/>		

5. Data protection

Please note that any information you provide us with will be stored and processed electronically. Your name will be added to a mailing list which may be used to inform you of any new or existing Investec products. We will not transfer data outside the Investec Group, its associated companies or agents. If you prefer not to receive such details, please tick this box.

6. Declaration and authorisation

By signing below you declare that:


- (a) I wish to transfer my Individual Savings Account to Investec Fund Managers Limited;
- (b) all subscriptions made, and to be made, belong to me;
- (c) I am 18 years of age or over;
- (d) I have not subscribed and will not subscribe more than the overall subscription limit in total to a Cash ISA and a Stocks and Shares ISA in the same tax year;
- (e) I have not subscribed and will not subscribe to another Stocks and Shares ISA in the same year that I subscribe to this Stocks and Shares ISA; and
- (f) I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Investec Fund Managers Limited if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
- (g) I am not a US person and will inform Investec Fund Managers Limited immediately should my status change.

I authorise Investec Fund Managers Limited:

- (i) to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash; and
- (ii) to make on my behalf any claims to relief from tax in respect of ISA investments.

This application is subject to Investec's ISA terms and conditions, our standard client ISA agreement upon which we intend to rely. For your own benefit and protection you should read these terms carefully before signing this application form. If you do not understand any points please ask for further information.

I declare that this application form has been completed to the best of my knowledge and belief and that I have received and read the current applicable Key Investor Information Document(s) and Supplementary Information Document, including the Data Protection Policy, which are important because they form a summary of the basis on which the service is provided.

Signature 
Date


7. Financial intermediary declaration and undertaking

If this form is submitted via a financial intermediary, then this section must be completed by that financial intermediary. By completing this section you are confirming that you have received, read and are bound by Investec's Intermediary Terms of Business.

Company name
Name
Address
Postcode
FCA number
Investec reference number

Please tick the applicable box in either Part 1 or Part 2 below*.

I/we confirm:

Part 1 – Advice has been given in respect of this application and NO commission can be paid. <input type="checkbox"/>
Part 2 – Advice has NOT been given in respect of this application and commission can be paid. <input type="checkbox"/>
I/we represent and warrant that this application form is submitted on terms that require commission to be paid by Investec and that I/we am/are permitted to receive such commission under the FCA Rules. I/we undertake to notify Investec immediately if this commission ceases to be permitted under the FCA Rules. In this case I/we agree that such remuneration will cease to be payable and I will repay any such remuneration I have received.
Initial commission applicable to this application (not to exceed 3%): _____%
If initial commission is applicable an initial charge will apply to the extent of the rate of initial commission payable.
Signature 

*If Part 1 or Part 2 is not ticked then no commission will be paid.

Investec ISA 2014/2015 Transfer Authority Form

(Stocks and Shares ISA only)

Transfer instruction

This form provides transfer authorisation to your current ISA manager.

Please complete this form in BLOCK CAPITALS (and tick the appropriate boxes) and return it to us together with your completed Transfer Form as detailed on page 1.

A. Your current ISA manager's details

Current ISA manager's name	Current ISA Manager plan reference number(s) of the ISA(s) from which you wish to transfer
Current ISA manager's address	
Postcode	

B. Your current investment details

Current tax year (current tax year can only be transferred in full).

Please transfer my current tax year ISA contributions as detailed below into the Investec ISA:

The ISA to be transferred is: Cash Stocks and Shares

C. Previous tax year (you are able to transfer the whole or part of your previous years Stocks and Shares and/or Cash ISA into the Investec ISA)

Please tick appropriate box and list the funds that you wish to redeem with your current Plan Manager in order to implement the cash transfer to Investec.

Please transfer my previous tax year contributions as detailed below into the Investec ISA: Cash Stocks and Shares

Funds that you wish to sell (Cash Transfer)

Fund	Account number	Number of units or amount (£)

D. Authorisation

I authorise you as my current ISA manager to:

- Give Investec Fund Managers Limited full details of my ISA(s).
- Immediately sell my ISA investment(s) detailed above on receipt of this form and send any proceeds (including uninvested cash that applied to the ISA(s). I am transferring) to Investec Fund Managers Limited by cheque.
- Transfer money or rights and entitlements subsequently received, in respect of the ISA(s) I am transferring, including dividend and tax credits to Investec Fund Managers Limited as soon as possible.

Full name	Signature 
Date	

Important: DO NOT send this form to your current ISA manager. Investec will arrange the transfer of your ISA(s) directly with your current ISA manager. Please return this transfer authority with your completed ISA application form to: Investec Fund Managers Limited, PO Box 9042, Chelmsford CM99 2XL.

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