

## Ministry of Agriculture European Union Banana Support Programme

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## **Contracting Authority MINISTRY OF AGRICULTURE**

Creation of sustainable employment opportunities through economic diversification in the banana producing parishes of Jamaica

## **Grant Application Form**

JM/BAN/2008/020-088

Reference: EuropeAid/131171/M/ACT/JM

Deadline for submission 16/05/2011

For economical and ecological reasons, we strongly recommend that you submit your files on paper-based materials (no plastic folder or divider). We also suggest you use double-sided print-outs as much as possible

Title of the action:	
Number and title of lot	
Location(s) of the action:	<pre><specify action="" area(s)="" benefit="" country(ies),="" from="" or="" region(s),="" that="" the="" town(s)="" will=""></specify></pre>
Name of the applicant	
Nationality of the applicant <sup>1</sup>	

Dossier No	
(for official use only	y)

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Annex A application form.doc

<sup>1</sup> The statutes must make it possible to ascertain that the organisation was set up by an act governed by the national law of the country concerned. In this respect, any legal entity whose statutes have been established in another country cannot be considered an eligible local organisation.

EuropeAid ID <sup>2</sup>		
Ongoing contract/Legal Entity File Lumber (if available) <sup>3</sup>		
Legal status <sup>4</sup>		
Partner(s) <sup>5</sup>	Name, EuropeAid ID, Nationali Legal status	ty and date of establishment and
Estimated total eligible cost of the action (A)	Amount requested from the Contracting Authority (B)	% of estimated total eligible cost of action (B/Ax100)
[EUR/National currency]	[EUR/National currency]	%
Estimated taxes (C) <sup>6</sup> * if applicable	Estimated total accepted cost of the action (either A or, if applicable, A+C)	% of estimated total accepted cost of action (B/A+Cx100) * if applicable
[EUR/National currency]	[EUR/National currency]	%
Total duration of the action:	<months></months>	

Applicant's contact details for the purpose of this action						
Postal address:						
<b>Telephone number:</b> (fixed and mobile) Country code + city code + number						
Fax number: Country code + city code + number						
Contact person for this action:						
Contact person's email:						
Address:						
Website of the Organisation:						

Any change in the addresses, phone numbers, fax numbers and in particular e-mail, must be notified in writing to the Contracting Authority. The Contracting Authority will not be held responsible in case it cannot contact an applicant.

<sup>&</sup>lt;sup>2</sup> To be inserted if the organisation is registered in PADOR. This number is allocated to an organisation which registers its data in PADOR. For more information and to register, please visit http://ec.europa.eu/europeaid/onlineservices/pador

If an applicant has already signed a contract with the European Commission and/or has been informed of the Legal

Entity File number. If neither of this apply, indicate "N/A".

<sup>&</sup>lt;sup>4</sup> E.g. non profit making, governmental body, international organisation <sup>5</sup> Add as many rows as partners

<sup>&</sup>lt;sup>6</sup> If there are no taxes or the Beneficiary/partner can reclaim them, this row does not need to be filled in.

## **NOTICE**

[How to adapt this standard grant application form:

Where you see < ... >, enter the information relevant to the call for proposal in question.

The phrases within [] should only be included if appropriate, while the paragraphs shaded in grey should only need to be amended in exceptional cases, dictated by the requirements of a particular call for proposal procedure.

In no circumstances may you alter any other part of these standard instructions. Please remember to delete this paragraph, any other text with yellow highlighting and all such brackets in the final version]

[To be included only where the Contracting Authority is the European Commission:

If processing your application involves the recording and processing of personal data (such as names, addresses and CVs), such data will be processed pursuant to Regulation (EC) No 45/2001 on the protection of individuals with regard to the processing of personal data by the Community institutions and bodies and on the free movement of such data. Unless indicated otherwise, your replies to the questions and any personal data requested are required to evaluate your proposal in accordance with the Guidelines for the call for proposal and will be processed solely for that purpose by the data controller. Details concerning processing of your personal data are available on the privacy statement at <a href="http://ec.europa.eu/dataprotectionofficer/privacystatement\_publicprocurement\_en.pdf">http://ec.europa.eu/dataprotectionofficer/privacystatement\_publicprocurement\_en.pdf</a>]<sup>7</sup>

<sup>&</sup>lt;sup>7</sup> Applicable by analogy to grants

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## PART A. CONCEPT NOTE

## 1 INSTRUCTIONS FOR THE DRAFTING OF THE CONCEPT NOTE

There is no specific template for the Concept Note but the applicant has to ensure that the text of its concept note:

- does not exceed 5 full pages (A4 size) of Arial 10 characters with 2 cm margins;
- responds, in the same sequence, to the headings listed below. It is expected that the size of each section will reflect the relative importance of each heading (ref max scores in the evaluation grid and in the Guidelines). The evaluation will be carried out in accordance with the evaluation grid and it will be based solely on the information provided by the applicant in the concept note.
- Is drafted as clearly as possible to facilitate its assessment.

## 1.1. SUMMARY OF THE ACTION

Please complete the table below which should not exceed 1 page.

Title of the action:	
Specific objective: (If Applicable) Specify whether you apply for specific Lot	Please tick the box corresponding to the specific lot for which you are applying:  Lot X  Lot Y
Location(s) of the action: - specify country(ies), region(s) that will benefit from the action	
Total duration of the action (months):	
Amount ( <u>in EUR</u> ) of requested EU contribution	
Objectives of the action	<overall objective(s)=""></overall>
	<specific objective(s)=""></specific>
Target group(s)8	
Final beneficiaries9	
Estimated results	
Main activities	

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<sup>8 &</sup>quot;Target groups" are the groups/entities who will be directly positively affected by the action at the action purpose level.

<sup>9 &</sup>quot;Final beneficiaries" are those who will benefit from the action in the long term at the level of the society or sector at large.

## 1.2. RELEVANCE OF THE ACTION (MAX 3 PAGES)

## 1.2.1. Relevance to the objectives/sectors/themes/specific priorities of the call for proposals

## Please provide all the following information:

Describe the relevance of the action to the objective(s) and priority (ies) of the call for proposals.

Describe the relevance of the action to any specific subthemes/sectors/areas and any other specific requirements indicated in the guidelines of the call such as partnership, local ownership, etc.

Describe which particular expected results mentioned in the guidelines of the call will be addressed.

NB. in the exceptional cases where the involvement of organisations having the nationality10 of the targeted country as stipulated in section 1.2.1 of the call guidelines, is not possible due to the particular situation in the said country, the applicant must provide explanations, which will be examined in the context of the evaluation of criterion 1.1 of the Concept Note grid.

# 1.2.2. Relevance to the particular needs and constraints of the target country/countries, region(s) and/or relevant sectors (including synergy with other EU initiatives and avoidance of duplication)

## Please provide all the following information:

Identify clearly the specific pre-project situation in the target country/countries, region(s) and/or sectors (include quantified data analysis where possible).

Provide a detailed analysis of the problems to be addressed by the action and how they are interrelated at all levels.

In addressing the point above, refer to any significant plans undertaken at national, regional and/or local level relevant for the action and describe how the action will relate to such plans.

Where the action is the continuation of a previous action clearly indicate how the proposed action is intended to build on the activities/ results of this previous action; refer to main conclusions and recommendations of evaluations that might have been carried out.

Where the action is part of a larger programme, clearly explain how it fits or is coordinated with this programme or any other planned project. Specify the potential synergies with other initiatives, in particular from the European Commission.

## 1.2.3. Describe and define the target groups and final beneficiaries, their needs and constraints and how the action will address these needs

### Please provide all the following information:

Include a description of each of the target groups and final beneficiaries (quantified where possible), including selection criteria.

Identify the needs and constraints of each of the target groups and final beneficiaries.

Demonstrate the relevance of the proposal to the needs and constraints of the target groups and final beneficiaries.

Explain any participatory process ensuring participation of target groups and final beneficiaries.

-

<sup>10</sup> See footnote 1.

## 1.2.4. Particular added-value elements

Indicate any specific added value elements, in particular promotion or consolidation of public/private partnerships, innovation and best practices, or other cross-cutting issues such as environmental issues, promotion of gender equality and equal opportunities, needs of disabled people, rights of minorities and rights of indigenous peoples.

## 1.3. DESCRIPTION OF THE ACTION (MAX 1 PAGE)

## Please provide all the following information:

Background to the preparation of the action.

Describe the objectives of the action. (Elaborate here on the objectives mentioned in the table in section 1.1 above.)

Describe the key stakeholder groups, their attitudes towards the action and any consultation undertaken with them.

Provide brief information on the type of activities foreseen and specify related outputs and results, including a description of linkages/relationships between activity clusters.

Indicate the broad timeframe for the action and describe any specific factor that has been taken into account.

## PART B. FULL APPLICATION FORM

## To be submitted by all applicants

For economical and ecological reasons, we strongly recommend that you submit your files on paperbased materials (no plastic folder or divider). We also suggest you use double-sided print-outs as much as possible

#### **GENERAL INFORMATION** 1

Reference of the Call for Proposals	EuropeAid/131171/M/ACT/JM
Title of the Call for Proposals	Creation of sustainable employment opportunities through
	economic diversification in the banana producing parishes of
	Jamaica
Name of the applicant	
No. of the proposal 11	Number/not applicable (open procedures)
Title of the action	
Location of the action	
-specify country(ies) region(s)	
that will benefit from the action	
No. of the Lot	

<sup>11</sup> For restricted procedures only; the proposal number as allocated by the Contracting Authority and notified to the applicant at the time of the Concept Note opening and administrative check.

## 2 THE ACTION<sup>12</sup>

## **2.1.** COST OF THE ACTION AND AMOUNT REQUESTED FROM THE CONTRACTING AUTHORITY

Estimated total eligible cost of the action (A)	Amount requested from the Contracting Authority (B)	% of estimated total eligible cost of action (B/Ax100)
EUR	EUR	%
Estimated taxes (C)13	Estimated total accepted cost of the action (A+C)	% of estimated total accepted cost of action (B/A+Cx100)
EUR	EUR	%]

Please note that the cost of the action and the contribution requested from the Contracting Authority have to be expressed in EURO

-

The evaluation committee will refer to information already provided in the Concept Note as regards objectives and relevance of the action.

<sup>13</sup> n.b. f there are no taxes or the beneficiary/partner can reclaim them, this row does not need to be filled in.

## 2.1.1. Description of the action and its effectiveness (max 14 pages)

Provide a description of the proposed action, including all the information requested below:

- Making reference to the overall objective(s) and specific objective(s), outputs and results
  described in the concept note elaborate on specific expected results indicating how the action
  will improve the situation of the target groups and final beneficiaries as well as the technical and
  management capacities of target groups and/or any local partners. Indicate in particular foreseen
  publications.
- Making reference to the overall objective(s) and specific objective(s), outputs and results described in the concept note identify and describe in detail each activity (or work package) to be undertaken to produce results, justifying the choice of the activities and specifying the role of each partner (and associates or contractors or sub-grantees where applicable) in the activities. In this respect, the detailed description of activities must not repeat the action plan (to be provided in section 2.1.3 below) but demonstrate coherence and consistency in the project design

## 2.1.2. Methodolgy (max 4 pages)

Des	scribe in detail:
	the methods of implementation and reasons for the proposed methodology;
	where the action is the prolongation of a previous action, explain how the action is intended to build on the results of this previous action. Give the main conclusions and recommendations of evaluations that might have been carried out;
	where the action is part of a larger programme, explain how it fits or is coordinated with this programme or any other possibly planned project. Please specify the potential synergies with other initiatives, in particular from the European Union;
	the procedures for follow up and internal/external evaluation;
	the role and participation in the action of the various actors and stakeholders (local partner(s), target groups, local authorities, etc.), and the reasons for which these roles have been assigned to them;
	the organisational structure and the team proposed for the implementation of the action (by function: there is no need to include the names of individuals);
	the main means proposed for the implementation of the action (equipment, materials, and supplies to be acquired or rented);
	the attitudes of all stakeholders towards the action in general and the activities in particular;
	the planned activities in order to ensure the visibility of the action and the EU funding.

### 2.1.3. Methodolgy (max 4 pages)

The duration of the action will be  $\langle X \rangle$  months.

Applicants should not indicate a specific start up date for the implementation of the action but simply show "month 1", "month 2", etc.

Applicants are recommended to base the estimated duration for each activity and total period on the **most probable duration** and not on the shortest possible duration by taking into consideration all relevant factors that may affect the implementation timetable.

The activities stated in the action plan should correspond to the activities described in detail in section 2.1.1 The implementing body shall be either the applicant or any of the partners, associates or subcontractors. Any months or interim periods without activities must be included in the action plan and count toward the calculation of the total estimated duration of the action.

The action plan for the first 12 months of implementation should be sufficiently detailed to give an overview of the preparation and implementation of each activity. The action plan for each of the subsequent years may be more general and should only list the main activities foreseen for those years. To this end, it shall be divided into six-month interim periods (NB: A more detailed action plan for each subsequent year will have to be submitted before receipt of new pre-financing payments, pursuant to Article 2.1 of the General Conditions of the grant contract).

The action plan will be drawn up using the following format:

Year 1													
	Semester 1								Seme	ster 2	2		
Activity	Month 1	2	3	4	5	6	7	8	9	10	11	12	Implementing body
Example	example												Example
Preparation													Local partner 1
Activity 1													
(title)													
Execution													Local partner 1
Activity 1													
(title)													
Preparation													Local partner 2
Activity 2													
(title)													
Etc.													

For the following years:									
Activity	Semester	4	5	6	7	8	9	10	Implementing body
	3								
Example	example								example
Execution									Local partner 1
Activity 1									
(title)									
Execution									Local partner 2
Activity 2									
(title)									
Preparation									Local partner 1
Activity 3									
(title)									
Etc.									

## **2.1.4.** Sustainability of the action (max 3 pages)

### Provide all information requested below:

- Describe the expected impact of the action with quantified data where possible, at technical, economic, social, and policy levels (will it lead to improved legislation, codes of conduct, methods, etc.?).
- Describe a dissemination plan and the possibilities for replication and extension of the action outcomes (multiplier effects), clearly indicating any foreseen dissemination channel.
- Provide a detailed risk analysis and contingency plan. This should include a list of risks associated for each proposed action, accompanied by relevant mitigation measures. A good risk analysis will include a range of risk types including physical, environmental, political, economic and social risks.
- Describe the main preconditions and assumptions during and after the implementation phase.

- Explain how sustainability will be secured after completion of the action. This may include aspects of necessary follow-up activities, built-in strategies, ownership, communication plan, etc. In so doing so, make a distinction between the following 3 dimensions of sustainability:
  - Financial sustainability: financing of follow-up activities, sources of revenue for covering all future operating and maintenance costs, etc;
  - b. Institutional sustainability: including structures that would allow the results of the action to continue to be in place after the end of the action, capacity building, agreements and local "ownership" of action outcomes;
  - Policy level sustainability: where applicable) including the structural impact of (improved legislation, consistency with existing framework/s, codes of conduct, methods, etc.).
  - Environmental sustainability (what impact will the action have on the environment have conditions put in place to avoid negative effects on natural resources on which the action depends and on the broader natural environment)

### 2.1.5. Logical Framework

Please fill in Annex C14 to the Guidelines for applicants.

#### 2.2. BUDGET FOR THE ACTION

Fill in Annex B (worksheet 1 and 2 ) to the Guidelines for applicants for the total duration of the action and for its first 12 months. For further information see the Guidelines for grant applicants (Sections 1.3, 2.1.4 and 2.2.5).

#### 2.3. EXPECTED SOURCES OF FUNDING

Fill in Annex B (worksheet 3) to the Guidelines for applicants to provide information on the expected sources of funding for the action.

[Please mention here below the contributions in kind to be provided (please specify), if any (maximum 1 page).]

<sup>14</sup> Explanations can be found at the following address: http://ec.europa.eu/europeaid/reports//index\_en.pdf

## 2.4. APPLICANTS EXPERIENCE OF SIMILAR ACTIONS

Maximum 1 page per action. Please provide a detailed description of actions managed by your organisation over the past three years

This information will be used to assess whether you have sufficient and stable experience of managing actions in the same sector and of a comparable scale to the one for which a grant is being requested.

<b>Project title:</b>		Sector (see section 3.2	2.1 of section II):		
Location of the action	Cost of the action (EUR)	lead manager or partner	Donors to the action (name)15	Amount contributed (by donor)	Dates (from dd/mm/yyyy to dd/mm/yyyy)
•••	•••	•••	•••	•••	
Objectives and action	d results of the				

<sup>15</sup> If the Donor is the European Union or an EU Member State, please specify the EU budget line, EDF or EU Member State.

## 3 THE APPLICANT

EuropeAid ID number16	
Name of the organisation	

## 3.1. IDENTITY

Information requested under this point need only be given in cases where there have been modifications or additions as compared to the information given in the Concept note form.

The applicant's contact details for the purpose of this action:	
Legal Entity File number17	
Abbreviation	
Registration Number (or equivalent)	
Date of Registration	
Place of Registration	
Official address of Registration	
Country of Registration18/ Nationality 19	
E-mail address of the Organisation	
<b>Telephone number:</b> Country code + city code + number	
Fax number: Country code + city code + number	
Website of the Organisation	

<sup>16</sup> This number is available to an organisation which registers its data in PADOR. For more information and to register, please visit http://ec.europa.eu/europeaid/work/onlineservices/pador/index\_en.htm

<sup>17</sup> If the applicant has already signed a contract with the European Commission

<sup>18</sup> For organisations. If not in one of the countries listed in section 2.1.1 of the Guidelines, please justify its location

<sup>19</sup> For individuals. If not in one of the countries listed in section 2.1.1 of the Guidelines, please justify its location

Any change in the addresses, phone numbers, fax numbers and in particular e-mail, must be notified in writing to the Contracting Authority. The Contracting Authority will not be held responsible in case it cannot contact an applicant.

## 3.2. PROFILE

Legal status			
Profit-Making	□ Yes		
	□ No		
NGO	□ Yes		
1100	□No		
Value based20	□ Political		
Value based20	□ Religious		
	□ Humanistic		
	□ Neutral		
Is your organisation linked with	☐ Yes, parent entity:		
another entity?	(please specify its EuropeAid ID:)		
another entity:	☐ Yes, controlled entity(ies)		
	☐ Yes, family organization / network entity <sup>21</sup>		
	□ No, independent		

## 3.2.1. Category

Category22	Public	Private	
Category22	□ Public Administration		
	□ Decentralised representatives of	☐ Implementation Agency	
	Sovereign States	☐ University/Education	
	☐ International Organisation	☐ Research Institute	
	□ Judicial Institution	☐ Think Tank	
	□ Local Authority	☐ Foundation	
	□ Implementation Agency	☐ Association	
	□ University/Education	□ Media	
	□ Research Institute	☐ Network/Federation	
	□ Think Tank	☐ Professional and/or Industrial	
	□ Foundation	Organisation	
	□ Association	☐ Trade Union	
	□ Media	☐ Cultural Organisation	
	□ Network/Federation	☐ Commercial Organisation	
	□ Professional and/or Industrial	☐ Other Non State Actor	
	Organisation		
	□ Trade Union		
	□ Cultural Organisation		
	□ Commercial Organisation		

<sup>20</sup> Please choose only one set of values.

<sup>21</sup> E.g. confederation / federation /alliance

<sup>22</sup> Please specify 1) the Sector to which your organisation belongs, as defined in its statutes (or equivalent document): Public (established and/or funded by a public body) OR Private (established and/or funded by a private entity); 2) in the appropriate column, the Category to which your organisation belongs (ONE CHOICE ONLY).

## 3.2.2. **Sector(s)**<sup>23</sup>

	11		Education		
		111		Education, level unspecified	
			11110	Education Policy & Admin. Management	
			11120	Education Facilities And Training	
			11130	Teacher Training	
			11182	Educational Research	
		112		Basic education	
			11220	Primary Education	
			11230	Basic life skills for youth and adults	
			11240	Early childhood education	
		113	11220	Secondary education	
			11320	Secondary education	
			11330	Vocational Training	
		114	11.120	Post-secondary education	
			11420	Higher Education	
	10		11430	Advanced Tech. & Managerial Training	
	12	101		Health	
		121	12110	Health, general	
			12110	Health Policy & Admin. Management	
			12181	Medical education/training	
			12182	Medical Research	
		100	12191	Medical Services	
		122	12220	Basic health	
			12220	Basic Health Care Basic Health Infrastructure	
			12230 12240	Basic Nutrition	
			12240	Infectious Disease Control	
			12261	Health Education	
			12281	Health Personnel Development	
	13		12201	Population programmes	
	13	130		Population polices/programs and reproductive health	
		130	13010	Population Policy And Admin. Mgmt	
			13010	Reproductive Health Care	
			13020	Family planning	
			13040	Std Control Including HIV/Aids	
			13040	Personnel development for population & reproductive health	
	14		15001	Water Supply and Sanitation	
	17	140		Water supply and sanitation	
-		170	14010	Water Resources Policy/Admin. Mgmt	
			14015	Water Resources Protection	
			14020	Water Resources Protection  Water supply & sanitation - Large systems	
			14030	Basic drinking water supply & basic sanitation	
			14040	River Development	
			14050	Waste Management/Disposal	
			14081	Education & training in water supply and sanitation	
	15		001	Government and Civil Society	
		151		Government and civil society, general	
			15110	Economic and development policy/planning	
			-5110	I server and the server position of the server production of the server	

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 $<sup>^{23}</sup>$  Please tick the box for each sector your organisation has been active in the past 7 years. The sectors come from the DAC list set up by the OECD

		15120	Public sector financial management
		15130	Legal and judicial development
		15140	Government administration
		15150	
		15161	Strengthening civil society Elections
		15162	Human Rights
		15163	Free Flow Of Information
		15164	Women's equality organisations and institutions
	152	15510	Conflict prevention an resolution, peace and security
		15210	Security system management and reform
		15220	Civilian peace-building, conflict prevention and resolution
		15230	Post-conflict peace-building (UN)
		15240	Reintegration and SALW control
		15250	Land mine clearance
		15261	Child soldiers (prevention and demobilisation)
16			Other Social Infrastructure and Service
		16010	Social/welfare services
		16020	Employment policy and admin. mgmt.
		16030	Housing policy and admin. management
		16040	Low-cost housing
		16050	Multisector aid for basic social services
		16061	Culture and recreation
		16062	Statistical capacity building
		16063	Narcotics control
		16064	Social mitigation of HIV/AIDS
21		10004	Transport and Storage
21	210		Transport and storage  Transport and storage
	210	21010	Transport And Storage  Transport Policy & Admin. Management
		21020	Road Transport
		21020	Rail Transport
		21040	Water Transport
		21050	Air Transport
		21061	Storage
		21081	Education & Training In Transport & Storage
22			Communications
	220		Communications
		22010	Communications Policy & Admin. Mgmt
		22020	Telecommunications
		22030	Radio/Television/Print Media
		22040	Information and communication technology (ICT)
23			Energy
	230		Energy generation and supply
		23010	Energy Policy And Admin. Management
		23020	Power Generation/Non-Renewable Sources
		23030	Power Generation/Renewable Sources
		23040	Electrical Transmission/Distribution
		23050	Gas distribution
		23061	Oil-Fired Power Plants
		23062	Gas-Fired Power Plants
		23063	Coal-Fired Power Plants
		23064	Nuclear Power Plants
		23065	Hydro-electric Power Plants
		23066	Geothermal energy
		_2000	

			23067	Solar energy		
			23068	Wind power		
			23069	Ocean power		
			23070	Biomass		
			23081	Energy education/training		
<b>-</b>			23082	Energy research		
	24		23002	Banking and Financial Services		
	∠+	240		Banking and financial services		
		240	24010	Financial Policy & Admin. Management		
			24020	Monetary institutions		
			24020	Formal Sector Financial Institutions		
			24040	Informal/Semi-Formal Financial intermediaries		
			24040			
	25		24081	Education/trng in banking & fin. services  Business and Other Services		
	25	250				
		250	25010	Business and other services		
			25010	Business support services and institutions		
	2.1		25020	Privatisation		
	31	211		Agriculture, Forestry and Fishing		
		311	21110	Agriculture		
			31110	Agricultural Policy And Admin. Mgmt		
			31120	Agricultural development		
			31130	Agricultural Land Resources		
			31140	Agricultural Water Resources		
			31150	Agricultural inputs		
			31161	Food Crop Production		
			31162	Industrial Crops/Export Crops		
			31163	Livestock		
			31164	Agrarian reform		
			31165	Agricultural alternative development		
			31166	Agricultural extension		
			31181	Agricultural Education/Training		
			31182	Agricultural Research		
			31191	Agricultural services		
			31192	Plant and post-harvest protection and pest control		
			31193	Agricultural financial services		
			31194	Agricultural co-operatives		
			31195	Livestock/Veterinary Services		
		312		Forestry		
			31210	Forestry Policy & Admin. Management		
			31220	Forestry development		
			31261	Fuel wood/charcoal		
			31281	Forestry education/training		
			31282	Forestry research		
			31291	Forestry services		
		313		Fishing		
			31310	Fishing Policy And Admin. Management		
			31320	Fishery development		
			31381	Fishery education/training		
			31382	Fishery research		
			31391	Fishery services		
	32			Industry, Mining and Construction		
		321		Industry		
			32110	Industrial Policy And Admin. Mgmt		

			32120	Industrial development	
			32130	Small and medium-sized enterprises (SME) development	
			32140	Cottage industries and handicraft	
			32161	Agro-Industries	
			32162	Forest industries	
			32163	Textiles - leather & substitutes	
			32164	Chemicals	
			32165	Fertilizer plants	
			32166	Cement/lime/plaster	
			32167	Energy manufacturing	
			32168	Pharmaceutical production	
			32169	Basic metal industries	
			32170	Non-ferrous metal industries	
			32170	Engineering	
			32171	Transport equipment industry	
			32172	Technological research and development	
		222	32102	Mineral resources and mining	
		322	22210	- C	
			32210	Mineral/Mining Policy & Admin. Mgmt	
			32220	Mineral Prospection And Exploration  Coal	
			32261		
			32262	Oil and gas	
			32263	Ferrous metals	
			32264	Non-ferrous metals	
			32265	Precious metals/materials	
			32266	Industrial minerals	
			32267	Fertilizer minerals	
			32268	Offshore minerals	
		323		Construction	
			32310	Construction Policy And Admin. Mgmt	
	33			Trade and Tourism	
		331		Trade policy and regulation	
			33110	Trade Policy And Admin. Management	
			33120	Trade facilitation	
			33130	Regional trade agreements (RTAs)	
			33140	Multilateral trade negotiation	
			33181	Trade education & training	
		332		Tourism	
			33210	Tourism Policy And Admin. Management	
	41			General Environment Protection	
		410		General environmental protection	
			41010	Environmental Policy And Admin. Mgmt	
			41020	Biosphere protection	
			41030	Bio-diversity	
			41040	Site Preservation	
			41050	Flood Prevention/Control	
			41081	Environmental education/training	
			41082	Environmental research	
	43			Other multisector	
		430		Other multisector	
			43010	Multisector Aid	
				TT1 D 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
			43030	Urban Development And Management	
+			43030	Rural Development  Non-agricultural alternative development	

M 12 / 1 / / 2	7
□ 43081 Multisector education/training	
□ 43082 Research/scientific institutions	
☐ 51 General budget support	
General budget support	
General budget support	
Development food aid/food security	
Development food aid/food security assistance	
52010 Food Aid / Food Security Programmes	
□ 53 Other commodity assistance	
Other commodity assistance	
☐ 53030 Import support (capital goods)	
□ 60 Action relating to debt	
Action relating to debt	
□ 60010 Action relating to debt	
□ 60020 Debt forgiveness  □ 60030 Relief of multilateral debt	
Black for development of the control	
Debt for development swap  60062  Debt for development swap  Other debt swap	
60063	
70	
720	
72010	
72040	
72050	ices
72050   Relief coordination; protection and support serv	rices
□ 730 Reconstruction relief and rehabilitation	
73010 Reconstruction relief and rehabilitation	
□ 74 Disaster prevention and preparedness	
□ 740 Disaster prevention and preparedness	
□ 74010 Disaster prevention and preparedness	
□ 91 Administrative costs of donors	
□ 910 Administrative costs of donors	
□ 91010 Administrative Costs	
□ 92 Support to NGO	
□ 920 Support to NGO	
□ 92010 Support to national NGOs	
□ 92020 Support to international NGOs	
□ 92030 Support to local and regional NGOs	
□ 93 Refugees	
□ 930 Refugees (in donor countries)	
□ 93010 Refugees (in donor countries)	
□ 99 Unallocated/unspecified	
□ 998 Unallocated/unspecified	
□ 99810 Sectors Not Specified	

## 3.2.3. Target group(s)

□ Child soldiers
□ Children (less than 18 years old)
☐ Community Based Organisation(s)
□ Consumers
□ Disabled
□ Drug consumers
□ Educational organisations (school, universities)
□ Elderly people
□ Illness affected people (Malaria, Tuberculosis, HIV/AIDS)
□ Indigenous peoples
□ Local authorities
□ Migrants
□ Non Governmental Organisations
□ Prisoners
□ Professional category
□ Refugees and displaced
□ Research organisations/Researchers
□ SME/SMI
□ Students
□ Urban slum dwellers
□ Victims of conflicts/catastrophies
□ Women
□ Young people
□ Other (please specify):

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## 3.3. CAPACITY TO MANAGE AND IMPLEMENT ACTIONS

## 3.3.1. Experience by sector

Sector	Year(s) of Experience	Experience in the past 7 years	Number of Projects in the past 7 years	Estimated Amount (in thousand Euros) in the past 7 years
	□ Less than 1	□ Less than 1 year	□ 1 to 5	□ Less than 1
	□ 1 to 3 years	□ 1 to 3 years	□ 6 to 10	□ 1 to 5
	□ 4 to 7 years	□ 4 to 7 years	□ 11 to 20	□ 5 to 20
		□ 7 years +	□ 21 to 50	□ 20 to 50
	□ 7 years +	•	□ 51 to 200	□ 50 to 100
			□ 200 to 500	□ 100 to 300
			□ 500+	□ 300 to 1.000
				□ 1000+
				□ Unknown
	□ Less than 1	□ Less than 1 year	□ 1 to 5	□ Less than 1
	□ 1 to 3 years	□ 1 to 3 years	□ 6 to 10	□ 1 to 5
	□ 4 to 7 years	□ 4 to 7 years	□ 11 to 20	□ 5 to 20
	□ 7 years +	□ 7 years +	□ 21 to 50	□ 20 to 50
			□ 51 to 200	□ 50 to 100
			□ 200 to 500	□ 100 to 300
			□ 500+	□ 300 to 1.000
				□ 1000+
				□ Unknown
	□ Less than 1	□ Less than 1 year	□ 1 to 5	□ Less than 1
	□ 1 to 3 years	□ 1 to 3 years	□ 6 to 10	□ 1 to 5
	□ 4 to 7 years	□ 4 to 7 years	□ 11 to 20	□ 5 to 20
	□ 7 years +	□ 7 years +	□ 21 to 50	□ 20 to 50
			□ 51 to 200	□ 50 to 100
			□ 200 to 500	□ 100 to 300
			□ 500+	□ 300 to 1.000
				□ 1000+
				□ Unknown

## 3.3.2. Experience by geographical area

By Geographical area (country or region)	Year(s) of Experience	Number of Projects in this geographical area in the past 7 years	Estimated Amount (in thousand Euros) invested in this geographical area in the past 7 years	<ul> <li>Indicative list of regions</li> <li>Europe EU</li> <li>Europe non-EU</li> <li>Eastern Europe</li> <li>Central America</li> <li>South America</li> <li>South-East Asia</li> </ul>
	□ Less than 1 year □ 1 to 3 years □ 4 to 7 years □ 7 years +	□ 1 to 5 □ 6 to 10 □ 11 to 20 □ 21 to 50 □ 51 to 200 □ 200 to 500 □ 500+	□ Less than 1 □ 1 to 5 □ 5 to 20 □ 20 to 50 □ 50 to 100 □ 100 to 300 □ 300 to 1.000 □ 1000+ □ Unknown	<ul> <li>South-East Asia</li> <li>North-East Asia</li> <li>South Asia</li> <li>Central Asia</li> <li>Mediterranean</li> <li>Gulf Countries</li> <li>Eastern Africa</li> <li>Central Africa</li> <li>Western Africa</li> </ul>

□ Less than 1 year □ 1 to 3 years □ 4 to 7 years □ 7 years +	□ 1 to 5 □ 6 to 10 □ 11 to 20 □ 21 to 50 □ 51 to 200 □ 200 to 500 □ 500+	□ Less than 1 □ 1 to 5 □ 5 to 20 □ 20 to 50 □ 50 to 100 □ 100 to 300 □ 300 to 1.000 □ 1000+ □ Unknown	<ul><li>Southern Africa</li><li>Indian Ocean</li><li>Caribbean</li><li>Pacific</li></ul>
☐ Less than 1 year ☐ 1 to 3 years ☐ 4 to 7 years ☐ 7 years +	□ 1 to 5 □ 6 to 10 □ 11 to 20 □ 21 to 50 □ 51 to 200 □ 200 to 500	□ Less than 1 □ 1 to 5 □ 5 to 20 □ 20 to 50 □ 50 to 100 □ 100 to 300 □ 300 to 1000	
	□ 500+	□ 300 to 1.000 □ 1000+ □ Unknown	

## Cross-reference of experience by Sector and by Geographical area:

Sector(s) (as selected in 3.2.1)	Geographical area(s) (country or region, as identified previously)

## 3.3.3. Resources

## • Financial data

Please provide the following information, if applicable, on the basis of the profit and loss account and balance sheet of your organisation, amounts in thousand Euros

Year	Turnover or equivalent	Net earnings or equivalent	Total balance sheet or budget	Shareholders' equity or equivalent	Medium and long-term debt	Short-term debt (< 1 year)
N24						
N-1						
N-2						

<sup>24</sup> N = previous financial year

## • Financing Source(s)

Please tick the source(s) of the revenues of your organisation and specify the additional information requested

Year	Source	Percentage (total for a given year must be equal to 100%)	Number of fee- paying members (only for source = Member's fees)
N	□ EU		N/A
N	☐ Member States Public Bodies		N/A
N	☐ Third Countries Public Bodies		N/A
N	□ United Nations		N/A
N	☐ Other International Organisation(s)		N/A
N	□ Private Sector		N/A
N	□ Member's fees		
N	□ Other (please specify):		N/A
N	Total	100%	N/A

Year	Source	Percentage (total for a given year must be equal to 100%)	Number of fee-paying members (only for source = Member's fees)
N – 1	□EU		N/A
N – 1	☐ Member States Public Bodies		N/A
N – 1	☐ Third Countries Public Bodies		N/A
N – 1	☐ United Nations		N/A
N-1	☐ Other International Organisation(s)		N/A
N-1	□ Private Sector		N/A
N-1	□ Member's fees		
N-1	☐ Other (please specify):		N/A
N-1	Total	100%	N/A
N-2	□EU		N/A
N-2	☐ Member States Public Bodies		N/A
N-2	☐ Third Countries Public Bodies		N/A
N-2	□ United Nations		N/A
N-2	☐ Other International Organisation(s)		N/A
N-2	□ Private Sector		N/A
N-2	□ Member's fees		
N-2	☐ Other (please specify):		N/A
N-2	Total	100%	N/A

[Furthermore, where the grant requested exceeds EUR 500 000 (EUR 100 000 for an operating grant), please provide the references of the external audit report established by an approved auditor for the last financial year available. This obligation does not apply to international organisations nor to public bodies. To be inserted if the authorising officer, depending on his/her risk assessment, waive the requirement for the following categories: Nor does it apply to secondary and higher education establishments and beneficiaries who have accepted joint and several liabilities in the case of agreements with a number of beneficiaries]

Year	Name of approved auditor	Period of validity
N		From dd/mm/yyyy to dd/mm/yyyy
N – 1		From dd/mm/yyyy to dd/mm/yyyy
N – 2		From dd/mm/yyyy to dd/mm/yyyy

# • <u>Number of staff (full-time equivalent)</u> please tick one option for each type of staff

Type of staff	Paid	Unpaid
HQ Staff: recruited and based in	□ < 10	□ < 10
Headquarters (located in Developed Country)	$\Box > 10 \text{ and} < 50$	$\Box > 10 \text{ and} < 50$
Country)	$\Box > 50 \text{ and} < 100$	$\Box > 50 \text{ and} < 100$
	□ > 100	□ > 100
	□ N/A	□ N/A
Expat Staff: recruited in Headquarters	□<10	□ < 10
(located in Developed Country) and based in Developing Country	$\Box > 10 \text{ and} < 50$	$\Box > 10 \text{ and} < 50$
based in Developing Country	$\Box > 50 \text{ and} < 100$	$\Box > 50 \text{ and} < 100$
	□ > 100	□ > 100
	□ N/A	□ N/A
Local staff: recruited and based in	□ < 10	□ < 10
Developing Country	$\Box > 10 \text{ and} < 50$	$\Box > 10 \text{ and} < 50$
	$\Box > 50 \text{ and} < 100$	$\Box > 50 \text{ and} < 100$
	□ > 100	□ > 100
	□ N/A	□ N/A

#### 3.4. LIST OF THE MANAGEMENT BOARD/COMMITTEE OF YOUR ORGANISATION

Name	Profession	Function	Country of Nationality	On the board since
Mr				
Ms				

# 4 PARTNERS OF THE APPLICANT PARTICIPATING IN THE ACTION

### **4.1. DESCRIPTION OF THE PARTNERS**

This section must be completed **for each partner organisation** within the meaning of section 2.1.2 of the Guidelines for Applicants. Any associates as defined in the same section need not be mentioned. You must make as many copies of this table as necessary to create entries for more partners.

	Partner 1
EuropeAid ID number25	
Full legal name	
	ion is the Contracting Authority: All Partners must encode this R registration. It is not necessary to complete this in the paper the guidelines for applicants.
Date of Registration	
Place of Registration	
Legal status26	
Official address of Registration27	
Country of Registration28/ Nationality 29	
Contact person	
<b>Telephone number</b> : country code + city code + number	
Fax number: country code + city code + number	
E-mail address	
Number of employees	
Other relevant resources	
Experience of similar	

<sup>25</sup> This number is available to an organisation which registers its data in PADOR. For more information and to register, please visit http://ec.europa.eu/work/europeaid/onlineservices/pador/index\_en.htm

<sup>26</sup> E.g. non profit making, governmental body, international organisation

<sup>27</sup> If not in one of the countries listed in section 2.1.1 of the Guidelines, please justify its location

<sup>28</sup> For organisations

<sup>29</sup> For individuals

actions, in relation to the role in the implementation of the proposed action	
History of cooperation with the applicant	
Role and involvement in preparing the proposed action	
Role and involvement in implementing the proposed action	

Important: This application form must be accompanied by a <u>signed and dated</u> partnership statement from <u>each partner</u>, in accordance with the model provided.

### 4.2. PARTNERSHIP STATEMENT

A partnership is a relationship of substance between two or more organisations involving shared responsibilities in undertaking the action funded by the <indicate the name of the Contracting Authority > (Contracting Authority). To ensure that the action runs smoothly, the Contracting Authority requires all partners to acknowledge this by agreeing to the principles of good partnership practice set out below.

- 1. All partners must have read the application form and understood what their role in the action will be before the application is submitted to the Contracting Authority.
- 2. All partners must have read the standard grant contract and understood what their respective obligations under the contract will be if the grant is awarded. They authorise the lead applicant to sign the contract with the Contracting Authority and represent them in all dealings with the Contracting Authority in the context of the action's implementation.
- 3. The applicant must consult with its partners regularly and keep them fully informed of the progress of the action.
- 4. All partners must receive copies of the reports narrative and financial made to the Contracting Authority.
- 5. Proposals for substantial changes to the action (e.g. activities, partners, etc.) should be agreed by the partners before being submitted to the Contracting Authority. Where no such agreement can be reached, the applicant must indicate this when submitting changes for approval to the Contracting Authority.
- 6. Where the Beneficiary does not have its headquarters in the country where the action is implemented, the partners must agree before the end of the action, on an equitable distribution of equipment, vehicles and supplies for the action purchased with the EU grant among local partners or the final beneficiaries of the action.

I have read and approved the contents of the proposal submitted to the Contracting Authority. I undertake to comply with the principles of good partnership practice.

Name:	
Organisation:	
Position:	
Signature:	
Date and place:	

# 5 ASSOCIATES OF THE APPLICANT PARTICIPATING IN THE ACTION

This section must be completed for each associated organisation within the meaning of section 2.1.2 of the Guidelines for Applicants. You must make as many copies of this table as necessary to create entries for more associates.

	Associate 1
Full legal name	
EuropeAid ID number30	
Country of Registration	
Legal status31	
Official address	
Contact person	
Telephone number: country code + city code + number	
Fax number: country code + city code + number	
E-mail address	
Number of employees	
Other relevant resources	
Experience of similar actions, in relation to role in the implementation of the proposed action	
History of cooperation with the applicant	
Role and involvement in preparing the proposed action	
Role and involvement in implementing the proposed action	

<sup>30</sup> This number is available to an organisation which registers its data in PADOR. For more information and to register, please visit http://ec.europa.eu/europeaid/work/onlineservices/pador/index\_en.htm

<sup>31</sup> E.g. non profit making, governmental body, international organisation

## 6 CHECKLIST FOR THE FULL APPLICATION FORM

## < PUBLICATION REFERENCE + TITLE OF THE CALL + BUDGET LINE>

ADMINISTRATIVE DATA	To be filled in by the applicant
Name of the Applicant	
EuropeAid ID number	
Nationality32/Country33 and date of registration	
Legal Entity File number34	
Legal status35	
Partner 1	Name/EuropeAid ID number:
	Nationality/Country of registration:
	Legal status:
Partner 2	Name/EuropeAid ID number:
	Nationality/Country of registration:
NB: Add as many rows as partners	Legal status:

<sup>32</sup> For individuals

<sup>33</sup> For organisations

<sup>34</sup> If the applicant has already signed a contract with the European Commission

<sup>35</sup> E.g. non profit making, governmental body, international organisation...

BEFORE SENDING YOUR PROPOSAL, PLEASE CHECK THAT EACH OF THE FOLLOWING POINTS IS COMPLETE AND RESPECTS THE FOLLOWING CRITERIA:		To be filled in by the applicant	
Title of the Proposal: <indicate the="" title=""></indicate>	Yes	No	
PART 1 (ADMINISTRATIVE)  1. The correct grant application form, published for this call for proposals, has been used			
2. The Declaration by the applicant has been filled in and has been signed	1		
3. The proposal is typed and is <in english,="" french,="" in="" or="" portuguese="" spanish=""> Where more than one language is allowed, the proposal is drafted in the language most commonly used by the target population in the country in which the action takes place.</in>			
4. One original and <x copy(ies)=""> are included</x>			
5. An electronic version of the proposal (CD-Rom) is enclosed			
6. Each partner has completed and signed a partnership statement and the statements are included. [if partnership is not mandatory: <please "not="" (na)="" applicable"="" have="" if="" indicate="" no="" partner="" you="">]</please>			
7. The budget is presented in the format requested, is expressed <in currency="" national="" €=""> and is enclosed</in>			
8. The logical framework has been completed and is enclosed			
PART 2 (ELIGIBILITY) 9. The duration of the action is between <x months=""> and <x months=""> (the minimum and maximum allowed)</x></x>			
10. The requested contribution is between <x currency="" euro="" other=""> and <x currency="" euro="" other=""> (the minimum and maximum allowed)</x></x>			
11. The requested contribution is between <x %=""> and <x %=""> of the estimated total eligible costs (minimum and maximum percentage allowed)</x></x>			
[12. If applicable, the requested contribution is between <x %=""> and <x %=""> of the estimated total accepted costs (minimum and maximum percentage allowed)]</x></x>			

## 7 DECLARATION BY THE APPLICANT

The applicant, represented in the context of the presaction, hereby declares tha	by the undersigned, being the authorised signatory of sent call for proposals, representing any partners in t	f the applicant, the proposed		
	ources of financing and professional competence and the Guidelines for Applicants;	qualifications		
	tes to comply with the obligations foreseen in the application form and with the principles of good			
	responsible for the preparation, management and interest, if any, and is not acting as an intermediary;	mplementation		
participating in contract procedures for EU ex <a href="http://ec.europa.eu/euro">http://ec.europa.eu/euro</a> Furthermore, it is recog	partners are not in any of the situations excluding the shifteness which are listed in Section 2.3.3 of the Practical Gusternal actions (available from the following Interpeaid/work/procedures/implementation/index_en.htm the shifteness and accepted that if we participate in spite of the shifteness are excluded from other procedures in accordance under the shifteness are not in any of the situations excluding the practical shifteness are not in any of the situations excluding the practical shifteness are not in any of the situations excluding the practical shifteness are not in any of the situations excluding the practical shifteness are not in any of the situations excluding the practical shifteness are not in any of the situations excluding the practical shifteness are not in any of the situations excluding the practical shifteness are not in any of the situations excluding the practical shifteness are not in a shifteness and the shifteness are not in a shifteness are not in a shifteness and the shifteness are not in a sh	ide to contract ernet address:  1. being in any of		
☐ the applicant and each p the supporting documer	partner (if any) is in a position to deliver immediately ats stipulated under section 2.4 of the Guidelines for A	, upon request, Applicants.;		
	partner (if any) are eligible in accordance with the 1 2.1.2 of the Guidelines for Applicants;	criteria set out		
	awarded a grant, the applicant accepts the contractuard Contract annexed to the Guidelines for Applicants			
□ the applicant and its partners are aware that, for the purposes of safeguarding the financial interests of the Communities, their personal data may be transferred to internal audit services, to the European Court of Auditors, to the Financial Irregularities Panel or to the European Anti-Fraud Office.				
	cations have been submitted (or are about to be sub European Development Fund and the EU Member St			
• <li>list only actions in</li>	the same field as this proposal>			
Authority to which this ar other European Commissi	ware of the obligation to inform without delay the oplication is submitted if the same application for further on departments or European Union institutions has on of this grant application.	nding made to		
Signed on behalf of the app	plicant			
Name				
Signature				
Position				
Date				

# 8 ASSESSMENT GRID OF THE FULL APPLICATION FORM

(TO BE USED BY THE CONTRACTING AUTHORITY)

	YES	NO
CONTROL 1	1123	NO
For restricted procedures: STEP 1: OPENING &ADMINISTRATIVE CHECK		
<ol> <li>The submission deadline has been respected</li> <li>The checklist of the Application form has been duly completed</li> </ol>		
DECISION:		
A. The Committee has decided to evaluate the full application form after having passed the		
administrative check.		
The administrative verification has been conducted by: Date:		
Date.		
STEP (1 or 2): EVALUATION OF THE FULL APPLICATION FORM		
DECISION:		
<b>A.</b> The Committee has recommended the proposal for Eligibility verification after having been provisionally selected within the top ranked scored proposals within the available		
financial envelope.		
<b>B.</b> The Committee has recommended the proposal for Eligibility verification after having		
been put on the reserve list according to the top ranked scored proposals		
The evaluation of the proposal has been conducted by:		
Date:	I	
STEP (2 or 3): ELIGIBILITY VERIFICATION		
3. The checklist of the Application form has been duly completed		
4. The supporting documents listed hereunder, submitted according to the Guidelines		
(Section 2.4), satisfied all the eligibility criteria of the applicant and its partner(s) (if any)		
a. The applicant's statutes		
b. The statutes or articles of association of <u>all partners</u>		
c. The applicant's external audit report (if applicable)		
<to authority="" be="" commission="" contracting="" european="" inserted="" is="" the="" when=""></to>		
d. The Legal Entity File (see annex D of the Guidelines for Applicants) is duly completed		
and signed by the applicant and is accompanied by the justifying documents requested.		
<to authority="" be="" commission="" contracting="" european="" inserted="" is="" the="" when=""></to>		
e. A Financial Identification form (see annex E of the Guidelines for Applicants).		
f. Copy of the applicant's latest accounts.		
The assessment of the eligibility has been conducted by:		
Date:		
DECISION: The Committee has selected the proposal for funding after having verified its eligibility		
according to the criteria stipulated in the Guidelines for Applicants.		