New York State
Department of Motor Vehicles

# Motor Vehicle Liability Insurance Reporting Implementation Guide

*Version 1.3*
September 2006

**Insurance Information & Enforcement System (IIES)**
New Directions in Enforcing Compulsory Insurance Laws

# Table of Contents

## 1.0    Document Purpose

The purpose of this Implementation Guide is to provide insurance companies and servicing agents with information on how to report certain liability insurance transactions to the New York State Department of Motor Vehicles (NYS DMV).  This document defines:

- Insurer and NYS DMV initiated transactions
- The relationship between business events and transactions
- Transactions that must be reported to NYS DMV
- Transactions that must not be reported to NYS DMV
- The electronic data exchange (EDI) process
- EDI segment and data element specifications
- ANSI X12 997 Functional Acknowledgment specifications

This Implementation Guide also describes the associated data formats used to verify and acknowledge communications as well as error handling.  Additional References:  IIES Program Bulletins and Parts 32 and 34 of the Regulations of the New York State Commissioner of Motor Vehicles.

## 2.0    Version and Release

This document is Version 1.3 of the NYS DMV Motor Vehicle Liability Insurance Reporting Implementation Guide released July 2006.  This release is based on version 3050 of the ASC X12 811 transaction set.  NYS DMV reserves the right to upgrade the version used for reporting motor vehicle liability insurance transactions to 4020 (or higher) in the future.

## 3.0    Program Purpose

Chapter 678 of the Laws of 1997 as amended by Chapter 509 of the Laws of 1998 provided new directions for enforcing compulsory insurance laws in New York State.  The Insurance Information & Enforcement System (IIES) is NYS DMV's comprehensive program that implements provisions of the New York State Vehicle & Traffic Law.

IIES goals include:

- Increasing compliance with NYS compulsory insurance laws thereby reducing the uninsured motorist population
- Enhancing both the effectiveness and efficiency of NYS DMV's insurance programs
- Eliminating Insurance ID Card fraud
- Enabling a more timely exchange of information
- Creating and maintaining an up-to-date database of insurance information
- Facilitating on-road enforcement
- Reducing/minimizing NYS DMV contacts with insured registrants
- Enhancing data compatibility between insurers and NYS DMV
- Automating insurance verification processes
- Virtually eliminating paper communications between insurers and NYS DMV

## 4.0    DMV IIES Contact

New York State Department of Motor Vehicles
ISID - Room 320
6 Empire State Plaza,
Albany, NY 12228

iies@dmv.ny.gov (e-mail)

(518) 402-2130 (Telephone)

(518) 402-2287 (Fax)

www.dmv.ny.gov/iies.htm (Web Site)

## 5.0    Business Reporting Specifications

Major differences between FS Certification Program reporting based on Chapter 781 of the Laws of 1983 and IIES reporting based on Chapter 678 of the Laws of 1997, as amended by Chapter 509 of the Laws of 1998 include:

- Adoption of the insurance industry's ANSI ASC X12 811 standards by NYS DMV
- Replacement of reel and cartridge tape reporting as well as most manual reporting with EDI
- Adding new insurer initiated transactions - new business (NBS), reinstatement (REI), no insurance (NIS), rescind cancellation (REC) & rescind proof (REP)
- Eliminating the Rescission of Termination transaction (function is now incorporated within the REC transaction)
- Expansion of the definition of cancellation (XLC) to require the reporting of: all policy non-renewals and vehicles dropped without replacement from in force policies
- Adding new NYS DMV initiated insurance verification transaction - mandatory verification (MVF)
- Incorporating for-hire (FH) vehicles (taxis, liveries, buses, school cars, daily rentals, ambulances and hearse/invalid coaches) into IIES

## 5.1    Transaction Types

Insurance companies or servicing agents must send the following transactions to NYS DMV:

- New Business (NBS)
- Reinstatement (REI))
- Cancellation (XLC)
- No Insurance (NIS)
- Rescind Cancellation (REC)
- Rescind Proof (REP)

The following transaction, initiated by NYS DMV, requires a response from insurance companies or servicing agents:

- Mandatory Verification (MVF)

## 5.2    Transactions That Must Be Reported to NYS DMV

The table below outlines the insurer-initiated transactions that must be reported to NYS DMV.    EDI notification is required whenever an insurer experiences any of these business events.

**Table 1 – Insurer Initiated Transactions**

| Business Event | Transaction Description | Transaction Code | Notification is Due / Usage Rules |
|---|---|---|---|
| 1. New policy issuance (all vehicles. DLR/TRA registrations (all plates).<br><br>2. Vehicle(s) added to an in force policy. DLR/TRA plate(s) added to an in force policy.<br><br>3. A different vehicle – replaces a vehicle on an in force policy. A different DLR/TRA plate replaces a plate on an in force policy.<br><br>4. Policyholder (all vehicles, all DLR/TRA plates) moved to a different company within insurer group (different NAIC code). | New Business | NBS | Not later than 7 days after the effective date.<br><br>Not later than 14 days after the MVF transaction date.<br><br>Must not report a future effective date (effective date later than transaction date), except for For-Hire (FH).<br><br>For-Hire (FH) - may report a future effective date not later than 20 days after the transaction date. |

| Business Event | Transaction Description | Transaction Code | Notification is Due / Usage Rules |
|---|---|---|---|
| 5. Policy is reinstated (all vehicles. DLR/TRA registrations, all plates).<br><br>6. Vehicle is reinstated to policy. DLR/TRA plate is reinstated to policy. | Reinstatement | REI | Not later than 7 days after the date of the reinstatement action.<br><br>Effective date must be the same as or later than the previous cancellation effective date.<br><br>Must not report a future effective date (effective date later than transaction date). |
| 5. Policy is cancelled by insurer/insured (all vehicles. DLR/TRA registrations, all plates).<br><br>6. Vehicle(s) dropped without replacement from an in force policy. DLR/TRA plate(s) dropped from an in force policy. | Cancellation | XLC | Not later than 30 days after the effective date, but only after any late payment grace period provided by insurer, except for For-Hire (FH).<br><br>Must not report a future effective date (effective date later than transaction date), except for For-Hire (FH).<br><br>Must report at least 20 days prior to the effective date for For-Hire (FH). |
| 9. Repudiate coverage in response to a DMV initiated MVF transaction (company does not insure vehicle nor DLR/TRA plate). | No Insurance | NIS | Must respond not later than 14 days after the MVF transaction date. |
| 10. Rescind a cancellation transaction previously reported for vehicle or DLR/TRA plate. | Rescind Cancellation | REC | Effective date must be the same as the cancellation effective date.<br><br>May be used to rescind an erroneous cancellation transaction that has been reported and matched to a record by NYS DMV. |
| 11. Rescind a NBS, REI or | Rescind Proof | REP | Effective date must be the same as the |

| Business Event | Transaction Description | Transaction Code | Notification is Due / Usage Rules |
|---|---|---|---|
| LOD transaction previously reported for vehicle or DLR/TRA plate. | | | NBS, REI or LOD effective date.<br><br>May be used to rescind an erroneous proof of insurance transaction that has been reported and <u>matched</u> to a record by NYS DMV.<br><br><u>Must</u> be used instead of a XLC if coverage was not provided and the effective date of the XLC is the same as the previously reported and matched NBS. |

## 5.3    Transactions Initiated by NYS DMV

NYS DMV will send the transaction described below to insurers when certain business events occur.  The table also identifies the corresponding response required from the insurer.

**Table 2 – NYS DMV Initiated Transactions**

| Business Event | Transaction Description | Transaction Code | Notification is Due / Usage Rules |
|---|---|---|---|
| 1.  NYS DMV does not receive a NBS transaction to confirm the Insurance ID Card used to register a vehicle or a DLR/TRA plate.  NYS DMV initiates a MVF transaction to the insurer to verify insurance for that vehicle or DLR/TRA plate.<br><br>2.  Verification of insurance for a vehicle or DLR/TRA plate (for a reason not related to a registration transaction). | Mandatory Verification | MVF | Insurer must respond to NYS DMV with a NBS transaction not later than 14 days after the MVF transaction date if the vehicle or DLR/TRA plate is insured.<br><br>- or -<br><br>Insurer must respond to NYS DMV with a NIS transaction not later than 14 days after the MVF transaction date if the vehicle or DLR/TRA plate is <u>not</u> insured on the MVF date. |

## 5.4 Transactions That Must <u>Not</u> Be Reported to NYS DMV

The table below describes transactions that must <u>not</u> be reported to NYS DMV.

**Table 3 – Excluded Transactions**

| Transactions that must <u>not</u> be reported to NYS DMV |
|---|
| 1. Transactions not required by, excluded by, or specifically prohibited by statute, regulation or specification. |
| 2. Multiple submission of the same exact transaction record within the same transmission. <br><br> Multiple submission of the same exact transaction record within different transmissions. <br><br> Resubmissions must be the result of a previous error/disposition condition (edit error, unresolved no-hit exception, 997 transaction error, 811 transaction error, or non-receipt of a 997 transaction interchange acknowledgment from NYS DMV). |
| 3. XLC and REI transactions for the same exact record with the same effective date in the same transmission. |
| 4. Transactions for excluded classifications: <br> • motorcycles, all terrain vehicles, snowmobiles, vessels, non-commercial trailers <br> • police and fire vehicles <br> • government vehicles, including those with diplomatic plates <br> • implements of husbandry  (e.g., farm tractor) |
| 5. NBS transactions for policy renewals. |
| 6. XLC transactions for vehicles or DLR/TRA plates <u>replaced</u> with other vehicles or DLR/TRA plates (policy remains in force). |
| 7. Transactions for policy changes related to drivers, coverage limits, non-liability coverage, administrative issues, policy number changes, etc. |
| 8. Transactions for canceled policies that were reactivated to make administrative changes unrelated to this program, e.g. premium adjustment. |
| 9. XLC transactions if policyholder (all vehicles or all DLR/TRA plates) was moved to another company within the same group (different NAIC code) with no lapse in coverage. |
| 10. Transactions for quotes when the requester never accepted the policy (XLC effective date equals NBS effective date). |
| 11. Transactions for vehicles not registered in New York State. |
| 12. Transactions for vehicle owner policyholders (e.g., lessors) who are not NYS registrants. |

# 6.0    EDI Exchange Process

The EDI information transfer occurs when an insurance company or servicing agent sends an electronic file in X12 format containing sets of transactions.  These transactions represent business events that must be reported to NYS DMV as described in Section 5 and formatted according to the X12.811 standard as described in Appendix A.

Upon receipt of the file by NYS DMV the transactions will be translated using a commercial software package. If the file format or segments (group of data elements) within the file are not compliant with this EDI specification, a 997 transaction will be returned with an error code to the insurance company/servicing agent indicating that the interchange has been rejected.  In addition, an automated e-mail, which further describes the translation error, is generated and sent to the EDI contact.

The following are NYS DMV translator identified error scenarios:
*   required segments are missing
*   required data elements are missing
*   data elements are invalid:
    incorrect number of characters
    incorrect value according to the specification as outlined in this guide

Each transmission that passes through the translator without error will be acknowledged with a 997 transaction returned to the sender.

NYS DMV application programs will process transactions that continue through the translator.  Any errors found at the application program level will be returned with the appropriate error code(s) as an 811 transaction.

## 6.1    Disposition / Error Code Descriptions
Listed below are the various disposition /error codes that can be returned via an 811 transaction:

| Disposition / Error Code | Meaning |
|---|---|
| R### | Indicates a resolved no-hit exception.  The data did not exactly match a registration record on the NYS DMV Registration file.<br><br>However, the transaction was matched to a registration record through NYS DMV's electronic exceptions resolution process.  NYS DMV has posted information from both the transaction and the "matched" registration record to the insurance database. |

| Disposition / Error Code | Meaning |
|---|---|
| | The "###" contains an error code that specifies which incoming field(s) were used for resolution. If more than one field did not match, multiple error segments will be present. The "###" will also identify a late filing.<br><br>A transaction with a resolved no-hit exception disposition code will contain the following information:<br><br>One or more error segments at the policy and/or vehicle levels. These segments will be followed by the insurer provided policy and/or vehicle information. The error code will specify which incoming field(s) were used during the NYS DMV exception resolution process.<br><br>In addition, an error segment and information from the specific NYS DMV's Registration record that the transaction was matched and posted to will also be sent. The code in the error segment will indicate that the information is being provided from the NYS DMV's Registration file. |
| U### | Indicates an unresolved no-hit exception. A matching registration record has not been found after NYS DMV's exceptions resolution process. NYS DMV has <u>not</u> posted the data to the insurance database.<br><br>A transaction with an unresolved no-hit disposition code will contain the following information:<br><br>One or more error segments at the policy and/or vehicle levels will be followed by the insurer provided policy and/or vehicle information. The error code will specify which incoming field(s) was unmatched.<br><br>In addition, an error segment and information from a specific NYS DMV Registration record may also be sent, e.g., in cases of an exact match on VIN but no match on name. The code in the error segment will indicate that the information is being provided from the NYS DMV's Registration file. |
| E### | Indicates an edit error due to missing or invalid information in one or more of the data fields. NYS DMV has <u>not</u> posted the data to the insurance database.<br><br>The "###" contains an error code that specifies which incoming field(s) were in error or whether the transaction was erroneous (e.g., excluded vehicle classification). If more than one field is in error, multiple error segments will be present. |

## 6.2    Data Reporting Guidelines

Several data formatting guidelines must be followed when reporting to NYS DMV.

1. EDI fields are variable length, followed by a delimiter. NYS DMV utilizes the asterisk " * " as a data element separator, and therefore it cannot exist within the content of any data element. The tilde "~" will be used as a segment terminator, and also cannot exist within the content of any data element.

2. Do not use null values.  Use spaces for alphanumeric fields with no value.  Use zeroes for numeric fields with no value.

3. All alphabetic characters must be in UPPER CASE.

4. The standard date field format is YYMMDD (year, month, day).

5. An additional date field will contain CC (century) and must only contain the values "19" or "20" (as appropriate).

6. All mandatory fields must always contain data.

7. All Numeric fields (type = Numeric) must be right justified.

8. All alphanumeric fields (type = Alphanumeric) must be left justified.

## 6.3    Functional Acknowledgments: ANSI X12 997 Transactions

NYS DMV will send an ANSI X12 997 Functional Acknowledgment transaction to insurance companies in response to all transmissions received by NYS DMV.  If a transaction has no errors, insurers may use the 997 Functional Acknowledgment as proof of receipt and acceptance of the data as provided.

If a transaction received by NYS DMV contains errors in data, insurers will also receive an ANSI X12 811 transaction containing error codes (up to five) as defined in Appendix D, Pages D-42 to D-55.

Likewise, insurers will be required to send an ANSI X12 997 Functional Acknowledgment transaction to NYS DMV for every transaction set received.

# New York State
## Department of Motor Vehicles

# Motor Vehicle Liability Insurance Reporting Implementation Guide

### *Version 1.3*
#### September 2006

### Appendix A

## EDI Segment and Data Element Specifications

### Insurance Information & Enforcement System (IIES)
#### New Directions in Enforcing Compulsory Insurance Laws

# Appendix A  - EDI Segment and Data Element Specification

**Segment Key**

Corresponding to each segment listed within the Segment and Data Element Specifications are boxes that hold information pertaining to how each segment will be handled in the transaction set.  An explanation of the information found in a box has been outlined below:

**Segment Information**

**Pos** - Indicates the position of the segment within the transaction.

**Id**   - The segment Id of the segment or the data element Id.

**Segment Name** - The name of the segment.

**Req** - The status of the segment according to the X12 standard (i.e. - Mandatory, Optional, Conditional)

**Max Use** - The maximum number of occurrences of the segment.

**Repeat** - The number of times the loop can repeat.

**Notes** - Additional information pertaining to the segment.

**Segment Example**

| Pos | Id | Segment Name | Req | Max Use | Repeat | Notes |
|---|---|---|---|---|---|---|
| **LOOP ID - HL** | | | | | **>1** | |
| 010 | HL | Hierarchical Level - Insurer Level | M | 1 | | |

*Segment Id*

*Max number of times the segment repeats*

*Number of data elements in the segment*

| | |
|---|---|
| **Pos: 010** | **Max: 1** |
| **- Mandatory** | |
| **Loop: N/A** | **Elements: 16** |

# Interchange Control Header

# ISA

This segment contains the control information for the interchange.

Element Summary:

*Data type (Listed below)*

*Segment requirement (Listed below)*

*Data element position in the segment*     *Data element name*

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|------|-----|-------------|-----|------|---------|-------|
| **ISA01** | **I01** | **Authorization Information Qualifier** | **M** | **ID** | **2/2** | **NYS DMV Required** |

**Description:** Contains the code value to identify the code set in the Authorization Information element.  Must always contain the code value "00".

*Data Element Id*

| Code | Name |
|------|------|
| 00 | No Authorization Information Present (No Meaningful Info. in Authorization Info.) |

*Code Value*          *Decode*

*Minimum and Max Length of the data element*

*Data Element Usage by NYS DMV (Listed below)*

**Segment requirement**

**M – Mandatory -** Indicates that the sender must use the segment.

**C  - Conditional -** Indicates that the presence of the segment will be decided by the presence of other segments or codes in a different data element.

**O  - Optional -** Indicates that the segment may or may not be used by the sender.

**Data element usage by NYS DMV**

**NYS DMV Required  -** Required by NYS DMV for processing.

**NYS DMV Optional**   - Will be used by NYS DMV for processing if provided.

**Data Types**

**ID**   - Identifier

**N0**  - Numeric with no decimals (Integer)

**AN** - Alphanumeric

**DT -** Date in YYMMDD format

**Note:**

Whenever a value is specified within quotes (e.g. "ZZ") only provide the value (i.e. do not include the quotes).

# 811    Motor Vehicle Liability Insurance Reporting

### Functional Group=CI

This lists the segments included in the Motor Vehicle Liability Insurance Reporting Specification.

## *Segments:*

| Pos | Id | Segment Name | Req | Max Use | Repeat | Notes |
|-----|-----|--------------|-----|---------|--------|-------|
| 010 | ISA | Interchange Control Header | M | 1 | | |
| 020 | GS | Functional Group Header | M | 1 | | |

## *Heading:*

| Pos | Id | Segment Name | Req | Max Use | Repeat | Notes |
|-----|-----|--------------|-----|---------|--------|-------|
| 010 | ST | Transaction Set Header | M | 1 | | |
| 020 | BIG | Beginning Segment for Invoice | M | 1 | | |
| **LOOP ID - N1** | | | | | **1** | |
| 030 | N1 | Sender's Name | M | 1 | | |
| 040 | N2 | Sender's Additional Name Information | O | 1 | | |
| 080 | PER | Sender's Administrative Communications Contact | M | 1 | | |
| **LOOP ID - N1** | | | | | **1** | |
| 090 | N1 | Receiver's Name | M | 1 | | |

## *Detail:*

| Pos | Id | Segment Name | Req | Max Use | Repeat | Notes |
|-----|-----|--------------|-----|---------|--------|-------|
| **LOOP ID - HL** | | | | | **>1** | |
| 010 | HL | Hierarchical Level - Insurer Level | M | 1 | | |
| **LOOP ID - LX** | | | | | **>1** | |
| 020 | LX | Section Separator - Insurer Level | C | 1 | | |
| 030 | REF | Error Segment - Insurer Level | C | 1 | | |
| **LOOP ID - NM1** | | | | | **1** | |
| 040 | NM1 | Insurer Name | M | 1 | | |
| 050 | N2 | Insurer Additional Name Information | O | 1 | | |
| 090 | PER | Insurer Administrative Communications Contact | C | 1 | | |
| **LOOP ID - IT1** | | | | | **>1** | |
| 100 | IT1 | Baseline Item Data (Insurer) | M | 1 | | |
| 110 | DTM | Reporting Date | M | 1 | | |
| **LOOP ID - HL** | | | | | **1** | |
| 120 | HL | Hierarchical Level - State Level | M | 1 | | |
| **LOOP ID - NM1** | | | | | **1** | |
| 130 | NM1 | State Name | M | 1 | | |

| LOOP ID - HL | | | | | ≥1 |
|---|---|---|---|---|---|
| 170 | HL | Hierarchical Level - Policy Level | M | 1 | |

| LOOP ID - LX | | | | | ≥1 |
|---|---|---|---|---|---|
| 180 | LX | Section Separator - Policy Level | C | 1 | |
| 190 | REF | Error Segment - Policy Level | C | 1 | |

| LOOP ID - NM1 | | | | | 1 |
|---|---|---|---|---|---|
| 200 | NM1 | Primary Insured Name | M | 1 | |
| 210 | N2 | Insured Gender | C | 1 | |
| 220 | N3 | Insured Address Information | M | 1 | |
| 230 | N4 | Insured Geographic Location | M | 1 | |

| LOOP ID - IT1 | | | | | 1 |
|---|---|---|---|---|---|
| 240 | IT1 | Baseline Item Data (Policy) | M | 1 | |
| 250 | SI | Transaction Purpose | M | 1 | |
| 260 | SI | Additional Transaction Purpose | O | 1 | |
| 270 | REF | Policy Number | C | 1 | |
| 280 | REF | Document Identification Code | O | 1 | |
| 290 | REF | Issuer License Number | C | 1 | |
| 300 | DTM | Primary Insured Date of Birth | C | 1 | |
| 310 | DTM | Effective Date | C | 1 | |
| 320 | DTM | Termination Effective Date | C | 1 | |

| LOOP ID - IT1 | | | | | 9 |
|---|---|---|---|---|---|
| 330 | IT1 | Baseline Item Data (Additional Insured) | O | 1 | |
| 340 | DTM | Additional Insured's Date of Birth | C | 1 | |

| LOOP ID - NM1 | | | | | 1 |
|---|---|---|---|---|---|
| 350 | NM1 | Additional Insured Name | O | 1 | |
| 360 | N2 | Additional Insured Gender | C | 1 | |

| LOOP ID - HL | | | | | ≥1 |
|---|---|---|---|---|---|
| 370 | HL | Hierarchical Level -Vehicle Level | M | 1 | |

| LOOP ID - LX | | | | | 1 |
|---|---|---|---|---|---|
| 380 | LX | Section Separator | M | 1 | |
| 390 | VEH | Vehicle Information | M | 1 | |
| 400 | REF | Disposition Segment - Vehicle Level | C | 1 | |
| 410 | REF | Vehicle's NYS License Plate Number | O | 1 | |

*Summary:*

| Pos | Id | Segment Name | Req | Max Use | Repeat | Notes |
|---|---|---|---|---|---|---|
| 010 | TDS | Total Monetary Value Summary | M | 1 | | |
| 020 | CTT | Transaction Totals | M | 1 | | |
| 030 | SE | Transaction Set Trailer | M | 1 | | |
| 040 | GE | Functional Group Trailer | M | 1 | | |
| 010 | IEA | Interchange Control Trailer | M | 1 | | |

# ISA — Interchange Control Header

| | |
|---|---|
| **Pos: 010** | **Max: 1** |
| **- Mandatory** | |
| **Loop: N/A** | **Elements: 16** |

This segment contains the control information for the interchange.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| ISA01 | I01 | **Authorization Information Qualifier** <br> **Description:** Contains the code value to identify the code set in the Authorization Information element (ISA02). Must always contain the code value "00". <br> **Code Name** <br> 00 No Authorization Information Present (No Meaningful Info. in Authorization Info) | M | ID | 2/2 | **NYS DMV Required** |
| ISA02 | I02 | **Authorization Information** <br> **Description:** Must contain spaces only. | M | AN | 10/10 | **NYS DMV Required** |
| ISA03 | I03 | **Security Information Qualifier** <br> **Description:** Contains the code value to identify the code set in the Security Information element (ISA04). Must always contain the code value "00". <br> **Code Name** <br> 00 No Security Info. Present (No Meaningful Info. in Security Information) | M | ID | 2/2 | **NYS DMV Required** |
| ISA04 | I04 | **Security Information** <br> **Description:** Contains spaces only. | M | AN | 10/10 | **NYS DMV Required** |
| ISA05 | I05 | **Interchange Sender ID Qualifier** <br> **Description:** Contains the code value to identify the code set in the Interchange Sender ID element (ISA06). Must always contain the code value "30" to indicate a Federal Taxpayer ID or "ZZ" to indicate an insurer preferred sender ID when the insured is the sender. <br> **Code Name** <br> 30 U.S. Federal Tax Identification Number <br> ZZ Mutually Defined | M | ID | 2/2 | **NYS DMV Required** |
| ISA06 | I06 | **Interchange Sender ID** <br> **Description:** Contains the Sender ID of the NYS DMV or the Sender ID as used by Insurance Company, Servicing Agent or other sending organization. | M | AN | 15/15 | **NYS DMV Required** |
| ISA07 | I05 | **Interchange Receiver ID Qualifier** <br> **Description:** Contains the code value to identify the code set in the Interchange Sender ID element (ISA08). <br> **Code Name** <br> 30 U.S. Federal Tax Identification Number <br> ZZ Mutually Defined | M | ID | 2/2 | **NYS DMV Required** |
| ISA08 | I07 | **Interchange Receiver ID** | M | AN | 15/15 | **NYS** |

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| | | **Description:** Contains the Sender ID of the NYS DMV or the Receiver ID as used by Insurance Company, Servicing Agent, or other receiving organization. | | | | **DMV Required** |
| **ISA09** | **I08** | **Interchange Date** | **M** | **DT** | **6/6** | **NYS DMV Required** |
| | | **Description:** Contains the date on which the interchange was sent by the NYS DMV, Insurance Company, Servicing Agent or other sending organization.  Must always be in the format YYMMDD. | | | | |
| | | EDI translators typically populate this data element automatically using the system date at the time of translation. | | | | |
| **ISA10** | **I09** | **Interchange Time** | **M** | **TM** | **4/4** | **NYS DMV Required** |
| | | **Description:** Contains the time at which the interchange was sent by the NYS DMV, Insurance Company, Servicing Agent, or other sending organization.   Must be in the format HHMM, in 24 hour format. | | | | |
| | | EDI translators typically populate this data element automatically using the system time at the time of translation. | | | | |
| **ISA11** | **I10** | **Interchange Control Standards Identifier** | **M** | **ID** | **1/1** | **NYS DMV Required** |
| | | **Description:** Contains the code value to identify the ASC X12 standard in which the data is formatted.  Must always contain the code value "U". | | | | |
| | | EDI translators typically populate this data element automatically. | | | | |

Code  Name
U     U.S. EDI Community of ASC X12, TDCC, and UCS

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| **ISA12** | **I11** | **Interchange Control Version Number** | **M** | **ID** | **5/5** | **NYS DMV Required** |
| | | **Description:** Contains the code value indicating that the draft standards for trial use approved for Publication by ASC X12 Procedures will be used in the transaction.  Must always contain the code value "00305". | | | | |
| | | EDI translators typically populate this data element automatically. | | | | |

Code     Name
00305 Draft Standards for Trial Use Approved for Publication by
      ASC X12 Procedures

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| **ISA13** | **I12** | **Interchange Control Number** | **M** | **N0** | **9/9** | **NYS DMV Required** |
| | | **Description:** Tracking number generated by the sender. The number will be returned by the receiver so that errors can be tracked. | | | | |
| | | EDI translators typically populate this data element automatically. | | | | |
| **ISA14** | **I13** | **Acknowledgment Requested** | **M** | **ID** | **1/1** | **NYS DMV Required** |
| | | **Description:** Code value is sent by the sender to request an interchange acknowledgment. Must always contain the code value "1". | | | | |

Code Name
1     Interchange Acknowledgment Requested

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| **ISA15** | **I14** | **Test Indicator** | **M** | **ID** | **1/1** | **NYS** |

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|-----|-------------|-----|------|---------|-------|
| | | **Description:** Contains either the code value "T", indicating Test Data has been sent, or the code value "P", indicating that Production Data has been sent. | | | | **DMV Required** |

| Code | Name |
|------|------|
| P | Production Data |
| T | Test Data |

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|-----|-------------|-----|------|---------|-------|
| **ISA16** | **I15** | **Component Element Separator**<br>**Description:** Must always contain the hexadecimal value "1F". Indicates the end of the control segment. | **M** | **AN** | **1/1** | **NYS DMV Required** |

# GS    Functional Group Header

| | |
|---|---|
| **Pos: 020** | **Max: 1** |
| **- Mandatory** | |
| **Loop: N/A** | **Elements: 8** |

This segment contains the beginning of a functional group and related control information.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| **GS01** | **479** | **Functional Identifier Code** <br> **Description:** Contains the code value to identify a group of application related Transaction Sets. Must always contain the code value "CI". | M | ID | 2/2 | **NYS DMV Required** |

    **Code Name**
    CI     Consolidated Service Invoice/Statement (811)

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| **GS02** | **142** | **Application Sender's Code** <br> **Description:** Contains the Sender ID of the NYS DMV or the Sender Code as used by Insurance Company, Servicing Agent or other sending organization. <br><br> Will always contain the value "NYMV<3 Spaces>NYMVIE1", the Sender ID, when NYS DMV sends the transaction. | M | AN | 2/15 | **NYS DMV Required** |
| **GS03** | **124** | **Application Receiver's Code** <br> **Description:** Contains the Sender ID of the NYS DMV or the Receiver Code as used by Insurance Company, Servicing Agent or other receiving organization. <br><br> Must always contain the value "NYMV<3 Spaces>NYMVIE1", the Sender ID, when NYS DMV is the recipient of the transaction. | M | AN | 2/15 | **NYS DMV Required** |
| **GS04** | **373** | **Date** <br> **Description:** Date on which the Function Group was sent by the NYS DMV, Insurance Company, Servicing Agent or any other sending organization. Must always be in the format YYMMDD. <br><br> EDI translators typically populate this data element automatically using the system date at the time of translation. | M | DT | 6/6 | **NYS DMV Required** |
| **GS05** | **337** | **Time** <br> **Description:** Time at which the function group was sent by the NYS DMV, Insurance Company, Servicing Agent or any other sending organization. Must be in HHMM, in 24 hour format. <br><br> EDI translators typically populate this data element automatically using the system time at the time of translation. | M | TM | 4/8 | **NYS DMV Required** |
| **GS06** | **28** | **Group Control Number** <br> **Description:** Tracking number generated by the sender. The number will be returned by the receiver so that errors can be tracked. <br><br> EDI translators typically populate this data element automatically. This number must be the same as data element GE02: Group Control Number. | M | N0 | 1/9 | **NYS DMV Required** |

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|-----|-------------|-----|------|---------|-------|
| GS07 | 455 | **Responsible Agency Code** | **M** | **ID** | **1/2** | **NYS DMV Required** |

**Description:** Contains the code identifying the issuer of the standard. Must always contain the code value "X".

| Code | Name |
|------|------|
| X | Accredited Standards Committee X12 |

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|-----|-------------|-----|------|---------|-------|
| GS08 | 480 | **Version / Release / Industry Identifier Code** | **M** | **AN** | **1/12** | **NYS DMV Required** |

**Description:** Contains the code value indicating that the draft standards for trial use approved for Publication by ASC X12 Procedures will be used in the transaction.  Must always contain the code value "003050".

| Code | Name |
|------|------|
| 003050 | Draft Standard Approved for Publication by ASC X12 Procedures Review Board. |

# ST     Transaction Set Header

<div style="border:1px solid">

**Pos: 010**     **Max: 1**
**Heading - Mandatory**
**Loop: N/A     Elements: 2**

</div>

This segment contains the start of a transaction set.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|-----|-------------|-----|------|---------|-------|
| ST01 | 143 | **Transaction Set Identifier Code** | M | ID | 3/3 | **NYS DMV Required** |

**Description:** Contains the code value indicating the 811 transaction set. Must always contain the code value "811".

| Code | Name |
|------|------|
| 811 | X12.39 Consolidated Service Invoice/Statement |

**Description:**  This data element must contain 811 identifying that it is the 811 transaction set used for Motor Vehicle Liability Insurance Reporting

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|-----|-------------|-----|------|---------|-------|
| ST02 | 329 | **Transaction Set Control Number** | M | AN | 4/9 | **NYS DMV Required** |

**Description:** Contains a unique control number, assigned by the sender, and must be the same as the Transaction Set Control Number in SE02. Number is sequentially assigned within each functional group, usually beginning with 0001 and incremented by one for each additional transaction set within the group.  Number must be unique within a specific functional group or interchange, but can be repeated in other groups and interchanges.  Unique number is also used to aid in error reconciliation and research.

EDI translators typically populate this data element automatically.

# BIG    Beginning Segment for Invoice

| Pos: 020 | Max: 1 |
|---|---|
| Heading - Mandatory | |
| Loop: N/A | Elements: 2 |

This segment contains the motor vehicle liability insurance reporting date.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| BIG01 | 373 | **Date**<br>**Description:** Contains the date the transaction set was created in the sender's system.  Must always be in the format YYMMDD.<br><br>EDI translators typically populate this data element automatically using the system date at the time of translation. | M | DT | 6/6 | **NYS DMV Required** |
| BIG02 | 76 | **Invoice Number**<br>**Description:** Must always contain the value "1". | M | AN | 1/1 | **NYS DMV Required** |

# N1    Sender's Name

| | |
|---|---|
| **Pos: 030** | **Max: 1** |
| **Heading - Mandatory** | |
| **Loop: N1** | **Elements: 4** |

This segment contains the identification information for NYS DMV, Insurance Company, Servicing Agent or any other sending organization.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| **N101** | **98** | **Entity Identifier Code** | M | ID | 2/2 | **NYS DMV Required** |

**Description:** Contains the code value to identify the sender.

Must contain the code value "IN" when an Insurance Company sends the transaction set.

Must contain the code value "SQ" when a Servicing Agent sends the transaction set.

Must contain the code value "2F" when NYS DMV sends the transaction set.

| Code | Name |
|---|---|
| 2F | State |

      **Description:** This code will be used when NYS DMV is sending the transaction.

| | |
|---|---|
| IN | Insurance Company |

      **Description:** This code will be used when an Insurance Company is sending the transaction.

| | |
|---|---|
| SQ | Servicing Agent |

      **Description:** This code will be used when the Servicing Agent is sending the transaction.

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| **N102** | **93** | **Sender's Name** | M | AN | 1/35 | **NYS DMV Required** |

**Description:** Contains the name of the State, Insurance Company, Servicing Agent or any other organization sending the transaction set.

Will contain "NYSDMV" when NYS DMV is the sender.

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|-----|---|-----|------|---------|-------|
| **N103** | **66** | **Identification Code Qualifier**<br>**Description:** Contains the code value to identify the Identification code. | **M** | **ID** | **2/2** | **NYS DMV Required** |

Must always contain "FI" when a Servicing Agent sends the transaction set.

Must always contain "NI" when an Insurance Company sends the transaction set.

Will contain "ZZ" when the NYS DMV is sending the transaction.

| Code | Name |
|------|------|
| FI | Federal Taxpayer's Identification Number<br>**Description:** This code will be used when the NYS DMV or the Servicing Agent is sending the transaction. |
| NI | National Association of Insurance Commissioners (NAIC) Identification Code<br>**Description:** Code must be present when the Insurance company is sending the transaction. |
| ZZ | Mutually defined. |

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|-----|---|-----|------|---------|-------|
| **N104** | **67** | **Identification Code**<br>**Description:** Contains the identification number. | **M** | **AN** | **5/20** | **NYS DMV Required** |

Must always contain the NAIC code when an Insurance Company sends the transaction.

Must always contain the Federal Taxpayer's ID when a Servicing Agent sends the transaction.

Will always contain the value "NYMV<3 Spaces>NYMVIE1", the Sender ID when NYS DMV sends the transaction.

# N2    Sender's Additional Name Information

| Pos: 040 | Max: 1 |
|---|---|
| Heading - Optional | |
| Loop: N1 | Elements: 2 |

This segment contains the portion of the name that is longer than 35 characters in length. This segment will not be used when NYS DMV sends the transaction.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| **N201** | **93** | **Sender's Name - Continued**<br>**Description:** Contains the portion of the Sender's name that did not fit in the Sender's Name element N102. | **M** | **AN** | **1/35** | **NYS DMV Required** |
| **N202** | **93** | **Sender's Name - Continued**<br>**Description:** Contains the portion of the Sender's name that did not fit in the Sender's Name elements N102 and N201. | **O** | **AN** | **1/35** | **NYS DMV Optional** |

# PER  Sender's Administrative Communications Contact

| | |
|---|---|
| **Pos: 080** | **Max: 1** |
| **Heading - Mandatory** | |
| **Loop: N1** | **Elements: 4** |

This segment contains the contact information of the person or office where administrative communications should be sent.

**Element Summary:**

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| PER01 | 366 | **Contact Function Code (Administrator)** | M | ID | 2/2 | **NYS DMV Required** |

**Description:** Contains the code value to identify the administrator information in PER01, PER02, PER03 and PER04.  Must contain "AM" to identify the administrator.

| Code | Name |
|---|---|
| AM | Administrator |

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| PER02 | 93 | **Name** | M | AN | 1/35 | **NYS DMV Required** |

**Description:** Contains the Administrator name.

When NYS DMV sends the transaction this element will contain "NYSDMV".

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| PER03 | 365 | **Telephone Number Qualifier** | M | ID | 2/2 | **NYS DMV Required** |

**Description:** Code identifying the telephone number (PER04).  Must always contain the code value "TE".

| Code | Name |
|---|---|
| TE | Telephone |

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| PER04 | 364 | **Telephone Number** | M | AN | 10/80 | **NYS DMV Required** |

**Description:** Contains the complete telephone number including area code (exclude parentheses).

When NYS DMV sends the transaction, this element will contain the value "5184749691".

# N1    Receiver's Name

| | |
|---|---|
| **Pos: 090** | **Max: 1** |
| **Heading - Mandatory** | |
| **Loop: N1** | **Elements: 4** |

This segment contains the identification information of the NYS DMV, Insurance Company, Servicing Agent or any other receiving organization.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| N101 | 98 | **Entity Identifier Code** <br> **Description:** Contains the code value to identify the recipient. | M | ID | 2/2 | **NYS DMV Required** |

Must always contain the code value "2F" when NYS DMV is the recipient of the transaction set.

Must always contain the code value "IN" when an Insurance Company is the recipient of the transaction set.

Must always contain the code value "SQ" when a Servicing Agent is the recipient of the transaction set.

| Code | Name |
|---|---|
| 2F | State <br> **Description:** This code will be used when NYS DMV is the recipient of the transaction. |
| IN | Insurance Company <br> **Description:** This code will be used when an Insurance Company is the recipient. |
| SQ | Servicing Agent <br> **Description:** This code will be used when a Servicing Agent is the recipient. |

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| N102 | 93 | **Receiver's Name** <br> **Description:** Contains the State name, Insurance Company name, Servicing Agent name or any other receiving organization. | M | AN | 1/35 | **NYS DMV Required** |

Must always contain "NYSDMV" when NYS DMV is the recipient.

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|-----|-------------|-----|------|---------|-------|
| N103 | 66 | **Identification Code Qualifier** | **M** | **ID** | **2/2** | **NYS DMV Required** |

**Description:** Contains the code value to identify the Identification code (N104).

Must always contain "FI" when a Servicing Agent is the recipient of the transaction set.

Must always contain "NI" when an Insurance Company is the recipient of the transaction set.

Will contain "ZZ" when NYS DMV is the recipient of the transaction set.

| Code | Name |
|------|------|
| FI | Federal Taxpayer's ID |

**Description:** This code will be used when a Servicing Agent or NYS DMV is the recipient of the transaction.

| | |
|---|---|
| NI | NAIC code |

**Description:** This code will be used when an Insurance Company is the recipient of the transaction.

| | |
|---|---|
| ZZ | Mutually defined. |

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|-----|-------------|-----|------|---------|-------|
| N104 | 67 | **Identification Code** | **M** | **AN** | **2/20** | **NYS DMV Required** |

**Description:** Contains the identification code of the recipient.

Must always contain the value "NYMV<3 Spaces>NYMVIE1" when NYS DMV is the recipient.

Must always contain the Federal Taxpayer ID of the Servicing Agent when a Servicing Agent is the recipient.

Must always contain the NAIC code of the Insurance Company when an Insurance Company is the recipient.

# HL    Hierarchical Level - Insurer Level

| Pos: 010 | Max: 1 |
|---|---|
| **Detail - Mandatory** | |
| **Loop: HL** | **Elements: 3** |

This segment contains information to identify dependencies among the hierarchically related groups of data segments.

*When NYS DMV reports an error at this level, all reported transactions for the insurer will be rejected.*

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| **HL01** | **628** | **Hierarchical ID Number** | **M** | **AN** | **1/12** | **NYS DMV Required** |
| | | **Description:** Contains a unique number for each occurrence of the HL segment within the transaction set. The value assigned to the first HL segment is 1, and is incremented by one for each subsequent occurrence of the HL segment within the transaction set. | | | | |
| **HL03** | **735** | **Hierarchical Level Code** | **M** | **ID** | **1/1** | **NYS DMV Required** |
| | | **Description:** Contains the code defining the characteristic of a level in a hierarchical structure.  Must always contain the code value "1". | | | | |

     **Code  Name**
     1      Service/Billing Provider

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| **HL04** | **736** | **Hierarchical Child Code** | **M** | **ID** | **1/1** | **NYS DMV Required** |
| | | **Description:** Contains the code indicating that there are subordinate HL data segments in the hierarchical structure.  Must always contain the code value "1". | | | | |

     **Code  Name**
     1      Additional Subordinate HL Data Segments in This Hierarchical Structure.

# LX    Section Separator - Insurer Level

| | |
|---|---|
| **Pos: 020** | **Max: 1** |
| **Detail - Conditional** | |
| **Loop: LX** | **Elements: 1** |

This segment is a section separator and will be used only by NYS DMV when reporting errors on the transactions received earlier. This segment must not be used by any other organization.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| **LX01** | **554** | **Assigned Number**<br>**Description:** Must always contain the value "1". | **M** | **N0** | **1/1** | **NYS DMV Required** |

# REF    Error Segment - Insurer Level

<table>
<tr><td>Pos: 030</td><td>Max: 1</td></tr>
<tr><td colspan="2">Detail - Conditional</td></tr>
<tr><td>Loop: LX</td><td>Elements: 2</td></tr>
</table>

This segment must contain the error codes that NYS DMV encounters at the Insurer level. If there is more than one error in a transaction, this segment will be repeated.

Only NYS DMV will send this segment.  This segment must not be used by any other organization.

*When NYS DMV reports an error at this level, all reported transactions for the insurer will be rejected*

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|------|-----|--------------|-----|------|---------|-------|
| REF01 | 128 | **Reference Number Qualifier**<br>**Description:** Contains the code value to identify the error code in Reference number element (REF02).  Must always contain the code value "1Q". | M | ID | 2/2 | **NYS DMV Required** |

      **Code  Name**
      1Q    Error Identification Code

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|------|-----|--------------|-----|------|---------|-------|
| REF02 | 127 | **Reference Number**<br>**Description:** Contains one of the error codes identified by NYS DMV (Refer to the disposition code list in pages A-62). | M | AN | 1/30 | **NYS DMV Required** |

# NM1    Insurer Name

<table>
<tr><td>Pos: 040         Max: 1</td></tr>
<tr><td>Detail - Mandatory</td></tr>
<tr><td>Loop: NM1      Elements: 6</td></tr>
</table>

This segment contains the Insurer Name and the NAIC code of the insurer.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|----|--------------|-----|------|---------|-------|
| NM101 | 98 | **Entity Identifier Code** <br> **Description:** Contains the code value to identify the insurer. Must always contain the code value "IN". | M | ID | 2/2 | NYS DMV Required |
| | | **Code**   **Name** <br> IN     Insurer | | | | |
| NM102 | 1065 | **Entity Type Qualifier** <br> **Description:** Contains the code value to qualify the type of entity. Must always contain the code value "2". | M | ID | 1/1 | NYS DMV Required |
| | | **Code**   **Name** <br> 2      Non-Person Entity | | | | |
| NM103 | 1035 | **Insurer Name** <br> **Description:** Contains the Insurer name. | M | AN | 1/35 | NYS DMV Required |
| NM104 | 1036 | **Insurer Name - Continued** <br> **Description:** Contains the portion of the Insurer name that did not fit in NM103. | O | AN | 1/25 | NYS DMV Optional |
| NM108 | 66 | **Identification Code Qualifier** <br> **Description:** Contains the code value to identify the NAIC code in the Identification code (NM109). Must always contain the code value "NI". | M | ID | 1/2 | NYS DMV Required |
| | | **Code**   **Name** <br> NI     National Association of Insurance Commissioners (NAIC) Identification | | | | |
| NM109 | 67 | **Identification Code** <br> **Description:** Contains the NAIC code of the insurer. | M | N0 | 5/5 | NYS DMV Required |

# N2  Insurer Additional Name Information

| | |
|---|---|
| **Pos: 050** | **Max: 1** |
| **Detail - Optional** | |
| **Loop: NM1** | **Elements: 2** |

This segment contains the portion of the name that is longer than 60 characters in length and that did not fit in the Insurer Name element NM103 and Insurer Name - Continued element NM104.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|-----|-------------|-----|------|---------|-------|
| **N201** | **93** | **Insurer Name Continued** <br> **Description:** Contains the portion of the Insurer name that did not fit in the Insurer Name elements NM103 and NM104. | **M** | **AN** | **1/35** | **NYS DMV Required** |
| **N202** | **93** | **Insurer Name Continued** <br> **Description:** Contains the potion of the Insurer name that did not fit in the Insurer Name elements NM103, NM104 and Insurer Name Continued element N201. | **O** | **AN** | **1/35** | **NYS DMV Optional** |

# PER

## Insurer Administrative Communications Contact

| | |
|---|---|
| **Pos: 090** | **Max: 1** |
| **Detail - Conditional** | |
| **Loop: NM1** | **Elements: 4** |

This segment contains the contact information of the person or office to whom administrative communications should be directed. This segment will not be populated when the NYS DMV is the sender.

The information in this segment will be the same as the PER Sender's Administrative Communications Contact if the Insurer is reporting on behalf of itself and should be duplicated.

Information in this segment will be different from the PER Sender's Administrative Communications Contact if a Servicing Agent or other third party is reporting on behalf of an Insurer.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| PER01 | 366 | **Contact Function Code** <br> **Description:** Contains the code value to identify the administrator information in PER01, PER02, PER03 and PER04.  Must contain "AM" to identify the administrator. <br> **Code  Name** <br> AM    Administrator | M | ID | 2/2 | **NYS DMV Required** |
| PER02 | 93 | **Name** <br> **Description:** Contains the Administrator name. | M | AN | 1/35 | **NYS DMV Required** |
| PER03 | 365 | **Telephone Number Qualifier** <br> **Description:** Code identifying the telephone number (PER04).  Must always contain the code value "TE". <br> **Code  Name** <br> TE    Telephone | M | ID | 2/2 | **NYS DMV Required** |
| PER04 | 364 | **Telephone Number** <br> **Description:** Contains the complete telephone number including area code (exclude parentheses and dashes). | M | AN | 10/80 | **NYS DMV Required** |

# IT1    Baseline Item Data (Insurer)

Pos: 100      Max: 1
Detail - Mandatory
Loop: IT1      Elements: 3

To specify the basic and most frequently used line item data for the invoice and related transactions.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|------|-----|-------------|-----|------|---------|-------|
| IT102 | 358 | **Quantity Invoiced**<br>**Description:** Must always contain the value "1". | M | R | 1/1 | NYS DMV Required |
| IT103 | 355 | **Unit or Basis for Measurement Code**<br>**Description:** Must always contain the code value "IP". | M | ID | 2/2 | NYS DMV Required |

    <u>Code</u>  <u>Name</u>
    IP    Insurance Policy
         **Description:** An individual insurance policy.

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|------|-----|-------------|-----|------|---------|-------|
| IT104 | 212 | **Unit Price**<br>**Description:** Must always contain the value "0". | M | R | 1/1 | NYS DMV Required |

# DTM    Reporting Date

Pos: 110      Max: 1
Detail - Mandatory
Loop: IT1      Elements: 3

This segment contains the date the transactions are being reported by the Insurance Company, Servicing Agent, or any other sending organization.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|-----|-------------|-----|------|---------|-------|
| DTM01 | 374 | **Date/Time Qualifier**<br>**Description:** Contains the code value to identify the Date (DTM02). Must always contain the code value "368". | M | ID | 3/3 | NYS DMV Required |

     **Code  Name**
     368    Submittal

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|-----|-------------|-----|------|---------|-------|
| DTM02 | 373 | **Date**<br>**Description:** Contains the date the transactions are being reported. Must always be in the format YYMMDD. | M | DT | 6/6 | NYS DMV Required |
| DTM05 | 624 | **Century**<br>**Description:** Contains the century in which the transactions are being reported. | M | N0 | 2/2 | NYS DMV Required |

# HL     Hierarchical Level - State Level

| | |
|---|---|
| **Pos: 120** | **Max: 1** |
| **Detail - Mandatory** | |
| **Loop: HL** | **Elements: 4** |

This segment contains information to identify dependencies among the hierarchically related groups of data segments.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| HL01 | 628 | **Hierarchical ID Number** <br> **Description:** Contains a unique number for each occurrence of the HL segment within the transaction set. The value assigned to the first HL segment is 1, and is incremented by one for each subsequent occurrence of the HL segment within the transaction set. | M | AN | 1/12 | **NYS DMV Required** |
| HL02 | 734 | **Hierarchical Parent ID Number** <br> **Description:** Contains the identification number of the next higher hierarchical data segment that the data segment being described is subordinate to. <br><br> Must contain the same value as contained in HL01 of the Hierarchical Level - Insurer Level segment. | M | AN | 1/12 | **NYS DMV Required** |
| HL03 | 735 | **Hierarchical Level Code** <br> **Description:** Contains the code defining the characteristic of a level in a hierarchical structure.  Must always contain the code value "2". | M | ID | 1/1 | **NYS DMV Required** |

**Code  Name**
2    Billing Arrangement

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| HL04 | 736 | **Hierarchical Child Code** <br> **Description:** Contains the code value to indicate that Additional Subordinate HL Data Segment is present in this Hierarchical Structure. <br> Must always contain the code value "1". | M | ID | 1/1 | **NYS DMV Required** |

**Code  Name**
1    Additional Subordinate HL Data Segment in This Hierarchical Structure.

# NM1   State Name

**Pos: 130        Max: 1**
**Detail - Mandatory**
**Loop: NM1     Elements: 5**

This segment contains the State name of NYS DMV.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| **NM101** | **98** | **Entity Identifier Code** <br> **Description:** Contains the code value to identify the state.  Must always contain the code value "2F". <br> **Code Name** <br> 2F    State | **M** | **ID** | **2/2** | **NYS DMV Required** |
| **NM102** | **1065** | **Entity Type Qualifier** <br> **Description:** Contains the code value to qualify the type of entity.  Must always contain the code value "2". <br> **Code Name** <br> 2    Non-Person Entity | **M** | **ID** | **1/1** | **NYS DMV Required** |
| **NM103** | **1035** | **State Name** <br> **Description:** Must contain the value "NYSDMV". | **M** | **AN** | **6/6** | **NYS DMV Required** |
| **NM108** | **66** | **Identification Code Qualifier** <br> **Description:** Contains the code value to identify the Federal Taxpayer's ID in the identification code (NM109). Must always contain the code value "ZZ". <br> **Code Name** <br> ZZ    Mutual defined | **M** | **ID** | **2/2** | **NYS DMV Required** |
| **NM109** | **67** | **Identification Code** <br> **Description:** Must always contain "NYMV<3 Spaces>NYMVIE1", the Sender ID of the NYS DMV. | **M** | **AN** | **14/14** | **NYS DMV Required** |

# HL    Hierarchical Level - Policy Level

This segment contains information to identify dependencies among the hierarchically related groups of data segments.

*When NYS DMV reports an error at this level, it may result in the rejection of all the vehicles reported within the policy depending on the error code. Processing will continue with the next policy.*

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|-----|--------------|-----|------|---------|-------|
| HL01 | 628 | **Hierarchical ID Number** <br> **Description:** Contains a unique number for each occurrence of the HL segment within the transaction set. The value assigned to the first HL segment is 1, and is incremented by one for each subsequent occurrence of the HL segment within the transaction set. | M | AN | 1/12 | **NYS DMV Required** |
| HL02 | 734 | **Hierarchical Parent ID Number** <br> **Description:** Contains the identification number of the next higher hierarchical data segment that the data segment being described is subordinate to. <br><br> Must contain the same value as contained in HL01 of the Hierarchical Level - State Level segment. | M | AN | 1/12 | **NYS DMV Required** |
| HL03 | 735 | **Hierarchical Level Code** <br> **Description:** Indicates the application content of the series of segments following the current HL segment up to the next occurrence of an HL segment. | M | ID | 1/1 | **NYS DMV Required** |

    **Code  Name**
    4    Group

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|-----|--------------|-----|------|---------|-------|
| HL04 | 736 | **Hierarchical Child Code** <br> **Description:** Contains "1" indicating that Additional Subordinate HL Data Segment is present in this Hierarchical Structure. | M | ID | 1/1 | **NYS DMV Required** |

    **Code  Name**
    1    Additional Subordinate HL Data Segment in This Hierarchical Structure.

# LX   Section Separator - Policy Level

| | |
|---|---|
| **Pos: 180** | **Max: 1** |
| **Detail - Conditional** | |
| **Loop: LX** | **Elements: 1** |

This segment is a section separator and will be used only by NYS DMV when reporting errors on the transactions received earlier. This segment must not be used by any other organization.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| LX01 | 554 | **Assigned Number** | M | N0 | 1/1 | **NYS DMV Required** |
| | | **Description:** Must always contain ''1''. | | | | |

# REF    Error Segment - Policy Level

<div style="border:1px solid;">

**Pos: 190**      **Max: 1**
**Detail - Conditional**
**Loop: LX**     **Elements: 2**
</div>

This segment contains the error codes that NYS DMV encounters. If there is more than one error in a policy this segment will be repeated.

Only NYS DMV will send this segment.  This segment must not be used by any other organization.

*An error at this level may result in the rejection of all the vehicles depending on the error code.  Processing will continue with the next policy.*

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| REF01 | 128 | **Reference Number Qualifier** | M | ID | 2/2 | **NYS DMV Required** |

**Description:** Contains the code value to identify the error code in Reference number element (REF02).  Must always contain the code value "1Q".

| Code | Name |
|---|---|
| 1Q | Error Identification Code |

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| REF02 | 127 | **Reference Number** | M | AN | 1/30 | **NYS DMV Required** |

**Description:** Contains one of the error codes identified by the NYS DMV (Refer to the disposition code list in pages A-62)

# NM1    Primary Insured Name

<div style="border:1px solid">

**Pos: 200**      **Max: 1**
**Detail - Mandatory**
**Loop: NM1**     **Elements: 9**

</div>

This segment contains the name of the insured and the NYS driver's License Number (in case of an individual) or Federal Taxpayer's ID (in case of an organization) of the insured.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|-----|-------------|-----|------|---------|-------|
| NM101 | 98 | **Entity Identifier Code** **Description:** Contains the code value to identify the insured. Must always contain the code value "IL". | M | ID | 2/2 | NYS DMV Required |

     **Code Name**
     IL     Insured or Subscriber

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|-----|-------------|-----|------|---------|-------|
| NM102 | 1065 | **Entity Type Qualifier** **Description:** Contains the code value to qualify the type of insured. | M | ID | 1/1 | NYS DMV Required |

Must always contain the code value "1" when individuals are reported.

Must always contain the code value "2" when an organization is reported.

     **Code Name**
     1     Person
        **Description:** This code will be used when reporting policy information for an individual insured.
     2     Non-Person Entity
        **Description:** This code will be used when reporting policy information for an organization.

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|-----|-------------|-----|------|---------|-------|
| NM103 | 1035 | **Last Name or Organization Name** **Description:** Contains the Last name of the individual insured when code value in Entity Type Qualifier (NM102) is "1". | M | AN | 1/35 | NYS DMV Required |

Contains the organization name when the code value in Entity Type Qualifier (NM102) is "2".

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|-----|-------------|-----|------|---------|-------|
| NM104 | 1036 | **First Name or Organization Name Continued** **Description:** Contains the First name of the individual insured when code value in Entity Type Qualifier (NM102) is "1". | C | AN | 1/25 | NYS DMV Optional |

Contains portion of the organization name that did not fit in the Last Name or Organization Name element (NM103) when the code value in Entity Type Qualifier (NM102) is "2".

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|-----|-------------|-----|------|---------|-------|
| **NM105** | **1037** | **Middle Name**<br>**Description:** Contains the Middle name of the individual insured when code value in Entity Type Qualifier (NM102) is "1".<br><br>Must not be used when the code value in Entity Type Qualifier (NM102) is "2". | **O** | **AN** | **1/25** | **NYS DMV Optional** |
| **NM106** | **1038** | **Name Prefix**<br>**Description:** Contains the Prefix to the name of the individual insured when code value in Entity Type Qualifier (NM102) is "1".<br><br>Must not be used when the code value in Entity Type Qualifier (NM102) is "2". | **O** | **AN** | **1/10** | **NYS DMV Optional** |
| **NM107** | **1039** | **Name Suffix**<br>**Description:** Contains the Suffix of the individual insured when code value in Entity Type Qualifier (NM102) is "1".<br><br>Must not be used when the code value in Entity Type Qualifier (NM102) is "2". | **O** | **AN** | **1/10** | **NYS DMV Optional** |
| **NM108** | **66** | **Identification Code Qualifier**<br>**Description:** Contains the code value to identify the NYS Driver's License Number or the Federal Taxpayer's ID in the identification code (NM109).<br><br>Must always contain the code value "FI" when code value in Entity Type Qualifier (NM102) is "2".<br><br>Must always contain the code value "N" when code value in Entity Type Qualifier (NM102) is "1". | **O** | **ID** | **1/2** | **NYS DMV Required** |

| Code | Name |
|------|------|
| FI | Federal Taxpayer's ID |
| N | NYS Driver's License Number<br>**Description:** This code will be used when reporting policy information for an individual insured. |

| | | | | | | |
|---|---|---|---|---|---|---|
| **NM109** | **67** | **Identification Code** | O | AN | 9/20 | **NYS DMV Required** |

**Description:** Contains the NYS Driver's License Number or the Federal Taxpayer's ID.

Must always contain the NYS Driver's License Number when code value in Entity Type Qualifier (NM102) is "1".

Must always contain the Federal Taxpayer's ID when code value in Entity Type Qualifier (NM102) is "2".

NOTE: An Insurer is REQUIRED to report an individual's NYS Driver's License Number if the individual has a New York Driver's License or an organization's Federal Taxpayer ID. Insurers who record and report NYS Driver's License Numbers and Federal Taxpayer ID's will benefit from a higher rate of matched vehicles, resulting in fewer errors and resubmissions.

# N2    Insured Gender

| | |
|---|---|
| **Pos: 210** | **Max: 1** |
| **Detail - Conditional** | |
| **Loop: NM1** | **Elements: 1** |

This segment contains the gender of the insured.
This segment is mandatory when an individual insured is reported.
This segment must not be used when an organization is reported.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| N201 | 93 | **Gender** | M | AN | 1/1 | **NYS DMV Required** |

**Description:** Contains the code value to identify the gender of the insured.

Must contain the code value "M" when a male insured is reported.

Must contain the code value "F" when a female insured is reported.

Must contain the code value "U" when the gender is unknown.

| Code | Name |
|---|---|
| F | Female |
| M | Male |
| U | Unknown |

# N3    Insured Address Information

<div>

**Pos: 220      Max: 1**
**Detail - Mandatory**
**Loop: NM1     Elements: 2**

</div>

This segment contains the street address of the Insured.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|-----|-------------|-----|------|---------|-------|
| N301 | 166 | **Address Line 1** <br> **Description:** Contains the Insured Street Address Line 1. | M | AN | 1/35 | NYS DMV Required |
| N302 | 166 | **Address Line 2** <br> **Description:** Contains the Insured Street Address Line 2. | O | AN | 1/35 | NYS DMV Optional |

# N4    Insured Geographic Location

<div style="border:1px solid">
Pos: 230      Max: 1<br>
Detail - Mandatory<br>
Loop: NM1      Elements: 3
</div>

This segment contains the City, State and ZIP Code of the Insured.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| N401 | 19 | **City Name**<br>**Description:** Contains the City of the insured. | M | AN | 2/30 | NYS DMV Required |
| N402 | 156 | **State Code**<br>**Description:** Contains the state code of the insured.<br>Must contain the US Postal Standards Abbreviations when the state code is reported. | M | ID | 2/2 | NYS DMV Required |
| N403 | 116 | **ZIP Code**<br>**Description:** Contains the 5 or 9 digit ZIP code of the insured.  Must be used only when State Code element (N402) contains a US state code. | C | ID | 5/9 | NYS DMV Required |

# IT1    Baseline Item Data (Policy)

<table>
<tr><td>Pos: 240</td><td>Max: 1</td></tr>
<tr><td colspan="2">Detail - Mandatory</td></tr>
<tr><td>Loop: IT1</td><td>Elements: 3</td></tr>
</table>

This segment indicates that the information reported within the loop is policy related.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|------|-----|-------------|-----|------|---------|-------|
| IT102 | 358 | **Quantity Invoiced**<br>**Description:** Must always contain the value "1". | M | R | 1/1 | NYS DMV Required |
| IT103 | 355 | **Unit or Basis for Measurement Code**<br>**Description:** Must always contain the code value "IP". | M | ID | 2/2 | NYS DMV Required |

<u>Code</u> <u>Name</u>
IP    Insurance Policy
     **Description:** An individual insurance policy.

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|------|-----|-------------|-----|------|---------|-------|
| IT104 | 212 | **Unit Price**<br>**Description:** Must always contain the value "0". | M | R | 1/1 | NYS DMV Required |

# SI  Transaction Purpose

<table>
<tr><td>Pos: 250</td><td>Max: 1</td></tr>
<tr><td colspan="2">Detail - Mandatory</td></tr>
<tr><td>Loop: IT1</td><td>Elements: 3</td></tr>
</table>

This segment contains the transaction type.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|-----|---|-----|------|---------|-------|
| SI01 | 559 | **Agency Qualifier Code** | M | ID | 2/2 | **NYS DMV Required** |

**Description:** Contains the code to indicate that the transaction types are mutually agreed upon.  Must always contain the code value "ZZ".

| Code | Name |
|------|------|
| ZZ | Mutually Defined |

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|-----|---|-----|------|---------|-------|
| SI02 | 1000 | **Service Characteristics Qualifier** | M | ID | 2/2 | **NYS DMV Required** |

**Description:** Contains the code to identify the policy transaction codes. Must always contain the code value "11".

| Code | Name |
|------|------|
| 11 | Policy Transaction Code |

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|-----|---|-----|------|---------|-------|
| SI03 | 234 | **Product/Service ID** | M | AN | 3/3 | **NYS DMV Required** |

**Description:** Contains one of the code values listed below:

Insurer initiated:
NBS - New Business
REI - Reinstatement
XLC - Cancellation
NIS - No Insurance
REC – Rescind Cancellation
REP – Rescind Proof

DMV initiated as a result of errors:
NBS - New Business
REI - Reinstatement
XLC - Cancellation
NIS - No Insurance
REC – Rescind Cancellation
REP – Rescind Proof

DMV initiated for verification:
MVF - Mandatory Verification

| Code | Name |
|------|------|
| MVF | Mandatory Verification |
| NBS | New Business |
| NIS | No Insurance |
| REI | Reinstatement |
| XLC | Cancellation |
| REC | Rescind Cancellation |
| REP | Rescind Proof |

# SI    Additional Transaction Purpose

| | |
|---|---|
| **Pos: 260** | **Max: 1** |
| **Detail - Optional** | |
| **Loop: IT1** | **Elements: 3** |

This segment is optional when reporting New Business, Cancellation, Reinstatement, No Insurance, Rescind Cancellation or Rescind Proof transactions.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| SI01 | 559 | **Agency Qualifier Code** | M | ID | 2/2 | **NYS DMV Required** |

**Description:** Contains the code to indicate that the policy transaction types are mutually agreed upon. Must always contain the code value "ZZ".

| Code | Name |
|---|---|
| ZZ | Mutually Defined |

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| SI02 | 1000 | **Service Characteristics Qualifier** | M | ID | 2/2 | **NYS DMV Required** |

**Description:** Contains the code to identify the transaction type of the policy reason codes.

Must always contain the code value "11" when reporting New Business (NBS) Reason Codes.

Must always contain the code value "12" when reporting Cancellation (XLC) Reason Codes.

Must always contain the code value "13" when reporting Reinstatement (REI) Reason Codes.

Must always contain the code value "14" when reporting No Insurance (NIS) Reason Codes.

Must always contain the code value "15" when reporting Rescind Cancel (REC) Reason Codes.

Must always contain the code value "16" when reporting Rescind Proof (REP) Reason Codes.

| Code | Name |
|---|---|
| 11 | New Business Reason Code |
| 12 | Cancellation Reason Code |
| 13 | Reinstatement Reason Code |
| 14 | No Insurance Reason Code |
| 15 | Rescind Cancellation Reason Code |
| 16 | Rescind Proof Reason Code |

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| SI03 | 234 | **Product/Service ID** | M | AN | 3/3 | **NYS DMV Required** |

**Description:** Contains the appropriate reason codes identified by NYS DMV.

Please refer to page A-67 for Reason Types.

# REF   Policy Number

This segment contains the Insurance Policy Number.

This segment must be used when insurer reports the following transaction types,

NBS - New Business
XLC - Cancellation
REI – Reinstatement
REC – Rescind Cancellation
REP – Rescind Proof

NYS DMV may or may not use this segment when submitting Mandatory Verification (MVF) transactions.

This segment may not be used when the Insurer reports No Insurance transactions (NIS).

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|-----|------------|-----|------|---------|-------|
| REF01 | 128 | **Reference Number Qualifier** | M | ID | 2/2 | **NYS DMV Required** |
| | | **Description:** Contains the code value to identify the Insurance Policy Number in the Reference number element (REF02).  Must always contain the code value "IG". | | | | |
| | | **Code  Name** | | | | |
| | | IG     Insurance Policy Number | | | | |
| REF02 | 127 | **Reference Number** | M | AN | 1/30 | **NYS DMV Required** |
| | | **Description:** Contains the Insurance Policy Number.  This data element may not contain an Insurance Policy number for MVF transactions initiated by NYS DMV. | | | | |
| REF03 | 352 | **Description** | M | AN | 1/1 | **NYS DMV Required** |
| | | **Description:** Contains the code to identify the type of policy. | | | | |

Must contain the code value "1" when a Personal Policy is reported.

Must contain the code value "2" when a Commercial Policy is reported.

Must contain the code value "3" when a For Hire Policy is reported.

Must contain the code value "4" when the policy type is unknown. Only NYS DMV will use this code when the policy type is not available for a Mandatory Verification (MVF).

Must contain the code value "5" when the XLC (FH) effective date is less than 20 days after the current date and the plate surrender date on the record is prior to or equal to the XLC date.

Must contain the code value "6" when the XLC (FH) effective date is less than 20 days after the current date and there is superceding coverage on file with a prior or equal effective date. The superceding coverage must be less than 75 days prior to the effective date of the XLC and have a

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|

different ICC.

Must contain the code value "7" when the XLC (FH) effective date is equal to the XLC (FH) effective date of the replaced vehicle. Both XLC transactions must have the same ICC.

Must contain the code value "8" when a dealer/transporter registration plate number is reported. No specific VIN is associated with this registration class.

| Code | Name |
|---|---|
| 1 | Personal Policy |
| 2 | Commercial Policy |
| 3 | For Hire Policy |
| 4 | Unknown |
| 5 | For-Hire Registration Plate Surrender |
| 6 | For-Hire Registration New Insurance Coverage |
| 7 | For-Hire Registration Replaced Vehicle |
| 8 | Dealer/Transporter Registration |

# REF    Document Identification Code

<table>
<tr><td>Pos: 280</td><td>Max: 1</td></tr>
<tr><td colspan="2" align="center">Detail - Optional</td></tr>
<tr><td>Loop: IT1</td><td>Elements: 2</td></tr>
</table>

This segment contains the Insurer Document Identification Code.

This segment is provided for those Insurers who wish to submit the Document Identification Code for a given policy.

NYS DMV will return the same code to Insurers when reporting errors to assist in policy tracking.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|----|--------------| ----|------|---------|-------|
| REF01 | 128 | **Reference Number Qualifier**<br>**Description:** Contains the code value to identify the Document Identification Code in the Reference number element (REF03).  Must always contain the code value "DD". | M | ID | 2/2 | **NYS DMV Required** |

         **Code  Name**
         DD    Document Identification Code

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|----|--------------| ----|------|---------|-------|
| REF03 | 352 | **Description**<br>**Description:** A free-form description to clarify the related data elements and their content. | M | AN | 1/80 | **NYS DMV Required** |

# REF   Issuer License Number

This segment contains the Issuer License Number.

This segment must not be used by the insurer or servicing agents.

This segment will be used by the NYS DMV to report the Insurance ID Card Issuer's NYS Insurance Department License Number, if available, when reporting the following transaction:

MVF - Mandatory Verification

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|-----|-------------|-----|------|---------|-------|
| REF01 | 128 | **Reference Number Qualifier** | M | ID | 2/2 | **NYS DMV Required** |
| | | **Description:** Contains the code value to identify the Issuer License Number in the Reference number element (REF02).  Must always contain the code value "XM". | | | | |

**Code  Name**
XM    Issuer Number

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|-----|-------------|-----|------|---------|-------|
| REF02 | 127 | **Reference Number** | M | AN | 1/30 | **NYS DMV Required** |
| | | **Description:** Contains the Issuer License Number. | | | | |

# DTM  Primary Insured Date of Birth

| Pos: 300 | Max: 1 |
|---|---|
| Detail - Conditional | |
| Loop: IT1 | Elements: 3 |

This segment contains the date of birth of the insured.  This segment must be used only to report insured's (individual's) date of birth when the Entity Type Qualifier element (NM102) in the Primary Insured Name segment contains the code value "1".

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| DTM01 | 374 | **Date/Time Qualifier** | M | ID | 3/3 | **NYS DMV Required** |
| | | **Description:** Contains the code value to identify the Date (DTM02). Must always contain the code value "222". | | | | |
| | | **Code  Name** | | | | |
| | | 222    Birth | | | | |
| DTM02 | 373 | **Date** | M | DT | 6/6 | **NYS DMV Required** |
| | | **Description:** Contains the date of birth of the insured.  Must always be in the format YYMMDD. | | | | |
| DTM05 | 624 | **Century** | M | N0 | 2/2 | **NYS DMV Required** |
| | | **Description:** Contains the century in which the insured was born. | | | | |

# DTM  **Effective Date**

This segment must be used only when reporting the following transactions:
NBS - New Business
REI  - Reinstatement
NIS  -  No Insurance
REP – Rescind Proof

MVF - Mandatory verification

When reporting NBS and REI transactions, this segment must contain the liability coverage effective/ reinstatement date.
When reporting REP, this segment must contain the effective date of the transaction being rescinded.

When NYS DMV reports MVF, this segment will contain either the liability coverage effective date, registration processing date or other date specified by NYS DMV.  Insurer must verify coverage for this date and respond to NYS DMV with a NBS. If there is no coverage for this date the insurer must respond with a NIS transaction.

The effective date for NBS and REI is the date the liability coverage becomes effective. Coverage begins at 12:01 a.m. on the effective date.

This segment must not be used for any other transaction type.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| DTM01 | 374 | **Date/Time Qualifier**<br>**Description:** Contains the code value to identify the Date in DTM02. Must always contain the code value "007".<br>**Code  Name**<br>007    Effective | M | ID | 3/3 | **NYS DMV Required** |
| DTM02 | 373 | **Date**<br>**Description:** Must contain the liability coverage effective/ reinstatement date when reporting NBS, REI transactions.<br><br>REP must contain the effective date of the LOD, NBS or REI being rescinded.<br><br>When NYS DMV reports MVF, this segment will contain either the liability effective coverage effective date, registration processing date or other date specified by NYS DMV.  Insurer must respond to NYS DMV with NBS to verify coverage for this date or NIS to deny coverage.<br><br>The effective date is the date the liability coverage becomes effective. Coverage begins at 12:01 a.m. on the effective date.<br><br>Must always be in the format YYMMDD. | M | DT | 6/6 | **NYS DMV Required** |
| DTM05 | 624 | **Century**<br>**Description:** Contains the century of the date specified in DTM02 above. | M | N0 | 2/2 | **NYS DMV** |

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| | | | | | | Required |

# DTM    Termination Effective Date

| | |
|---|---|
| **Pos: 320** | **Max: 1** |
| **Detail - Conditional** | |
| **Loop: IT1** | **Elements: 3** |

This segment must contain the termination effective date when a cancellation (XLC) transaction is being reported.

The Termination Effective Date is the date liability coverage is terminated.  Coverage ends at 12:01 a.m. on the Termination Effective Date.

Example: A termination effective date of April 1, 1999 means that no insurance coverage is provided by the terminating company on April 1,1999 or thereafter.

When a REC transaction is being reported, it must contain the termination date of the XLC transaction being rescinded.

This segment must not be used for any other transaction types.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| DTM01 | 374 | **Date/Time Qualifier**<br>**Description:** Contains the code value to identify the Date (DTM02). Must always contain the code value "036". | M | ID | 3/3 | **NYS DMV Required** |

      **Code  Name**
      036   Termination
           **Description:**  Date coverage expires

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| DTM02 | 373 | **Date**<br>**Description:** Contains the termination effective date when a cancellation (XLC) transaction is being reported.<br><br>REC contains the effective date of the XLC being rescinded.<br><br>The Termination Effective Date is the date liability coverage is terminated.  Coverage ends at 12:01 a.m. on the Termination Effective Date<br><br>Must always be in the format YYMMDD. | M | DT | 6/6 | **NYS DMV Required** |
| DTM05 | 624 | **Century**<br>**Description:** Contains the century of the date specified in DTM02 above. | M | N0 | 2/2 | **NYS DMV Required** |

# IT1

## Baseline Item Data (Additional Insured)

| | |
|---|---|
| **Pos: 330** | **Max: 1** |
| **Detail - Optional** | |
| **Loop: IT1** | **Elements: 4** |

This segment must be used to report additional insured.  This segment contains the sequential number of the additional insureds reported.

Along with this segment, the Additional Insured's Date of Birth segment, Additional Insured's Name segment and the Additional Insured's Additional Name segment may be repeated for each additional insured in the policy.

The Additional Insured's Date of Birth segment must not be used when Additional Organizations are being reported.

A maximum of eight additional insureds may be reported.

NYS DMV will use the ninth additional insured to report DMV Registrant record information sent to Insurers for resolved vehicles and unresolved VIN-Hit vehicles.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| **IT101** | **350** | **Assigned Identification**<br>**Description:** Contains a sequential number, beginning with 1 and a maximum of 8, incremented by one for each additional insured in the policy.<br><br>A "9" will always indicate NYS DMV returned Registrant information for R505, R605 and U605 resolved/unresolved transactions. | M | AN | 1/1 | **NYS DMV Optional** |
| **IT102** | **358** | **Quantity Invoiced**<br>**Description:** Must always contain the value "1". | M | R | 1/1 | **NYS DMV Required** |
| **IT103** | **355** | **Unit or Basis for Measurement Code**<br>**Description:** Must always contain the code value "IP".<br>**Code  Name**<br>IP    Insurance Policy<br>    **Description:**  An individual insurance policy. | M | ID | 2/2 | **NYS DMV Required** |
| **IT104** | **212** | **Unit Price**<br>**Description:** Must always contain the value "0". | M | R | 1/1 | **NYS DMV Required** |

# DTM    Additional Insured's Date of Birth

| | |
|---|---|
| **Pos: 340** | **Max: 1** |
| **Detail - Conditional** | |
| **Loop: IT1** | **Elements: 3** |

This segment must be used only to report insured's (individual's) date of birth when the Entity Type Qualifier element (NM102) in the Additional Insured Name segment contains the code value "1".

This segment may or may not be populated on DMV initiated transactions.

This segment contains the date of birth of the additional insured.

The Baseline Item Data (Additional Insured), Additional Insured's Date of Birth segment, Additional Insured's Name segment and the Additional Insured's Additional Name segment may be repeated for each of the additional insured in the policy.

A maximum of eight additional insureds may be reported.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| DTM01 | 374 | **Date/Time Qualifier** <br> **Description:** Contains the code value to identify the Date (DTM02). Must always contain the code value "222". <br><br> **Code  Name** <br> 222   Birth | M | ID | 3/3 | **NYS DMV Required** |
| DTM02 | 373 | **Date** <br> **Description:** Contains the date of birth of the additional insured. Must always be in the format YYMMDD. | M | DT | 6/6 | **NYS DMV Required** |
| DTM05 | 624 | **Century** <br> **Description:** Contains the century in which the additional insured was born. | M | N0 | 2/2 | **NYS DMV Required** |

# NM1 Additional Insured Name

| Pos: 350 | Max: 1 |
|---|---|
| **Detail - Optional** | |
| Loop: NM1 | Elements: 9 |

This segment contains the name of the additional insured and the NYS Driver's License Number (in case of an individual) or Federal Taxpayer's ID (in case of an organization) of the additional insured.

The Baseline Item Data (Additional Insured), Additional Insured Date of Birth segment, Additional Insured Name segment and the Additional Insured Additional Name segment may be repeated for each of the additional insured in the policy.

A maximum of eight additional insureds may be reported.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| NM101 | 98 | **Entity Identifier Code** | M | ID | 2/2 | **NYS DMV Required** |

**Description:** Contains the code value to identify the Additional insured. Must always contain the code value "OP".

**Code Name**
OP Additional Insured

| NM102 | 1065 | **Entity Type Qualifier** | M | ID | 1/1 | **NYS DMV Required** |
|---|---|---|---|---|---|---|

**Description:** Contains the code value to qualify the type of additional insured.

Must always contain the code value "1" when individuals are reported.

Must always contain the code value "2" when an Organization is reported.

**Code Name**
1 Person
2 Non-person Entity
**Description:** This code will be used when reporting policy information for an Organization.

| NM103 | 1035 | **Last Name or Organization Name** | M | AN | 1/35 | **NYS DMV Required** |
|---|---|---|---|---|---|---|

**Description:** Contains the Last name of the Additional individual Insured when code value in Entity Type Qualifier (NM102) is "1".

Contains the Additional organization name when the code value in Entity Type Qualifier (NM102) is "2".

| NM104 | 1036 | **First Name or Organization Name Continued** | C | AN | 1/25 | **NYS DMV Required** |
|---|---|---|---|---|---|---|

**Description:** Contains the First name of the Additional individual insured when code value in Entity Type Qualifier (NM102) is "1".

Contains the portion of the organization name that did not fit in the Last Name or Organization Name element (NM103) when the code value in Entity Type Qualifier (NM102) is "2".

| NM105 | 1037 | **Middle Name** | O | AN | 1/25 | **NYS DMV Optional** |
|---|---|---|---|---|---|---|

**Description:** Contains the Middle name of the Additional individual insured when code value in Entity Type Qualifier (NM102) is "1".

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|-----|--------------|-----|------|---------|-------|
| | | Must not be used when the code value in Entity Type Qualifier (NM102) is "2". | | | | |
| NM106 | 1038 | **Name Prefix**<br>**Description:** Contains the Prefix to the name of the Additional individual insured when code value in Entity Type Qualifier (NM102) is "1".<br><br>Must not be used when the code value in Entity Type Qualifier (NM102) is "2". | O | AN | 1/10 | **NYS DMV Optional** |
| NM107 | 1039 | **Name Suffix**<br>**Description:** Contains the Suffix of the Additional individual insured when code value in Entity Type Qualifier (NM102) is "1".<br><br>Must not be used when the code value in Entity Type Qualifier (NM102) is "2". | O | AN | 1/10 | **NYS DMV Optional** |
| NM108 | 66 | **Identification Code Qualifier**<br>**Description:** Contains the code value to identify the NYS Driver's License number or the Federal Taxpayer's ID in the identification code(NM109).<br><br>Must always contain the code value "FI" when code value in Entity Type Qualifier (NM102) is "2".<br><br>Must always contain the code value "N" when code value in Entity Type Qualifier (NM102) is "1". | O | ID | 1/2 | **NYS DMV Required** |

<div style="margin-left:2em">

**Code  Name**

FI    Federal Taxpayer's ID
       **Description:**  This code will be used when reporting
       policy information for an Organization.
N     NYS Driver's License Number

</div>

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|-----|--------------|-----|------|---------|-------|
| NM109 | 67 | **Identification Code**<br>**Description:** Contains the NYS Driver's License Number or the Federal Taxpayer's ID.<br><br>Must always contain the NYS Driver's License Number when code value in Entity type qualifier (NM102) is "1".<br><br>Must always contain the Federal Taxpayer's ID when code value in Entity type qualifier (NM102) is "2".<br><br>NOTE:  An Insurer is REQUIRED to report an individual's NYS Driver's License Number if the individual has a New York Driver's License or an organization's Federal Taxpayer ID.  Insurers who record and report NYS Driver's License Numbers and Federal Taxpayer ID's will benefit from a higher rate of matched vehicles, resulting in fewer errors and resubmissions. | O | AN | 9/20 | **NYS DMV Required** |

# N2    Additional Insured Gender

<table>
<tr><td>Pos: 360</td><td>Max: 1</td></tr>
<tr><td colspan="2">Detail - Conditional</td></tr>
<tr><td>Loop: NM1</td><td>Elements: 1</td></tr>
</table>

This segment contains the gender of the additional insured.
This is a mandatory if the Additional Insured Name segment (NM1) is used to report an individual insured.
This segment may or may not be populated on DMV initiated transactions.
This segment must not be used when organizations are reported.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| N201 | 93 | **Gender** | M | AN | 1/1 | **NYS DMV Required** |

**Description:** Contains the code value that identifies the gender of the individual insured.

Must contain the code value "M" when the additional insured reported is a male.

Must contain the code value "F" when the additional insured reported is a female.

Must contain the code value "U" when the gender is unknown.

| Code | Name |
|---|---|
| F | Female |
| M | Male |
| U | Unknown |

# HL    Hierarchical Level -Vehicle Level

<table>
<tr><td>Pos: 370</td><td>Max: 1</td></tr>
<tr><td colspan="2">Detail - Mandatory</td></tr>
<tr><td>Loop: HL</td><td>Elements: 3</td></tr>
</table>

This segment contains information to identify dependencies among the hierarchically related groups of data segments.

*When NYS DMV encounters an error at this level, this vehicle will be rejected and processing will continue with the next vehicle.*

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|------|------|------------|------|------|---------|-------|
| HL01 | 628 | **Hierarchical ID Number** <br> **Description:** This data element contains a unique number for each occurrence of the HL segment within the transaction set. The value assigned to the first HL segment is 1, and is incremented by one for each subsequent occurrence of the HL segment within the transaction set. | M | AN | 1/12 | **NYS DMV Required** |
| HL02 | 734 | **Hierarchical Parent ID Number** <br> **Description:** Contains the identification number of the next higher hierarchical data segment that the data segment being described is subordinate to. <br><br> Must contain the same value as contained in HL01 of the Hierarchical Level -Policy Level segment. | M | AN | 1/12 | **NYS DMV Required** |
| HL03 | 735 | **Hierarchical Level Code** <br> **Description:** Contains the code value defining the characteristic of a level in a hierarchical structure.  Must always contain the code value "5". | M | ID | 1/2 | **NYS DMV Required** |

        **Code  Name**
        5     Category

# LX  Section Separator

| Pos: 380 | Max: 1 |
|---|---|
| **Detail - Mandatory** | |
| **Loop: LX** | **Elements: 1** |

This segment is used as a section separator and has no relevance to the application data being sent.

**Element Summary:**

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| **LX01** | **554** | **Assigned Number** <br> **Description:** Must always contain the value "1". | M | N0 | 1/1 | NYS DMV Required |

# VEH — Vehicle Information

| | |
|---|---|
| **Pos: 390** | **Max: 1** |
| **Detail - Mandatory** | |
| **Loop: LX** | **Elements: 9** |

This segment contains the VIN, Vehicle Make and Vehicle Model.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| VEH01 | 554 | **Vehicle Count**<br>**Description:** Contains either the sequential number of the vehicle on the policy starting with one and incremented by one for every vehicle on the policy or an insurer's vehicle identification number for a given policy. | M | N0 | 1/6 | **NYS DMV Required** |
| VEH02 | 539 | **Vehicle Identification Number**<br>**Description:** Contains the full VIN (a unique identification number stamped on the vehicle by the manufacturer).<br><br>Dealer/transporter registration: Contains "NYDLR62MCD64TRA66" instead of a unique vehicle identification number. | M | AN | 1/25 | **NYS DMV Required** |
| VEH03 | 624 | **Century of the Vehicle**<br>**Description:** Contains the century the vehicle was made.<br><br>Dealer/transporter registration: Contains "20" | M | N0 | 2/2 | **NYS DMV Required** |
| VEH04 | 1095 | **Year of the Vehicle**<br>**Description:** Contains the year the vehicle was made.<br><br>Dealer/transporter registration: Contains "06" | M | N0 | 2/2 | **NYS DMV Required** |
| VEH05 | 559 | **Agency Qualifier Code**<br>**Description:** Contains the code value to identify the type of code used in the Vehicle make and Vehicle model elements (VEH06 and VEH07). Must always contain the code value "NA" or "ZZ". | M | ID | 2/2 | **NYS DMV Required** |

| Code | Name |
|---|---|
| NA | National Insurance Crime Bureau (NICB) |
| ZZ | NYS DMV and Proprietary Make and Model code<br>**Description:** This code will be used only by NYS DMV when reporting the Mandatory Verification transaction as the NICB codes are not available in NYS DMV database. Insurers will use this code when reporting proprietary make and model codes. |

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| VEH06 | 751 | **Make of the Vehicle**<br>**Description:** Contains the NICB, NYS DMV or Insurance Company proprietary code for the Make of the Vehicle.<br><br>Dealer/transporter registration: Contains the value of "NONE".<br><br>Any code must not exceed five (5) characters. | M | AN | 1/5 | **NYS DMV Required** |

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|------|------|------|------|------|------|------|
| VEH07 | 751 | **Model of the Vehicle** | **O** | **AN** | **1/12** | **NYS DMV Optional** |
| | | **Description:** Contains the NICB code for the Model of the Vehicle. | | | | |
| | | Dealer/transporter registration: Contains the value of "NONE". | | | | |
| VEH10 | 127 | **NYS DMV Tracking Number** | **O** | **N0** | **1/10** | **NYS DMV Optional** |
| | | **Description:** Contains a sequential number generated by the NYS DMV. The sender must return the value provided by NYS DMV when reporting corrected transactions (resubmissions) that were rejected by NYS DMV or when responding to a Mandatory Verification transaction. | | | | |
| VEH12 | 310 | **Insurer Tracking Number** | **O** | **AN** | **1/10** | **NYS DMV Optional** |
| | | **Description:** Contains a sequential number generated by the Insurer. | | | | |
| | | The Insurer Tracking Number is optional for use at the Insurer's discretion to aid in resubmission/error tracking. | | | | |

# REF    Disposition Segment - Vehicle Level

<table>
<tr><td>Pos: 400</td><td>Max: 1</td></tr>
<tr><td colspan="2">Detail - Conditional</td></tr>
<tr><td>Loop: LX</td><td>Elements: 2</td></tr>
</table>

This segment will contain one of the dispositions (acknowledgment) or error codes that NYS DMV uses to indicate whether the vehicle was posted to the NYS DMV Database.  If there is more than one error for a vehicle this segment may be repeated to indicate more than one error.

Only NYS DMV will send this segment.  This segment must not be used by any other organization.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|----|----|-----|------|---------|-------|
| REF01 | 128 | **Reference Number Qualifier** | M | ID | 2/2 | **NYS DMV Required** |
| | | **Description:** Contains the code value to identify the error code in Reference number element (REF02).  Must always contain the code value "1Q". | | | | |

    **Code  Name**
    1Q    Error Identification Code

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|----|----|-----|------|---------|-------|
| REF02 | 127 | **Reference Number** | M | AN | 1/30 | **NYS DMV Required** |
| | | **Description:** Contains one of the error codes identified by the NYS DMV (Refer to the disposition code list in pages A-62). | | | | |

# REF  Vehicle's NYS License Plate Number

| | |
|---|---|
| **Pos: 410** | **Max: 1** |
| **Detail - Optional** | |
| **Loop: LX** | **Elements: 2** |

This segment contains the NYS License Plate Number of the vehicle.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| **REF01** | **128** | **Reference Number Qualifier** | **M** | **ID** | **2/2** | **NYS DMV Required** |

**Description:** Contains the code value to identify the NYS License plate number in the Reference number element JNAU4T1JXWA505144 (REF02). Must always contain the code value "LV".

| Code | Name |
|---|---|
| LV | License Plate Number |

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| **REF02** | **127** | **NYS License Plate Number** | **M** | **AN** | **1/8** | **NYS DMV Required** |

**Description:** Contains the NYS License Plate Number of the vehicle.

Transactions for Dealer/transporter registrations must always contain the NYS license plate number.

# TDS    Total Monetary Value Summary

| Pos: 010 | Max: 1 |
|---|---|
| Summary - Mandatory | |
| Loop: N/A | Elements: 1 |

This segment must always contain the value "1".

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| TDS01 | 610 | Amount | M | N2 | 1/1 | NYS DMV Required |
| | | **Description:** Must always contain the value ''1''. | | | | |

# CTT    Transaction Totals

<table>
<tr><td><b>Pos: 020</b></td><td><b>Max: 1</b></td></tr>
<tr><td colspan="2"><b>Summary - Mandatory</b></td></tr>
<tr><td><b>Loop: N/A</b></td><td><b>Elements: 1</b></td></tr>
</table>

This segment contains the total number of insurance policies reported in the transaction set.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|----|--------------|-----|------|---------|-------|
| CTT01 | 354 | **Number of Line Items**<br>**Description:** Contains the total number of insurance policy transactions included in the transaction set. | M | N0 | 1/6 | **NYS DMV Required** |

# SE    Transaction Set Trailer

Pos: 030      Max: 1
Summary - Mandatory
Loop: N/A     Elements: 2

To indicate the end of the transaction set and provide the count of the transmitted segments (including the Transaction Set Header (ST) and Transaction set Trailer (SE) segments).

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|------|------|------|------|------|------|------|
| SE01 | 96 | **Number of Included Segments**<br>**Description:** Contains the total number of segments included in a transaction set including ST and SE segments. | M | N0 | 1/10 | **NYS DMV Required** |
| SE02 | 329 | **Transaction Set Control Number**<br>**Description:** Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set. This must contain the same value as in ST02.<br><br>EDI translators typically populate this data element automatically. | M | AN | 4/9 | **NYS DMV Required** |

# GE    Functional Group Trailer

<div style="border:1px solid">

**Pos: 040**      **Max: 1**
**Summary - Mandatory**
**Loop: N/A**      **Elements: 2**

</div>

To indicate the end of a functional group and to provide control information.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|-----|-----|-------------|-----|------|---------|-------|
| GE01 | 97 | **Number of Transaction Sets Included**<br>**Description:** Contains the total number of transaction sets included in the functional group terminated by the trailer containing this data element. | M | N0 | 1/6 | NYS DMV Required |
| GE02 | 28 | **Group Control Number**<br>**Description:** Assigned number originated and maintained by the sender.<br><br>EDI translators typically populate this data element automatically.  This number must be the same as data element GS06: Group Control Number. | M | N0 | 1/9 | NYS DMV Required |

# IEA    Interchange Control Trailer

<table>
<tr><td>Pos: 010        Max: 1</td></tr>
<tr><td>Summary - Mandatory</td></tr>
<tr><td>Loop: N/A     Elements: 2</td></tr>
</table>

To define the end of an interchange of zero or more functional groups and interchange-related control segments.

## Element Summary:

| Ref | Id | Element Name | Req | Type | Min/Max | Usage |
|---|---|---|---|---|---|---|
| IEA01 | I16 | **Number of Included Functional Groups**<br>**Description:** A count of the number of functional groups included in an interchange | M | N0 | 1/5 | NYS DMV Required |
| IEA02 | I12 | **Interchange Control Number**<br>**Description:** A control number assigned by the interchange sender.<br><br>EDI translators typically populate this data element automatically. | M | N0 | 9/9 | NYS DMV Required |

## Disposition Code List

The list of the disposition codes reported back to insurers is provided below:

### Resolved (No-Hit Exception) Errors
R500  Matched record after resolution
R505  Associated NYS DMV registrant information
R600  Matched record after resolution - Late filing
R605  Associated NYS DMV registrant information

### Unresolved (No-Hit Exception) Errors
U100  Unmatched record – plate hit (dealer/transporter registration)
U105  Associated NYS DMV registrant information (dealer/transporter)
U500  Unmatched record- No VIN or name hit
U600  Unmatched record - VIN hit
U605  Associated NYS DMV registrant information
U700  Unmatched record – sent to recycle
U900  Unmatched record – no plate or name hit (dealer/transporter registration)

### Edit Errors (Unmatched)
E020  Last name not provided for individual
E025  First name not provided for individual
E030  Entity type invalid
E045  NYS Driver License Number/Federal Taxpayer ID invalid
E075  Transaction code invalid
E080  Transaction reason code invalid
E110  Insured date of birth invalid format
E120  Termination or Effective date invalid format
E125  Plate number not provided for dealer/transporter registrant
E130  Dealer/transporter transaction submitted for other FS or FH record
E135  Transaction type invalid for dealer/transporter registrant
E200  VIN invalid
E355  Number of transactions not equal to total
E500  EDI file not in X12 format
E505  Transaction version not 3050
E510  Duplicate interchange
E515  Invalid field type (alphanumeric or numeric)
E520  Numeric fields not right justified
E525  Alphanumeric fields not left justified
E530  Spaces not used for null alphanumeric fields
E535  Zeroes not used for null numeric fields
E540  Field value exceeded specified sizes
E545  Mandatory segments not populated
E550  Mandatory fields contained only spaces or zeroes
E555  Interchange control test indicator must be "T" for testing
E560  Interchange control test indicator must be "P" for production
E565  Alphabetic fields not upper case
E600  Interchange sender ID unknown
E605  Interchange receiver ID unknown

E610   Interchange date greater than current date
E615   Interchange date invalid format
E620   Functional group sender ID unknown
E625   Functional group receiver ID unknown
E630   Functional group date greater than current date
E635   Functional group date invalid format
E640   Sender ID unknown
E645   Receiver ID unknown
E650   Insurance Company ID unknown
E655   NYS DMV state ID code invalid
E660   Name not provided for organization
E665   Additional insured date of birth invalid format
E670   VIN all alphabetic
E675   VIN all the same character
E685   VIN invalid for dealer/transporter registrant
E686   Vehicle century invalid for policy type 8 (dealer/transporter registration)
E687   Vehicle year invalid for policy type 8 (dealer/transporter registration)
E688   Vehicle make invalid for policy type 8 (dealer/transporter registration)
E700   Multiple submission of the same transaction in the same interchange
E705   Multiple submission of the same transaction in different interchanges
E710   Transaction submitted for excluded class
E715   Future effective or termination date for FS not allowed
E720   New business (FH) future effective date greater than 45 days after current date
E725   Effective date not applicable to transaction type
E730   Future termination date for FS not allowed
E735   Cancellation (FH) future termination date less than 20 days after current date
E740   Termination date not applicable to transaction type
E745   Interchange has a XLC and NBS for the same effective date, vehicle and name
E750   Interchange has a XLC and REI for the same effective date, vehicle and name
E755   Date of birth not provided for individual
E760   Gender not provided for individual
E765   Date of birth not provided for additional individual
E770   Gender not provided for additional individual
E775   Zip code not provided for organization
E780   Invalid termination date for FH vehicles
E800   A REC transaction did not find a matching XLC
E810   A REP transaction did not find a matching LOD, NBS or REI
E820   Interchange has an XLC & REC, same effective date, vehicle & name
E830   Interchange has an REP and NBS, same effective date, vehicle & name
E840   Interchange has an REP and REI, same effective date, vehicle & name
E850   A NIS transaction did not find a matching MVF
E860   Interchange has a NBS or LOD & NIS, same effective date, vehicle & name
E870   Interchange has a REI & NIS, same effective date, vehicle & name
E880   FH XLC transaction submitted for FS record on file
E890   FS XLC transaction submitted for FH on file
E895   XLC (FH) effective date less than 20 days after current date and plate not surrendered
E900   XLC (FH) effective date less than 20 days after current date and no superceding coverage
E905   XLC (FH) effective date less than 20 days after current date and no vehicle replacement
E910   XLC (FH) transaction did not find a matching XLC on replaced vehicle

E915    Transaction effective date subsequent to NAIC/ICC withdrawal
E920    NBS future effective date greater than 52 days after current date


## Reason Types

The Additional Transaction Purpose segment is changed to an optional segment from conditional.  The insurer may report any of the following reason types:

### Cancellation Reason Type Codes

NPP    Non Payment of Premium
COC    Company Canceled or Underwriting Reasons
CRQ    Customer Request, Competition, Voluntary
FRD    Fraud by Insured
NPS    Failure to Pay Surcharge
REV    License or Registration Revoked
VOC    Void Cancellation
DIS    Dissatisfaction
SLD    Vehicle Sold
XRF    Transfer
ACT    Financed Account
CAN    Cancel/Rewrite
BDT    Back Dated
OTH    Other

### Reinstatement Reason Type Codes

REV    Reversal of Cancellation
ERR    Cancellation Done in Error

Note: No NYS DMV special processing results from submission of reason types.

New York State
Department of Motor Vehicles

# Motor Vehicle Liability Insurance Reporting Implementation Guide

*Version 1.3*
September 2006

**Appendix B**

**Glossary**

**Insurance Information & Enforcement System (IIES)**
New Directions in Enforcing Compulsory Insurance Laws

# Appendix B  - Glossary

**Add:**  New Business (NBS) transaction.

**ANSI:**  American National Standards Institute.

**ANSI ASC X12:**  ANSI Accredited Standards Committee X12.

**Batch:**  Processing method where transactions are grouped and processed together.

**Code:**  Abbreviated value with a specific definition.  A Code always has an associated decode.

**Code set:** Complete grouping of permissible code values.

**Control envelope:**  Used to validate the receipt of correct and complete data.

**Control number:**  A number used to identify a standard data element (data element identifier) or a standard segment (segment identifier).

**Data element length:**  The range, minimum/maximum, of the number of character positions available to represent the value of a data element.

**Data element reference designator:**  A number that uniquely identifies each data element within a segment.

**Data element requirement designator:**  A data element has one of the following requirement designators defining its need to appear within the transaction set:

     **M - Mandatory**   Data element must appear.
     **O - Optional**     Data element may appear at the option of the sending party.
     **C - Conditional**  Data element is dependent on value or appearance of other data elements.

**Data element separator:**  A special character, printable or unprintable, used to separate data elements within a segment.

**Data mapping:**  Relationship between the X12 transaction and an organization's internal application data format.

**Data segment identifier:**  A predefined code that identifies a data segment.

**Data segment terminator:**  A special character which indicates the end of a segment.  This character may be printable or unprintable.

**DLR/TRA:** Dealer/transporter registration designation.  NYS registration plate class that does not have a VIN associated with the registration record.

**Drop:**  Cancellation (XLC) transaction.

**E (Edit Error):** A code returned to an Insurer that identifies the transaction as an edit error due to missing or invalid information in one or more of the data fields or that the transaction was erroneously submitted for an excluded vehicle classification.  This code indicates that NYS DMV has <u>not</u> posted the data to the insurance database.

**EDI:**  Electronic Data Interchange.

**EDI translator:**  Package or custom developed software used to perform the conversion of internal application data to and from the X12 standard.

**Federal Taxpayer ID Number:**  Identification number used to uniquely identify EDI trading partners.  In this case the number assigned by the Federal Government to organizations for tax purposes.

**H (Hit):** A code returned to an Insurer that indicates that the transaction was matched to a registration record on the NYS DMV Registration file.  NYS DMV has posted the data to the insurance database.

**Header:**  The segment that indicates the start of an entity to be transmitted.

**ICS:**  Interchange control structure, the beginning and ending segments of each EDI transmission.

**Insurance ID Card:**  A document provided to a policyholder that serves as proof of insurance.

**Mapping:**  The relationships between an EDI standard format to an organization's internal application data format.

**Match:**  A transaction returned with a disposition code H (Hit) or R (Resolved No-Hit Exception).

**Nested segment:** A segment that is associated with one or more segments in a group of logically related segments.

**Qualifier:**  A data element that describes the context of a related data element.  Often a code designating which code set is being used in a following data element.

**R (Resolved no-hit exception):** A code returned to an insurer that indicates that, although there was not an exact data match, the transaction was matched to a registration record on the NYS DMV Registration file through NYS DMV's exceptions resolution process. This code indicates that NYS DMV has posted information from both the transaction and the "matched" registration record to the insurance database.

**Segment:**  A set of logically related data elements.

**Segment code:**  A code that uniquely identifies each segment as specified in a segment directory.

**Segment delimiter character:**  This data element identifies the end of a variable-length segment.

**Segment identifier:**  A 2 or 3 character code that uniquely identifies a specific segment.  The identifier serves as a name for the segment and occupies the first character positions of the segment.

**Segment requirement designator:**  A segment has one of the following requirement designators defining its need to appear within the transaction set:

| | |
|---|---|
| **Mandatory** | Segment must occur. |
| **Optional** | Segment may occur at the option of sending party. |
| **Floating** | Segment may occur anywhere after the first or preceding the last segment in a transaction set. |

**Segment terminator:**  A special character that indicates the end of a segment.

**Special characters:**  Symbols found on standard keyboard that are not letters or numbers (!, @, #, $, %, ^, &, *, etc.)

**Store and forward:**  A form of messaging where an EDI transmission is sent to (and stored at) an intermediate location (e.g. a mailbox), and then forwarded to the receiver at a later time.

**Trading partners:**  Organizations that exchange business related information electronically.

**Transaction set:**  A logical grouping of segments. A transaction set is often referred to as a "business document".

**Transaction set ID:**  This data element identifies the transaction set used.

**U (Unresolved No-Hit Exception):**  A code returned to an insurer that indicates that a matching registration record has not been found after NYS DMV's exceptions resolution process. This code indicates that NYS DMV has not posted the data to the insurance database.

**VAN:**  Value Added Network.

**VIN:**  Vehicle Identification Number

New York State
Department of Motor Vehicles

# Motor Vehicle Liability Insurance Reporting Implementation Guide

*Version 1.3*
September 2006

Appendix C

EDI Overview

**Insurance Information & Enforcement System (IIES)**
New Directions in Enforcing Compulsory Insurance Laws

# Appendix C - EDI Overview

Electronic Data Interchange (EDI) is the electronic transfer of business information from one computer application to another computer application. EDI is commonly defined as the application-to-application transfer of business documents between computers. Many businesses choose EDI as a fast, inexpensive, and safe method of sending purchase orders, invoices, shipping notices, and other frequently used business documents. EDI is quite different from sending electronic mail messages or sharing files through a network, a modem, or a bulletin board. The straight transfer of computer files requires that the computer applications of both the sender and receiver (referred to as "trading partners") agree upon the format of the document. The sender must use an application that creates a file format identical to their trading partners' computer application. When using EDI, it is not necessary for both trading partners to have identical document processing systems. When one trading partner sends a document, the EDI translation software converts the proprietary format into an agreed upon standard. When the document is received, the EDI translation software automatically changes the standard format into the proprietary format of the document processing software.

Becoming an EDI trading partner requires a computer (either PC, mini or mainframe) and the following:

- Communications hardware
- Communication software
- Translation software

There are many companies marketing EDI hardware, software and services. Sources for obtaining more information include attending EDI trade shows, contacting insurance trade associations or organizations and reviewing the ANSI X-12 Set 811 and 997, Version 3.0, Release 5 Implementation Guide dated October, 1996.

Data must be transported across telecommunications lines in order for the trading partners to trade information. Some of the mechanisms and methods used in this transport of data include:

- Direct connect is the term used to indicate that two EDI trading partners trade information directly to each other without a third-party connection service. Direct connects are normally used by large corporations for intra-company EDI transactions and for inter-company transactions with trading partners that have established high-volume rates of exchange of EDI data.

- Modems are heavily used by EDI trading partners today. Modem-to-modem connections provide a level of security and reliability. The standard in the industry is transmission by binary synchronous modem or "bisync." This method allows for high-speed continuous transmission in which the sending and receiving modems are controlled by clock pulses. The clock pulses regulate the rate and timing of the data flow.

• The EDI transaction format structure has been outlined below in Figure 1.

**Figure 1 – EDI Transaction Format**
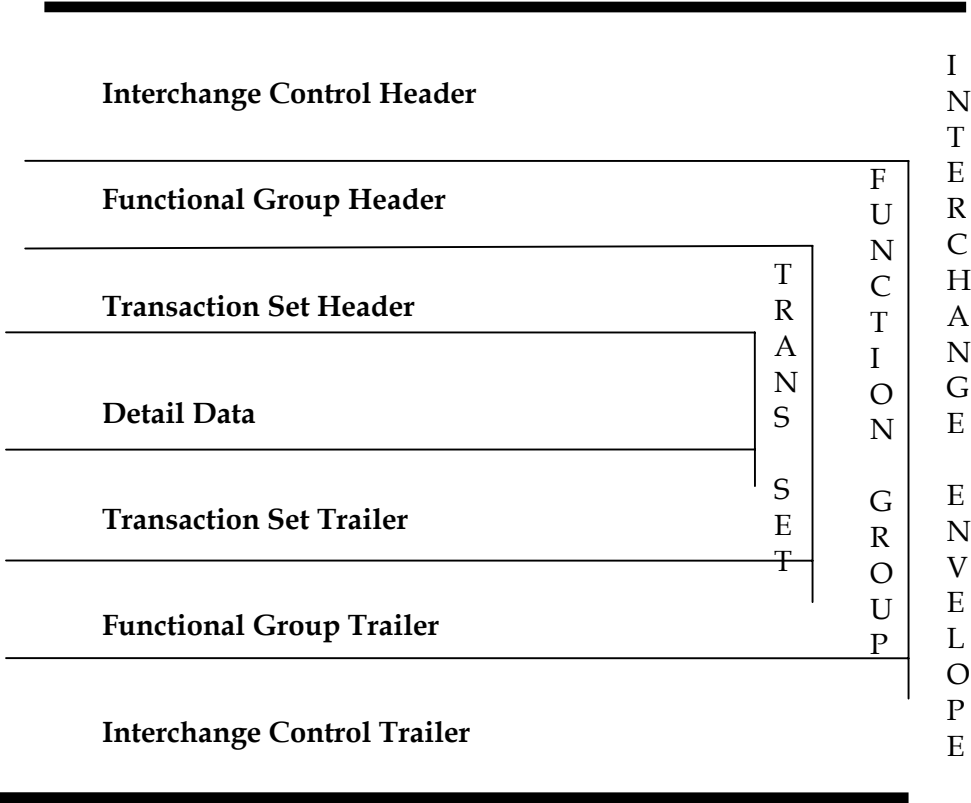


**Interchange Control Header / Trailer**

An interchange envelope consists of an Interchange Control Header (ISA) and an Interchange Control Trailer (IEA). The interchange header and trailer envelope together are known as the Interchange Control Segments. The Interchange Control segments provide identifying information between communicating partners that applies to the entire transmission.

Data elements contained in the Interchange Control Segment include:

- Authorization Information
- Security Information
- Interchange Sender and Receiver ID
- Interchange Date
- Interchange Standard ID
- Interchange Version ID
- Interchange Control Number

## Functional Group Header/Trailer

A functional group envelope consists of a Functional Group Header (GS) and a Functional Group Trailer (GE). The functional group envelopes the transactions sets. The functional group segments provide information including the application sender code, application receiver code, the version of the transaction set used, and control information. The GS/GE may be used to envelope batches of similar transactions for audit and control purposes.

Data elements contained in the Functional Group include:

- Group control number
- Application Senders and receivers code
- Version/Release Industry ID
- Number of included sets

## Transaction Set Header/Trailer

A transaction set consists of segments that are intended to communicate business- related information. The Transaction Set Header (ST)/Transaction Set Trailer (SE) segments are used to indicate the beginning and end of a transaction set and to provide identifying control numbers.

New York State
Department of Motor Vehicles



# Motor Vehicle Liability Insurance Reporting Implementation Guide

*Version 1.3*
September 2006



Appendix D

## EDI Transaction Sample Data



**Insurance Information & Enforcement System (IIES)**
New Directions in Enforcing Compulsory Insurance Laws

# Appendix D - EDI Transaction Sample Data

The following provide sample EDI data for the following transactions:

- D.1 New Business (NBS)
- D.2 Cancellation (XLC)
- D.3 Reinstatement (REI)
- D.4 No Insurance (NIS)
- D.5 Rescind Cancellation (REC)
- D.6 Rescind Proof (REP)
- D.7 New Business (NBS) Dealer/transporter Registration
- D.8 Mandatory Verification (MVF)
- D.9 EDI Error Transaction: Resolved
- D.10 EDI Error Transaction: Unresolved
- D.11 EDI Error Transaction: Multiple Unresolved and Resolved for the same policy

The purpose of these sample data files is to provide EDI technicians who implement the NYS DMV Motor Vehicle Liability Implementation Guide with sample data to best understand how data should occur; including loops, error codes, and repeating segments.

NOTE: For readability, this guide displays one EDI segment per line, preceded by a segment number. True EDI data is one continuous string of data, as created by your commercial EDI translator.

The following data illustrates how EDI data will look once translated for submission to NYS DMV or received from NYS DMV to translate by a commercial EDI translator into your company's application data format.

ISA *00*       *00*        *30*999999999999999*30* 14601320012228*990810*1045*U*00305* 123456789*1*T*1F~ GS*CI*999999999999999*14601320012228*990810*1045*123456789*X *003050~ ST*811*0001~ BIG*990810*1~ N1*IN*ABC INSURANCE COMPANY*NI*99999~ N2*INCORPORATED~ PER*AM*ABC ADMINISTRATOR*TE*5185555555~ N1*2F* NYSDMV*FI*NYMV   NYMVIE1~ HL*1**1*1 NM1*IN*2*ABC INSURANCE COMPANY* INCORPORATED****NI*12345 N2*AND AFFLIATES~ PER*AM*ABCADMINISTRATOR* TE*5185556666~ IT1**1*IP*0~ DTM*368*990810***19~ HL*2*1*2*1~ NM1*2F*2*NYSDMV ***** FI*NYMV   NYMVIE1~ HL*3*2*4*1~ NM1*IL*1*DOE*JOHN*X*DR*SR*N*123456789~ N2*M~ N3*10 MAIN STREET*SUITE200~ N4*ALBANY*NY*12207~ IT1**1*IP*0~ SI*ZZ *11*NBS~ REF*IG*A123456789B*1~ REF*DD**54367DH12XB99~ DTM*222*671010***19~ DTM*007*990809***19~ IT1*1*1*IP*0~ DTM*222*630101***19~ NM1*OP*1*JOHNSON *TED*X*DR*II*N*222222222~ N2*M~ IT1*2*1*IP*0~ DTM*222*801005***19~ NM1*OP*1* JOHNSON*TED**JR*N*999999999~ N2*M~ HL*4*3*5*0~ LX*1~ VEH*1*120000000000000* 19*98*NA*CHEVY~ REF*LV*ABC123~ HL*5*3*5*0~ LX*1~ VEH*2*150000000000000 *19*97*NA*FORD~ REF*LV*ZZZ123~ HL*6*2*4*1~ NM1*IL*1*DOE*JANE* X***N* 124456789~ N2*F~ N3*900 SOME STREET*APT 10N~ N4*ALBANY*NY*12207~ IT1**1*IP*0 ~ SI*ZZ*11*NBS~ REF*IG*124456789*1~ REF*DD**99B9937BC~ DTM *222*671010***19~ DTM*007*990809***19~ IT1*1*1*IP*0~ DTM*222*630101***19~ NM1*OP*1*SMITH*JOHN* X***N*222222222~ N2*M~ IT1*2*1*IP*0~ DTM*222*651012***19~ NM1*OP*1* SMITH *MARY*L ***N*333333333~ N2*F~ HL*7*6*5*0~ LX*1~ VEH*1*130000000000000*

19*98*NA*CHEVY~ REF*LV*XYZ999~ HL*8*6*5*0~ LX*1~ VEH*2*160000000000000
*19*97*NA*FORD~ REF*LV*ZZZ123~ HL*9**1*1~ NM1*IN*2*STATE INSURANCE
COMPANY*INCORPORATED*NI*12345~ N2*AND AFFILIATES*~ PER*AM*
STATEADMINISTRATOR*TE*5185556666~ IT1**1*IP*0~ DTM*368*990810***19~
HL*10*9*2*1~ NM1*2F*2*NYSDMV*****FI*NYMV   NYMVIE1~ HL*11*10*4*1~ NM1*IL*1*
EVANS*JANE*X*DR*SR*N*123456789~N2*M~ N3*12 MAPLE STREET*SUITE400~
N4*ALBANY*NY*12207~ IT1**1*IP*0~ SI*ZZ*11*NBS~ REF*IG*A223456789B*1~
REF*DD**45367HD12XB99~ DTM*222*681010***19~ DTM*007*990609***19~ IT1*1*1*IP*0~
DTM*222*640101***19~NM1*OP*1*WISE*TED*X*DR*II*N*222222222~N2*M~ IT1*2*1*IP*0~
DTM*222*731005***19~NM1*OP*1*WISE* TED**JR* N*999999999~ N2*M~HL*12*11*5*0~
LX*1~VEH*1*123400000000000*19*97* NA*CHEVY~ REF*LV*ABC124~HL*13*11*5*0~
LX*1~VEH*2*145600000000000*19*92*NA*FORD~ REF*LV*ZZZ122~ HL*14*10*4*1~ NM1*
IL*1*BAILEY*JANE*X***N*124456789~ N2*F~N3*25 I STREET*APT 4N~ N4* ALBANY*
NY*12207~IT1**1*IP*0~SI*ZZ*11*NBS~REF*IG*123356789*1~ REF*DD**10B4937BC~
DTM*222*  661010***19~DTM*007*990809***19~ IT1*1*1*IP*0~DTM*222*620101***19~NM1
*OP*1* HENRY*JOHN*X*** N*222222222~ N2*M~IT1*2*1*IP*0~DTM*222*650912***19~
NM1*OP*1*WHITE*MARY*E ***N*333333333~ N2*F~ HL*15*14*5*0~LX*1~ VEH*1*
123000000000000*19*97*NA*PLYMT~ REF*LV*XZY999~HL*16*14*5*0~LX*1~ VEH*2*
16400000000000*19*95*NA*PORSH~ REF*LV*ZWV123~TDS*1~CTT*2~SE*133*0001~GE*1*
123456789~IEA*1*000000009~

## D.1    New Business (NBS)

The New Business (NBS) transaction details sample data for one interchange that contains one insurance company.  The insurer is reporting two policies.  Each policy contains one primary insured, two additional insureds, and two vehicles.

**1.** ISA *00*          *00*          *30*999999999999999*ZZ*NYMV   NYMVIE1*990810*1045*U*00305* 000000001*1*T*(Hex value 1F)~

**2.** GS*CI*999999999999999*NYMV   NYMVIE1*990810*1045*123456789*X*003050~

**3.** ST*811*0001~

**4.** BIG*990810*1~

| |
|---|
| **5.** N1*IN*ABC INSURANCE COMPANY*NI*99999~ |
| **6.** N2*INCORPORATED~ |
| **7.** PER*AM*ABC ADMINISTRATOR*TE*5185555555~ |
| **8.** N1*2F*NYSDMV*FI*NYMV   NYMVIE1~ |

| SENDER'S INFORMATION |
|---|
| |

| RECEIVER'S INFORMATION |
|---|

**9.** HL*1**1*1~
**(START OF INSURER LEVEL LOOP: OUTERMOST LOOP)**

**10.** NM1*IN*2*ABC INSURANCE COMPANY*INCORPORATED****NI*12345~

**11.** N2*AND AFFILIATES*~

**12.** PER*AM*ABCADMINISTRATOR*TE*5185556666~

**13.** IT1**1*IP*0~

**14.** DTM*368*990810***19~

**15.** HL*2*1*2*1~
**(START OF STATE LEVEL LOOP:
FIRST LOOP INSIDE INSURER LEVEL LOOP)**

**16.** NM1*2F*2*NYSDMV*****FI*NYMV   NYMVIE1~

**17.** HL*3*2*4*1~
**(START OF POLICY LEVEL LOOP:
 FIRST POLICY OF INSURER LEVEL LOOP FOR FIRST INSURER)**

**18.** NM1*IL*1*DOE*JOHN*X*DR*SR*N*123456789~
**(PRIMARY INSURED FOR FIRST POLICY FOR FIRST INSURER)**

**19.** N2*M~

**20.** N3*10 MAIN STREET*SUITE200~

**21.** N4*ALBANY*NY*12207~

**22.** IT1**1*IP*0~
**(PRIMARY INSURED INFORMATION FOR THE FIRST POLICY.**
**NOTE: THIS LOOP MAY REPEAT IF REPORTING MULTIPLE POLICIES OR MULTIPLE**
**TRANSACTION TYPES FOR THE SAME PRIMARY INSURED.)**

**23.** SI*ZZ*11*NBS~

**24.** REF*IG*A123456789B*1~

**25.** REF*DD**54367DH12XB99~

**26.** DTM*222*671010***19~

**27.** DTM*007*990809***19~

**28.** IT1*1*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:**
 **FIRST ADDITIONAL INSURED FOR FIRST POLICY)**

**29.** DTM*222*630101***19~

**30.** NM1*OP*1*JOHNSON*TED*X*DR*II*N*222222222~

**31.** N2*M~

**32.** IT1*2*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:**
 **SECOND ADDITIONAL INSURED FOR FIRST POLICY)**

**33.** DTM*222*801005***19~

**34.** NM1*OP*1*JOHNSON*TED**JR*N*999999999~

**35.** N2*M~

**36.** HL*4*3*5~
**(START OF VEHICLE LEVEL LOOP:**
 **FIRST VEHICLE FOR FIRST POLICY)**

**37.** LX*1~

**38.** VEH*1*120000000000000*19*98*NA*CHEVY~

**39.** REF*LV*ABC123~

**40.** HL*5*3*5~
**(START OF VEHICLE LEVEL LOOP:**
 **SECOND VEHICLE FOR FIRST POLICYER)**

**41.** LX*1~

**42.** VEH*2*150000000000000*19*97*NA*FORD~

**43.** REF*LV*ZZZ123~

**44.** HL*6*2*4*1~
**(START OF POLICY LEVEL LOOP:**
 **SECOND POLICY OF INSURER LEVEL LOOP FOR FIRST INSURER)**

**45.** NM1*IL*1*DOE*JANE*X***N*124456789~
**(PRIMARY INSURED FOR SECOND POLICY)**

**46.** N2*F~

**47.** N3*900 SOME STREET*APT 10N~

**48.** N4*ALBANY*NY*12207~

**49.** IT1**1*IP*0~
**(PRIMARY INSURED INFORMATION FOR SECOND POLICY.**
**NOTE: THIS LOOP MAY REPOEAT IF REPORTING MULTIPLE POLICIES OR MULTIPLE**
**TRANSACTION TYPES FOR THE SAME PRIMARY INSURED.)**

**50.** SI*ZZ*11*NBS~

**51.** REF*IG*124456789*1~

**52.** REF*DD**99B9937BC~

**53.** DTM*222*671010***19~

**54.** DTM*007*990809***19~

**55.** IT1*1*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:**
 **FIRST ADDITIONAL INSURED FOR SECOND POLICY)**

**56.** DTM*222*630101***19~

**57.** NM1*OP*1*SMITH*JOHN*X***N*222222222~

**58.** N2*M~

**59.** IT1*2*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:**
 **SECOND ADDITIONAL INSURED FOR SECOND POLICY)**

**60.** DTM*222*651012***19~

**61.** NM1*OP*1*SMITH*MARY*L***N*333333333~

**62.** N2*F~

**63.** HL*7*6*5~
**(START OF VEHICLE LEVEL LOOP:**
 **FIRST VEHICLE FOR SECOND POLICY)**

**64.** LX*1~

**65.** VEH*1*130000000000000*19*98*NA*CHEVY~

**66.** REF*LV*XYZ999~

**67.** HL*8*6*5~
**(START OF VEHICLE LEVEL LOOP:**
 **SECOND VEHICLE FOR SECOND POLICY)**

**68.** LX*1~

**69.** VEH*2*160000000000000*19*97*NA*FORD~

**70.** REF*LV*ZZZ123~

**71.** TDS*1~

**72.** CTT*2~

**73.** SE*71*0001~

**74.** GE*1*123456789~

**75.** IEA*1*000000001~

## D.2    Cancellation (XLC)

The Cancellation (XLC) transaction details sample data for one interchange that contains one insurance company.  The insurer is reporting two policies.  Each policy contains one primary insured, two additional insureds, and two vehicles.

**1.** ISA *00*        *00*          *30*999999999999999*ZZ*NYMV   NYMVIE1*990810*1045*U*00305* 000000001*1*T*(Hex value 1F)~

**2.** GS*CI*999999999999999*NYMV   NYMVIE1*990810*1045*123456789*X*003050~

**3.** ST*811*0001~

**4.** BIG*990810*1~

| |
|---|
| **5.** N1*IN*ABC INSURANCE COMPANY*NI*99999~ |
| **6.** N2*INCORPORATED~ |
| **7.** PER*AM*ABC ADMINISTRATOR*TE*5185555555~ |
| **8.** N1*2F*NYSDMV*FI*NYMV   NYMVIE1~ |

| SENDER'S INFORMATION |
|---|
|  |

| RECEIVER'S INFORMATION |
|---|

**9.** HL*1**1*1~
**(START OF INSURER LEVEL LOOP:
 OUTERMOST LEVEL)**

**10.** NM1*IN*2*ABC INSURANCE COMPANY*INCORPORATED****NI*12345~

**11.** N2*AND AFFLIATES~

**12.** PER*AM*ABCADMINISTRATOR*TE*5185556666~

**13.** IT1**1*IP*0~

**14.** DTM*368*990810***19~

**15.** HL*2*1*2*1~
**(START OF STATE LEVEL LOOP:
FIRST LOOP INSIDE INSURER LEVEL LOOP)**

**16.** NM1*2F*2*NYSDMV*****FI*NYMV   NYMVIE1~

**17.** HL*3*2*4*1~
**(START OF POLICY LEVEL LOOP:**

 **FIRST POLICY OF INSURER LEVEL LOOP)**

**18.** NM1*IL*1*DOE*JOHN*X*DR*SR*N*123456789~
**(PRIMARY INSURED FOR FIRST POLICY)**

**19.** N2*M~

**20.** N3*10 MAIN STREET*SUITE200~

**21.** N4*ALBANY*NY*12207~

**22.** IT1**1*IP*0~
**(POLICY INFORMATION FOR FIRST POLICY.**
**NOTE: THIS LOOP MAY REPEAT IF REPORTING MULTIPLE POLICIES OR MULTIPLE**
**TRANSACTION TYPES FOR THE SAME PRIMARY INSURED)**

**23.** SI*ZZ*11*XLC~

**24.** SI*ZZ*12*NPP~

**25.** REF*IG*A123456789B*1~

**26.** REF*DD**54367DH12XB99~

**27.** DTM*222*671010***19~

**28.** DTM*036*990809***19~

**29.** IT1*1*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:**
**FIRST ADDITIONAL INSURED FOR FIRST POLICY)**

**30.** DTM*222*630101***19~

**31.** NM1*OP*1*JOHNSON*TED*X*DR*II*N*222222222~

**32.** N2*M~

**33.** IT1*2*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:**
 **SECOND ADDITIONAL INSURED FOR FIRST POLICY)**

**34.** DTM*222*801005***19~

**35.** NM1*OP*1*JOHNSON*TED**JR*N*999999999~

**36.** N2*M~

**37.** HL*4*3*5~
**(START OF VEHICLE LEVEL LOOP:**
  **FIRST VEHICLE FOR FIRST POLICY)**

**38.** LX*1~

**39.** VEH*1*120000000000000*19*98*NA*CHEVY~

**40.** REF*LV*ABC123~

**41.** HL*5*3*5~
**(START OF VEHICLE LEVEL LOOP:**
**SECOND VEHICLE FOR FIRST POLICY)**

**42.** LX*1~

**43.** VEH*2*150000000000000*19*97*NA*FORD~

**44.** REF*LV*ZZZ123~

**45.** HL*6*2*4*1~
**(START OF POLICY LEVEL LOOP:**
 **SECOND POLICY INSIDE INSURER LEVEL LOOP)**

**46.** NM1*IL*1*DOE*JANE*X***N*124456789~
**(PRIMARY INSURED FOR SECOND POLICY)**

**47.** N2*F~

**48.** N3*900 SOME STREET*APT 10N~

**49.** N4*ALBANY*NY*12207~

**50.** IT1**1*IP*0~
**(PRIMARY INSURED INFORMATION FOR SECOND POLICY.**
**NOTE: THIS LOOP MAY REPEAT IF REPORTING MULTIPLE POLICIES OR MULTIPLE**
**TRANSACTION TYPES FOR THE SAME PRIMARY INSURED)**

**51.** SI*ZZ*11*XLC~

**52.** REF*IG*124456789*1~

**53.** REF*DD**99B9937BC~

**54.** DTM*222*671010***19~

**55.** DTM*036*990809***19~

**56.** IT1*1*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:**
 **FIRST ADDITIONAL INSURED FOR SECOND POLICY)**

**57.** DTM*222*630101***19~

**58.** NM1*OP*1*SMITH*JOHN*X***N*222222222~

**59.** N2*M~

**60.** IT1*2*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:**
 **SECOND ADDITIONAL INSURED FOR SECOND POLICY)**

**61.** DTM*222*651012***19~

**62.** NM1*OP*1*SMITH*MARY*L***N*333333333~

**63.** N2*F~

**64.** HL*7*6*5~
**(START OF VEHICLE LEVEL LOOP:**
 **FIRST VEHICLE FOR SECOND POLICY)**

**65.** LX*1~

**66.** VEH*1*130000000000000*19*98*NA*CHEVY~

**67.** REF*LV*XYZ999~

**68.** HL*8*6*5~
**(START OF VEHICLE LEVEL LOOP:**
 **SECOND VEHICLE FOR SECOND POLICY)**

**69.** LX*1~

**70.** VEH*2*160000000000000*19*97*NA*FORD~

**71.** REF*LV*ZZZ123~

**72.** TDS*1~

**73.** CTT*2~

**74.** SE*72*0001~

**75.** GE*1*123456789~

**76.** IEA*1*000000001~

## D.3    Reinstatement (REI)

The Reinstatement (REI) transaction details sample data for one interchange that contains one insurance company.  The insurer is reporting two policies.  Each policy contains one primary insured, two additional insureds, and two vehicles.

**1.** ISA *00*        *00*         *30*999999999999999*ZZ*NYMV   NYMVIE1*990810*1045*U*00305*
000000001*1*T*(Hex value 1F)~

**2.** GS*CI*999999999999999*NYMV   NYMVIE1*990810*1045*123456789*X*003050~

**3.** ST*811*0001~

**4.** BIG*990810*1~

---

**5.** N1*IN*ABC INSURANCE COMPANY*NI*99999~

**6.** N2*INCORPORATED~

**7.** PER*AM*ABC ADMINISTRATOR*TE*5185555555~

**8.** N1*2F*NYSDMV*FI*NYMV   NYMVIE1~

---

| SENDER'S INFORMATION |
| RECEIVER'S INFORMATION |

**9.** HL*1**1*1~
**(START OF INSURER LEVEL LOOP:**
 **OUTERMOST LOOP)**

**10.** NM1*IN*2*ABC INSURANCE COMPANY*INCORPORATED****NI*12345~

**11.** N2*AND AFFILIATES~

**12.** PER*AM*ABCADMINISTRATOR*TE*5185556666~

**13.** IT1**1*IP*0~

**14.** DTM*368*990810***19~

**15.** HL*2*1*2*1~
**(START OF STATE LEVEL LOOP:**
**FIRST LOOP INSIDE INSURER LEVEL LOOP)**

**16.** NM1*2F*2*NYSDMV*****FI*NYMV   NYMVIE1~

**17.** HL*3*2*4*1~
**(START OF POLICY LEVEL LOOP:**

FIRST POLICY OF INSURER LEVEL LOOP)

**18.** NM1*IL*1*DOE*JOHN*X*DR*SR*N*123456789~
**(PRIMARY INSURED FOR FIRST POLICY FOR FIRST INSURER)**

**19.** N2*M~

**20.** N3*10 MAIN STREET*SUITE200~

**21.** N4*ALBANY*NY*12207~

**22.** IT1**1*IP*0~
**(PRIMARY INSURED INFORMATION FOR THE FIRST POLICY.
NOTE: THIS LOOP MAY REPEAT IF REPORTING MULTIPLE POLICIES OR MULTIPLE
TRANSACTION TYPES FOR THE SAME PRIMARY INSURED)**

**23.** SI*ZZ*11*REI~

**24.** REF*IG*A123456789B*1~

**25.** REF*DD**54367DH12XB99~

**26.** DTM*222*671010***19~

**27.** DTM*007*990809***19~

**28.** IT1*1*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:
 FIRST ADDITIONAL INSURED FOR FIRST POLICY)**

**29.** DTM*222*630101***19~

**30.** NM1*OP*1*JOHNSON*TED*X*DR*II*N*222222222~

**31.** N2*M~

**32.** IT1*2*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:
 SECOND ADDITIONAL INSURED FOR FIRST POLICY)**

**33.** DTM*222*801005***19~

**34.** NM1*OP*1*JOHNSON*TED**JR*N*999999999~

**35.** N2*M~

**36.** HL*4*3*5~
**(START OF VEHICLE LEVEL LOOP:**
 **FIRST VEHICLE FOR FIRST POLICY)**

**37.** LX*1~

**38.** VEH*1*120000000000000*19*98*NA*CHEVY~

**39.** REF*LV*ABC123~

**40.** HL*5*3*5~
**(START OF VEHICLE LEVEL LOOP:**
**SECOND VEHICLE FOR FIRST POLICY)**

**41.** LX*1~

**42.** VEH*2*150000000000000*19*97*NA*FORD~

**43.** REF*LV*ZZZ123~

**44.** HL*6*2*4*1~
**(START OF POLICY LEVEL LOOP:**
**SECOND POLICY INSIDE INSURER LEVEL LOOP)**

**45.** NM1*IL*1*DOE*JANE*X***N*124456789~
**(PRIMARY INSURED FOR SECOND POLICY)**

**46.** N2*F~

**47.** N3*900 SOME STREET*APT 10N~

**48.** N4*ALBANY*NY*12207~

**49.** IT1**1*IP*0~
**(PRIMARY INSURED INFORMATION FOR SECOND POLICY.**
**NOTE: THIS LOOP MAY REPEAT IS REPORTING MULTIPLE POLICIES OR MULTIPLE**
**TRANSACTION TYPES FOR THE SAME PRIMARY INSURED)**

**50.** SI*ZZ*11*REI~

**51.** REF*IG*124456789*1~

**52.** REF*DD**99B9937BC~

**53.** DTM*222*671010***19~

**54.** DTM*007*990809***19~

**55.** IT1*1*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:**
 **FIRST ADDITIONAL INSURED FOR SECOND POLICY)**

**56.** DTM*222*630101***19~

**57.** NM1*OP*1*SMITH*JOHN*X***N*222222222~

**58.** N2*M~

**59.** IT1*2*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:**
 **SECOND ADDITIONAL INSURED FOR SECOND POLICY)**

**60.** DTM*222*651012***19~

**61.** NM1*OP*1*SMITH*MARY*L***N*333333333~

**62.** N2*F~

**63.** HL*7*6*5~
**(START OF VEHICLE LEVEL LOOP:**
 **FIRST VEHICLE FOR SECOND POLICY)**

**64.** LX*1~

**65.** VEH*1*130000000000000*19*98*NA*CHEVY~

**66.** REF*LV*XYZ999~

**67.** HL*8*6*5~
**(START OF VEHICLE LEVEL LOOP:**
**SECOND VEHICLE FOR SECOND POLICY)**

**68.** LX*1~

**69.** VEH*2*160000000000000*19*97*NA*FORD~

**70.** REF*LV*ZZZ123~

**71.** TDS*1~

**72.** CTT*2~

**73.** SE*710001~

**74.** GE*1*123456789~

**75.** IEA*1*000000001~

## D.4  No Insurance (NIS)

The No Insurance (NIS) transaction details sample data for one interchange that contains one insurance company.  The insurer is responding to an NYS DMV Mandatory Verification (MFV) transaction with one policy.  The policy contains one primary insured (as was sent by NYS DMV in the MVF transaction) and one vehicle (as was sent by NYS DMV in the MVF transaction).

**1.** ISA *00*        *00*        *30*999999999999999*ZZ*NYMV   NYMVIE1 *990810*1045*U*00305* 000000001*1*T*(Hex value 1F)~

**2.** GS*CI*999999999999999*NYMV   NYMVIE1*990810*1045*123456789*X*003050~

**3.** ST*811*0001~

**4.** BIG*990810*1~

<table>
<tr><td>

**5.** N1*IN*ABC INSURANCE COMPANY*NI*99999~

**6.** N2*INCORPORATED~

**7.** PER*AM*ABC ADMINISTRATOR*TE*5185555555~

**8.** N1*2F*NYSDMV*FI*NYMV   NYMVIE1~
</td><td>

**SENDER′S INFORMATION**

**RECEIVER′S INFORMATION**
</td></tr>
</table>

**9.** HL*1**1*1~
**(START OF INSURER LEVEL LOOP:**
 **OUTERMOST LOOP)**

**10.** NM1*IN*2*ABC INSURANCE COMPANY*INCORPORATED****NI*12345~

**11.** N2*AND AFFILIATES~

**12.** PER*AM*ABCADMINISTRATOR*TE*5185556666~

**13.** IT1**1*IP*0~

**14.** DTM*368*990810***19~

**15.** HL*2*1*2*1~
**(START OF STATE LEVEL LOOP:**
**FIRST LOOP INSIDE INSURER LEVEL LOOP)**

**16.** NM1*2F*2*NYSDMV*****FI*NYMV   NYMVIE1~

**17.** HL*3*2*4*1~

**(START OF POLICY LEVEL LOOP:**
 **FIRST POLICY OF INSURER LEVEL LOOP)**

**18.** NM1*IL*1*DOE*JOHN*X*DR*SR*N*123456789~
**(PRIMARY INSURED FOR FIRST POLICY)**

**19.** N2*M~

**20.** N3*10 MAIN STREET*SUITE200~

**21.** N4*ALBANY*NY*12207~

**22.** IT1**1*IP*0~
**(PRIMARY INSURED INFORMATION FOR FIRST POLICY.**
**NOTE: THIS LOOP MAY REPEAT IF REPORTING MULTIPLE POLICIES OR MULTIPLE**
**TRANSACTION TYPES FOR THE SAME PRIMARY INSURED)**

**23.** SI*ZZ*11*NIS~

**24.** REF*DD**54367DH12XB99~

**25.** DTM*222*671010***19~

**26.** IT1*1*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:**
**FIRST ADDITIONAL INSURED FOR FIRST POLICY)**

**27.** DTM*222*630101***19~

**28.** NM1*OP*1*JOHNSON*TED*X*DR*II*N*222222222~

**29.** N2*M~

**30.** IT1*2*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:**
**SECOND ADDITIONAL INSURED FOR FIRST POLICY)**

**31.** DTM*222*801005***19~

**32.** NM1*OP*1*JOHNSON*TED**JR*N*999999999~

**33.** N2*M~

**34.** HL*4*3*5~
**(START OF VEHICLE LEVEL LOOP:**

**FIRST VEHICLE FOR FIRST POLICY)**

**35.** LX*1~

**36.** VEH*1*120000000000000*19*98*NA*CHEVY~

**37.** REF*LV*ABC123~

**38.** HL*5*3*5~
**(START OF VEHICLE LEVEL LOOP:**
**SECOND VEHICLE FOR FIRST POLICY)**

**39.** LX*1~

**40.** VEH*2*150000000000000*19*97*NA*FORD~

**41.** REF*LV*ZZZ123~

**42.** HL*6*2*4*1~
**(START OF POLICY LEVEL LOOP:**
**SECOND POLICY INSIDE INSURER LEVEL LOOP)**

**43.** NM1*IL*1*DOE*JANE*X***N*124456789~
**(PRIMARY INSURED FOR SECOND POLICY)**

**44.** N2*F~

**45.** N3*900 SOME STREET*APT 10N~

**46.** N4*ALBANY*NY*12207~

**47.** IT1**1*IP*0~
**(PRIMARY INSURED INFORMATION FOR SECOND POLICY.**
**NOTE: THIS LOOP MAY REPEAT IF REPORTING MULTIPLE POLICIES OR MULTIPLE**
**TRANSACTION TYPES FOR THE SAME PRIMARY INSURED)**

**48.** SI*ZZ*11*NIS~

**49.** REF*DD**99B9937BC~

**50.** DTM*222*671010***19~

**51.** IT1*1*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:**
**FIRST ADDITIONAL INSURED FOR SECOND POLICY)**

**52.** DTM*222*630101***19~

**53.** NM1*OP*1*SMITH*JOHN*X***N*222222222~

**54.** N2*M~

**55.** IT1*2*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:**
**SECOND ADDITIONAL INSURED FOR SECOND POLICY)**

**56.** DTM*222*651012***19~

**57.** NM1*OP*1*SMITH*MARY*L***N*333333333~

**58.** N2*F~

**59.** HL*7*6*5~
**(START OF VEHICLE LEVEL LOOP:**
**FIRST VEHICLE FOR SECOND POLICY)**

**60.** LX*1~

**61.** VEH*1*130000000000000*19*98*NA*CHEVY~

**62.** REF*LV*XYZ999~

**63.** HL*8*6*5~
**(START OF VEHICLE LEVEL LOOP:**
**SECOND VEHICLE FOR SECOND POLICY)**

**64.** LX*1~

**65.** VEH*2*160000000000000*19*97*NA*FORD~

**66.** REF*LV*ZZZ123~

**67.** TDS*1~

**68.** CTT*2~

**69.** SE*67*0001~

**70.** GE*1*123456789~

**71.** IEA*1*000000009~

## D.5    Rescind Cancellation (REC)

The Rescind Cancellation (REC) transaction details sample data for one interchange that contains one insurance company. The insurer is reporting two policies. Each policy contains one primary insured, two additional insureds, and two vehicles.

**1.** ISA *00* *00* *30*999999999999999*ZZ* NYMV   NYMVIE1*990810*1045*U*00305* 123456789*1*T*1F~

**2.** GS*CI*999999999999999*NYMV   NYMVIE1*990810*1045*123456789*X*003050~

**3.** ST*811*0001~

**4.** BIG*990810*1~

> **5.**   N1*IN*ABC INSURANCE COMPANY*NI*99999~
>
> **6.**   N2*INCORPORATED~
>
> **7.**   PER*AM*ABC ADMINISTRATOR*TE*5185555555~

> **8.**   N1*2F*NYSDMV*FI*NYMV   NYMVIE1~

**SENDER'S INFORMATION**

**RECEIVER'S INFORMATION**

**9.** HL*1**1*1~
**(START OF INSURER LEVEL LOOP:**
**OUTERMOST LOOP)**

**10.** NM1*IN*2*ABC INSURANCE COMPANY*INCORPORATED****NI*12345~

**11.** N2*AND AFFLIATES~

**12.** PER*AM*ABCADMINISTRATOR*TE*5185556666~

**13.** IT1**1*IP*0~

**14.** DTM*368*990810***19~

**15.** HL*2*1*2*1~
**(START OF STATE LEVEL LOOP:**
**FIRST LOOP INSIDE INSURER LEVEL LOOP)**

**16.** NM1*2F*2*NYSDMV*****FI*NYMV   NYMVIE1~

**17.** HL*3*2*4*1~
**(START OF POLICY LEVEL LOOP:**
**FIRST POLICY OF INSURER LEVEL LOOP)**

**18.** NM1*IL*1*DOE*JOHN*X*DR*SR*N*123456789~
**(PRIMARY INSURED FOR FIRST POLICY)**

**19.** N2*M~

**20.** N3*10 MAIN STREET*SUITE200~

**21.** N4*ALBANY*NY*12207~

**22.** IT1**1*IP*0~
**(PRIMARY INSURED INFORMATION FOR FIRST POLICY.**
**NOTE: THIS LOOP MAY REPEAT IF REPORTING MULTIPLE POLICIES OR MULTIPLE**
**TRANSACTION TYPES FOR THE SAME PRIMARY INSURED)**

**23.** SI*ZZ*11*REC~

**24.** SI*ZZ*15*NPP~

**25.** REF*IG*A123456789B*1~

**26.** REF*DD**54367DH12XB99~

**27.** DTM*222*671010***19~

**28.** DTM*036*990809***19~

**29.** IT1*1*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:**
**FIRST ADDITIONAL INSURED FOR FIRST POLICY)**

**30.** DTM*222*630101***19~

**31.** NM1*OP*1*JOHNSON*TED*X*DR*II*N*222222222~

**32.** N2*M~

**33.** IT1*2*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:**
**SECOND ADDITIONAL INSURED FOR FIRST POLICY)**

**34.** DTM*222*801005***19~

**35.** NM1*OP*1*JOHNSON*TED**JR*N*999999999~

**36.** N2*M~

**37.** HL*4*3*5~
**(START OF VEHICLE LEVEL LOOP:**
**FIRST VEHICLE FOR FIRST POLICY)**

**38.** LX*1~

**39.** VEH*1*120000000000000*19*98*NA*CHEVY~

**40.** REF*LV*ABC123~

**41.** HL*5*3*5~
**(START OF VEHICLE LEVEL LOOP:**
**SECOND VEHICLE FOR FIRST POLICY)**

**42.** LX*1~

**43.** VEH*2*150000000000000*19*97*NA*FORD~

**44.** REF*LV*ZZZ123~

**45.** HL*6*2*4*1~
**(START OF POLICY LEVEL LOOP:**
**SECOND POLICY INSIDE INSURER LEVEL LOOP)**

**46.** NM1*IL*1*DOE*JANE*X***N*124456789~
**(PRIMARY INSURED FOR SECOND POLICY)**
**47.** N2*F~

**48.** N3*900 SOME STREET*APT 10N~

**49.** N4*ALBANY*NY*12207~

**50.** IT1**1*IP*0~
**(PRIMARY INSURED INFORMATION FOR SECOND POLICY.**
**NOTE: THIS LOOP MAY REPEAT IF REPORTING MULTIPLE POLICIES OR MULTIPLE**
**TRANSACTION TYPES FOR THE SAME PRIMARY INSURED)**

**51.** SI*ZZ*11*REC~

**52.** REF*IG*124456789*1~

**53.** REF*DD**99B9937BC~

**54.** DTM*222*671010***19~

**55.** DTM*036*990809***19~
**56.** IT1*1*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:**
**FIRST ADDITIONAL INSURED FOR SECOND POLICY)**

**57.** DTM*222*630101***19~

**58.** NM1*OP*1*SMITH*JOHN*X***N*222222222~

**59.** N2*M~

**60.** IT1*2*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:**
**SECOND ADDITIONAL INSURED FOR SECOND POLICY**

**61.** DTM*222*651012***19~

**62.** NM1*OP*1*SMITH*MARY*L***N*333333333~

**63.** N2*F~

**64.** HL*7*6*5~
**(START OF VEHICLE LEVEL LOOP:**
**FIRST VEHICLE FOR SECOND POLICY)**

**65.** LX*1~

**66.** VEH*1*130000000000000*19*98*NA*CHEVY~

**67.** REF*LV*XYZ999~

**68.** HL*8*6*5~
**(START OF VEHICLE LEVEL LOOP:**
**SECOND VEHICLE FOR SECOND POLICY)**

**69.** LX*1~

**70.** VEH*2*160000000000000*19*97*NA*FORD~

**71.** REF*LV*ZZZ123~

**72.** TDS*1~

**73.** CTT*2~

**74.** SE*72*0001~

**75.** GE*1*123456789~

**76.** IEA*1*000000009~

## D.6    Rescind Proof (REP)

The Rescind Proof (REP) transaction details sample data for one interchange that contains one insurance company. The insurer is reporting two policies. Each policy contains one primary insured, two additional insureds, and two vehicles.

**1.** ISA *00* *00* *30*999999999999999*ZZ* NYMV   NYMVIE1*990810*1045*U*00305*
123456789*1*T*1F~

**2.** GS*CI*999999999999999*NYMV   NYMVIE1*990810*1045*123456789*X*003050~

**3.** ST*811*0001~

**4.** BIG*990810*1~

**5.**   N1*IN*ABC INSURANCE COMPANY*NI*99999~

**6.**   N2*INCORPORATED~

**7.**   PER*AM*ABC ADMINISTRATOR*TE*5185555555~

**SENDER'S INFORMATION**

**8.**   N1*2F*NYSDMV*FI*NYMV   NYMVIE1~

**RECEIVER'S INFORMATION**

**9.** HL*1**1*1~
**(START OF INSURER LEVEL LOOP: OUTERMOST LOOP)**

**10.** NM1*IN*2*ABC INSURANCE COMPANY*INCORPORATED****NI*12345~

**11.** N2*AND AFFILIATES*~

**12.** PER*AM*ABCADMINISTRATOR*TE*5185556666~

**13.** IT1**1*IP*0~

**14.** DTM*368*990810***19~

**15.** HL*2*1*2*1~
**(START OF STATE LEVEL LOOP:
FIRST LOOP INSIDE INSURER LEVEL LOOP)**

**16.** NM1*2F*2*NYSDMV*****FI*NYMV   NYMVIE1~

**17.** HL*3*2*4*1~
**(START OF POLICY LEVEL LOOP:
FIRST POLICY OF INSURER LEVEL LOOP)**

**18.** NM1*IL*1*DOE*JOHN*X*DR*SR*N*123456789~
**(PRIMARY INSURED FOR FIRST POLICY)**

**19.** N2*M~

**20.** N3*10 MAIN STREET*SUITE200~

**21.** N4*ALBANY*NY*12207~

**22.** IT1**1*IP*0~
**(PRIMARY INSURED INFORMATION FOR THE FIRST POLICY.**
**NOTE: THIS LOOP MAY REPEAT IF REPORTING MULTIPLE POLICIES OR MULTIPLE**
**TRANSACTION TYPES FOR THE SAME PRIMARY INSURED)**

**23.** SI*ZZ*11*REP~

**24.** REF*IG*A123456789B*1~

**25.** REF*DD**54367DH12XB99~

**26.** DTM*222*671010***19~

**27.** DTM*007*990809***19~

**28.** IT1*1*1*IP*0~
**(START OFADDITIONAL INSURED LOOP:**
**FIRST ADDITIONAL INSURED FOR FIRST POLICY)**

**29.** DTM*222*630101***19~

**30.** NM1*OP*1*JOHNSON*TED*X*DR*II*N*222222222~

**31.** N2*M~

**32.** IT1*2*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:**
**SECOND ADDITIONAL INSURED FOR FIRST POLICY)**

**33.** DTM*222*801005***19~

**34.** NM1*OP*1*JOHNSON*TED**JR*N*999999999~

**35.** N2*M~

**36.** HL*4*3*5~
**(START OF VEHICLE LEVEL LOOP:**
**FIRST VEHICLE FOR FIRST POLICY)**

**37.** LX*1~

**38.** VEH*1*120000000000000*19*98*NA*CHEVY~

**39.** REF*LV*ABC123~

**40.** HL*5*3*5~
**(START OF VEHICLE LEVEL LOOP:**
**SECOND VEHICLE FOR FIRST POLICY)**

**41.** LX*1~

**42.** VEH*2*150000000000000*19*97*NA*FORD~

**43.** REF*LV*ZZZ123~

**44.** HL*6*2*4*1~
**(START OF POLICY LEVEL LOOP:**
**SECOND POLICY FOR FIRST INSURER)**

**45.** NM1*IL*1*DOE*JANE*X***N*124456789~
**(PRIMARY INSURED FOR SECOND POLICY)**

**46.** N2*F~

**47.** N3*900 SOME STREET*APT 10N~

**48.** N4*ALBANY*NY*12207~

**49.** IT1**1*IP*0~
**(PRIMARY INSURED INFORMATION FOR SECOND POLICY.**
**NOTE: THIS LOOP MAY REPEAT IF REPORTING MULTIPLE POLICIES OR MULTIPLE**
**TRANSACTION TYPES FOR THE SAME PRIMARY INSURED)**

**50.** SI*ZZ*11*REP~

**51.** REF*IG*124456789*1~

**52.** REF*DD**99B9937BC~

**53.** DTM*222*671010***19~

**54.** DTM*007*990809***19~

**55.** IT1*1*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:**
**FIRST ADDITIONAL INSURED FOR SECOND POLICY)**

**56.** DTM*222*630101***19~

**57.** NM1*OP*1*SMITH*JOHN*X***N*222222222~

**58.** N2*M~

**59.** IT1*2*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:**
**SECOND ADDITIONAL INSURED FOR SECOND POLICY)**

**60.** DTM*222*651012***19~

**61.** NM1*OP*1*SMITH*MARY*L***N*333333333~

**62.** N2*F~

**63.** HL*7*6*5~
**(START OF VEHICLE LEVEL LOOP:**
**FIRST VEHICLE FOR SECOND POLICY)**

**64.** LX*1~

**65.** VEH*1*130000000000000*19*98*NA*CHEVY~

**66.** REF*LV*XYZ999~

**67.** HL*8*6*5~
**(START OF VEHICLE LEVEL LOOP:**
**SECOND VEHICLE FOR SECOND POLICY)**

**68.** LX*1~

**69.** VEH*2*160000000000000*19*97*NA*FORD~

**70.** REF*LV*ZZZ123~

**71.** TDS*1~

**72.** CTT*2~

**73.** SE*71*0001~

**74.** GE*1*123456789~

**75.** IEA*1*000000009~

## D.7    DLR/TRA New Business (NBS)

The New Business (NBS) transaction for dealer/transporter registrations details sample data for one interchange that contains one insurance company. The insurer is reporting two policies. Each policy contains one primary insured, two additional insureds, and two vehicles.

**1.** ISA *00* *00* *30*999999999999999*ZZ*NYMV   NYMVIE1*990810*1045*U*00305*123456789*1*T*1F~

**2.** GS*CI*999999999999999*NYMV   NYMVIE1*990810*1045*123456789*X*003050~

**3.** ST*811*0001~

**4.** BIG*990810*1~

**5.**   N1*IN*ABC INSURANCE COMPANY*NI*99999~

**6.**   N2*INCORPORATED~

**7.**   PER*AM*ABC ADMINISTRATOR*TE*5185555555~

**8.**   N1*2F*NYSDMV*FI*NYMV   NYMVIE1~

**SENDER'S INFORMATION**

**RECEIVER'S INFORMATION**

**9.** HL*1**1*1~
**(START OF INSURER LEVEL LOOP: OUTERMOST LOOP)**

**10.** NM1*IN*2*ABC INSURANCE COMPANY*INCORPORATED****NI*12345~

**11.** N2*AND AFFILIATES*~

**12.** PER*AM*ABCADMINISTRATOR*TE*5185556666~

**13.** IT1**1*IP*0~

**14.** DTM*368*990810***19~

**15.** HL*2*1*2*1~
**(START OF STATE LEVEL LOOP:
FIRST LOOP INSIDE INSURER LEVEL LOOP)**

**16.** NM1*2F*2*NYSDMV*****FI*NYMV   NYMVIE1~

**17.** HL*3*2*4*1~
**(START OF POLICY LEVEL LOOP:
FIRST POLICY OF INSURER LEVEL LOOP)**

**18.** NM1*IL*1*DOE*JOHN*X*DR*SR*N*123456789~
**(PRIMARY INSURED FOR FIRST POLICY)**

**19.** N2*M~

**20.** N3*10 MAIN STREET*SUITE200~

**21.** N4*ALBANY*NY*12207~

**22.** IT1**1*IP*0~
**(PRIMARY INSURED INFORMATION FOR THE FIRST POLICY.**
**NOTE: THIS LOOP MAY REPEAT IF REPORTING MULTIPLE POLICIES OR MULTIPLE**
**TRANSACTION TYPES FOR THE SAME PRIMARY INSURED)**

**23.** SI*ZZ*11*NBS~

**24.** REF*IG*A123456789B*8~

**25.** REF*DD**54367DH12XB99~

**26.** DTM*222*671010***19~

**27.** DTM*007*990809***19~

**28.** IT1*1*1*IP*0~
**(START OFADDITIONAL INSURED LOOP:**
**FIRST ADDITIONAL INSURED FOR FIRST POLICY)**

**29.** DTM*222*630101***19~

**30.** NM1*OP*1*JOHNSON*TED*X*DR*II*N*222222222~

**31.** N2*M~

**32.** IT1*2*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:**
**SECOND ADDITIONAL INSURED FOR FIRST POLICY)**

**33.** DTM*222*801005***19~

**34.** NM1*OP*1*JOHNSON*TED**JR*N*999999999~

**35.** N2*M~

**36.** HL*4*3*5~
**(START OF VEHICLE LEVEL LOOP:**
**FIRST VEHICLE FOR FIRST POLICY)**

**37.** LX*1~

**38.** VEH*1*NYDLR62MCD64TRA66*20*06*NA*NONE~

**39.** REF*LV*ABC123~

**40.** HL*5*3*5~
**(START OF VEHICLE LEVEL LOOP:**
**SECOND VEHICLE FOR FIRST POLICY)**

**41.** LX*1~

**42.** VEH*2* NYDLR62MCD64TRA66*20*06*NA*NONE~

**43.** REF*LV*ZZZ123~

**44.** HL*6*2*4*1~
**(START OF POLICY LEVEL LOOP:**
**SECOND POLICY FOR FIRST INSURER)**

**45.** NM1*IL*1*DOE*JANE*X***N*124456789~
**(PRIMARY INSURED FOR SECOND POLICY)**

**46.** N2*F~

**47.** N3*900 SOME STREET*APT 10N~

**48.** N4*ALBANY*NY*12207~

**49.** IT1**1*IP*0~
**(PRIMARY INSURED INFORMATION FOR SECOND POLICY.**
**NOTE: THIS LOOP MAY REPEAT IF REPORTING MULTIPLE POLICIES OR MULTIPLE**
**TRANSACTION TYPES FOR THE SAME PRIMARY INSURED)**

**50.** SI*ZZ*11*NBS~

**51.** REF*IG*124456789*8~

**52.** REF*DD**99B9937BC~

**53.** DTM*222*671010***19~

**54.** DTM*007*990809***19~

**55.** IT1*1*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:**
**FIRST ADDITIONAL INSURED FOR SECOND POLICY)**

**56.** DTM*222*630101***19~

**57.** NM1*OP*1*SMITH*JOHN*X***N*222222222~

**58.** N2*M~

**59.** IT1*2*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:**
**SECOND ADDITIONAL INSURED FOR SECOND POLICY)**

**60.** DTM*222*651012***19~

**61.** NM1*OP*1*SMITH*MARY*L***N*333333333~

**62.** N2*F~

**63.** HL*7*6*5~
**(START OF VEHICLE LEVEL LOOP:**
**FIRST VEHICLE FOR SECOND POLICY)**

**64.** LX*1~

**65.** VEH*1* NYDLR62MCD64TRA66*20*06*NA*NONE~

**66.** REF*LV*XYZ999~

**67.** HL*8*6*5~
**(START OF VEHICLE LEVEL LOOP:**
**SECOND VEHICLE FOR SECOND POLICY)**

**68.** LX*1~

**69.** VEH*2*NYDLR62MCD64TRA66*20*06*NA*NONE~

**70.** REF*LV*ZZZ123~

**71.** TDS*1~

**72.** CTT*2~

**73.** SE*71*0001~

**74.** GE*1*123456789~

**75.** IEA*1*000000009~

## D.8    Mandatory Verification (MVF)

The Mandatory Verification transaction details sample data for one interchange that contains two policies. Each policy contains one primary insured (NYS DMV registrant) and one vehicle.

**1.** ISA *00*        *00*        *30*NYMV   NYMVIE1*ZZ*999999999999999*990810*1045*U*00305* 123456789*1*T* 1F)~

**2.** GS*CI*14601320012228*999999999999999*990810*1045*123456789*X*003050~

**3.** ST*811*0001~

**4.** BIG*990810*1~

**5.** N1*2F*NYSDMV*F1*NYMV   NYMVIE1~

**6.** PER*AM*NYSDMV*TE*5184749691~

**7.** N1*IN*ABC INSURANCE COMPANY*NI*99999~

| | |
|---|---|
| | **SENDER'S INFORMATION** |
| | **RECEIVER'S INFORMATION** |

**8.** HL*1**1*1~
**(START OF INSURER LEVEL LOOP:
OUTERMOST LOOP)**

**9.** NM1*IN*2*ABC INSURANCE COMPANY****NI*12345~

**10.** N2*INCORPORATED~

**11.** IT1**1*IP*0~

**12.** DTM*368*990810***19~

**13.** HL*2*1*2*1~
**(START OF STATE LEVEL LOOP:
FIRST LOOP INSIDE INSURER LEVEL LOOP)**

**14.** NM1*2F*2*NYSDMV*****FI*NYMV   NYMVIE1~

**15.** HL*3*2*4*1~
**(START OF POLICY LEVEL LOOP:
FIRST POLICY OF INSURER LEVEL LOOP)**

**16.** NM1*IL*1*DOE*JOHN*X*DR*SR*N*123456789~
**(NYS DMV REGISTRANT)**

**17.** N2*M~

**18.** N3*10 MAIN STREET*SUITE200~

**19.** N4*ALBANY*NY*12207~

**20.** IT1**1*IP*0~
**(NYS DMV REGISTRANT INFORMATION FOR FIRST POLICY)**

**21.** SI*ZZ*11*MVF~

**22.** REF*XM*123456789~

**23.** DTM*222*671010***19~

**24.** DTM*007*990809***19~

**25.** HL*4*3*5~
**(START OF VEHICLE LEVEL LOOP:**
**FIRST VEHICLE FOR FIRST POLICY)**

**26.** LX*1~

**27.** VEH*1*120000000000000*19*98*ZZ*CHEVY***1223456789~

**28.** REF*LV*ABC123~

**29.** HL*6*2*4*1~
**(START OF POLICY LEVEL LOOP:**
**SECOND POLICY INSIDE INSURER LEVEL LOOP)**

**30.** NM1*IL*1*DOE*JANE*X***N*124456789~
**(NYS DMV REGISTRANT)**

**31.** N2*F~

**32.** N3*900 SOME STREET*APT 10N~

**33.** N4*ALBANY*NY*12207~

**34.** IT1**1*IP*0~
**(NYS DMV REGISTRANT INFORMATION FOR SECOND POLICY)**

**35.** SI*ZZ*11*MVF~

**36.** REF*IG*124456789*1~

**37.** DTM*222*671010***19~

**38.** DTM*007*990809***19~

**39.** HL*7*6*5~
**(START OF VEHICLE LEVEL LOOP:**
**FIRST VEHICLE FOR SECOND POLICY)**

**40.** LX*1~

**41.** VEH*1*130000000000000*19*98*ZZ*CHEV***1234446789~

**42.** REF*LV*XYZ999~

**43.** TDS*1~

**44.** CTT*2~

**45.** SE*43*0001~

**46.** GE*1*123456789~

**47.** IEA*1*000000001~

## D.9    EDI Error Transaction: Resolved

The Error Transaction: Resolved transaction details sample data for one interchange that contains two policies. Each policy contains one primary insured and one vehicle as reported by the insurer and one primary insured and one vehicle from the NYS DMV registrant record that most closely matched the information submitted by the insurer.

**1.** ISA*00*        *00*        *ZZ*NYMV   NYMVIE1*30*999999999999999*990810*1045*U*00305* 000000001*1*T*1F)~

**2.** GS*CI*14601320012228*999999999999999*990810*1045*123456789*X*003050~

**3.** ST*811*0001~

**4.** BIG*990810*1~

| |
|---|
| **5.** N1*2F*NYSDMV*F1*NYMV   NYMVIE1~ |
| **6.** PER*AM*NYSDMV*TE*5184749691~ |

**SENDER'S INFORMATION**

| |
|---|
| **7.** N1*IN*ABC INSURANCE COMPANY*INCORPORATED*NI*99999~ |

**RECEIVER'S INFORMATION**

**8.** HL*1**1*1~
**(START OF INSURER LEVEL LOOP:**
 **OUTERMOST LOOP)**

**9.** NM1*IN*2*ABC INSURANCE COMPANY*INCORPORATED****NI*12345~

**10.** N2*AND AFFILIATES*~

**11.** IT1**1*IP*0~

**12.** DTM*368*990810***19~

**13.** HL*2*1*2*1~
**(START OF STATE LEVEL LOOP:**
**FIRST LOOP INSIDE INSURER LEVEL LOOP)**

**14.** NM1*2F*2*NYSDMV*****FI*NYMV   NYMVIE1~

**15.** HL*3*2*4*1~
**(START OF POLICY LEVEL LOOP:**
 **FIRST POLICY OF INSURER LEVEL LOOP)**

**16.** NM1*IL*1*DOE*JOHN*X*DR*SR*N*123456789~
**(RESOLVED INSURED AS REPORTED BY INSURANCE COMPANY)**

**17.** N2*M~

**18.** N3*10 MAIN STREET*SUITE200~

**19.** N4*ALBANY*NY*12207~

**20.** IT1**1*IP*0~
**(PRIMARY INSURED INFORMATION AS REPORTED BY INSURANCE COMPANY)**

**21.** SI*ZZ*11*NBS~

**22.** REF*XM*123456789~

**23.** DTM*222*671010***19~

**24.** DTM*007*990809***19~

**25.** IT1*9*1*IP*0~

**26.** DTM*222*671010***19~

**27.** NM1*OP*1*DOE*JONATHAN*X*DR*SR*N*1234567892~
**(NYS DMV REGISTRANT INFORMATION USED TO RESOLVE FIRST POLICY)**

**28.** N2*M~

**29.** HL*4*3*5~
**(START OF VEHICLE LEVEL LOOP:
 VEHICLE INFORMATION AS REPORTED BY INSURANCE COMPANY)**

**30.** VEH*1*12345678900000000*19*98*ZZ*CHEVY~

**31.** REF*1Q*R500~
**(NYS DMV ERROR CODE:
 R500: MATCHED RECORD AFTER RESOLUTION)**

**32.** REF*LV*ABC123~

**33.** HL*5*3*5~
**(VEHICLE INFORMATION FROM NYS DMV REGISTRATION RECORD)**

**34.** LX*1~

**35.** VEH*1*12345678900000001*19*98*ZZ*CHEVY~

**36.** REF*1Q*R505~
**(NYS DMV ERROR CODE:**
 **R505: ASSOCIATED NYS DMV REGISTRANT INFORMATION)**

**37.** REF*LV*ABC123~

**38.** HL*6*2*4*1~
**(START OF POLICY LEVEL LOOP:**
 **SECOND POLICY INSIDE INSURER LEVEL LOOP)**

**39.** NM1*IL*1*DOE*JANE*X***N*124456789~
**(PRIMARY INSURED FOR SECOND POLICY AS REPORTED BY INSURANCE COMPANY)**

**40.** N2*F~

**41.** N3*900 SOME STREET*APT 10N~

**42.** N4*ALBANY*NY*12207~

**43.** IT1**1*IP*0~
**(PRIMARY INSURED INFORMATION FOR SECOND POLICY AS REPORTED BY**
**INSURANCE COMPANY)**

**44.** SI*ZZ*11*NBS~

**45.** REF*IG*124456789*1~

**46.** REF*DD**99B9937BC~

**47.** DTM*222*671010***19~

**48.** DTM*007*990809***19~

**49.** IT1*9*1*IP*0~

**50.** DTM*222*671010***19~

**51.** NM1*OP*1*DOE*JEAN*X***N*1244567892~
**(NYS DMV REGISTRANT INFORMATION USED TO RESOLVE SECOND POLICY)**

**52.** N2*F~

**53.** HL*7*6*5~
**(VEHICLE INFORMATION AS REPORTED BY INSURANCE COMPANY FOR SECOND**

 POLICY)

**54.** LX*1~

**55.** VEH*1*2345678900000000*19*98*ZZ*CHEVY~

**56.** REF*1Q*R500~
**(NYS DMV ERROR CODE:**
 **R500: MATCHED RECORD AFTER RESOLUTION)**

**57.** REF*LV*XYZ999~

**58.** HL*8*6*5~
**(START OF VEHICLE LEVEL LOOP:**
 **VEHICLE INFORMATION FROM NYS DMV REGISTRANT FILE FOR SECOND POLICY)**

**59.** LX*1~

**60.** VEH*1*2345678900000001*19*97*ZZ*FORD~

**61.** REF*1Q*R505
**(NYS DMV ERROR CODE:**
 **R505: ASSOCIATED NYS DMV REGISTRANT INFORMATION)**

**62.** REF*LV*XYZ999~

**63.** TDS*1~

**64.** CTT*2~

**65.** SE*63*0001~

**66.** GE*1*123456789~

**67.** IEA*1*000000001~

## D.10   EDI Error Transaction: Unresolved

The Error Transaction: Unresolved transaction details sample data for one interchange that contains one policy.  The policy contains one primary insured and one vehicle as reported by the insurer that could not be matched to any record by NYS DMV.  The insurer will need to 1) correct the registrant and/or vehicle information to resubmit the information in a separate transaction or 2) determine that the policy is not meant for a vehicle registered in New York State (i.e. the vehicle is registered in another state) and not resubmit the policy to NYS DMV.

**1.**  ISA*00*        *00*        *ZZ*NYMV   NYMVIE1*30*999999999999999*990810*1045*U*00305* 000000001*1*T*(Hex value 1F)~

2.   GS*CI*14601320012228*999999999999999*990810*1045*123456789*X*003050~

**3.**   ST*811*0001~

**4.**   BIG*990810*1~

**5.**   N1*2F*NYSDMV*FI*NYMV   NYMVIE1~

**6.**   PER*AM*NYSDMV*TE*5184749691~

**7.**   N1*IN*ABC INSURANCE COMPANY*NI*99999~

| | |
|---|---|
| **SENDER'S INFORMATION** | |
| **RECEIVER'S INFORMATION** | |

**8.**  HL*1**1*1~
**(START OF INSURER LEVEL LOOP:**
 **OUTERMOST LOOP)**

**9.**   NM1*IN*2*ABC INSURANCE COMPANY*INCORPORATED*NI****12345~

**10.** N2*AND AFFILIATES~

**11.** IT1**1*IP*0~

**12.** DTM*368*990810***19~

**13.** HL*2*1*2*1~

**14.** NMI*2F*2*NYSDMV*****FL*NYMV   NYMVIE1

**15.** HL*3*2*4*1~
**(START OF POLICY LEVEL LOOP:**
 **FIRST POLICY OF INSURER LEVEL LOOP)**

**16.** LX*1~

**17.** REF*1Q*E760~

**18.** NM1*IL*1*DOE*JANE*X***N*124456789~
**(PRIMARY INSURED AS REPORTED BY INSURANCE COMPANY)**

**19.** N2*F~

**20.** N3*900 SOME STREET*APT 10N~

**21.** N4*ALBANY*NY*12207~

**22.** IT1**1*IP*0~
**(PRIMAY INSURED INFORMATION AS REPORTED BY INSURANCE COMPANY)**

**23.** SI*ZZ*11*NBS~

**24.** REF*IG*124456789*1~

**25.** REF*DD**99B9937BC~

**26.** DTM*222*671010***19~

**27.** DTM*007*991309***19~

**28.** IT1*1*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:**
 **FIRST ADDITIONAL INSURED FOR FIRST POLICY AS REPORTED BY INSURANCE**
**COMPANY)**

**29.** DTM*222*630101***19~

**30.** NM1*OP*1*SMITH*JOHN*X***N*222222222~

**31.** N2*M~

**32.** IT1*2*1*1P*0~
**(START OF ADDITIONAL INSURED LOOP:**
 **SECOND ADDITIONAL INSURED FOR FIRST POLICY AS REPORTED BY INSURANCE**
**COMPANY)**

**33.** DTM*222*651012***19~

**34.** NM1*OP*1*SMITH*MARY*L***N*333333333~

**35.** HL*4*3*5~
**(START OF VEHICLE LEVEL LOOP:**
 **FIRST VEHICLE FOR FIRST POLICY AS REPORTED BY INSURANCE COMPANY)**

**36.** LX*1~

**37.** VEH*2*160000000000000*19*97*ZZ*FORD***1234566789~

**38.** REF*1Q*U500~
**(NYS DMV UNRESOLVED ERROR CODE RELEVANT TO VEHICLE IN PREVIOUS VEHICLE
SEGMENT:  U500 UNRESOLVED RECORD- NO VIN or NAME HIT)**

**39.** REF*LV*ZZZ123~

**40.** TDS*1~

**41.** CTT*2~

**42.** SE*38*0001~

**43.** GE*1*123456789~

**44.** IEA*1*000000001~

## D.11   EDI Error Transaction: Multiple Resolved and Unresolved for the same policy

The Error Transaction: Multiple Resolved and Unresolved for the same policy details sample data for one interchange that contains two policies returned to an insurer.  The first policy contains one resolved vehicle and one unresolved vehicle.  The second policy contains an unresolved vehicle with a VIN hit and the associated NYS DMV registrant information for that vehicle.

**1.** ISA*00*         *00*        *ZZ*NYMV   NYMVIE1*30*999999999999999* 990810*1045*U*00305*000000001*1*T*(Hex value 1F)~
   **(INTERCHANGE CONTROL HEADER)**

**2.** GS*CI*14601320012228*999999999999999*990810*1045*123456789*X* 003050~
**(FUNCTIONAL GROUP HEADER)**

**3.** ST*811*0001~
**(TRANSACTION SET HEADER)**

**4.** BIG*990810*1~

| |
|---|
| **5.** N1*2F*NYSDMV*FI*NYMV   NYMVIE1~ |
| **6.** PER*AM*NYSDMV*TE*5184749691~ |
| **7.** N1*IN*ABC INSURANCE COMPANY*NI*99999~ |

**SENDER'S INFORMATION**

**RECEIVER'S INFORMATION**

**8.** HL*1**1*1~
**(START OF INSURER LEVEL LOOP:**
 **FIRST INSURER REPORTED BY INSURANCE COMPANY)**

**9.** NM1*IN*2*ABC INSURANCE COMPANY*INCORPORATED*NI****12345~

**10.** N2*AND AFFILIATES~

**11.** IT1**1*IP*0~

**12.** DTM*368*990810***19~

**13.** HL*2*1*2*1~
**(START OF STATE LEVEL LOOP)**

**14.** NM1*2F*2*NYSDMV*****FL*NYMV   NYMVIE1~

**15.** HL*3*2*4*1~
**(START OF POLICY LEVEL LOOP:**

 **FIRST POLICY OF INSURER LEVEL LOOP)**

**16.** LX*1~

**17.** REF*1Q*E760~

**18.** NM1*IL*1*DOE*JANE*X***N*124456789~
**(PRIMARY INSURED FOR FIRST POLICY)**

**19.** N2*F~

**20.** N3*900 SOME STREET*APT 10N~

**21.** N4*ALBANY*NY*12207~

**22.** IT1**1*IP*0~
**(PRIMARY INSURED INFORMATION AS REPORTED BY INSURANCE COMPANY FOR
   FIRST POLICY)**

**23.** SI*ZZ*11*NBS~

**24.** REF*IG*124456789*1~

**25.** REF*DD**99B9937BC~

**26.** DTM*222*671010***19~

**27.** DTM*007*991309***19~

**28.** IT1*1*1*IP*0~
   **(START OF ADDITIONAL INSURED LOOP:
    FIRST ADDITIONAL INSURED FOR FIRST POLICY AS REPORTED BY
   INSURANCE COMPANY)**

**29.** DTM*222*630101***19~

**30.** NM1*OP*1*SMITH*JOHN*X***N*222222222~

**31.** N2*M~

**32.** IT1*2*1*1P*0~
   **(START OF ADDITIONAL INSURED LOOP:
    SECOND ADDITIONAL INSURED FOR FIRST POLICY AS REPORTED BY
   INSURANCE COMPANY)**

**33.** DTM*222*651012***19~

**34.** NM1*OP*1*SMITH*MARY*L***N*333333333~

**35.** N2*F~

**36.** IT1*9*1*1P*0~
**(START OF ADDITIONAL INSURED LOOP:
REGISTRATION INFORMATION FROM NYS DMV RECORDS FOR RESOLVED
VEHICLE)**

**37.** DTM*222*630113***19~

**38.** NM1*OP*1*SMITH*WILLIAM****N*223333333~

**39.** N2*M~

**40.** HL*4*3*5~
**(START OF VEHICLE LEVEL LOOP:
FIRST VEHICLE WITH ERRORS FOR FIRST POLICY AS REPORTED BY INSURANCE
   COMPANY)**

**41.** LX*1~

**42.** VEH*1*160000000000000*19*97*ZZ*FORD***1234566789~

**43.** REF*1Q*U500~
**(NYS DMV UNRESOLVED ERROR CODE:
U500: UNRESOLVED RECORD- NO VIN or NAME HIT)**

**44.** HL*5*3*5~
**(SECOND VEHICLE WITH ERRORS AS REPORTED BY INSURANCE COMPANY FOR FIRST
   POLICY)**

**45.** LX*1~

**46.** VEH*1*2345678900000000*19*98*ZZ*CHEVY~

**47.** REF*1Q*R500~
   **(NYS DMV ERROR CODE:
   R500: MATCHED RECORD AFTER RESOLUTION)**

**48.** REF*LV*XYZ999~

**49.** HL*6*3*5~

**(START OF VEHICLE LEVEL LOOP:**
**VEHICLE INFORMATION FROM NYS DMV REGISTRANT FILE FOR FIRST POLICY FOR**
    **SECOND VEHICLE WITH ERRORS)**

**50.** LX*1~

**51.** VEH*1*2345678900000001*19*98*ZZ*CHEVY~

**52.** REF*1Q*R505~
**(NYS DMV ERROR CODE:**
**R505: ASSOCIATED NYS DMV REGISTRANT INFORMATION)**

**53.** HL*7*2*4*1~
**(START OF POLICY LEVEL LOOP:**
**SECOND POLICY OF INSURER LEVEL LOOP)**

**54.** LX*1~

**55.** REF*1Q*E760~

**56.** NM1*IL*1*DOE*JANE*X***N*124456789~
    **(PRIMARY INSURED AS REPORTED BY INSURANCE COMPANY FOR SECOND POLICY)**

**57.** N2*F~

**58.** N3*900 SOME STREET*APT 10N~

**59.** N4*ALBANY*NY*12207~

**60.** IT1**1*IP*0~
**(PRIMARY INSURED INFORMATION AS REPORTED BY INSURANCE COMPANY FOR**
    **SECOND POLICY)**

**61.** SI*ZZ*11*NBS~

**62.** REF*IG*124456789*1~

**63.** REF*DD**99B9937BC~

**64.** DTM*222*671010***19~

**65.** DTM*007*991309***19~

**66.** IT1*1*1*IP*0~
    **(START OF ADDITIONAL INSURED LOOP:**

**FIRST ADDITIONAL INSURED FOR SECOND POLICY AS REPORTED BY INSURANCE COMPANY)**

**67.** DTM*222*630101***19~

**68.** NM1*OP*1*SMITH*JOHN*X***N*222222222~

**69.** N2*M~

**70.** IT1*2*1*1P*0~
**(START OF ADDITIONAL INSURED LOOP:
SECOND ADDITIONAL INSURED FOR SECOND POLICY AS REPORTED BY INSURANCE
COMPANY)**

**71.** DTM*222*651012***19~

**72.** NM1*OP*1*SMITH*MARY*L***N*333333333~

**73.** IT1*9*1*1P*0~
**(START OF ADDITIONAL INSURED LOOP:
REGISTRATION INFORMATION FROM NYS DMV RECORDS FOR UNRESOLVED- VIN
HIT VEHICLE)**

**74.** DTM*222*671012***19~

**75.** NM1*OP*1*SMITH*MARY*J***N*333333333~

**76.** HL*8*7*5~
**(FIRST VEHICLE WITH ERRORS AS REPORTED BY INSURANCE COMPANY FOR SECOND
POLICY)**

**77.** LX*1~

**78.** VEH*2*999990000000000*19*89*ZZ*TOYOT***9999566789~

**79.** REF*1Q*U600~
**(NYS DMV UNRESOLVED ERROR CODE RELEVANT TO VEHICLE IN PREVIOUS VEHICLE
SEGMENT:
U600: UNMATCHED RECORD- VIN HIT)**

**80.** HL*9*7*5~
**(START OF VEHICLE LEVEL LOOP:
VEHICLE INFORMATION FROM NYS DMV REGISTRANT FILE FOR SECOND POLICY FOR
FIRST VEHICLE WITH ERRORS)**

**81.** LX*1~

**82.** VEH*2*999990000000000*19*89*ZZ*TOYOT***9999566789~

**83.** REF*1Q*U605~
**(NYS DMV UNRESOLVED ERROR CODE RELEVANT TO VEHICLE IN PREVIOUS VEHICLE
    SEGMENT:**
**U605: ASSOCIATED NYS DMV REGISTRANT INFORMATION)**

**84.** REF*LV*ZZZ123~

**85.** LX*1~

**86.** TDS*1~

**87.** CTT*2~

**88.** SE*86*0001~
**(TRANSACTION SET TRAILER)**

**89.** GE*1*123456789~
**(FUNCTIONAL GROUP TRAILER)**

**90.** IEA*1*000000001~
    **(INTERCHANGE CONTROL TRAILER)**

*end of document*