

Key Information Memorandum & Application Form

NFO Opens on: 05 March, 2014 TATA FIXED MATURITY PLAN SERIES - 46 SCHEME S (368 DAYS MATURITY) NFO Closes on: 12 March, 2014 (A close ended debt scheme.) This product is suitable for investors who are seeking*: • Fixed income/capital appreciation on maturity. • Investment in Debt & Money Market instruments • The schemes are classified as Low Risk (BLUE). Investors understand that their principal will be at low risk *Investors should consult their financial advisors if in doubt about whether the products are suitable for them. Note: Risk may be represented as: (BROWN) investors understand that their principal (YELLOW) investors understand that their principal (BLUE) investors understand that their principal will be at high risk will be at medium risk will be at low risk DISTRIBUTOR INFORMATION (Only empanelled Distributors / Brokers will be permitted to distribute Units of Tata Mutual Fund) refer instruction A16 & M BROKER / AGENT CODE SUB-BROKER / BANK BRANCH CODE SUB-BROKER ARN CODE **EUIN CODE** Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor & the distributor has not charged any advisory fees on this transaction. TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS ONLY (Refer Inst. A15 and please tick (🗸) any one) I confirm that I am a First time investor across Mutual Funds. I confirm that I am an existing investor in Mutual Funds. (Rs. 150 deductible as Transaction Charge and payable to the Distributor) (Rs. 100 deductible as Transaction Charge and payable to the Distributor) In case the purchase / subscription amount is Rs. 10,000 or more and your Distributor has opted to receive transaction charges, the same are deductible as applicable from the purchase / subscription amount and payable to the Distributor. Units will be issued against the balance amount invested (Refer Instruction A15). 2. Existing Unitholder information, please fill in your Folio Number, Name & proceed to Scheme Investment Details. Existing Folio Number: Name of Sole/1st Applicant: 3. DEMAT ACCOUNT DETAILS: (Please ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant). In case Unit holders do not provide their Demat Account details, Units will be allotted in physical form. (Refer Instruction H) Depository participant Name Depository participant Name National Central Securities Depository Securities Ν Depository DPID No. 1 Target Limited Beneficiary Account No. Limited ID No. 4. MODE OF HOLDING OCCUPATION Professional Service Business Retired Single 1st Holder Resident Indian NRI PIO HUF Student Housewife Civil Servant Politician Minor RI Minor NRI Proprietorship LLP Partnership □ Joint (Default) Trust Public Ltd. Co. Pvt. Ltd. Co. Societies Anyone or Survivor(s) Forex Dealer Others Political Party Official Body Corporate Others Current/Former MP/MLA/MLC/Head of State 2nd Holder RI NRI 3rd Holder RI NRI Senior Executive of State owned corporation 5. APPLICANT'S PERSONAL DETAILS (Fill in Block Letters, use one box for one alphabet leaving one box blank between two words, as it appears in your Bank A/c & KYC letter) Ms Name of First / Sole applicant Mr. 1st holder PAN M Date of Birth KYC Copy attached Proof of DOB (Mandatory for minor) Birth Certificate School Leaving Certificate Passport Name of Guardian (In case of Minor) Contact Person/Designation (In case of non-individual Investors) Ms. Mr Guardian's PAN KYC Copy attached Proof of relationship with minor (Mandatory) Mother / Father / Legal Guardian Birth Certificate School Leaving Certificate Passport Other Name of Second applicant Mr Ms 2nd holder PAN KYC Copy attached Name of Third applicant Mr. Ms 3rd holder PAN KYC Copy attached Applicant (P.O. Box Address may not be sufficient. Please provide your complete Address) City Pin State Country Contact Details (REQUIRED) Phone O R (STD Code) Mobile e-mail -> (IN CAPITAL) [If you wish to receive Account Statement / Annual Report / Other Statutory Information via Post instead of Email 🔲 (Refer Inst. - D9)]. ACKNOWLEDGEMENT SLIP (TO BE FILLED BY THE INVESTOR) Received from Mr. / Ms. / M/s. TATA FIXED MATURITY PLAN SERIES 46 SCHEME S

an application for Units of Plan Option Sub-option alongwith Cheque / DD No. Dated Drawn on (Bank) Amount (`)

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