

#### **Prepared for:**

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Version 4.3a is a maintenance release of Version 4.3. The differences between the two versions are:

- Version 4.3a includes Version 29 of the Limited License edition of the 3M<sup>TM</sup> APR-DRG Grouper. This grouper corrects an issue found in Version 28 of the Limited License edition of the 3M<sup>TM</sup> APR-DRG Grouper. The grouper is only used with the Inpatient Quality Indicator (IQI) mortality measures. Version 4.3 includes Version 28 of the Limited License edition of the 3M<sup>TM</sup> APR-DRG Grouper, which was incorrectly assigning a Risk of Mortality (ROM) subclass for cases dated on or after 10/1/10.
- Version 4.3a allows users to calculate area-level indicators for years 2010 and 2011, correcting an issue previously identified in Version 4.3.

All other aspects of the software, including measure specifications, remain the same. Thus this document (related to Version 4.3) remains unchanged.

#### AHRQ QI, Patient Safety Indicators (PSI), Log of Revisions to PSI Documentation and Software www.ahrq.qualityindicators.gov

The following table summarizes all of the revisions made to the Patient Safety Indicators (PSI) documentation and software since the original release of the Version 2.1 software and documents in March 2003. The table lists the revision number, the date the revision was made, the component affected by the change and a short summary of the changes that were made. For convenience and ease of use, the changes are listed in reverse chronological order with the most recent changes appearing first in the table. This log of revisions is current as of the date noted above.

| Version/<br>Revision<br>number | Date              | Component  | Changes  |
|--------------------------------|-------------------|--|--|
| V4.3                           | April 29,<br>2010 | Software (SAS<br>and WinQI) and<br>Documentation | Updated ICD-9-CM codes for FY2011 and made specification code changes (see FY2011 Coding and Specification Changes)  |
| V4.3                           | April 29,<br>2010 | Software (SAS<br>and WinQI) and<br>Documentation | PSI #2: Removed numerator inclusion for Low<br>Mortality Diagnosis Related Group (DRG121 &<br>122, MS-DRG 280, 281, 282). Added denominator<br>exclusiosn for missing discharge disposition or<br>transfer to acute care facility. |
| V4.3                           | April 29,<br>2010 | Software (SAS<br>and WinQI) and<br>Documentation | PSI #3: Modified denominator exclusion for<br>diagnosis of stage I and II pressure ulcers to<br>capture potential diagnosis of stage III or IV<br>ulcers.  |
| V4.3                           | April 29,<br>2010 | Software (SAS<br>and WinQI) and<br>Documentation | PSI #4: Removed numerator inclusion for diagnosis of deep vein thrombosis (451.9, 453.8, 453.9). Added denominator exclusiosn for lung cancer procedures (32.39, 32.49, 32.59).  |
| V4.3                           | April 29,<br>2010 | Software (SAS<br>and WinQI) and<br>Documentation | PSI #6 and 22: Added denominator exclusiosn for<br>thoracic procedures (43.5, 43.99, 44.67).<br>Removed denominator exclusion for thoracic<br>procedures (33.26, 33.28, 34.24, 77.81, 77.91)                                       |
| V4.3                           | April 29,<br>2010 | Software (SAS<br>and WinQI) and<br>Documentation | PSI #11: Added denominator exclusion for<br>esophageal resection procedures (MDC 4), lung<br>cancer procedure (32.39, 32.49, 32.59), ENT/neck<br>procedures (CCS 33), degenerative neurological<br>disorder (CCS 653)              |
| V4.3                           | April 29,<br>2010 | Software (SAS<br>and WinQI) and<br>Documentation | PSI #12: Removed numerator inclusion for diagnosis of deep vein thrombosis (451.9, 453.8, 453.9)   |
| V4.3                           | June 30,<br>2011  | Software (SAS<br>and WinQI) and<br>Documentation | Surgical DRG: Added numerator inclusion codes 014 and 015 which were previously assigned to 009.   |

| Version/<br>Revision<br>number | Date                  | Component   | Changes   |
|--------------------------------|-----------------------|---|---|
| V4.3                           | June 30,<br>2011      | Guide   | Revised and updated all sections of the guide document to reflect current state of indicators, software and body of evidence.   |
| V4.2                           | September<br>30, 2010 | Software (SAS<br>and WinQI) and<br>Documentation      | Updated ICD-9-CM and DRG codes for FY2010 (see FY2010 Coding updates document).   |
| V4.1a                          | July 2, 2010          | Software (SAS<br>only) and<br>Documentation           | PSI #08 – Removed the following diagnosis codes from the POISONING exclusion set: 96561-POIS-PROPRIONIC ACID DERV and 96569-POISON-ANTHREUMATIC NEC.  |
| V4.1                           | December<br>2, 2009   | Documentation   | PSI #17 – Added NOTE to documentation<br>advising that this indicator is calculated by the PDI<br>SAS module because it is based on pediatric<br>discharges.  |
| V4.0                           | June 30,<br>2009      | Software (SAS<br>and Windows)<br>and<br>Documentation | PSI #1 – Complications of Anesthesia – removed<br>this indicator from the Patient Safety Indicators<br>(see the AHRQ QI Comparative Reporting Guide<br>for more information). Results for this indicator<br>(based on V 3.2 specifications) can be viewed in<br>Windows application under the Experimental tab. |
| V4.0                           | June 30,<br>2009      | Software (SAS<br>and Windows)<br>and<br>Documentation | PSI #3 – Pressure Ulcer (formerly Decubitus<br>Ulcer) – added diagnosis code to denominator<br>exclusion for hemi- and paraplegia (334.1<br>hereditary spastic paraplegia)  |
| V4.0                           | June 30,<br>2009      | Software (SAS<br>and Windows)<br>and<br>Documentation | PSI #6 and #22 – latrogenic Pneumothorax –<br>1) replaced the DRG denominator exclusion for<br>cardiac surgery with procedure code denominator<br>exclusion for cardiac procedures; 2) added<br>procedure codes to denominator exclusion for<br>thoracic procedures   |
| V4.0                           | June 30,<br>2009      | Software (SAS<br>and Windows)<br>and<br>Documentation | PSI #7 and #21 – Central Venous Catheter-<br>Related Bloodstream Infection – 1) renamed the<br>indicator from "Selected infections due to medical<br>care"; 2) applied ICD-9-CM codes 996.62 and<br>999.3 Version 24 or before and 999.31 Version 25<br>and after   |

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|--------------------------------|------------------|---|---|
| V4.0                           | June 30,<br>2009 | Software (SAS<br>and Windows)<br>and<br>Documentation | PSI #8 – Postoperative hip fracture – 1) added<br>procedure codes to the denominator exclusion for<br>hip fracture repair; 2) added diagnosis codes to<br>denominator exclusion for stroke; 3) added<br>diagnosis codes to denominator exclusion for<br>coma; 4) removed diagnosis codes from<br>denominator exclusion for poisonings<br>5) removed diagnosis codes from denominator<br>exclusion for delirium and other psychoses            |
| V4.0                           | June 30,<br>2009 | Software (SAS<br>and Windows)<br>and<br>Documentation | PSI #10 – Postoperative Physiologic and<br>Metabolic Derangements – replaced the DRG<br>denominator exclusion for cardiac arrhythmia with<br>diagnosis code denominator exclusion for cardiac<br>arrhythmia; 2) added diagnosis codes to<br>denominator exclusion for chronic kidney disease;<br>3) added diagnosis codes to denominator<br>exclusion for hemorrhage  |
| V4.0                           | June 30,<br>2009 | Software (SAS<br>and Windows)<br>and<br>Documentation | PSI #11 – Postoperative respiratory failure –<br>1) added denominator exclusion for craniofacial<br>anomalies with 1) a procedure code for laryngeal<br>or pharyngeal surgery or 2) a procedure on face<br>and a diagnosis code of craniofacial abnormalities   |
| V4.0                           | June 30,<br>2009 | Software (SAS<br>and Windows)<br>and<br>Documentation | PSI #13 – Postoperative sepsis – 1) remove<br>diagnosis code (785.59 Shock without mention of<br>trauma, other) from numerator inclusion for sepsis<br>for discharges after 2004Q4 (effective October 1,<br>2004)   |
| V4.0                           | June 30,<br>2009 | Software (SAS<br>and Windows)<br>and<br>Documentation | Multiple – Infection – 1) removed diagnosis codes<br>for non-bacterial infections from denominator<br>exclusion for infection; 2) added diagnosis code to<br>denominator exclusion for infection (078.3 CAT-<br>SCRATCH DISEASE)  |
| V4.0                           | June 30,<br>2009 | Software (SAS<br>and Windows)<br>and<br>Documentation | PSI #17 – Birth Trauma – 1) added code to<br>numerator (7675 FACIAL NERVE INJ-BIRTH);<br>2) dropped code from numerator (7679 BIRTH<br>TRAUMA NOS); 3) Added exclusion for any<br>diagnosis of birth weight less than 2000g;<br>4) Added exclusion for any diagnosis of Injury to<br>Brachial Plexus (7676 BRACH PLEXUS INJ-<br>BIRTH); 5) Added exclusion for any diagnosis of<br>Osteogenesis Imperfecta (75651<br>OSTEOGENESIS IMPERFECTA) |

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|--------------------------------|------------------|---|--|
| V4.0                           | June 30,<br>2009 | Software (SAS<br>and Windows)<br>and<br>Documentation | PSI #18 – OB Trauma – with Instrumentation –<br>1) removed procedure code inclusion criteria; 2)<br>Included both CMS-DRG and MS-DRG inclusion<br>criteria   |
| V4.0                           | June 30,<br>2009 | Software (SAS<br>and Windows)<br>and<br>Documentation | PSI #19 – OB Trauma – without Instrumentation –<br>1) removed procedure code inclusion criteria; 2)<br>Included both CMS-DRG and MS-DRG inclusion<br>criteria  |
| V4.0                           | June 30,<br>2009 | Software (SAS<br>and Windows)<br>and<br>Documentation | PSI #20 – OB Trauma – removed this indicator<br>from the Patient Safety Indicators (See the AHRQ<br>QI Comparative Reporting Guide for more<br>information) Results for this indicator (based on V<br>3.2 specifications) can be viewed in Windows<br>application under the Experimental tab.                                      |
| V4.0                           | June 30,<br>2009 | Software (SAS<br>and Windows)<br>and<br>Documentation | Multiple – Major Operating Room Procedures –<br>removed selected procedure codes from the<br>denominator inclusion for major operating room<br>procedures  |
| V4.0                           | June 30,<br>2009 | Software (SAS<br>and Windows)<br>and<br>Documentation | Medical DRGs – For discharges after 2007Q4<br>(effective October 1, 2007), replaced the DRG<br>denominator inclusion for medical discharges with<br>the MS-DRG denominator inclusion for medical<br>discharges.  |
| V4.0                           | June 30,<br>2009 | Software (SAS<br>and Windows)<br>and<br>Documentation | Surgical DRGs – For discharges after 2007Q4<br>(effective October 1, 2007).replaced the DRG<br>denominator inclusion for surgical discharges with<br>the MS-DRG denominator inclusion for surgical<br>discharges   |
| V4.0                           | June 30,<br>2009 | Software (SAS<br>and Windows)<br>and<br>Documentation | Multiple Indicators – removed DRG denominator exclusions for cancer, trauma, infection and immunocompromised state   |
| V4.0                           | June 30,<br>2009 | Software (SAS<br>and Windows)<br>and<br>Documentation | Multiple Indicators – Present on Admission<br>methodology change. POA data element is<br>required. For users without POA data, the model<br>will incorporate the likelihood that the numerator<br>event or the co-morbidity was present on<br>admission. For users with POA data, the model<br>will be based on that data element. |

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|--------------------------------|-------------------|--|---|
| V4.0                           | June<br>30,2009   | Software (SAS<br>and Windows)<br>and<br>Documentation                                | Implement UB-04 – The UB-04 (effective<br>October 1, 2007) changes were implemented<br>including new data elements for point-of-origin and<br>present on admission  |
| V4.0                           | June<br>30,2009   | Software (SAS<br>and Windows)<br>and<br>Documentation                                | Update Benchmarking Data to 2007 – used data from the 2007 SID for computation of benchmarks  |
| V 3.2                          | March 10,<br>2008 | Software (SAS<br>and Windows)<br>and<br>Documentation                                | <ol> <li>PSI #15 (Accidental puncture or laceration) –<br/>Added an exclusion for discharges with an<br/>ICD-9-CM procedure code for spine surgery</li> <li>PSI #4 (Death among Surgical Inpatients with<br/>Serious Treatable Complications) – Revised<br/>the denominator to surgical patients, removed<br/>Acute Renal Failure from the definition and<br/>revised the inclusion and exclusion criteria</li> <li>PSI #16 (Transfusion Reaction) – Revised the<br/>indicator from a rate to a count</li> <li>PSI #5 (Foreign Body left in During<br/>Procedure) – Revised the indicator from a<br/>rate to a count and to require the POA flag</li> </ol> |
| V 3.1a                         | March 16,<br>2007 | SAS Software<br>and<br>Documentation   | Added program to calculate the PSI composite measure. The new files are PSI_COMPOSITE.SAS and MSXPSC31.TXT.   |
| V 3.1a                         | March 16,<br>2007 | SAS Software   | <ol> <li>Amended the aggregation algorithm to<br/>correctly sum the numerator and denominator<br/>counts across stratifiers. (PSSASA2).</li> <li>Amended the expected rate calculation to<br/>correctly assign the modified DRG to the<br/>intercept term. (PSFMTS, PSSASP3)</li> </ol>   |
| V 3.1                          | March 12,<br>2007 | Software,<br>Software<br>Documentation,<br>Guide, and<br>Technical<br>Specifications | <ol> <li>Implemented changes associated with ICD-9-<br/>CM coding updates for Fiscal Year (FY) 2007<br/>(effective 10-1-2006). See separate<br/>documentation on ICD-9 coding updates for<br/>specific details.<sup>1</sup></li> <li>The years for which the ICD-9-CM and DRG<br/>codes defining PSIs are valid was amended to<br/>be through FY 2007 instead of FY 2006, that<br/>is, the codes in the software are effective<br/>through September 30, 2007.</li> </ol>   |

<sup>&</sup>lt;sup>1</sup> *Fiscal year 2007 coding changes, February 2007*, available at <u>http://www.qualityindicators.ahrq.gov/psi\_download.htm</u>

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| V 3.1                          | March 12,<br>2007 | Software (SAS<br>and Windows)<br>and<br>Documentation                                     | <ol> <li>The FY2007 release of the PSI includes an option to incorporate the Present on Admission indicator into the specifications.</li> <li>Added Data Elements DXATADMIT1 – DXATADMIT30 to indicate whether the corresponding diagnosis (i.e., DX1 – DX30) was Present on Admission.</li> <li>Added an option for using weighted data (i.e., discharge weights like those used in the National Inpatient Sample).</li> <li>Added data element DISCWT to hold weight to assign to discharge.</li> </ol>   |
| V 3.1                          | March 12,<br>2007 | Software (SAS<br>and Windows),<br>Software<br>Documentation<br>and Covariates<br>document | <ol> <li>Updated the coefficients used in the<br/>calculation of expected and risk-adjusted rates<br/>to the 2002-2004 reference population.</li> <li>Based on recommendations of the Risk<br/>Adjustment and Hierarchical Modeling<br/>(RAHM) Workgroup, computed covariates<br/>using logistic regression model with a hospital<br/>random-effect instead of the existing simple<br/>logistic model. Because the AHRQ QI use a<br/>large sample, the impact on the covariates of<br/>using the hierarchical model (and hence the<br/>impact on the risk-adjusted rates) is minor.</li> <li>Updated Covariates document to include the<br/>POA coefficients.</li> </ol>  |
| V 3.1                          | March 12,<br>2007 | Software (SAS<br>and Windows)   | <ol> <li>Age-, race-, gender- and county-specific<br/>population estimates used for AHRQ QI area<br/>rates were updated to use revised post-censal<br/>estimates for years 2001 through 2005 and<br/>projections for the years 2006 and 2007.</li> <li>The AHRQ co-morbidity software was<br/>incorporated unchanged, except for minor<br/>syntax changes to conform to our variable<br/>names. Also, one obsolete ICD-9-CM code,<br/>"347 ", was added to insure backwards<br/>compatibility to 2002 for the NEURO co-<br/>morbidity.</li> <li>The syntax will compute risk-adjusted rates<br/>and observed-to-expected ratios for the pre-<br/>defined set of stratification variables (e.g.,<br/>age, gender, payer, race). When stratifications<br/>other than hospital or area are selected, the<br/>RPPSxx variables and their confidence<br/>intervals are observed/expected ratios to<br/>avoid confounding with risk adjustment<br/>variables</li> </ol> |

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| V 3.1                          | March 12,<br>2007 | Software (SAS<br>and Windows)<br>and Technical<br>Specifications | <ol> <li>Revised inclusion criteria for Death in Low<br/>Mortality DRGs (PSI #2) based on analysis of<br/>2002-2004 data. Deleted DRG 061 and added<br/>codes 353, 375, 425, 497, 498, and 518.</li> <li>Revised numerator inclusion criteria for<br/>Postoperative Hemorrhage and Hematoma<br/>(PSI #9) to require a diagnosis of hemorrhage<br/>or hematoma and a procedure for control of<br/>hemorrhage or drainage of hematoma.</li> <li>Impact: The rate increases by about 25%.</li> </ol>   |
| V 3.1                          | March 12,<br>2007 | SAS Software<br>and<br>Documentation                             | <ol> <li>Added flag &amp;POAFG to CONTROL_PSI.SAS<br/>to indicate whether Present on Admission data<br/>is available.</li> <li>Added alternative POA parameter files of risk-<br/>adjustment covariates and population rates<br/>using 2002-2004 SID data from California and<br/>New York.</li> <li>Co-morbidity software that was developed and<br/>is maintained by AHRQ has been integrated<br/>into PSI syntax, relieving users of the need to<br/>run this software prior to PSSAS1.SAS and<br/>then to match the results to their input<br/>discharge data.</li> </ol> |
| V 3.0a                         | May 1, 2006       | Technical<br>Specifications                                      | <ol> <li>Edited denominator specification of PSI #2 to<br/>reflect SAS software (Windows and SPSS<br/>updated).</li> <li>Corrected denominator specification of PSI #4<br/>(Sepsis).</li> <li>Edited denominator specification of PSI #6,<br/>PSI #15, and PSI #17 for clarity.</li> <li>Edited exclusion specification of PSI #10 to<br/>reflect SAS software (Windows and SPSS<br/>updated).</li> <li>Edited immunocompromised state<br/>specification (multiple indicators) to reflect<br/>SAS software (Windows and SPSS updated).</li> </ol>                             |

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|--------------------------------|----------------------|--|---|
| V 3.0a                         | May 1, 2006          | SAS Software<br>and<br>Documentation   | <ol> <li>CONTROL_PSI.SAS – Renamed %COMOB<br/>to %COMOBFG.</li> <li>PSSAS1.SAS – SAS – Renamed %COMOB<br/>to %COMOBFG.</li> <li>PSASA2.SAS – Fixed CSV column header for<br/>OAPS27.</li> <li>PSSASP3.SAS – Changed age parameter for<br/>PSI #17 from 2 to 7 to prevent run error when<br/>age is invalid (note: users should verify cases<br/>in denominator have age = 0).</li> <li>Added SAS syntax file to merge user data file<br/>with file created using updated AHRQ<br/>comorbidities software.</li> </ol>  |
| V 3.0a                         | May 1, 2006          | Guide  | Edited denominator specification of PSIs #6, 13-<br>15, and 17 for clarity.   |
| V 3.0a                         | May 1, 2006          | All documents  | Edited PDF files to make URLs in header or footer clickable links.  |
| V 3.0                          | February<br>20, 2006 | Software,<br>Software<br>Documentation,<br>Guide, and<br>Technical<br>Specifications | <ol> <li>Implemented changes associated with ICD-9-<br/>CM coding updates for Fiscal Year (FY) 2006<br/>(effective 10-1-2005). See separate<br/>documentation on ICD-9 coding updates for<br/>specific details.</li> <li>The years for which the ICD-9-CM and DRG<br/>codes defining PSIs are valid was amended to<br/>be through FY 2006 instead of FY 2005, that<br/>is, the codes in the software are effective<br/>through September 30, 2006.</li> <li>Dropped PSIs #27-29 and revised PSIs #18-<br/>20 to limit OB Trauma numerator definitions to<br/>3<sup>rd</sup> and 4<sup>th</sup> degree lacerations.</li> <li>Added area-level version of Postoperative<br/>Hemorrhage or Hematoma as PSI #27.</li> </ol> |
| V 3.0                          | February<br>20, 2006 | Software, Guide,<br>and Technical<br>Specifications                                  | <ol> <li>Revised multiple indicators to exclude<br/>pediatric populations (age less than 18).<br/>Specifically, PSIs #3-4, 6, 8-15, 22, 25, and<br/>27.</li> <li>Revised multiple indicators to exclude<br/>pediatric populations (age less than 18) unless<br/>MDC 14. Specifically, PSIs #1-2, 5, 7, 16, 21,<br/>and 23-24.</li> </ol>  |

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| V 3.0                          | February<br>20, 2006 | Software, Guide,<br>and Technical<br>Specifications | <ol> <li>Modified denominator for PSI #3 (decubitus ulcer) to exclude transfers from acute care facility, spina bifida or anoxic brain damage diagnosis, and debridement or pedicle graft procedures.</li> <li>Modified criteria for PSI #6 and PSI #22 (iatrogenic pneumothorax) to drop exclusion for trauma and add exclusions for chest trauma, diaphragmatic surgery repair, and pleural effusion.</li> <li>Modified criteria for PSI #7 (selected infections due to medical care) and PSI #14 (postop wound dehiscence) to exclude length of stay less than 2 days.</li> <li>Modified criteria for PSI #8 (postop hip fracture) to exclude hip fracture repair that occurs before or on the same day as the major operating room procedure code.</li> <li>Modified criteria for PSI #10 (postop physiological and metabolic derangement) to exclude principal diagnosis of chronic renal failure in cases of acute renal failure.</li> <li>Modified specification for PSI #11 (postop respiratory failure) to add procedure sbased on number of days after major operating room procedures based on number of PSI #14 and PSI #24 (postop wound dehiscence) to exclude neuromuscular disorder diagnosis from denominator.</li> <li>Modified denominator of PSI #14 and PSI #24 (postop wound dehiscence) to exclude immunocompromised state.</li> <li>Modified denominator of PSI #17 (birth trauma) to limit to in-hospital live births admitted between 0 and 28 days of age.</li> <li>Modified criteria for PSI #18 – PSI #20 (birth trauma) to limit numerator to 3<sup>rd</sup> and 4<sup>th</sup> degree lacerations.</li> </ol> |

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|--------------------------------|----------------------|--|--|
| V 3.0                          | February<br>20, 2006 | Software, and<br>Technical<br>Specifications                                   | <ol> <li>Added diagnosis codes 785.52 (Septic shock),<br/>785.59 (Other shock without mention of<br/>trauma), and 998.0 (Postoperative shock) to<br/>list of diagnosis codes for Sepsis (PSI #4 and<br/>PSI #13.)</li> <li>Added code 586 (Renal failure, unspecified)<br/>and 997.5 (Urinary complications) to<br/>numerator specification for acute renal failure<br/>in PSI #10 (postop physiological and<br/>metabolic derangement.)</li> <li>Revised list of diagnosis codes included in<br/>diagnosis of immunocompromised states (PSI<br/>#2, 4, 7, 13-14, 23-24).</li> <li>Added diagnosis codes to definition of<br/>infection used as denominator exclusion for<br/>PSI #4 (FTR) and PSI #13 (postop sepsis).</li> </ol> |
| V 3.0                          | February<br>20, 2006 | Guide  | <ol> <li>Moved Appendix A into new document <i>Patient</i><br/><i>Safety Indicators Technical Specifications</i>.</li> <li>Removed Appendix B.</li> <li>Added new section "Using Different Types of<br/>QI Rates."</li> <li>Added explanation of changes to area<br/>definitions and new stratification options.</li> <li>Changed "MSA" to "Metro Area" throughout<br/>the document.</li> </ol>  |
| V3.0                           | February<br>20, 2006 | Guide, Software<br>Documentation,<br>Guide, and<br>Technical<br>Specifications | <ol> <li>Removed Appendices that were copies of<br/>Change Log and Indicator Changes<br/>documents.</li> <li>Added Appendix of Links to all IQI documents<br/>and additional resources.</li> </ol>   |
| V 3.0                          | February<br>20, 2006 | Software<br>Documentation<br>(SAS and SPSS)                                    | <ol> <li>Amended Table 2 to add variables for<br/>expected, lower and upper CI levels, and<br/>standard error of smoothed rate.</li> <li>Amended Table 3 to include the new 1995-<br/>2006 census data (POP95TO06.TXT).</li> <li>Removed section "Interpreting the Results".</li> <li>Replaced example printouts with tables<br/>explaining contents of columns in printouts.</li> </ol>   |

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| V 3.0                          | February<br>20, 2006 | Software and<br>Software<br>Documentation<br>(SAS only)                                | <ol> <li>Removed outdated HCUP comorbidity<br/>program.</li> <li>Advised users to run HCUP Comorbidity<br/>Software, Version 3.0 as a separate program<br/>before running PSIs if risk-adjusted rates are<br/>desired.</li> <li>Amended Table 4 to specify that AHRQ<br/>Comorbidity Variables are required to<br/>generate risk-adjusted rates.</li> </ol>   |
| V 3.0                          | February<br>20, 2006 | Software   | <ol> <li>Added the 1995-2006 Census data (e.g.,<br/>POP95TO06.TXT).</li> <li>Updated the covariates to 3M APR-DRG<br/>Version 20.0 (i.e., COVIQP30.TXT)</li> <li>Changed the computation of the risk-adjusted<br/>rate to use a proportional formula for indirect<br/>standardization.</li> <li>Added a computation of confidence limits.</li> <li>Changed name of data element HOSPSTCO<br/>to PSTCO.</li> <li>Added parameter POPYEAR to specify year<br/>for Census data.</li> </ol> |
| V 3.0                          | February<br>20, 2006 | Software (SAS<br>and SPSS),<br>Software<br>Documentation<br>and Covariates<br>document | Updated the coefficients used in the calculation of expected and risk-adjusted rates to the 2003 reference population.  |
| V 2.1 R3a                      | February<br>15, 2005 | Software (SAS<br>and SPSS),<br>Software<br>Documentation<br>and Covariates<br>document | Updated the coefficients used in the calculation of<br>expected and risk-adjusted rates to the 2002<br>reference population.<br>NOTE: The Guide to Patient Safety Indicators,<br>Operating Room Procedure Codes, and Fiscal<br>Year 2005 Coding Changes documents were not<br>updated and Revision 3 remains the current<br>version.  |
| V 2.1 R 3                      | January 17,<br>2005  | Software (SAS<br>and SPSS) and<br>Guide  | Modified documentation to reflect changes in<br>indicators associated with ICD-9-CM coding<br>updates for FY 2005 (effective 10-1-2004). See<br>separate documentation on ICD-9 coding updates<br>for specific details.   |

| Version/<br>Revision<br>number | Date                | Component                                   | Changes  |
|--------------------------------|---------------------|---|--|
| V 2.1 R 3                      | January 17,<br>2005 | Guide                                       | <ol> <li>Updated the provider, area and population<br/>rates in Table 1 and Table 2 and the detailed<br/>evidence section using data from the 2002<br/>HCUP SID files.</li> <li>In the detailed evidence section, added a<br/>cross reference from each indicator<br/>description to the indicator's detailed definition<br/>in Appendix A.</li> <li>Included Appendix A titles of detailed<br/>definitions in the Table of Contents.</li> <li>Removed the Operating Room Procedure<br/>Codes from Appendix C and reorganized the<br/>Appendices. The Operating Room Procedure<br/>Codes are now provided as a separate<br/>downloadable document.</li> </ol>  |
| V 2.1 R 3                      | January 17,<br>2005 | Software (SAS<br>and SPSS)                  | <ol> <li>Added the 2003 census data (i.e.,<br/>QICTY03.TXT)</li> <li>Added optional data elements YEAR (year of<br/>patient discharge) and DQTR (calendar<br/>quarter of patient discharge) to the<br/>specifications of the input file.</li> <li>Added new user control parameter YEARQTR<br/>to CONTROL_PSI.SAS and PSSPS1.SPS.<br/>The default setting for this parameter in the<br/>syntax is 0. If the data elements YEAR and<br/>DQTR are available in the input data file the<br/>parameter would be set to 1.</li> <li>Note: If available, these data elements are used to<br/>implement a coding change to Postoperative<br/>Wound Dehiscence (PSI #14) that adds ICD-9-CM<br/>code 44.99 to the denominator for discharges<br/>occurring on or after 10/1/2004. However, ICD-9<br/>code 44.99 will not be retained in the denominator<br/>if the data elements year and quarter of discharge<br/>are not available or if the user selects the option<br/>not to retain code 44.99 for purposes of trending<br/>over time.</li> </ol> |
| V 2.1 R 3                      | January 17,<br>2005 | Software<br>Documentation<br>(SAS and SPSS) | <ol> <li>Table 3 was amended to include the 2003<br/>census data (i.e., QICTY03.TXT).</li> <li>Added instructions for setting new user control<br/>parameter YEARQTR to CONTROL_PSI.SAS<br/>and PSSPS1.SPS.</li> <li>Added descriptions of optional data elements<br/>YEAR (year of patient discharge) and DQTR<br/>(calendar quarter of patient discharge) to<br/>Table 4.</li> </ol>   |

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| V 2.1 R 2a                     | November<br>19, 2004 | Software (SAS)             | Corrected syntax for the option to export program<br>output of PSSASP2.SAS and PSSASP3.SAS to<br>comma-delimited files that can then be read by<br>Excel. NOTE: The changes do not affect the<br>SPSS syntax.                             |
| V 2.1 R 2a                     | November<br>19, 2004 | Software (SAS<br>and SPSS) | Updated zip files to exclude the QICTYAxx.TXT census files, which are not required for risk adjustment for the PSI module.  |
| V 2.1 R 2a                     | November<br>19, 2004 | Covariates                 | Revised to include additional columns (i.e. the<br>number of covariates and the odds ratio) and to<br>correct the covariate labels. NOTE: The changes<br>do not affect the covariate values or the<br>calculation of risk-adjusted rates. |

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| V 2.1 R 2                      | October 22,<br>2004 | Guide     | <ol> <li>Modified documentation to reflect changes in<br/>indicators associated with ICD-9-CM coding<br/>updates for FY 2004 (effective 10-1-2003).<br/>See separate documentation for specific<br/>details.</li> <li>Changed syntax of "hospital-level" to<br/>"provider-level" throughout the documentation.</li> <li>Added PSI number to each Indicator name.</li> <li>Updated empirical results for Table 1, and<br/>limited contents to Provider-level PSIs.</li> <li>Added Table 2, listing Area-level PSIs.</li> <li>Added caption for Table 3, Indicators and Use<br/>of External Cause-of-Injury Codes.</li> <li>Modified PSI #2 (death in low mortality<br/>DRGs). The indicator is reported as a single<br/>measure, but also stratified by type of DRG:<br/>adult medical, pediatric medical, adult surgical<br/>(with OR procedure), adult surgical (without<br/>OR procedure), pediatric surgical (without<br/>OR procedure), obstetric and psychiatric. A list of<br/>low mortality DRGs by type is included PSI<br/>Guide.</li> <li>Impact: Among the low mortality DRGs, about<br/>25% of the discharges and 60% of the deaths<br/>are "adult medical" DRGs. Psychiatric DRGs<br/>also have a higher share of deaths (10%) than<br/>discharges (6%). Death among the other DRG<br/>types is very rare (0.1% or less). Few low<br/>mortality surgical DRGs do not have an<br/>operating room procedure, so the adult or<br/>pediatric surgical DRG (without OR<br/>procedure) will generally be missing or zero<br/>for most hospitals.</li> <li>Modified PSI #14, Postoperative Wound<br/>Dehiscence, to include only OR procedures in<br/>the definition of abdominopelvic surgery.<br/>Impact: Small (less than 1%) decrease in the<br/>denominator and resulting small increase in<br/>the rate due to exclusion of a low-risk<br/>procedure.</li> </ol> |

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|                                |      |           | <ol> <li>9. Modified PSI #17, Birth Trauma, to exclude preterm infants with subdural or cerebral hemorrhage or osteogenesis imperfecta infants with injury to skeleton from the numerator only. NOTE: The infants remain in the population at risk for other types of birth trauma.</li> <li>Impact: Small (less than 1%) increase in the denominator and resulting small decrease or no impact in the rate (i.e. the risk of other types of birth trauma for these two populations is less than or no different than for other births).</li> <li>10. Added three new Indicators #27-29, to include third-degree lacerations for each of three types of delivery: Vaginal with and without instruments, and Cesarean.</li> <li>Impact: The rate for OB Trauma is generally 5-10% higher when including 3<sup>rd</sup> degree lacerations</li> <li>11. Limited the surgical DRG inclusion criteria to major OR procedures for PSI #1, 8-13, all of which deal with postoperative illness or injury. Impact: Medium (1-4%) decrease in the denominator. The impact on the rate varies by indicator. PSIs 1, 8 and 9 have a medium (1-3%) increase in the rate.</li> <li>12. Modified PSIs #1, 3, 5, 6, 7, 8, 9, 10, 11, 12, 13, 15. 16 to exclude discharges with a PSI-defining principal diagnosis (but within the same PSI definition).</li> <li>Impact: Small (less than 1%) decrease in the denominator. The impact on the rate varies by indicator. PSIs 1, 3, 6, 7, 8, 10, 11, 13, and 16 have a small (less than 1%) increase in the rate. PSI 9 has a small (less than 1%) increase in the rate. PSI 9 has a small (less than 1%) increase in the rate. PSI 9 has a small (less than 1%) decrease in the rate. PSI 9 has a small (less than 1%) increase in the rate. PSI 9 has a small (less than 1%) increase in the rate. PSI 9 has a small (less than 1%) increase in the rate. PSI 9 has a small (less than 1%) increase in the rate. PSI 9 has a small (less than 1%) increase in the rate. PSI 9 has a small (less than 1%) increase in the rate. PSI 9 has a small (less than 1%) increase i</li></ol> |

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|                                |      |           | <ol> <li>Modified PSIs #8, 9, 11, 12 to exclude<br/>discharges where the only OR procedure is a<br/>PSI-related procedure.</li> <li>Impact: Small (less than 1%) decrease in the<br/>denominator. The impact on the rate varies by<br/>indicator. PSI 11 has a small (less than 1%)<br/>decrease in the rate. PSI 9 has a medium (1-<br/>2%) decrease in the rate. PSIs 8 and 12 have<br/>a large (15-65%) decrease in the rate.</li> <li>Modified PSIs #8, 9, 11, 12, 14 to exclude<br/>discharges where a PSI-related procedure<br/>precedes the denominator-defining OR<br/>procedure.</li> <li>Impact: Small (less than 1%) decrease in the<br/>denominator. The impact on the rate varies by<br/>indicator. PSI 9 has a large (65-70%) increase<br/>in the rate. PSIs 11 and 12 have a small (less<br/>than 1%) decrease in the rate. PSI 8 has a<br/>medium (3-4%) decrease in the rate. PSI 14<br/>has a large (8-9%) decrease in the rate.</li> <li>Modified Area level PSI #24, Postoperative<br/>Wound Dehiscence, to drop the requirement<br/>that the wound reclosure occurs in a<br/>discharge with a procedure code of<br/>abdominopelvic surgery.</li> <li>Impact: Numerator increases by about 40%.</li> <li>Added code 72.79 to the definition of<br/>instrument-assisted delivery in PSI #18, 19.</li> <li>Impact: Transfers about 33% of the<br/>denominator from PSI 19 to PSI 18. Because<br/>the OB Trauma rate for these cases is higher<br/>than average for PSI 19 and lower than<br/>average for PSI 19 is lower than PSI 18,<br/>the rate for both indicators decreases by 3-<br/>10%.</li> </ol> |

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| V 2.1 R 2                      | October 22,<br>2004 | Software (SAS<br>and SPSS)           | <ol> <li>Implemented syntax changes associated with<br/>ICD-9-CM coding updates from FY 2004<br/>(effective 10-1-2003). See separate<br/>documentation on ICD-9 coding updates for<br/>specific details.</li> <li>Implemented all syntax changes required to<br/>implement the indicator modifications (noted<br/>above under Guide) and incorporated the<br/>related documentation in the Software<br/>manuals.</li> <li>Modified the age, DRG and co morbidity<br/>aggregations used in the risk-adjustment to<br/>reflect the new rates and to group the DRGs<br/>by MDC (including an MDC-specific other<br/>category)</li> <li>Added the calculation and reporting of the<br/>expected rate at the stratification level<br/>selected by the user. The SAS<br/>(PSSASP3.SAS) and SPSS (PSSPSP3.SPS)<br/>software now calculates the risk-adjusted rate,<br/>the expected rate and the smoothed rate. The<br/>rates are saved in the output file. The user<br/>also has the option to print the rates or save<br/>the rates in a comma-delimited ASCII file.</li> </ol> |
| V 2.1 R 2                      | October 22,<br>2004 | Software (SAS)                       | Inserted "PS" in format names for age, sex, DRG<br>and co morbidity aggregations in SAS programs to<br>distinguish these formats from similarly named<br>formats used by other indicator software.   |
| V 2.1 R 1                      | May 28,<br>2003     | Guide                                | <ol> <li>Updated empirical results for Table 1.</li> <li>Corrected error in Failure to Rescue Sepsis<br/>(denominator, exclusion criteria). Corrected<br/>syntax excludes patients with a length of stay<br/>less than 4 days.</li> </ol>  |
| V 2.1 R 1                      | May 28,<br>2003     | Software (SAS)                       | <ol> <li>Corrected error in Failure to Rescue Sepsis<br/>(denominator, exclusion criteria). Corrected<br/>syntax excludes patients with a length of stay<br/>less than 4 days.</li> <li>Made minor syntax change to pathname<br/>syntax to ease use.</li> </ol>  |
| V 2.1 R 1                      | May 28,<br>2003     | Software<br>Documentation<br>(SAS)   | Added instructions for obtaining confidence interval module.   |
| V 2.1 R 1                      | May<br>28,2003      | Software<br>reference files<br>(SAS) | Parameter files: Updated the parameter reference files.  |

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| V 2.1 R 1                      | May<br>28,2003 | Software and<br>Documentation<br>(SPSS) | Released SPSS software which incorporates all changes noted for SAS. |